

United States Hazardous Materials Instructions for Rail



CSX HM-1 Effective December 1, 2015

**Public Safety Coordination Center
1-800-232-0144**

The ***United States Hazardous Materials Instructions for Rail*** should be interpreted and used as general guidelines. For further information, appropriate regulations must be consulted.

The Association of American Railroads (AAR), the Bureau of Explosives (BOE), and the AAR Hazardous Materials Committee are not responsible for any omissions or errors found in the ***United States Hazardous Materials Instructions for Rail***.

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SECTION 1

6000 INTRODUCTION

6001 Purpose

One of the rail industry's primary focuses continues to be the safe transportation of hazardous materials. Rail employees interact regularly with employees of other railroads. If subscribing railroads implement and consistently apply a standard set of rules and regulations, we will significantly enhance both our employees' safety and the safety of the communities through which we operate. Those railroads involved in developing the ***United States Hazardous Materials Instructions for Rail*** therefore worked together to create these instructions for employees who transport hazardous materials.

Note: These general guidelines may be appropriately modified by an individual railroad to be consistent with its unique operating rules and practices.

6002 Policy

To handle hazardous material shipments or incidents safely and efficiently, without delay, and in accord with local, state, and federal regulations, it is imperative that you familiarize yourself with the ***United States Hazardous Materials Instructions for Rail***, in addition to other operating rules. These instructions provide guidance on how to perform your duties so that both you and the company will comply with Department of Transportation (DOT) regulations.

Transportation employees who inspect or transport hazardous material by rail must have a copy of and comply with the ***United States Hazardous Materials Instructions for Rail***.

Employees who transport hazardous materials must also have a copy of the current ***Emergency Response Guidebook*** (ERG) readily accessible while on duty.

The company will provide appropriate training and testing to each employee who directly affects hazardous material transportation safety.

Always keep in mind that the company requires you to comply fully with the law. Compliance with the letter and spirit of our obligations is good corporate citizenship and is basic to achieving quality in all areas of our operations. Each of us has a duty to see that the railroad's actions are consistent with the highest legal and ethical standards.

6003 Questions

For questions about the ***United States Hazardous Materials Instructions for Rail***, contact your immediate supervisor.

6004 Print Date/Version

Effective July 01, 2015

6005 Regulatory Updates, Additions and Corrections

Requests should be submitted to the Association of American Railroads Hazardous Materials Committee for review. If approved, changes will occur in the next publication of the ***United States Hazardous Materials Instructions for Rail***.

6050 GENERAL INFORMATION

6051 Definition of Hazardous Materials

- a. Hazardous materials are defined as "a substance or material which the Secretary of Transportation has determined to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce."
- b. Hazardous materials are classified according to their chemical and/or physical properties. There are nine numeric hazard classes, some of which are divided into divisions, and two worded

hazard classes. A hazardous material is assigned to only one hazard class, even if it meets the definition of more than one hazard class. Table 1 lists the hazard classes and divisions.

- c. The term “hazardous material” includes hazardous substances, hazardous wastes, elevated temperature materials (HOT or MOLTEN), and marine pollutants.

Table 1. Hazard Classes and Divisions

Numbered Hazard Classes and Divisions

1 - Explosives

- 1.1 – Explosive with mass explosion hazard
- 1.2 – Explosive with projection hazard
- 1.3 – Explosive with predominantly fire hazard
- 1.4 – Explosive with no significant blast hazard
- 1.5 – Very insensitive explosive; blasting agent
- 1.6 – Extremely insensitive detonating substance

2 - Gases

- 2.1 – Flammable gas
- 2.2 – Nonflammable, nonpoisonous (nontoxic) compressed gas
- 2.3 – Gas poisonous (toxic) by inhalation

3 Flammable Liquids

4 - Flammable Solids and Reactive Solids/Liquids

- 4.1 – Flammable solid
- 4.2 – Spontaneously combustible material
- 4.3 – Dangerous when wet material

5 - Oxidizers and Organic Peroxides

- 5.1 – Oxidizer
- 5.2 – Organic peroxide

6 Poisonous (Toxic) Materials and Infectious Substances

- 6.1 – Poisonous (toxic) material
- 6.2 – Infectious substance

7 Radioactive Materials

8 - Corrosive Materials

9 - Miscellaneous Hazardous Materials

Worded Hazard Classes

Combustible Liquids (*regulated in bulk packaging; also regulated in non-bulk packaging if a hazardous substance, hazardous waste or marine pollutant*)

ORM-D (Other Regulated Materials – D) – (*exempt from placarding and labeling in rail transportation, but subject to packaging, marking, and possibly shipping paper requirements*)

6052 General DOT Requirement

- a. No person may offer, accept, or transport a hazardous material in commerce unless that material is properly classed, described, packaged, marked, labeled, and placarded and is in proper condition for transportation according to DOT and International regulations.
- b. No person may transport a hazardous material in commerce unless the hazardous material is handled and transported according to DOT regulations.

Note: Railroads publish information on restrictions which they impose against the acceptance, delivery, or transportation of hazardous materials. Refer to Restriction of Individual Parties and Intermodal Restrictions for Hazardous Materials found in the current issue of Tariff No. BOE-6000.

6053 Expediting Hazardous Material Shipments

Loaded hazardous material shipments and both loaded and residue/empty time-sensitive shipments (see Table 2) must be forwarded either:

- a. Within 48 hours (excluding Saturdays, Sundays, and holidays) after accepting them at the shipper's facility or receiving them in any yard, intermediate (transfer) station, or interchange point,
- or**
- b. When only bi-weekly or weekly service is performed, on the first available train toward the destination.

Exception: The 48-hour requirement does not apply to shipments that are constructively placed or set out for repairs.

Table 2. Time-Sensitive Shipments

20 Day
(1) Ethylene, refrigerated liquid – UN 1038
(2) Hydrogen, refrigerated liquid – UN 1966
(3) Chloroprene, stabilized – UN 1991
(4) Flammable Liquid, N.O.S. (Methyl Methacrylate Monomer, uninhibited) – UN 1993
(5) Hydrogen Chloride, refrigerated liquid – UN 2186
(6) Vinyl Fluoride, stabilized – UN 1860
30 Day
(1) Styrene Monomer, stabilized – UN 2055

6054 Exceptions for U.S. Government Material

- a. Department of Energy (DOE) and Department of Defense (DOD) shipments made for the purpose of national security and accompanied by escorts (personnel specifically designated by or under the authority of DOD or DOE) are not subject to DOT regulations or to the instructions in this book.
- b. Escorts must travel in a separate transport vehicle from the rail car carrying the hazardous materials.
- c. The escorts must have, in their possession, a document certifying that the shipment is for the purpose of national security.

6055 International Shipments

International shipments of hazardous materials (including shipments to and from Mexico and Canada), moving with proper International documents and International placards, may be transported in the United States (U.S.):

- a. From a U.S. port of entry to their U.S. destination,
 - b. When moving through the U.S. to a foreign destination,
 - c. From a U.S. point of origin to the International port of entry, when the cars are either:
 - (1) Returning residue shipments,
- or**
- (2) Regulated Internationally but not in the U.S.

SECTION 2

6100 REQUIRED DOCUMENTATION

6101 General Requirements

No person may accept a hazardous material for shipment by rail transportation or transport a hazardous material in a train unless a member of the crew has each of the following documents:

- a. Acceptable shipping papers,
- b. Acceptable emergency response information,
- c. A paper document showing the current position of the hazardous material shipment in the train.

Note: The purpose of this documentation is to provide railroad personnel and emergency response personnel with accurate information about the hazardous materials.

Therefore, keep all current hazardous material documents neat and orderly and ensure that they are available in case of an emergency or for inspection. Properly discard superseded documents to eliminate the possibility of confusing or inconsistent information.

6102 Acceptable Shipping Papers

Any one of the following documents is an acceptable shipping paper for hazardous material shipments, as long as it includes the required shipping description entries (see Rule 6106 of this section), is legible, and is printed (manually or mechanically in English).

- a. **Railroad-produced documents** – for example, train consists, train lists, wheel reports, waybills, industry work orders, or other similar documents.
- b. **Customer-produced documents** – for example, bills of lading, or switch lists.
- c. A **connecting carrier's documents**.
- d. A **hand-printed document** (printed, not cursive letters) – for example, radio waybills.
- e. A **hazardous waste manifest**.

6103 Acceptable Emergency Response Information

The **Emergency Response Guidebook** (ERG) contains acceptable emergency response information. The ERG may be supplemented by emergency response information printed as part of the train list/consist or provided by the customer – for example, a Safety Data Sheet (SDS).

6104 Document Indicating Position in Train

Before moving hazardous material shipments in a train, a member of the crew must have a paper document that shows the current position in the train of each hazardous material shipment (loaded and residue/empty).

When making pickups or setouts, update the document before proceeding. The train crew must update the document by handwriting on it or by appending or attaching another document to it.

6105 Checking for Shipping Papers

Make sure that a member of the crew has a paper copy of acceptable shipping papers, with the required entries, for each hazardous material when:

- a. Accepting hazardous material shipments at a customer's facility, interchange point, or other location,
- b. Moving hazardous material shipments in a train,
- c. Delivering hazardous material shipments to a customer's facility, interchange point, or other setout point,

- d. Switching hazardous material shipments outside a yard.

Note: Shipping papers are not required in the switch crew's possession when moving hazardous material shipments within a yard or at a customer's facility.

Exception: Although they may remain placarded and marked, residue/empty packages of "Elevated Temperature Material" and Class 9 hazardous substances that are not hazardous wastes or marine pollutants do not require hazardous material shipping papers and emergency response information.

6106 Reviewing Shipping Paper Entries

Review the shipping description entries for each hazardous material on the shipping papers and make sure that the following entries (a-g under this item) are present. (Figure 1 shows two formats for displaying the shipping description entries.)

Vertical Format

GATX 12345
(a) 1T/C (b)
UN1830 (c)
SULFURIC ACID (d)
8 (e)
PG II (f)
RQ (SULFURIC ACID) (h3)
EMERGENCY CONTACT: 800-424-9300 (g)
HAZMAT STCC = 4930040 (h11)

Horizontal Format

UTLX 12345 (a)
1T/C (b) // UN1017 (c) // CHLORINE (d) // 2.3 (5.1, 8) (e) // RQ (CHLORINE) (h3) // POISON-INHALATION HAZARD (h6) // ZONE B (h7) // MARINE POLLUTANT (CHLORINE) (h4) // EMERGENCY CONTACT: 800-424-9300 (g) // HAZMAT STCC = 4920523 (h11)

Items (a) through (g) are required entries, and items (c) through (f) are referred to as the basic description. Item (h) refers to additional entries that may appear. Typically, items (b) through (f) are in the sequences shown; however, certain items (technical name and subsidiary hazard class) may appear in parentheses between items (b) through (f).

Figure 1. Shipping Description Entries

a. Reporting marks (initials) and number

The shipping paper for a rail car, freight container, transport vehicle, or portable tank must include the reporting mark and number **only** when the reporting mark and number are displayed on the rail car, freight container, transport vehicle, or portable tank.

b. Total Quantity Notation

- (1) For empty packaging, bulk packaging, or cylinders of Class 2 materials, some indication of the total quantity must be shown (certain abbreviations are acceptable). For example, "1 T/C" (1 tank car), "1 C/L" (1 car load), or "10 CYL" (10 cylinders).
- (2) For **non-bulk packaging**, the total quantity is given by both:
 - (a) Weight or volume (including the unit of measure); for example, "100 LBS", "55 GAL", "5 KG", or "208 L"; and
 - (b) Number and type of packages; for example "12 DRUMS", "12 UN 1A1", "15 4G", or "2 UN 3H1JERRICAN".
- (3) For Class 1 materials, the quantity must be the net explosive mass.

c. Identification Number

A 4-digit number preceded by "UN", "NA," or "ID" assigned to a hazardous material.

d. Proper Shipping Name

- (1) The proper shipping name of the hazardous material may be one or more words, such as "CHLORINE" or "SULFURIC ACID." The proper shipping name may include a number that indicates the concentration of the material.
- (2) When a N.O.S. (Not Otherwise Specified) shipping name appears, the technical name of the product may appear in parentheses immediately after the N.O.S. shipping name, such as "CORROSIVE LIQUID, N.O.S. (CAPRYL CHLORIDE)."
- (3) Residue/empty shipments in tank cars must include the phrase "RESIDUE: LAST CONTAINED..." in association with the basic description, including the proper shipping name.
- (4) For waste shipments, the word "WASTE" will precede or be part of the proper shipping name of the material.

e. Hazard Class/Division – Numeric or Worded

Reference: For further information on hazard classes/divisions, see the definition in the Glossary and the list of hazard classes/divisions in Rule 6051 Table 1.

- (1) For certain hazardous materials, the subsidiary hazard class(es), will appear in parenthesis after the primary hazard class. For example, Ethylene Oxide is listed as "2.3 (2.1)", and Chlorine is listed as "2.3 (5.1, 8)".
- (2) The hazard class need not be repeated for "COMBUSTIBLE LIQUID, N.O.S." shipments.
- (3) Classes 1.1, 1.2, 1.3, 1.4, 1.5, and 1.6 may show a compatibility group letter after the hazard class (for example, "1.1A"). The letter has no significance in rail transportation.

f. Packing Group

The packing group must appear on the shipping papers in Roman numerals ("I", "II", or "III"). The packing group may be preceded by the letters "PG" ("PG I", "PG II", or "PG III").

Exceptions:

Hazard Classes 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 2.1, 2.2, 2.3, 4.1 (self-reactive liquids or solids, types B-F), 5.2, 6.2, 7, and ORM-D do not require the packing group notation.

In addition, the following identification numbers from Classes 3, 4.2, 4.3, 5.1, 8, and 9 do not require the packing group notation:

NA1365	UN3121	UN3269	UN3343	UN3477
UN2426	UN3127	UN3316	UN3363	
UN2990	UN3166	UN3334	UN3473	
UN3072	UN3171	UN3335	UN3476	

g. Emergency Response Telephone Number

Shipping papers for hazardous materials must show a 24-hour emergency response telephone number, including the area code, for use in the event of an emergency involving the hazardous materials. For telephone numbers outside the United States, the international access code or the “+” (plus) sign, country code, and city code, as appropriate, must be included.

Note: In some cases, a shipper name or contract number may be shown before or after the emergency response telephone number.

Exceptions: Emergency response telephone numbers are not required when the hazardous material is shown as “LIMITED QUANTITY”, “LTD QTY”, or its proper shipping name is:

- (1) Battery powered – equipment or vehicle
- (2) Carbon dioxide, solid or dry ice
- (3) Castor – bean, meal, flake, or pomace
- (4) Consumer commodity
- (5) Engines, internal combustion
- (6) Fish – meal or scrap, stabilized
- (7) Fumigated unit
- (8) Krill meal, PG III
- (9) Refrigerating machine
- (10) Vehicle, flammable gas powered or vehicle, flammable liquid powered.
- (11) Wheelchair, electric

h. Additional Entries

Some hazardous material shipping descriptions may contain one or more of these entries:

- (1) “RESIDUE: LAST CONTAINED ...” (for packages emptied to the maximum extent possible)
- (2) “HOT” notation added before a proper shipping name for elevated temperature materials
- (3) “RQ” for Reportable Quantity notation of a hazardous substance
- (4) “MARINE POLLUTANT” notation
- (5) “POISON” or “TOXIC” notation
- (6) “POISON (TOXIC)-INHALATION HAZARD (PIH or TIH)” or “INHALATION HAZARD (IH)” notation
- (7) Hazard Zone notation (“ZONE A,” “ZONE B,” “ZONE C,” or “ZONE D”)
- (8) “LIMITED QUANTITY” or “LTD QTY” notation

- (9) FRA Movement Approval (for example, "FRA 0109123"), DOT Special Permit (for example, "DOT- SP 9271"), Special Approval Number (for example, "SA 920403"), or Competent Authority Number (for example, "CA 9701001")
- (10) DOT-113 notation ("DOT-113, DO NOT HUMP OR CUT OFF IN MOTION")
- (11) Hazardous Materials Response Code (Hazmat STCC "48xxxxx" or "49xxxxx")
- (12) Certain shipments described using Canadian regulations may contain both an Emergency Response Assistance Plan number and its activation telephone number (e.g., "ERP-2-1008 (800-555- 5555) // SPECIAL COMMODITY")
- (13) Box of asterisks with or without wording (not required by DOT, but may appear on railroad-produced documents)
- (14) Shipper's Certification
- (15) "OIL" notation
- (16) Additional radioactive material entries
- (17) Name and address of the place of business in Canada of the consignor
- (18) Additional hazardous waste shipping description entries (see Section 2, Rule 6111,a)
- (19) EX number for air bag modules classified as Class 9.
Note: Recycled air bag modules do not require the EX number entry, but must have the word "recycled" after the basic description.
- (20) For International shipments, the notation "DANGEROUS GOODS IN EXCEPTED QUANTITIES" as appropriate
- (21) "NON-ODORIZED" or "NOT-ODORIZED" notation for non-odorized liquefied petroleum gas

6107 Handling Situations when Shipping Papers or Required Entries Are Not Available

When the appropriate shipping paper is not present or when all required entries on the shipping paper provided are not present:

- a. Do not move the car until the appropriate shipping paper or the required entries on the shipping paper are present.
- b. Take one of these three actions:
 - (1) Correct the existing document. Contact the customer or your supervisor, request the entries required to complete the shipping description, and legibly print those entries in the appropriate sequence (see Section 2, Rule 6106),
or
 - (2) Obtain the appropriate shipping paper from the shipper, your supervisor, or other appropriate person,
or
 - (3) Use a radio waybill:
 - (a) Contact your supervisor or dispatcher and request the appropriate entries for a radio waybill (see Figure 2, Example of Radio Waybill).The supervisor or dispatcher will provide the requested entries via radio or telephone to you.
 - (b) Complete the radio waybill using the information the supervisor or dispatcher provided.

Note: If a radio waybill form is not available, legibly print the required hazardous material information on a sheet of paper, including the car's initials and number (see Section 2, Rule 6106).

- (c) Keep the radio waybill with the other shipping documents until either reaching the final destination or receiving another shipping paper with the appropriate entries.
- (d) For each radio waybill issued, add the car initial and number and its position on the position-in-train document.

6108 Checking for Emergency Response Information

- a. When accepting and transporting hazardous material shipments, make sure a copy of the emergency response information for each shipment (see Section 2, Rule 6103) is available.
- b. If emergency response information is **not** available, do **not** accept or transport the car.

6109 Checking for Position-in-Train Document

- a. When transporting hazardous material shipments in a train, make sure a member of the crew has a paper document indicating the current position in train of each hazardous material shipment.
- b. If the paper document indicating the current position in train of each hazardous material is **not** available:
 - (1) Update the paper documents already in your possession,
or
 - (2) Create a hand-printed list showing the position in train of each hazardous material shipment.

Note: The list must show the reporting marks and number for each hazardous material shipment in the train and its actual position in the train.

6110 Handling Shipping Papers Received from a Customer

When picking up a hazardous material shipment from the customer and the customer provides the original shipping papers:

- a. Check for appropriate hazardous material entries.
- b. For loaded shipments, make sure that the shipper's certification and signature (signature by hand or mechanical means) are on the shipping papers received from the customer.

6111 Handling Hazardous Waste Shipping Papers and Manifests

- a. The shipping paper for a hazardous waste shipment must have the following entries in addition to the entries required for other hazardous material shipments:
 - (1) Proper shipping description,
 - (2) Name, address, and telephone number of the hazardous waste generator,
 - (3) Name and address of the hazardous waste disposal facility,
 - (4) Name of transporter,
 - (5) Waste manifest number,
 - (6) Special handling instructions.
- b. When accepting a hazardous waste shipment **with** railroad generated shipping papers for the shipment which contains the hazardous waste manifest entries [(a) above], pick up the car containing hazardous waste without a copy of the hazardous waste manifest:
- c. When accepting a hazardous waste shipment **without** railroad shipping papers for the shipment, check to see that the hazardous waste manifest contains both the hazardous materials shipping description entries (see Section 2, Rule 6106) and the hazardous waste manifest entries [(a) above].

If all entries are present on the hazardous waste manifest, pick up the car containing hazardous waste with the copy of the hazardous waste manifest.

6112 Handling Requests for Shipping Papers or Emergency Response Information

When receiving a request for shipping papers or emergency response information from a railroad employee, regulatory enforcement officer, or emergency response personnel in an emergency:

- a. Immediately provide the information on the shipping papers for the shipment and provide an extra copy of the train list/consist, when available,

Note: Retain any waybills and a copy of the train list/consist until you can deliver them to the first railroad manager on the scene.
- and**
- b. Immediately provide a copy of the emergency response information provided with the shipment.

SECTION 3

6150 INSPECTION

6151 General Requirements

- a. To determine that they are secure and in acceptable condition for transportation, all loaded and residue/empty hazardous material shipments must be inspected at these points:
 - (1) Before accepting them from the shipper,
 - (2) When receiving them in interchange,
Note: Run-through trains received in interchange may continue to the next inspection point before being inspected.
 - (3) When placing them in a train,
 - (4) At other points where an inspection is required (e.g., 1000 mile inspection).
- b. Accept or transport only those hazardous material shipments that conform to these instructions.

6152 Inspection Procedures

In addition to inspecting rail cars for compliance with train make up, adequate buffer cars, shiftable loads and temperature control equipment (see Figure 13, Position In Train Chart, Rule 6350) as well as mechanical requirements, visually inspect each loaded or residue/empty hazardous material shipment (including flat cars transporting placarded or marked trailers or containers) and adjacent rail cars, **from ground level** (do not climb on or go under the car) and check for:

- Leakage,
- Required placards and markings, including stenciling, car certificates, and qualification dates (See Rule 6200 for details),
- Secure fastening of closures,
- Signs of tampering, such as suspicious items or items that do not belong, the presence of an "Improvised Explosive Device" (IED), and other signs that the security of the car may have been compromised.

Note: Where an indication of tampering or a foreign object is found, take the following actions:

- (1) Do not accept or move the rail car.
 - (2) Immediately move yourself and others to a safe location away from the rail car before using radios and cell phones to make notifications.
 - (3) For cars at a customer's facility, immediately contact local plant personnel. If local plant personnel are not available or cannot explain what you see, immediately contact the train dispatcher (follow your specific railroad instructions).
 - (4) For cars on interchange tracks or in the yard, immediately contact the yardmaster or train dispatcher (follow your specific railroad instructions).
- a. **Inspecting All Car Types (from ground level)**
- (1) Without climbing on the car, make sure that the hazardous material shipment is not leaking.
 - (a) Look for leaking contents – drips, wetness, or material on the car or on the ground.
 - (b) Look for a vapor cloud.
 - (c) Listen for hissing sounds of the contents escaping.

Note: If you find a hazardous material shipment leaking, follow the instructions in Rule 6153 of this section and in Rule 6505 (Emergency Response), item 5.

- (2) Make sure placards and markings are appropriate for the shipment and displayed correctly (see Rule 6200, Placards and Markings).
- (3) Before accepting a hazardous material shipment from the shipper, make sure that:
 - (a) All customer loading and unloading lines are disconnected,
 - (b) Derails, chocks, and blue flags are removed,
 - (c) All platforms are raised or in the clear.

b. Inspecting Placarded/Marked Tank Cars (from ground level)

Check placarded tank cars or tank cars marked with an identification number to see that:

- (1) Protective housing covers are closed,
- (2) Manway cover swing bolts are up and in place,
- (3) All valves and fittings appear to be closed and secure,
- (4) Visible plugs or caps (including bottom outlet caps) or other fittings are securely in place,
Note: When heater coil caps are provided, they must be applied.
- (5) “Double shelf couplers” and roller bearings are present.

c. Inspecting Placarded/Marked Gondola Cars (from ground level)

- (1) Look for loosely fastened gondola covers.
- (2) Make sure the cover or tie downs do not foul any safety appliances.

d. Inspecting Placarded/Marked Hopper Cars (from ground level)

Check that discharge gates are closed and secured.

e. Inspecting Shipments Placarded EXPLOSIVES 1.1 or 1.2 (from ground level)

- (1) In addition to the other inspection requirements in this section, for shipments placarded EXPLOSIVES 1.1 and 1.2:
 - (d) Look for indications of damage to the contents.
 - (e) Make sure that completed “car certificates” (see Figure 3, Car Certificates) are displayed on both sides of the rail car:
 - (i) Car certificates must be removed after the rail car, trailer, or container is unloaded.
 - (ii) Car certificates are either 18 cm (7.1 in) by 18 cm (7.1 in) or 15 cm (5.9 in) by 20 cm (7.9 in) in size.
- (2) Do not accept or transport the car until all damage has been corrected and car certificates are in place.

_____ Railroad

No 1 _____ Station _____ 20 _____
_____ hereby certify that I have this day personally examined Car Number _____ and that the car is in condition for service and complies with the FRA Freight Car Safety Standards (49 CFR Part 215) and with the requirements for freight cars used to transport explosives prescribed by the DOT Hazardous Materials Regulations (49 CFR Part 174).

Qualified Person Designated Under 49 CFR 215.11

No 2 _____ Station _____ 20 _____
I have this day personally examined the above car and hereby certify that the explosives in or on this car, or in or on vehicles or in containers have been loaded and braced; that placards have been applied, according to the regulations prescribed by the Department of Transportation; and that the doors of cars so equipped fit or have been stripped so that sparks cannot enter.

Shipper or his authorized agent

Qualified Person Designated Under 49 CFR 215.11

No 3 _____ Station _____ 20 _____

I hereby certify that I have this day personally supervised the loading of the vehicles or containers on and their securement to the above car.

Shipper or railway employee inspecting loading and securement

Note 1: A shipper must decline to use a car not in proper condition.
Note 2: All certificates, where applicable, must be signed.

Figure 3. Car Certificates

f. Inspecting Placarded/Marked Intermodal Shipments (from ground level)

In addition to completing other inspection requirements in this section:

- (1) Make sure that an intermodal tank container of hazardous material is not transported with a container above or below the tank.
- (2) Make sure that placards are fully visible when containers are loaded in a well car.
- (3) Make sure that intermodal tank containers are placed so that the bottom outlet valves are pointed toward the ends of the well or platform.

6153 Handling Defects

When a hazardous material shipment does not appear to be prepared for transportation:

- a. Do not accept or pull the hazardous material shipment or allow it to continue in transportation.
- b. Notify the customer, train dispatcher, yardmaster, or your immediate supervisor, as appropriate, and explain the problem.

6200 PLACARDS AND MARKINGS

6201 General Requirement

Hazardous material shipments, whether loaded or containing a residue, must **not** be accepted for transportation or transported unless they are properly placarded and marked. Not all hazardous material shipments require placards.

6202 Placard Requirements

Each bulk packaging, freight container, transport vehicle, or rail car containing hazardous material must be placarded on each side and each end in accordance with the instructions below.

Note: Unless the shipping papers indicate that the shipment is a limited quantity, most international shipments (including Canada and Mexico) of hazardous materials require placards.

Placard - a sign measuring at least 250 mm (9.8 in) by 250 mm (9.8 in) square-on-point, communicating a hazard by symbol, color, hazard class/division number and possibly text (see Figure 4 for pictures of placards). Text indicating the hazard is not required on placards other than the Class 7 and DANGEROUS placards; however, for shipments originating internationally, text may not appear on a Class 7 placard. The hazard class text does not have to be in English, except for the DANGEROUS placard, as long as the size, color, hazard class, and symbol are correct.

a. Placards are required when transporting **any quantity** (bulk or non-bulk) of these hazard classes:

- 1.1 Explosive with mass explosion hazard
- 1.2 Explosive with projection hazard
- 1.3 Explosive with predominantly fire hazard
- 2.3 Gas poisonous (toxic) by inhalation
- 4.3 Dangerous when wet material
- 5.2 Organic peroxide, Type B, liquid or solid, temperature controlled
- 6.1 Material poisonous (toxic) by inhalation
7. Radioactive Yellow III label or exclusive use shipments of low specific activity (LSA) materials and surface contaminated objects

b. Placards are required when transporting total weight of **1001 lbs (454 kg) or more** (bulk or non-bulk) of these hazard classes:

Note: Placards may be displayed for a total weight less than 1001 lbs of these materials, as long as they are appropriate for the shipment.

- 1.4 Explosive with no significant blast hazard
Note: Placards are not required for Class 1.4S materials.
- 1.5 Very insensitive explosive; blasting agents
- 1.6 Extremely insensitive detonating substances
- 2.1 Flammable gas
- 2.2 Nonflammable, nonpoisonous (nontoxic) compressed gas
3. Flammable liquid
- 4.1 Flammable solid

4.2 Spontaneously combustible material

5.1 Oxidizer

5.2 Organic peroxide, other than “organic peroxide, Type B, liquid or solid, temperature controlled” in 2a above

6.1 Poisonous (toxic) material, (other than material poisonous (toxic) by inhalation)

Note: For U.S. transportation of Class 6.1 PG III materials, a PG III placard may be used in place of a POISON (TOXIC) placard.

8 Corrosive material

9 Miscellaneous hazardous material

Exception: For U.S. transportation only, Class 9 placards are not required. However, bulk shipments of Class 9 materials transported in the US must be marked with the identification number (see Rule 6204).

Combustible Liquids [see item c (7) below for handling combustible liquids in non-bulk packages]

Mixed hazardous materials in this item (see item f below)

c. Placards are not required for:

- (1) Hazardous material shipments with less than 1001 lbs (454 kg) total weight, provided the hazard classes are included in item b above
- (2) ORM-D (Other Regulated Materials – D)
- (3) Class 6.2 (Infectious Substances)
- (4) Class 9 (US/Canadian transportation) materials that display the identification number
- (5) Limited Quantity (LTD QTY) shipments when identified as such on shipping papers
- (6) Cryogenic atmospheric gases, other than Oxygen (for example, Argon)
- (7) Combustible liquids in non-bulk packaging (i.e., drums), usually found in intermodal shipments, unless the material is a hazardous substance or hazardous waste
- (8) Rail cars and intermodal tank containers of hazardous materials which have been cleaned and purged
- (9) Shipments listed as Radioactive White I and Radioactive Yellow II on shipping papers
- (10) Class 1.4S
- (11) Shipments of molten sulfur moving to or from Canada provided the letters and numerals “UN2448”, or the numerals “2448” and the words “MOLTEN SULFUR” (or “MOLTEN SULPHUR”) appear on each side of the tank car

d. Placards may be displayed for hazardous materials, even when not required, as long as the placard is appropriate for the contents of the shipment. If displayed, then all instructions for that placard apply.

e. Certain hazard classes require the display of the primary placard on a white square background, including (see Figure 4, Placard Chart): *(When required to be affixed to the rail car.)*

- (1) Class 1.1 or 1.2 explosives
- (2) Class 2.3 or 6.1 poison/toxic inhalation hazard zone A material
- (3) Class 2.1 flammable gases loaded in DOT-113 tank cars, including tank cars containing only a residue of the material.

- f. The DANGEROUS placard may be used instead of separate placards for each hazard class when a rail car, trailer, or container is loaded with non-bulk packages of two or more hazard classes from Rule 6202, b.

Note: When 2,205 lbs (1,000 kg) or more of one hazard class is loaded at one loading facility, the placards for that hazard class as specified in Rule 6202, b of this section must also be applied.

- g. Some shipments of hazardous materials require subsidiary placards that represent secondary hazards. Subsidiary placards must not display a 4-digit identification number, but will display the hazard class or division number.

Note: Subsidiary placards must be displayed when the subsidiary hazard class is 2.3, 4.3, or 6.1 with the notation "POISON-INHALATION HAZARD" or "TOXIC-INHALATION HAZARD" present on the shipping papers.

- h. For residue/empty hazardous materials shipments, the rail car, trailer, or container must remain placarded in the same manner as the loaded shipment, unless the packaging:
- (1) Has been cleaned of residue, or
 - (2) Has been purged of vapor to remove any hazard, or
 - (3) Has been refilled, with a material requiring different placards or no placards, to such an extent that any residue remaining in the packaging is no longer hazardous,
 - (4) Contains a residue of an elevated temperature material. These shipments may remain placarded in the same manner as when it contained a greater quantity of the material even though the material no longer meets the definition for an elevated temperature material.
 - (5) Contains a residue of a hazardous substance, Class 9 that does not meet the definition of another hazard class and is not a hazardous waste or marine pollutant. These shipments may remain marked, labeled, and/or placarded in the same manner as when it contained a greater quantity of the material even though the material no longer meets the definition for a hazardous substance.

6203 Inspecting for Placards

- a. Make sure that all required placards are:
- (1) Consistent with the shipping paper information,
 - (2) On both sides and both ends of the shipment,
 - (3) In placard holders or securely attached to the rail car, trailer, or container,
 - (4) Not damaged, faded - color should be similar to the color printed in this document (see Figure 4, Placard Chart), or obscured by dirt or car part,
 - (5) Oriented horizontally, so you can read them from left to right,
 - (6) Readily visible from the direction they face, except for placards on the ends of trailers and containers in or on a rail car.

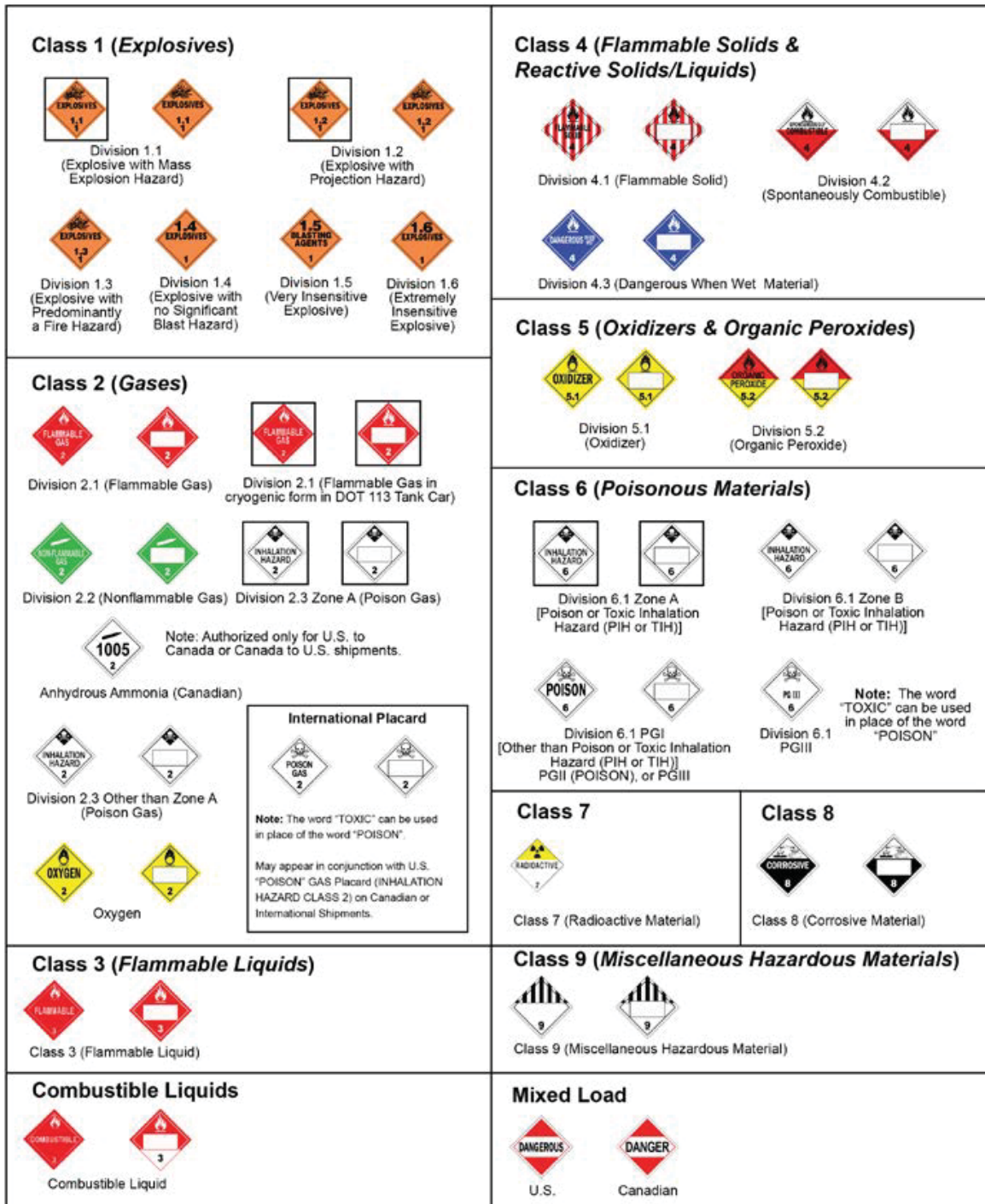


Figure 4. Placards for Hazardous Materials by Hazard Class

Text indicating the hazard is not required on placards other than the Class 7 and DANGEROUS placards; however, for shipments originating internationally, text may not appear on a Class 7 placard. The hazard class text does not have to be in English, except for the DANGEROUS placard, as long as the size, color, hazard class, and symbol are correct.

- b. When **picking up** a hazardous material shipment at the customer's facility or siding, and a placard is not correct, does not meet the standards above, or is missing:
 - (1) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (2) Do not accept the hazardous material shipment until corrections have been made.
- c. When a placard does not meet the standards above or is discovered missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. Corrections must be made at the next inspection point.

6204 Marking Requirements and Inspecting for Markings

Marking - a descriptive commodity name, identification number, caution (such as inhalation hazard, elevated temperature material, marine pollutant, fumigant, non-odorized, sour crude oil), or tank car specification and qualification dates stencils displayed on hazardous material shipments.

Make sure the markings above are displayed on bulk packages as follows:

6205 Identification Number Markings

- (1) Identification number markings must appear on both sides and both ends either on the placard or in close proximity to the placard, when a placard is required:
 - (a) Bulk packages of hazardous materials (including Class 9 when no placard is required).

Note: Identification number markings are not required on the ends of multi-compartmented tank cars transporting more than one hazardous material having different identification numbers.
 - (b) Rail cars, trailers, and containers when 8,820 lbs (4000 kg) or more of non-bulk packages of hazardous materials, with the same proper shipping name and identification number, are loaded at one location and the transport vehicle does not contain any other hazardous or non-hazardous materials.

Exception: For shipments of molten sulfur from Canada, the identification number marking must appear only on both sides of the tank car.
- (2) Identification numbers can be displayed in one of three ways, as Figure 5 shows:



Figure 5. Identification Numbers

- (3) Identification numbers must not be displayed on:
 - (a) EXPLOSIVES 1.1, 1.2, 1.3, 1.4, 1.5, or 1.6 placards,
 - (b) RADIOACTIVE placards,
 - (c) DANGEROUS placards,
 - (d) Subsidiary placards,
- (4) Make sure the identification numbers appear as required above and agree with the shipping paper entries.
- (5) When **picking up** a hazardous material shipment at the customer's facility, a siding or an interchange point and the identification number is not correct, is not legible, or is missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do not accept the hazardous material shipment until corrections have been made.
- (6) When an identification number is not correct, is not legible, or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

Note: Missing identification numbers must be replaced and may be entered on the appropriate placard, orange panel, or white square-on-point configuration by hand using a **black indelible** marker.

6206 MARINE POLLUTANT Mark

- (1) For a material described on the shipping papers as a "MARINE POLLUTANT" and the shipment does not require a placard, make sure that the MARINE POLLUTANT mark (see Figure 6) appears on both sides and both ends of bulk packaging.



Figure 6. MARINE POLLUTANT Mark

Note: MARINE POLLUTANT marks are not required when the bulk packaging displays a placard.

- (2) When **picking up** a hazardous material shipment at the customer's facility or siding or at an interchange point, and a required MARINE POLLUTANT mark is not legible or is missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the hazardous material shipment until corrections have been made.
- (3) When a required MARINE POLLUTANT mark is not legible or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

6207 Elevated Temperature Material Mark

- (1) For a material described on the shipping papers with the words "HOT," "ELEVATED TEMPERATURE," or "MOLTEN" and transported in a bulk packaging, the elevated temperature

material mark must be displayed on two opposing sides of the bulk packaging, in one of the following valid formats:

- (a) The word HOT stenciled on the packaging itself,
- (b) The words MOLTEN SULFUR (or MOLTEN SULPHUR) or MOLTEN ALUMINUM (or MOLTEN ALUMINUM), as appropriate, stenciled on the packaging itself,
- (c) The international elevated temperature material symbol (see Figure 7),
- (d) The word HOT displayed on a plain white-square-on-point configuration having the same outside dimensions as a placard (see Figure 7).

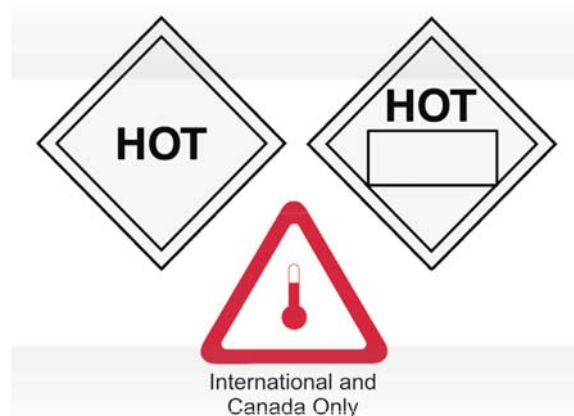


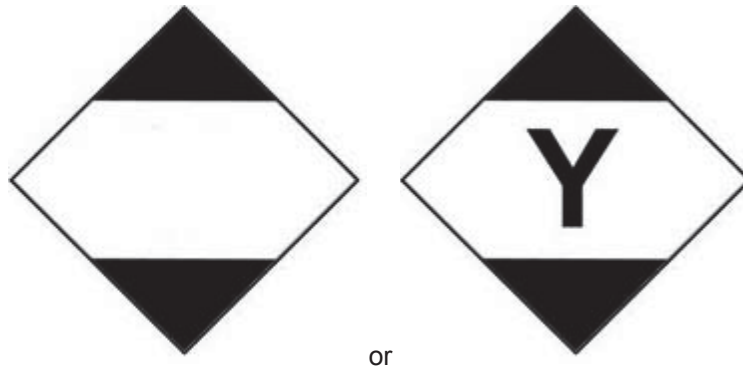
Figure 7. Elevated Temperature Material Mark

Note: Residue/empty shipments that last contained an elevated temperature material, such as asphalt, are not considered hazardous materials and do not require hazardous material shipping description entries on the shipping paper. When the shipping paper indicates empty, the shipment may be accepted and moved in rail transportation without the hazardous material shipping description entries, even though the elevated temperature material mark and identification number are displayed.

- (2) When **picking up** a hazardous material shipment at a customer's facility or siding or at an interchange point and an elevated temperature material mark is not legible or is missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate,
 - (b) Do **not** accept the hazardous material shipment until corrections have been made.
- (3) When an elevated temperature material mark is not legible or is missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

6208 LIMITED QUANTITIES Mark

- (1) For a material listed on the shipping papers as "LIMITED QUANTITY" or "LTD QTY", the LIMITED QUANTITIES mark (see Figure 8) must be displayed on at least one side or end of trailers/containers as explained below.



or

Figure 8. LIMITED QUANTITIES Mark

- (a) The LIMITED QUANTITIES mark is required:
 - (i) When the entire load of hazardous materials is limited quantities.
 - (ii) For a mix of non-hazardous materials and hazardous materials in limited quantity.
- (b) The LIMITED QUANTITIES mark is not required when there are limited quantities and other hazardous materials NOT in limited quantities, but you would placard for the regular hazardous materials.

A package displaying the LIMITED QUANTITIES mark is not subject to additional marking requirements for non-bulk packages (e.g. proper shipping name or identification number marking) unless it contains a hazardous substance or a hazardous waste

6209 INHALATION HAZARD Mark

- (1) For a material described on the shipping papers as "POISON (TOXIC) – INHALATION HAZARD" or "INHALATION HAZARD," the words INHALATION HAZARD must appear (at least 3.9 inches in height for tank cars and at least 2 inches in height for intermodal tank containers) on both sides of the rail car, trailer, or container, near the placards.

Note: When the words INHALATION HAZARD appear on the placards, the INHALATION HAZARD mark is not required on the bulk packaging.

- (2) When **picking up** a hazardous material shipment at the customer's facility or siding or at an interchange point and the words INHALATION HAZARD are illegible or missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the shipment until corrections have been made.
- (3) When the INHALATION HAZARD marking is illegible or missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

6210 Commodity Name

- (1) For intermodal tank containers transporting any hazardous materials and for tank cars transporting certain hazardous materials, the commodity name must appear on two opposing sides of the intermodal tank container or tank car. The commodity name (at least 3.9 inches in height for tank cars and at least 2 inches in height for intermodal tank containers) must match the proper shipping name on the shipping papers and may include the technical name, although it is not specifically required.

- (2) When **accepting** an intermodal tank container or tank car of hazardous materials from the shipper or in interchange and the commodity name is illegible or missing:
 - (a) Notify the customer, train dispatcher, yardmaster, or your supervisor, as appropriate.
 - (b) Do **not** accept the shipment until corrections have been made.
- (3) When the commodity name on a tank car is discovered illegible or missing **en route**, notify the train dispatcher, yardmaster, or your supervisor, as appropriate. They will arrange to correct the problem at the next inspection point.

Note: See Appendix A for list of materials that require the commodity name on tank cars

6211 Tank Car Specification and Qualification Dates Stencils

- (1) Make sure the stencils describing the tank car specification (e.g. DOT 111A100W1) and qualification dates are legible (see Figure 9). These stencils will appear on both sides of the tank car toward the end on the right as you face the car.
- (2) Make sure the tank car qualification dates for pressure relief devices (PRD), tank, and interior heater coils are current (a car is currently within the qualification date until the last day of the year shown) (see Figure 9).

Note 1: When the car is loaded before the end of the year, it may be transported for unloading purposes but must be requalified before reloading.

Note 2: A tank car containing the residue of a hazardous material that is overdue its periodic qualification date may move and not be in violation of DOT regulations. The regulations only address loading a tank car overdue for its periodic qualification.

		STATION STENCIL	QUALIFIED	DUE
TANK QUALIFICATION		ABC-1	2014	2024
THICKNESS TEST		ABC-1	2014	2024
SERVICE EQUIPMENT		ABC-1	2014	2024
PRD:	75 PSI	DEF-1	2014	2024
INT HTR		FGL-1	2014	2024
LINING		ABC-1	2014	2019
88.B.2 INSPECTION		ABC-1	2014	2024
STUB SILL		ABC-1	2014	2024

Figure 9. Tank Car Specification and Qualification Dates Stencils

- (3) When the qualification date is overdue, do not accept loaded tank cars from the shipper.
- (4) When found en route, car may proceed to destination after contacting your supervisor.

6212 FUMIGANT Mark

- (1) As information, the purpose of the FUMIGANT mark (see Figure 10) is to warn persons unloading the rail car, trailer, or container that it has been fumigated and that they must take appropriate precautions before unloading the car. The (*) on the mark will be replaced by the name of the fumigant.
- (2) The FUMIGANT mark must be in English. However, EPA regulations allow another language in addition to the English version on the same FUMIGANT mark or an additional one.

Note: The FUMIGANT mark is required on each point of entry to a trailer/or container.

- (3) Shipping Description Entries
 - (a) For U.S. shipments that are fumigated, information on the shipping papers is not required.
 - (b) For International (Canadian and IMDG) shipments verify that the information for the shipment on the shipping papers includes the following entries - UN3359, Fumigated Unit, class 9, name of the fumigant, amount of fumigant, date of fumigation, and any disposal information.

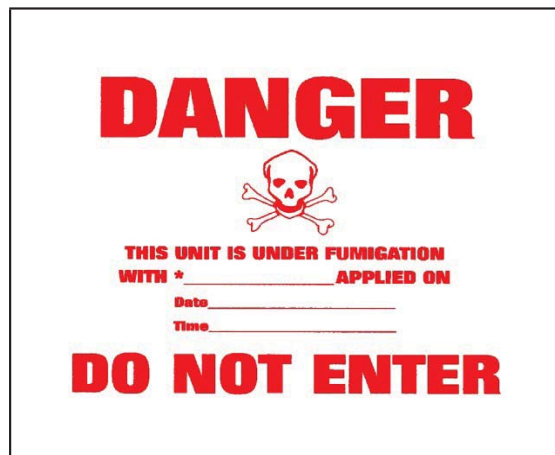


Figure 10. FUMIGANT Mark

6213 NON-ODORIZED Mark

A tank car or intermodal tank container transporting non-odorized liquefied petroleum gas (LPG) must be legibly marked NON-ODORIZED or NOT ODORIZED on two opposing sides, either near the commodity name or the placard(s).

The NON-ODORIZED or NOT ODORIZED marks may appear on a tank car used for both non-odorized and odorized LPG.

Shippers must include on shipping papers information that a shipment is not odorized (i.e. provide "NON-ODORIZED" or "NOT-ODORIZED" notation).

6214 SOUR CRUDE OIL Mark

A bulk packaging transporting petroleum crude oil containing hydrogen sulfide (i.e. sour crude oil) in sufficient concentration that its vapors may present an inhalation hazard must include a marking to warn of the toxic hazard (see Figure 11), which must be displayed at each location (e.g. manway) where exposure to hydrogen sulfide vapors may occur. The square-on-point must be black or red on a white or other contrasting background, and the skull and crossbones symbol must be black, located in the center of the square-on-point, and clearly visible.



Figure 11. SOUR CRUDE OIL Mark

6300 SWITCHING

6301 General Requirement

Switch placarded hazardous material shipments only in compliance with the restrictions on the Switching Chart (see Figure 12).

Switching is defined as "the operation of moving rail cars within a yard in order to place them in a train or on a classification, repair, or storage track." Switching also includes making pickups and setouts at a customer's facility or interchange points. Switching does **not** include moving rail cars to or from a shipper's facility or industry track into or out of the yard.

Reminder: When moving rail cars to or from a shipper's facility or on an industrial lead into or out of the yard, comply with both the train placement restrictions in Section VI and the required documentation requirements in Section II.

WHEN RAIL CARS ARE CUT OFF IN MOTION, THE COUPLING SPEED MUST NOT EXCEED 4 MILES PER HOUR.

6302 Safety

Before coupling, position yourself toward the end of a tank car, if possible, away from the manway and valves. Contents of tank cars may splash during or immediately following coupling, due to either improperly secured closures or the impact of coupling.

6303 When to Use the Switching Chart

Refer to the Switching Chart:

- a. When moving placarded hazardous material shipments in a yard to place them in a train or on a classification.
- b. When making pickups or setouts of placarded hazardous material shipments at a customer's facility, interchange point, or other setout point.

6304 How to Use the Switching Chart

- a. Select the applicable column and row of the Switching Chart. To do so:
 - (1) Identify the placards and/or markings applied to the car, either from information on the shipping papers or from observation.

Note: When placards are displayed but are not required by regulation permissive placarding), the rail car must be switched as required or the placard displayed.
 - (2) Determine whether the car is loaded or residue/empty.

Note: Residue/empty tank cars are identified on switch lists, track lists, and track inquiries with an "E" or "DE" in the appropriate field. The notation "RESIDUE: LAST CONTAINED..." on the shipping papers indicates a residue/empty shipment.
 - (3) Identify the car type involved by observation
- b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type.
- c. Follow the restrictions associated with the placard or marking as the "X"s in the columns indicate.

SWITCHING CHART					
Group A	Group B	Group C	Group D	Group E	Group F
<p>HOW TO USE THIS CHART</p> <p>To do so:</p> <p>(1) Identify the placards and/or markings applied to the car, either from information on the shipping papers or from observation.</p> <p>Note: when placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed.</p> <p>(2) Determine whether car is loaded or residue/empty.</p> <p>Note: Residue/empty tank cars are identified on switch lists, track lists, and track inquiries with an "E" or "DE" in the appropriate field. The notation "RESIDUE: LAST CONTAINED" on the shipping papers indicates a residue/empty shipment.</p> <p>(3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.)</p> <p>b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type.</p> <p>c. Follow the instructions associated with the placard or marking as the "X's" in the columns indicate.</p> <p>EQUIVALENT PLACARDS</p> <p>Cars with placards displaying 4-digit identification numbers will be handled the same as cars with word description placards.</p>					
INSTRUCTIONS					
X					
				X**	
X	X**	X	X		
				X	
					X
<p>1) Separate these cars from an engine by at least one non-placarded car or by one Group F placarded or marked car.</p> <p>Do not place where there is any probable danger of fire (ex. switch heaters).</p> <p>Do not place under bridges, under overpasses or along passenger stations.</p>					
<p>2) These cars must not be:</p> <ul style="list-style-type: none"> - Cut off in motion, - Struck by any free rolling car; or - Coupled into with more force than needed to make the coupling. 					
<p>3) These cars must not be cut off in more than two car cuts.</p> <p>No more than two car cuts can couple into these cars.</p>					
<p>4) When a person must ride a rail car to operate the hand brake:</p> <ul style="list-style-type: none"> - Verify the hand brake is working properly. - Do not cut cars off until all preceding cars are clear of the lead. - Do not cut off any cars to follow until the lead is clear. 					
<p>* Authorized only for U.S. to Canada or Canada to U.S. shipments.</p> <p>** Applies only to placarded flatcars, freight containers, trailers, portable tanks, tote bins, intermodal portable tanks, or U.M. portable tanks.</p> <p>NOTE: The word "toxic" can be used in place of the word "poison" on placards displayed in Group B and Group F.</p> <p>NOTE: When humping in "Manual" Mode, loaded tank cars placarded as inhalation hazards in Groups B and E, including anhydrous ammonia UN 1005, must not be humped into open tracks. Before cutting off in motion, allow for preceding cars to clear the lead. Trailing cars must not be humped until such loaded tank cars have cleared the lead.</p>					

Figure 12. Switching Chart

6350 TRAIN PLACEMENT

6351 General Requirement

Place placarded hazardous material shipments in a train so as to comply with the instructions on the Position-in-Train Chart (Figure 13). **Note:** Correct hazardous materials train placement errors at the first location that allows switching, once the error is identified.

A **Train** is one or more engines coupled, with or without rail cars, displaying a marker, and requiring an appropriate air brake test.

6352 When to Use the Position-in-Train Chart

Use the chart to make sure placement position in train is correct:

- a. before a train departs the initial terminal
- b. before a train departs an intermediate station where pickups and setouts were made en route
- c. when delivering cars to or picking cars up at interchange tracks that are owned and operated by another railroad.

6353 How to Use the Position-in-Train Chart

- a. Select the applicable column of the Position-in-Train Chart. To do so:
 - (1) Identify the placards and/or markings applied to the car, either from the shipping papers or from observation.

Note 1: When placards are displayed but are not required by regulation (permissive placarding), the rail car must be switched as required for the placard displayed.

Note 2: Molten sulfur identified on the shipping paper as a 4.1, moving to or from Canada and displaying the letters and numerals "UN2448" or the numerals "2448" and the words "MOLTEN SULFUR" (or "MOLTEN SULPHUR") is exempt from placarding and will be treated the same as Group E on the Position- in-Train Chart.
 - (2) Determine whether the car is loaded or residue/empty.

Note: The notation "RESIDUE: LAST CONTAINED ..." on the shipping papers indicates a residue/empty shipment.
 - (3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc.).
- b. Find the applicable section on the chart, based on the placard or marking applied, the load or residue/empty status, and the car type.
- c. Follow the instructions associated with the placard or marking, as the "X"'s in the columns indicate.

6354 General Information

- a. For train placement purposes, each platform or well of an intermodal rail car counts as one car.
- b. A buffer car is a:
 - (1) Non-placarded rail car
 - (2) Rail car with a placard or marking shown in Group E
 - (3) Residue/empty tank car, as long as it complies with Instruction # 2 on the Position-in-Train Chart
 - (4) Placarded rail car, other than a tank car, as long as it complies with Instruction # 6 on the Position-in-Train Chart
- c. The word TOXIC can appear in place of the word POISON on placards.

- d. A business car train is not a passenger train.
- e. An engine, working or not working and regardless of placement in train, is always considered as an engine for train placement of hazardous materials.

POSITION-IN-TRAIN CHART																																																																																																																																															
GROUP A	GROUP B	GROUP C	GROUP D	GROUP E	HOW TO USE THIS CHART																																																																																																																																										
 EXPLOSIVES 1.1 EXPLOSIVES 1.2	May be placed next to Explosives 1.1 or 1.2 (Special Permit DOT SP-8271) EXPLOSIVES 1.3 EXPLOSIVES 1.4 BLASTING AGENTS OXYGEN FLAMMABLE GAS INFLAMMABLE SOLID POISON GAS 1005 OXIDIZER PEROXIDE INHALATION HAZARD DANGER Canada U.S.	 INHALATION HAZARD 2 INHALATION HAZARD 6	 RADIOACTIVE 7	 EXPLOSIVES 1.6 CORROSIVE 3 POISON 6 PG III Only HOT	<p>HOW TO USE THIS CHART</p> <p>To do so:</p> <ol style="list-style-type: none"> (1) Identify the placards and/or markings applied to the car, either from the shipping papers or from observation. Note: When placards are displayed but are not required by regulation (permissive placarding), the car must be switched as required for the placard displayed. (2) Determine whether car is loaded or residue/empty. Note: The notation: "RESIDUE: LAST CONTAINED" on the shipping papers indicates a residue/empty shipment. (3) Identify the car type involved by observation (e.g. tank car, hopper car, gondola, etc). b. Find the applicable section on the chart, based on the placard or marking applied, the load/empty status, and the car type. c. Follow the instructions associated with the placard or marking as the "X"s in the columns indicate. 																																																																																																																																										
<p>EQUIVALENT PLACARDS</p> = FLAMMABLE 3					<p>INSTRUCTIONS</p> <p>Cars with placards displaying 4-digit identification numbers will be handled the same as cars with word description placards.</p>																																																																																																																																										
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If the train does not have at least five buffer cars, then all available buffer cars must be placed between the placarded car and the engine (working or not working and regardless of placement in train). When an occupied caboose/business car is in the train, the available buffer cars must be equally divided to protect both the engine (working or not working and regardless of placement in train) and occupied caboose/business car from the hazardous material shipment.</p> <p>2) Engine (working or not working and regardless of placement in train), occupied caboose, or business car.</p> <p>3) Open top cars (including bulkhead flats), when any of the contents protrude beyond the car ends or, if shifted, would protrude beyond the car ends.</p> <p>4) Loaded flat cars, except closed TOFC/COFC equipment, multi-levels, and other specially-equipped cars with tie-down devices for handling vehicles. Railroad wheels loaded on wheel car flats, in gondolas with no ends, or loaded with the axes above the top of the car.</p> <p>5) Any rail cars, transport vehicles, or freight containers with temperature control equipment or internal combustion engine whether running or not. Note: Does not apply to cryogenic refrigerated equipment.</p> <p>6) Any placarded car in another placarding Group, except it may be next to any residue placarded car or any car placarded or marked as in Group E.</p>
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* Authorized only for U.S. to Canada or Canada to U.S. shipments.
 NOTE: The word "toxic" can be used in place of the word "poison" on placards displayed in GROUP B and GROUP E.

Figure 13. Position-in-Train Chart

6400 KEY TRAINS

6401 General Requirement

Trains carrying specified numbers of loaded rail cars, trailers, or containers of hazardous materials must be operated as "Key Trains.

6402 Key Train Definition

A "Key Train" is any train as described in either a, b, or c below:

- a. one (1) or more loads of spent nuclear fuel (SNF) or high level radioactive waste (HLRW) moving under the following Hazardous Materials Response Codes - 4929142, 4929143, 4929144, or 4929147

or

- b. one (1) or more loaded tank cars containing materials that require the phrase "POISON/TOXIC- INHALATION HAZARD" on the shipping papers (Hazard Zone A, B, C, or D), anhydrous ammonia (UN1005), or ammonia solutions (UN3318)

or

- c. twenty (20) or more loaded hazardous materials shipments or intermodal portable tank loads having any combination of hazardous materials.

Exception: Do not count box cars, trailers, or containers carrying mixed loads of hazardous materials when determining key train status.

6403 Identifying Key Trains

- a. A computer-generated train consist/train list will identify Key Train status in the header block on the first page.
- b. When a computer-generated train consist/train list is not available or hazardous material cars are added to a train, the conductor must review the shipping papers for all hazardous material cars and determine Key Train status.
- c. After picking up or setting out hazardous material shipments **en route**, the Key Train status may change. The conductor must determine whether or not Key Train status has changed and, if so, promptly notify the train dispatcher.

6404 Instructions for Operating Key Trains

- a. The maximum authorized speed for Key Trains is 50 MPH, unless further restricted.

Note: Where lower speed restrictions are in effect, or when the train is restricted to a lower speed for other reasons, the lower speed governs.

- b. A key train will hold the main track, when practicable, unless a speed of greater than 10 MPH is authorized for the siding or auxiliary track.
- c. Only cars equipped with roller bearings will be allowed in a Key Train.
- d. When a defect in a Key Train is reported by a wayside/trackside warning detector but a visual inspection fails to confirm evidence of a defect, the train must not exceed 30 MPH until it has passed over the next wayside detector or is delivered to a terminal for a mechanical inspection. If the same car sets off the next detector or is found to be defective, it must be set out from the train.
- e. Unless relieved of the requirement to do so by the operating railroad's train dispatcher, the crew operating a Key Train on a foreign railroad must, at the earliest opportunity, notify the foreign railroad's train dispatcher that the train is a Key Train as defined by the operating railroad.

6405 When operating on a controlled track, all key trains must be equipped with armed and working two-way telemetry or distributive power coupled to the rear of the train. If two-way telemetry or distributive power fails en route, key trains:

1. **Must not exceed 30 MPH, and**
2. **Must not operate over a section of track with a grade of 1% or more for two continuous miles. These areas are identified in special instructions as steep grade.**

6406 CSX train documents will identify specific key trains that must not exceed 40 MPH within the limits of a high threat urban area (HTUA). Special instructions identify the location and limits of HTUAs. The restriction applies to trains carrying at least 20 tank car loads of Class 3 Flammable Liquids identified on the tonnage graph with restriction code 6008.

6407 A train that picks up loaded Class 3 Flammable Liquid cars en route must not exceed 40 MPH within the limits of an HTUA when the train has 20 or more total loads of Class 3 Flammable Liquids following the pickup.

6500 EMERGENCY RESPONSE

6501 General Requirement

When an emergency occurs, SAFETY IS OF FIRST IMPORTANCE.

- a. Make an emergency call as radio rules require.
- b. Look for a fire or vapor cloud.
- c. Determine the status of crewmembers in the area.
- d. Warn and keep everyone at a safe distance.

6502 When a Fire or Vapor Cloud is Visible

- a. Take the shipping papers (including the emergency response information) and the Emergency Response Guidebook and move yourself and other crewmembers uphill and upwind the evacuation distance recommended in the Emergency Response Guidebook. Stay out of ditches and low areas.
- b. Do not smoke or use fuses.
- c. Provide the train dispatcher or yardmaster with as much of the following information as is available:
 - (1) Specific location of the emergency (station, mile post location, nearest street or crossing),
 - (2) Type of emergency,
 - (3) Status of crewmembers,
 - (4) Cars involved, including each car's initials and numbers and their extent of involvement (for example, leaking, derailed, or on fire),
 - (5) Surroundings (e.g., proximity to populated areas, local bodies of water, or nearby drainage ditches or storm sewers; description of terrain; location of access roads; weather conditions),
 - (6) Resources necessary to handle the situation (for example, fire, ambulance, and law enforcement agencies),
 - (7) Location where a crewmember with shipping papers will meet arriving emergency response personnel.
- d. Once you are in a safe location:
 - (1) Identify yourself and cooperate with the local emergency response personnel as described in Rule 6504,
 - (2) Review your shipping papers and emergency response information,
 - (3) If necessary, move to the farthest distance recommended in:
 - (a) Information from the ***Emergency Response Guidebook***,

or

 - (b) Other supplementary emergency response information printed as part of the train list/consist or provided by the customer – for example, a Safety Data Sheet (SDS).

6503 When No Fire or Vapor Cloud is Visible

- a. Review the shipping papers for hazardous material shipments.
- b. Take the shipping papers (including the emergency response information) and the Emergency Response Guidebook and inspect the train to identify the rail cars, trailers, or containers involved, and look for indications of the release of hazardous materials.
- c. When you encounter a hazardous material release, unusual smells, or noises during this inspection:
 - (1) Avoid contact with the material and its vapors.
 - (2) Move yourself and other crewmembers uphill and upwind the evacuation distance recommended in the Emergency Response Guidebook. Stay out of ditches and low areas.
 - (3) Eliminate any ignition sources (no smoking, no fusees).
 - (4) Warn all bystanders to stay away.
- d. After completing the inspection, notify the train dispatcher or yardmaster with as much of this

information as is available:

- (1) Status of crewmembers
 - (2) Cars involved, including each car's initials and numbers and their extent of involvement (for example, leaking, derailed, or on fire)
 - (3) Surroundings (e.g., proximity to populated areas, local bodies of water, or nearby drainage ditches or storm sewers; description of terrain; location of access roads; weather conditions)
 - (4) Resources necessary to handle the situation (for example, fire, ambulance, and law enforcement agencies)
 - (5) Location where a crewmember with shipping papers will meet arriving emergency response personnel.
- e. Once you are in a safe location:
- (1) Identify yourself and cooperate with the local emergency response personnel as described in Rule 6504.
 - (2) Review your shipping papers and emergency response information.
 - (3) If necessary, move to the farthest distance recommended in:
 - (a) information from the ***Emergency Response Guidebook***

or

 - (b) other supplementary emergency response information printed as part of the train list/consist.

6504 Cooperating with Local Emergency Responders

- a. Immediately share any requested information from the shipping papers with emergency response personnel.
 - (1) Provide an extra copy of the train list/consist, when available.

Note: Retain any waybills and a copy of the train list/consist, until you can deliver them to the first railroad manager on the scene.
 - (2) Immediately provide a copy of the emergency response information provided with the shipment.
- b. Help emergency response personnel identify cars and the commodities involved. Use shipping papers or observations from a safe location to accomplish this task.
- c. Give the first railroad manager on the scene an oral description of the incident and indicate any assistance you provided emergency responders.
- d. Remain at the scene, at a safe distance, until a railroad manager relieves you.
- e. A railroad spokesperson will handle discussing the incident with the media or other non-emergency response personnel.

6505 Handling Leaking Hazardous Material Shipments

Take these actions when there is any sign of leakage:

- a. Do **not** allow the hazardous material shipment to continue in transportation until the leak is controlled.

Note: Leaking hazardous material shipments may be moved, with proper railroad authority, only as far as necessary to reduce or eliminate the immediate threat of harm to human health, the environment, or railroad operations. Movement of leaking hazardous material shipments may require government approval.
- b. When it is necessary to move a leaking hazardous material shipment, use an adequate number

of buffer cars between the locomotive and the leaking car, to prevent chemical exposure.

6600 RAIL SECURITY AWARENESS

This section applies to United States hazardous materials instructions for rail (CSX HM-1) rules.

Definitions

A. Alert Car

- A rail car containing more than 5,000 lbs. of a division 1.1, 1.2, or 1.3 (explosive) material.
- A tank car containing a poison inhalation hazard (PIH) including
 - * A tank car containing a poison inhalation hazard (PiH), including Division 2.2 anhydrous ammonia, division 2.3 gases, and division 6.1 liquids.
- A rail car containing a highway-route controlled quantity of class 7 (radioactive) material.

- #### **B. Improvised explosive device (IED) –** An improvised explosive device is a device fabricated in an improvised manner incorporating explosives or destructive, lethal, noxious, pyrotechnic, or incendiary chemicals in its design and generally includes a power supply, switch or timer, and a detonator or initiator.

- C. Positive Control – an employee or authorized representative attending the rail car by:
- Being physically located on site.
 - Being capable of promptly responding to unauthorized access or activity at a near the rail car.
- D. Rail Secure Area – A secure location's) identified by a railroad or industry of the purpose of handling an alert car.
- E. Security Inspection – Inspect placarded cars for the following.
- Signs of tampering
 - Suspicious items or items that do not belong
 - Other signs for the security of the car may have been compromised, including the presence of an improvised explosive device (IED).

6601 Reporting Requirements

The security of hazardous materials in transportation is the responsibility of all railroad employees. All employees are required to be aware of their surroundings and work environment. Particular attention should be paid to sensitive areas such as tunnels, bridges, passenger stations and platforms and yards with hazardous materials cars.

- Immediately report, to the proper authorities, any information regarding incidents, suspicious activities, threats, suspicious persons (including trespassers), activities or object encountered.
- Immediately report the theft or loss of company issued or owned equipment. When reporting, provide as much detail as possible about where the incident occurred, when incident occurred, who was involved, other witness, and descriptions of the suspicious individuals.

6602 Securement of Company Property and Equipment

Company property and equipment, including but not limited to, vehicles, tools, computers, documents, and protective equipment must be secured so as to prevent loss or theft.

Employees shall employ the following measures to enhance physical security as appropriate:

- a. Ensure that doors and gates to restricted areas are properly locked and secured.
- b. Verify that all vehicles and moveable equipment is secured against movement and locked down.
- c. Secure computer equipment when not in use, including using proper log-off procedures.
- d. Protect company documents, including train documents and other shipping papers.
- e. Protect all company issued equipment from loss or theft. This includes, but is not limited to, company issued identification, portable radios, keys and company issued clothing.
- f. Ensure that all signal housings, bungalows, cases and containers are locked and properly secured.
- g. Ensure that derails are in place and main track switches are locked when work is completed.
- h. Secure and limit access to company owned hazardous materials. In vehicles, ensure this material is in a locked container or chained and locked to the vehicle.
- i. Fully comply with additional security directives or instruction issued by the company.

6603 Transfer of custody form and positive requirements for loaded alert cars.

The proper transfer of custody form must be completed when:

- Receiving or delivering alert car(s) at interchange
- Picking up alert car(s) at industry, both inside and outside a high threat urban area.
- Setting off alert car(s) at industry inside a high threat urban area.

NOTE: High threat urban areas are listed in Timetable Special Instructions.

Any employee completing a transfer of custody form must transmit the completed transfer of custody form per instructions on the form. The employee responsible for completing an electronic transfer of custody form via the on board work order device must logon to the device using their own unique 6-digit CSX identification number.

6604 Protection of Company Information

All employees are required to protect company information, including but not limited to, computer access and procedures, information concerning the movement of trains, and company security measures.

- a. Do not share computer logon ID or passwords. Employees are responsible and will be held accountable for any activity that occurs under their computer ID.
- b. Do not discuss or share detailed information on trains, direction of movement, schedule, and consists, including hazardous materials, business car and military movements with unauthorized persons.
- c. Ensure sensitive documents are properly stored and secured. Destroy all sensitive documents no longer needed by shredding or other appropriate method.

When picking up cars at interchange locations:

- When picking up alert car(s) at interchange from a foreign carrier and no representative is present to document the transfer of custody, the CSXT crew may pick up the alert car provided:
 - The control station, yardmaster, or supervisor has authorized the pickup and is aware the car was left unattended.
 - A hazardous material and security inspection is performed.
 - The transfer of custody form is completed and the notation “unattended” is entered in the space provided for the foreign carrier.

When setting off at interchange locations:

- When delivering (setting off) alert cars at interchanges, if the foreign line does not have a representative present to document the transfer of custody, the car(s) must not be interchanged.

When picking up car(s) for industry location:

- A hazardous material and security inspection must be performed.
- A representative of the customer must be present.
- The transfer of custody form must be completed.
- If representative of customer is not present, the car(s) must not be picked up.

When delivering (setting off) to industry location:

- Determine if customer is in a high threat urban area (HTUA). (See timetable special instructions)
- If customer is located outside a HTUA;
 - The transfer of custody from and positive control over the alert car(s) is not required.
- If the customer is located in a HTUA:
 - The customer representative must be present at the car(s) when delivered.
 - The transfer of custody from must be completed.

If there is no customer representative present to accept the shipment and to complete the transfer of custody form, the car(s) must not be placed at the customer's facility.

APPENDICES

Appendix A – List of Materials that Require the Commodity Name on Tank Cars

Division 2.1 materials

Division 2.3 materials

Acrolein, stabilized

Ammonia, anhydrous, liquefied

Ammonia solutions (more than 50% ammonia)

Bromine or Bromine solutions

Bromine chloride

Chloroprene, stabilized

Dispersant gas or Refrigerant gas

Formic acid

Hydrocyanic acid, aqueous solutions

Hydrofluoric acid, solution

Hydrogen cyanide, stabilized (less than 3% water)

Hydrogen fluoride, anhydrous

Hydrogen peroxide, aqueous solutions (greater than 20% hydrogen peroxide)

Hydrogen peroxide, stabilized

Hydrogen peroxide and peroxyacetic acid mixtures

Nitric acid (other than red fuming)

Phosphorus, amorphous

Phosphorus, white dry or Phosphorus, white, under water or

Phosphorus White, in solution, or Phosphorus, yellow dry or

Phosphorus, yellow, Under water or Phosphorus, yellow, in solution

Phosphorus white, molten

Potassium nitrate and sodium nitrate mixtures

Potassium permanganate

Sulfur trioxide, stabilized

Sulfur trioxide, uninhibited

Appendix B – Glossary

Basic description – the identification number, proper shipping name, hazard class/ division number, and packing group (if assigned) prescribed for a hazardous material.

Buffer car – a non-placarded rail car, a rail car with a placard or marking shown in Group F on the Switching Chart or Group E on the Position-in-Train Chart, a residue/empty tank with no other restrictions, or a placarded rail car with no other restrictions.

Bulk packaging - packaging with capacity greater than (450 liters) for liquids, 882 pounds (400 kilograms) for solids, or a water capacity of greater than 1000 pounds (454 kilograms) for gases. For example, bulk bags, intermodal (IM) portable tanks, portable tanks, portable bins, gondola cars, hopper cars, or tank cars.

Carrier – a person (individual, corporation, company, etc.) who transports property in commerce by rail car, aircraft, motor vehicle, or vessel.

Commodity name – the proper shipping name or an authorized common name of a hazardous material.

Consumer commodity – a hazardous material that is packaged and distributed in a form intended or suitable for sale through retail sales agencies for consumption by individuals for personal care or household use. Consumer commodities are assigned to hazard class “ORM-D”, and are, typically, excepted from labeling, placarding and shipping paper requirements.

Container – any freight container (box) or intermodal tank container (intermodal (IM) portable tank, portable tank, UN portable tank, or portable bin).

Dangerous goods – term used for “hazardous materials” in countries other than the United States.

Division – a subdivision of a hazard class; typically two numerals separated by a decimal point (2.1, 2.2, 2.3, 5.1, 5.2, etc.). For Class 1 (explosive materials), a “compatibility group letter” will be shown after the second numeral (1.1A, 1.4G, etc.).

Documentation – includes complete shipping papers with the appropriate shipping description entries and acceptable emergency response information.

Elevated temperature material – a material which, when offered for transportation or when transported as a bulk package, is:

- a liquid at a temperature at or above 212°F (100°C);
- a liquid with a flash point at or above 100°F (38°C) that is intentionally heated and offered for transportation or transported at or above its flash point; or,
- a solid at a temperature at or above 464°F (240°C).

Contact with an elevated temperature material may result in thermal burns, in addition to other hazards associated with the material.

Emergency – an unforeseen combination of circumstances or the resulting state that calls for immediate action (for example, derailment and leaks).

Emergency response information - hazard and response information for each hazardous material, contained in the *Emergency Response Guidebook (ERG)* and other supplementary train documentation, to assist response personnel at hazardous material incidents.

Emergency response telephone number – the telephone number of an entity who is either knowledgeable of a hazardous material being shipped and has comprehensive emergency response and incident mitigation information for that material, or has immediate access to an entity who possesses such knowledge and information.

Engine - means a locomotive propelled by any form of energy and used by a railroad.

Freight container – a reusable container having a volume of 64 cubic feet or more, designed and constructed to permit being lifted with its contents intact and intended primarily for containment of packages (in unit form) during transportation.

Fumigant – a poisonous/toxic agent in vapor form intended to destroy insects and vermin.

Hazard class - the category of hazard assigned to a material. A hazard class may be subdivided into divisions. When talking about hazard classes/divisions, the hazard class/division can be expressed as a number or with words (for example: Class 3 (three) or Flammable Liquid; Division 2.1 (two-point-one) or Flammable Gas). A material will have a primary hazard class/division and may have one or more subsidiary hazard classes/divisions which represent additional hazards associated with the material.

Hazardous material - a substance or material which the Secretary of Transportation has determined to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce. The term “hazardous material” includes hazardous substances, hazardous wastes, elevated temperature materials and marine pollutants.

Hazardous material shipment - a hazardous material in rail cars, trailers, or containers in rail transportation. All hazardous material shipments require shipping papers. When moved in rail cars, trailers, or containers, hazardous material shipments may or may not be placarded or marked with an identification number.

Hazardous substance – a hazardous material that, as determined by the U.S. Environmental Protection Agency, has a detrimental effect on the environment. To be regulated in transportation, the quantity in one package must equal or exceed the material’s “Reportable Quantity” (“RQ”).

Hazardous waste – a material subject to the Hazardous Waste Manifest Requirements of the U.S. Environmental Protection Agency due to its potential threat to public health or the environment.

Hazardous waste manifest - a document specifically for tracking hazardous wastes in transportation. It contains the shipping description and identifies the waste generator, each transporter, and the designated (disposal) facility.

Hazard zone - one of four levels of inhalation hazard (Hazard Zones A through D) assigned to gases, and one of two levels of hazard (Hazard Zones A and B) assigned to liquids that are poisonous/toxic by inhalation. For example, when the hazard zone is "A," it is shown on the shipping paper as "Zone A." Zone A is the most hazardous, and Zone D is the least hazardous.

Identification number – a 4-digit number preceded by “UN”, “NA” or “ID” assigned to a hazardous material.

Improvised Explosive Device (IED) – is a device fabricated in an improvised manner incorporating explosives or destructive, lethal, noxious, pyrotechnic, or incendiary chemicals in its design. This device generally includes a power supply, a switch or timer, and a detonator or initiator.

Inhalation Hazard – term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Interchange - the process of transferring rail cars to or from another railroad.

Intermodal tank container – an intermodal (IM) portable tank, portable tank, UN portable tank, or portable bin

International shipment – a shipment being made between two or more countries or between places in one country through another country.

Limited quantity (LTD QTY) – a term used to indicate a hazardous material shipment which is allowed an exception to certain regulatory requirements because of the small amount of the material in a package.

Marine pollutant – a hazardous material that has a detrimental effect on marine/aquatic life.

Marking - – a descriptive commodity name, identification number, instructions, cautions (such as marine pollutant, inhalation hazard, elevated temperature material, limited quantities, fumigant, non-odorized, sour crude oil), weight, tank car specification and qualification dates stencils, or UN marks, or combinations thereof, required for display on hazardous material shipments.

Movement Approval – a onetime authorization to move a non-conforming package not meeting the applicable hazardous material regulations. This provides no relief of any regulations other than specifically stated in the approval.

N.O.S. - initials, found on shipping papers, which mean "Not Otherwise Specified."

Non-bulk packaging - packaging with a capacity equal to or less than 119 gallons (450 liters) for liquids, 882 pounds (400 kilograms) for solids, or a water capacity of equal to or less than 1000 pounds (454 kilograms) for gases.. For example, bags, bottles, boxes, cylinders, or drums.

ORM-D (Other Regulated Material - D) - a material such as a consumer commodity that, due to its form, quantity, and packaging, presents such a limited hazard that it may not be subject to the hazardous material regulations when transported by rail.

Package – the packaging plus its contents. Packaging is the receptacle and any other components or materials necessary for the receptacle to perform its containment function.

Packing group - a grouping of hazardous materials according to the degree of danger: Packing Group I (shown as "PG I" or "I" on the shipping papers) indicates great danger. Packing Group II (shown as "PG II" or "II" on the shipping papers) indicates medium danger. Packing Group III (shown as "PG III" or "III" on the shipping papers) indicates minor danger.

Placard – a sign measuring at least 250 mm (9.8 in) by 250 mm (9.8 in) square-on-point, communicating a hazard by symbol, color, hazard class/division number and possibly text. Some placards must be displayed on a square background which is white with a black border

Placarded car - a rail car displaying placards in accordance with DOT regulations.

Poison Inhalation Hazard (PIH) - term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Position-in-Train document – a paper document showing the current position of all hazardous material shipments within the train. This document could be the train list/consist or a separate document specifically for this purpose.

Primary hazard – see definition of "hazard class".

Proper shipping name – the name of a hazardous material as specified by the regulations.

Radio waybill – a form used to record shipping description entries provided orally.

Rail car – equipment used in rail transportation. For example, box car, flat car, gondola car, hopper car, tank car, or caboose, but not an engine.

Reportable quantity (RQ) – the minimum quantity (in pounds or kilograms) in one package, required for a hazardous material to meet the definition of a “hazardous substance”.

Residue – the hazardous material remaining in a packaging, including a tank car, after its contents have been unloaded to the maximum extent possible. It may be indicated on the shipping papers by the phrases "RESIDUE: LAST CONTAINED . . .". "EMPTY . . .", or "MTY . . ." in association with the basic description.

Special Car Handling Instructions (SCHI) Code (*specific to BNSF operations*) – Two-letter code used to identify the primary placard required for a hazardous material shipment.

Special Permit – Special permit means a document issued by the Associate Administrator under the authority of 49 U.S.C. 5117 permitting a person to perform a function that is not otherwise permitted under Subchapter A or C of Title 49 Subtitle B Chapter I of the U.S. Code of Federal Regulations, or other regulations issued under 49 U.S.C. 5101 et seq. (e.g., Federal Motor Carrier Safety routing requirements). The terms “special permit” and “exemption” have the same meaning for purposes of Subchapter A or C of Title 49 Subtitle B Chapter I of the U.S. Code of Federal Regulations or other regulations issued under 49

U.S.C. 5101 through 5127. An exemption issued prior to October 1, 2005 remains valid until it is past its expiration date, terminated by the Associate Administrator, or issued as a special permit, whichever occurs first.

Shipper's Certification - a signed (or electronically printed) declaration on the shipping paper provided by the shipper to the first transporter for a loaded hazardous material shipment. It indicates compliance with the DOT regulations. The certification must be signed by hand or mechanically. It may read either:

"This is to certify that the above-named materials are properly classified, described, packaged, marked, and labeled and are in proper condition for transportation according to the applicable regulations of the Department of Transportation."

or

"I hereby declare that the contents of this consignment are fully and accurately described above by proper shipping name, and are classified, packaged, marked, and labeled/placarded, and are in all respects in proper condition for transport according to applicable international and national governmental regulations."

Note: A shipper's certification is required on any shipping paper that the customer provides to the crew for loaded hazardous material cars.

Shipping description entries – the specific information required on a shipping paper, including the “basic description”, number and type of packages, total quantity; and additional entries that may be applicable to the shipment (such as “RQ”, “Limited Quantity”/“LTD QTY”, “Marine Pollutant”, “Poison/Toxic Inhalation Hazard Zone A (or B, C or D)”, etc.). **Shipping paper** - any document providing the required entries for a hazardous material shipment

Subsidiary hazard – see definition of “hazard class”.

Subsidiary placard – a placard that identifies a specific material's subsidiary hazard(s).

Switching - the operation of moving rail cars within a yard, at a customer's facility, or at an interchange point, in order to place them in a train or on a classification, repair, or storage track. It does **not** include moving rail cars to or from a shipper's facility or industry track into or out of the yard.

Technical name - a recognized chemical name or microbiological name used in scientific and technical handbooks, journals, and texts to further identify a hazardous material.

Total quantity notation – the total weight or volume, including the unit of measurement, of the hazardous material contained in a package, such as “100 LBS”, “55 GAL”, “5 KG”, or “208 L”. For bulk packages and cylinders, merely an indication of the total quantity is required, such as “1 IM Tank” or “2 IBCs”; or, “10 cylinders” or “10 cyl.” For non-bulk packages, number and type of packages are also required, such as “12 DRUMS (UN 1A1)” or “15 BOXES”. An indication of total quantity is not required for packages containing only residue.

Toxic Inhalation Hazard (TIH) - term used to identify certain gases and liquids that may cause health problems if breathed in very low concentrations for short periods of time.

Trailer – a cargo carrying body with permanent wheels on the rear end (also called a van or semitrailer).

Train - one or more engines coupled, with or without rail cars, displaying a marker, and requiring an appropriate air brake test.

Yard - a system of tracks, other than main tracks and sidings, used for making and breaking up trains and for other purposes, such as repair or storage of cars.