## UNITED STATES OF AMERICA

## NATIONAL TRANSPORTATION SAFETY BOARD

Investigation of:

\*

BAYVIEW YARD CONDUCTOR FATALITY

BALTIMORE, MARYLAND FEBRUARY 7, 2019

\* Accident No.: RRD19FR004

\*

Interview of: MICHAEL SMITH

Engineer

Bayview Yard Norfolk Southern Facility Baltimore, Maryland

Friday, February 8, 2019

## APPEARANCES:

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ANNE GARCIA, Systems Safety Group Chair National Transportation Safety Board

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## INTERVIEW

MR. LLOYD: Okay. We're recording, and on record. Good morning. My name is Troy Lloyd. I am the NTSB accident investigator in charge of this accident. We are here today on February 8th, 2019 at the Norfolk Southern Bayview Yard facility, located in Baltimore, Maryland, to conduct an interview with Mr. Michael E. Smith --

MR. SMITH: Yes, sir.

2.0

MR. LLOYD: -- who works for the Norfolk Southern

Corporation. This interview is in conjunction with NTSB's

investigation of a Norfolk Southern Corporation's train conductor

fatality accident that occurred at Norfolk Southern Corporation's

Bayview Yard located in Baltimore, Maryland.

As a result of the accident, a Norfolk Southern Corporation train conductor was fatally injured. The NTSB accident reference number for this accident is RRD19FR004. The purpose of this investigation is to increase safety, not to assign fault, blame or liability.

Before we begin our investigation and questioning, let's go around the table and introduce ourselves. Please be mindful that we're recording this interview, so please speak clearly, so this will be transcribed at a later date. Spell your name, who you are representing, and your title. I would like to remind everybody to speak clearly so we can get an accurate recording. I'll start off with myself, then I'm going to pass everything. I'll go around to

1 the left here, and we'll go around the table. We'll end with 2 Mr. Smith. 3 Again, my name is Troy Lloyd. The spelling of my last name 4 is L-l-o-y-d. And I am the accident investigator in charge of 5 this accident. 6 MR. ERICKSON: I'm Kurt Erickson, K-u-r-t E-r-i-c-k-s-o-n, 7 with the Federal Railroad Administration, operating practice 8 inspector with Region 2, and I'm the FRA inspector in charge of 9 the investigation. MR. BULL: My name is Mike Bull, B-u-l-l. I'm with the FRA, 10 11 operating practices inspector assisting Kurt. 12 MR. CASSITY: Jared Cassidy, J-a-r-e-d C-a-s-s-i-t-y -- T as 13 I'm with SMART Transportation Division. 14 MR. FANNON: Randy Fannon, F-a-n-n-o-n, Brotherhood of 15 Locomotive Engineers and Trainmen Safety Task Force investigator. 16 MR. GOODEN: David Gooden, G-o-o-d-e-n. I'm with Norfolk 17 Southern Division Superintendent Transportation Department, based 18 out of Harrisburg, Pennsylvania. 19 MS. GARCIA: Anne Garcia, G-a-r-c-i-a. I'm the Systems Safety Group Chair for this investigation from NTSB. 2.0 21 Name is Michael E. Smith, S-m-i-t-h, engineer for 22 Norfolk Southern Railroad. 23 MR. LLOYD: All right, thank you. 24 INTERVIEW OF MICHAEL SMITH

25

BY MR. LLOYD:

- 1 Q. So Mr. Smith, as we told you --
- 2 A. Yes, sir.
- 3 Q. As we told you earlier this --
- 4 MS. GARCIA: Before we -- and we have Ruben in the room.
- 5 MR. LLOYD: Oh, I'm sorry, Ruben.
- 6 PAYAN: Ruben Payan, P-a-y-a-n, NTSB accident investigator.
- 7 MS. GARCIA: Posting.
- 8 MR. LLOYD: All right, thank you.
- 9 SMITH: Yes, sir.
- 10 BY MR. LLOYD:
- 11 Q. All right. Like we discussed earlier, Mr. Smith, this
- 12 interview will be part of the public document.
- 13 A. Yes, sir.
- 14 Q. As such, we cannot guarantee any confidentiality. Are you
- 15 okay with that?
- 16 A. Yes, sir.
- 17 Q. Okay. So this interview, we know is going to be recorded.
- 18 A. Right.
- 19 Q. Okay. So it can be come part of the document. And as we
- 20 discussed, you do not have any representation --
- 21 A. Yes, sir.
- 22 Q. -- with you here today.
- 23 A. Right.
- 24 Q. All right. All right, so let's go ahead and get started.
- 25 I'm going to lead off with the questions. So, Mr. Smith, if you

- can take us from yesterday, from the accident, from the day you
- 2 | woke up, you reported to duty. So tell us how you go about that
- 3 day. Any -- every little detail is important in these interviews.
- 4 If you can just talk and just, and all the way until leading us
- 5 up --
- 6 A. Okay, sir.
- 7 Q. -- to the time of the accident.
- 8 A. Yeah. I wake up at my home at 4 a.m. in the morning, prepare
- 9 myself to report to duty for Norfolk Southern Railroad at 6 a.m.
- 10 on February the 7th, 2019, at 6 a.m. as the engineer for H63H007
- 11 on that day.
- I was assigned on that job for the entire week. My extra
- 13 conductor name for February the 7th, 2019 was Keith Gilmore, Mr.
- 14 Keith Gilmore. He was my assigned conductor.
- I arrived at Norfolk Southern Railroad my normal time, 5:45
- 16 a.m., get myself together for the daily task that is in hand,
- 17 | until 6 o'clock, to where I have a job briefing with my conductor,
- 18 which was Keith Gilmore, and Chuck, Mr. Chuck Dugan, which is the
- 19 | yardmaster at Bayview Yard.
- 20 Mr. Gilmore and I had a job briefing, I'd say about 6:05. I
- 21 | went into the RIT box, R-I-T. That's where we get our actual work
- 22 order assignments for the day before we actually get anything from
- 23 the yardmaster. Mr. Gilmore said we have a pull at Grassman (ph.)
- 24 and a pull at Capitol (ph.). And I said to Mr. Gilmore, we did
- 25 that work yesterday, and the car that's at Grassman is a shop car.

We probably won't go to Baltimore Steel or Grassman today. I
said, check with Mr. Dugan before you do anything in the RIT box.

Do not come out the RIT box and do nothing till you see the yardmaster, Mr. Dugan.

2.0

He went in to see Chuck Dugan I guess about 6:15, give or take a few. Chuck said, Mr. Dugan said, give me a few minutes, I need to get something together for your train orders for the day. So we sat in the crew room, and then Mr. Dugan gave us the work assignment, I guess, about 6:20, 6:25. I'm not exact on the exact time, but I know it's before 6:30. I'd say 6:20 a.m.

And the assignment was, 38A train was coming down 30 track. They will bring it down to the south end of 30. Mr. Smith, you are to get onto 9207 engine, and relieve the 38A crew. Also, Mr. Gilmore is going to make a cut between cars 8 and 9. And once he makes the cut between 8 and 9, we will come off of 30 track and take them around to truck train 3, that's at the truck train terminal.

Mr. Gilmore and I had a job briefing after he spoke to Mr. Dugan. I said, will you line the railroad up for 30, coming off of 30. Get the van and go around truck train, check the D-rail for truck train 3, line it up for truck train 3, and line us up from 30 track all the way down to truck train 3.

He went on down and did that, and he was coming back. Mr. Dugan said, have your conductor go down and line the railroad. I said, H63 to south end, my conductor's on the way back now in the

van. He has just completed the assignment of lining up from track 30 to truck train 3, and we're going to double-check the D-rail, the switches when he get onboard.

2.0

So he came up onboard. We had another job briefing, Mr. Gilmore and I. And I said, wow man. I said, you really catching on pretty good right now. You lined the railroad up, you got the van and did everything we need to do to try to make this job efficient for the day. And I say wow, I say good, I say, you make sure you radio's on Channel 2 before you get off, so we can collect it. And when he got on the ground, I said, NS9207 to H63 conductor, radio check. He responded.

He went on the ground, and he started walking back. I said, walk back about three car lengths because I'm actually going to pull the train up to you to make the cut between cars 8 and 9. And you'll have to put a brake on 9 and 10. You'll have to walk to the north end of 30, put the brakes on cars 9 and 10. So I pulled it up, he gave me the car count. He stopped, gave me three-step attachment. I said 9207 to H63 conductor, you do have three-step applied? And he responded back, three-step applied.

He put the two brakes on. He released the three, and it made the cut, because you don't need to do a C102 in the class, right.

Made the cut, and went on down to the, pulled apart, pulled apart a truckler (ph.). I said, 9207 to H63 conductor, you feel comfortable riding those cars down? Also, I have to go by the car lift to get a switch, because you forgot the next to the cad the

backway bank. He said, I'm comfortable. I said, well if you want to walk up and get the switch or I can get it for you. He said, if you don't mind, you get it. So I said I got it.

2.0

So I tied the engine down and did my test. Got off and got the one switch. Lined it up for next to the backway bank, I'm sorry, which takes us into the truck train 3 area. And once I got back up, I took the brake off the engine. 9207, H63 conductor, are you onboard, you're ready and you're secure? Said he's on the rear, take it ahead.

So as I'm going through, I say yes, the D-rail is down, the switch is live for truck train 3. I said, stop me sir, before we get to the loader road at truck train 3, because you're not allowed to ride across the road.

So he stopped me at truck train 3, once we got there, and he got off the equipment. And once he got off, I pulled to the -- he had a car lift, or truck lift. I got an -- might have been a car lift. I pulled it ahead. He stopped me in the area. He said, I need three-step protection. 9207, H63 conductor, I need three-step protection. And I gave him three-step protection to secure the train to truck-train. We don't do a C102 at truck train.

So once he -- still had his three-step. He walked up to car 4 and 5, because we had four truck-train cars and four miscellaneous cars. There was, two RR boxes was behind the engine, and two AGMX cars was behind the 3 and 4. So he made the cut. And I told him, truck-train 3 switch is good. And we made

the separation.

2.0

And then I called on the radio. I said, H63 to -- yeah, release this three-step attachment after we made the cut. Release the three-step. I pulled the train apart. He walked up, and I said, standby. I said, we need to check with south end to see if we can come back up Track 4. I said, H63, 9207 to south end, can we come back up truck train 4?

And Mr. Dugan, the yardmaster said that we can't ride cars on truck train pad, not at the truck train pads. And I said okay, right, roger. So he said, proceed through to the bank and reverse back up the bank switch. So he walked up to the bank switch, and he lined me up off of truck train 3, and the bank switch, and I pulled by. And I pulled by, slowed up and stopped.

And I said, Mr. Gilmore, you -- H63, Mr. Gilmore, you know you can't ride the bank, the Perryville side, because you see those shop cars on the Perryville. You can't ride that. You got to ride on the opposite side where I can't see. He said okay. so I pulled ahead, four cars, five cars, because the engine made five. Pulled ahead five cars, stopped. He threw the switch. He said, aligned for the bank.

I said, you double-check the two switches? Said no, 9207, I'm double-checking three switches. He got to do the bank to truck train 3 switch is one, the incline to the bank is two, the Perryville switch is three. I said roger, double-check on three switches. He said, roger. He said, when you're ready, H63

1 conductor to 9207, I'm on the point. Start me back 12 cars. I 2 said roger, back 12.

2.0

I started back 12 cars, up the bank, 10 cars, 8, 6. And as I was seeing six, I see this glare of light, and it was a lantern.

And I said, 9207, H63 -- I was bringing it to a stop. Said 9207,

H63 conductor, you drop your lantern? No response. And as I was coming to a complete stop, that's when I seen body parts, and his body.

And I got on the radio said, H63, south end, emergency, man down, need help immediately. I need help. I need everybody. And that was at 7 a.m. when this incident happened. The emergency crews came at 7:11, and staff hauled me away from there about 10 minutes after emergency crews got there.

And Mr. Gilmore, that particular morning, he was talkative. He doesn't talk much. He was talkative. He didn't seem to be under no kind of problems that morning. He was even happy that he lined the railroad up completely, you all, that he had finally did a task and he got it done right. But he just missed that one switch, was at, next to the cab back. I said man, that's no problem. I'll get that.

But he -- it really was like he had got a grasp on the actual job of working for NS, and he was looking forward to being a conductor for the company. And then this happened. And how long he working for them, he's been here, I think he's been marked up three time -- I mean, I'm sorry, three months, I believe. And

- 1 I've worked with him, I want to say four, or maybe five times.
- 2 I'll have to look in my book. I don't have it with me today. And
- 3 maybe four or five times.
- 4 And each time I get a new conductor aboard, a fairly new
- 5 | conductor, or a seasoned conductor, we have a job briefing over
- 6 doing things. I personally try to go beyond the call of duty to
- 7 help and assist the conductors any which way that I can, to make
- 8 the job effective and efficient, to be safe, because we all need
- 9 to come back home to our families each and every day.
- 10 You know, we try to do -- I try to do the best I can when I'm
- 11 out here for the company. You know, and it's just one of them --
- 12 unfortunately -- and I'm sorry that it actually happened, but
- 13 that's basically what I can say, that I know of, to my knowledge.
- 14 Q. Okay.
- 15 A. I don't think I missed nothing, that I know of.
- 16 Q. So Mr. --
- 17 A. So, and not using my phone. (Indiscernible) had turned my
- 18 phone on during the time of the incident on the engine. Of
- 19 course, you got to get it booted up. The time it got booted up, I
- 20 was dialing 911, and they called me back, but I was on the ground.
- 21 | So you might see that on the video or whatever. But they had
- 22 already called 911 here.
- But I was trying to call them too, because, you know, the
- 24 | location where we was at, it's like Parker Street and Lombard
- 25 Street. I think it's Gate 4, Gate 2. But actually, when the 911

- 1 | called me back, they had to actually pin the location where I was
- 2 | located at. And I told, well the responders are coming now. They
- 3 are on scene now. So you might see that on video, whatever. But
- 4 | that's the only time my cell phone was on at that particular time,
- 5 to use it.
- 6 Q. So Mr. Smith, you mentioned having a -- you and Mr. Gilmore
- 7 | having a job safety briefing --
- 8 A. Yeah, we have --
- 9 Q. -- between you guys?
- 10 A. Yes, sir.
- 11 Q. In detail, what is discussed at a job safety briefing between
- 12 and engineer and a conductor?
- 13 A. You might have 10 or 12 job briefings during the whole course
- 14 of your duty, on duty. But this particular job briefing was the
- 15 | first job briefing, when he goes into the RIT box, which is
- 16 actually tell us where our work orders and assignments are, what
- 17 | we need to do for that day.
- And that was the first job briefing of that, and I said well
- 19 then going like, we're not going to go to Capitol, and we don't do
- 20 Grassmans because we did them on Wednesday, which was the 6th of
- 21 February. And that one shop car is a shop car, and we probably
- 22 | won't go there today. We normally do the locals on Tuesdays and
- 23 Thursdays, but we did it on Wednesday because there was some
- 24 problems with Amtrak over there on that -- the Tuesday, so we did
- 25 it on Wednesday.

So we did that. Said, well check with Mr. Dugan to see what other assignments we have. Plus, you know, you got to get your engine stuff, and then check with Mr. Dugan. Said well, HP38 is coming in 30 track, and 9207 with, said how many cars, I forgot how many cars there were, we'll be able to take the first eight cars off of that. And what was four miscellaneous cars, boxcars, and four truck train cars. Make a cut between cars 8 and 9. And you know how to tie down 9 and 10. Then, you know, we need to go around and line up off of 30 track, which is out front of the building. Go to line us around for truck train 3, and check the D rail.

2.0

And then he did all that exceptionally well. He was very impressed and happy that he'd done a task that he know he was -- you know, when conductors are new, they -- sometime they not really sure, sometime. They have to ask us, or want to double-check their self and looking at maps. But he was on point yesterday. He was on point except for that one switch, which was a facing point. You know, I can get that. You know, he could have got it, I could have got it, but I got it, you know.

But otherwise, he was on point. He was happy that he was a conductor with the railroad. He passed the probation period of being a conductor for Norfolk Southern, and he was looking forward to whatever would transpire of his duty of being an employee of NS.

And he -- most of the guys enjoy working with me and, you

- 1 know, because I try to help each and every male or female that
- 2 | work with me on the railroad. And we all work together around in
- 3 Baltimore. There's nothing, you know, that we won't help each out
- 4 about. You know, there's always a senior conductor or engineer.
- 5 We can talk to you if you got a problem, or a senior trainmaster
- 6 or somebody on the site that can help us through the tasks of that
- 7 day. And that's how we operate.
- 8 Q. So inside this RIT box -- what does RIT, what does the RIT
- 9 stand for?
- 10 MR. GOODEN: Remote Intelligence Technology.
- 11 MS. GARCIA: State your name.
- MR. GOODEN: Dave Gooden. Remote Intelligence Technology.
- 13 We use that to communicate customers' needs, the service needs
- 14 they have for a particular day. And that allows the crew to know
- 15 what they're going to do. And it's more or less, it's real time
- 16 information that's fed between the customers and our operational
- 17 | support group in Atlanta, Georgia.
- 18 MR. LLOYD: Thank you.
- 19 BY MR. LLOYD:
- 20 Q. So once the -- so once Mr. Gilmore would get the information
- 21 out of the RIT box --
- 22 A. Yes, sir.
- 23 Q. -- you guys would have a -- you would review it?
- 24 A. We review.
- 25 Q. You'd review it to see what's been completed --

- 1 A. Right.
- 2 Q. -- what needs to be completed?
- 3 A. Yes, sir.
- 4 Q. And just an understanding for myself, each moving or each
- 5 | switching order is -- there's a separate job safety briefing for
- 6 each move that --
- 7 A. Yeah.
- 8 Q. -- takes place?
- 9 A. Once he see the actual information in the RIT box, he can't
- 10 print it, he can't be with the train till he talks to, well the --
- 11 Mr. Dugan was on duty. He gives us the assigned engines, the
- 12 assigned track where the classification cars are, we need to get,
- 13 and that's how he builds a trip.
- He needs to build the trip in the RIT box before we go out on
- 15 | the property. And he won't get the assigned engines till he get
- 16 them from the yardmaster. He get assigned classification chart of
- 17 where the tracks are, like the cars might have been at 40 track,
- 18 might have been 52 track or 60, might have went in three different
- 19 tracks to build the train. Might have been six or eight cars.
- 20 But we didn't have that assignment that day, because local
- 21 work was done on Wednesday. So the other assignment he gave us
- 22 was, the 38 crew was coming in 30 track. And the spot, I guess,
- 23 | we will sit it. I don't know if they was high cars. I didn't
- 24 even get a chance, after yesterday, I didn't even know if they was
- 25 UPS cars or regular truck train cars. We started on truck train 3

and held onto four cars.

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And that's how we get our assignments. We get maybe four or five pieces of paper and we go there, and once we finish that task, he give us something else, or we do our normal duties of which assignment do we have for that day. And only assignment we had for that day was to make the cut between cars 8 and 9 on the 38 track and the 30 track, and take those cars around to truck train 3.

We need the four truck train cars spotted on truck train 3, and cut away with the four head box -- two boxcars and two AMGX cars. I don't know their exact numbers, but it was two AMGX cars that was north out, and we made the cut, and then we push the shove back around.

- MR. LLOYD: That's all I have. And let's --
- MS. GARCIA: Let's go around.
- MR. LLOYD: -- are you going to the left? We go to my left,
- 17 but before we start, and please spell your name so we can --
- MR. BULL: Okay. Mike Bull, B-u-l-l, FRA.
- 19 MR. SMITH: Yes, sir.
- 20 BY MR. BULL:
- 21 Q. Good morning. How you holding up?
- 22 A. I'm maintaining, sir.
- 23 Q. Are you? Okay, good. Good. We'll make this as easy as we
- 24 can.
- 25 A. I'm fine, sir.

- 1 Q. Okay. So, you had a job briefing just prior to shoving in to
- 2 that particular track, tank track?
- 3 A. Yes.
- 4 Q. Okay. What was the last switch that Mr. Gilmore threw before
- 5 | that move?
- 6 A. The last switch would have been the bank, truck train 3 and 4
- 7 | lead, sir. That's located on the Lombard Street bridge.
- 8 Q. Bank?
- 9 A. The bank, b-a-n-k.
- 10 O. Yeah.
- 11 A. Truck train 3 and 4 lead switch.
- 12 Q. Okay.
- 13 A. Which is under the Lombard Street bridge.
- 14 Q. Right. Okay, so he double-checked those switches, and then
- 15 | he told you that he was going to ride the point into that track?
- 16 A. Okay. Once he -- once we -- once he threw the switch, I came
- 17 | to a mini pause, and told him he could not ride the bank and
- 18 Perryville side, sir, because there was shot cars on the north end
- 19 of the Perryville. He said okay, Mike. Thanks, appreciate that.
- 20 He said, I'll be on the opposite side.
- 21 O. He said that?
- 22 A. Yes. I'll be on the conductor's side. Because I couldn't
- 23 | see him on the engineer's side. Even if he was on that side, I
- 24 | couldn't see him because as you go around the bank, there's a
- 25 curve, and you couldn't see him. He said okay, well fine. Take

- 1 it ahead five car. This was verbal, out the window. It wasn't on
- 2 the radio.
- 3 Q. Okay.
- 4 A. And we took it ahead five cars, and he stopped me, as I said.
- 5 And he threw the switch. And then he said, I'm aligned for the
- 6 bank. I said, double-check two switches. Then he said no, three.
- 7 I said roger, correct, three. That's the bank, truck train 3 and
- 8 4 lead, bank incline switch, bank Perryville above switch. So
- 9 that make three switches.
- 10 So he said roger. He said -- correct -- he said three
- 11 switches. I said right, double-check three switches, because I
- 12 said two. He said three. And I said roger, three. And that was,
- 13 like I said, the bank, truck train 4, the bank incline, and the
- 14 bank Perryville and above switch.
- 15 Q. Okay. And the intent of the move was to go all the way
- 16 through that track out the other end?
- 17 A. Like I said, the intent was to go through that move, all the
- 18 | way out the other end, but we'd probably had to stop, if we'd have
- 19 | got that far. We'd had to stop and check the north end of the
- 20 bank for the incline, the north end of the -- let me see, north of
- 21 the -- let me see. Bank north end is incline. There's one
- 22 switch. There's a switch for the north in the bank. There's a
- 23 crossover switch that takes you out to Amtrak, so we'd have to
- 24 | check -- that was four switches.
- 25 And then of course, once you threw those four, if we'd have

- 1 got that far, once you threw those four, there was one switch that
- 2 | was against us, that was truck train lead, that takes us into
- 3 truck train, the north end of truck train, which is 1, 2 and 3 and
- 4 4. That would have been against us, because we threw that switch
- 5 to go into truck train.
- 6 So we'd have checked -- that'd have been, if I'm not
- 7 mistaken, that'd have been five switches we'd had to check before
- 8 | we -- when we got to the north end of the bank, we'd have to stop
- 9 and check those four switches. Then I probably would have shoved
- 10 them back when he did those four, to go to the fifth switch, to
- 11 | double-check that, which I would -- we -- which I would have known
- 12 that that switch was against us, because we went to a facing point
- 13 switch into truck train 3.
- And that main switch for truck train at north end was against
- 15 us. So we'd have to stop to restore that switch into the normal
- 16 move for us to go back up the ladder. But we never got that far.
- 17 Q. You didn't get that far?
- 18 A. Yes.
- 19 Q. All right. So when he, he began to move, you were at the
- 20 | south end. And he gave you how many car lengths?
- 21 A. He started out with 12 cars, sir.
- 22 Q. Started out 12. And that would have taken you all the way up
- 23 to the other end?
- 24 A. No, sir.
- 25 Q. No? You weren't that far up that track yet?

- 1 A. No. Twelve cars would have probably took us -- see, new
- 2 | conductors, their car counts are longer than a seasoned car count
- 3 guy. So his 12 cars might have taken us to the north end of the
- 4 bank.
- 5 You know, we didn't get that -- when I got -- we said 12
- 6 cars, he said 10, pretty sure he said 8, then he said 6. When he
- 7 said six, that's when I seen the lantern, and I brought the train
- 8 to easy stop, said six. I said, H63 conductor, did you drop your
- 9 lantern? He never responded. I bring the train to easy stop a
- 10 car and a half from the lantern. Then I seen all what I seen.
- 11 So --
- 12 Q. Okay. So six was the last count that you had?
- 13 A. Six was the last actual conversation I heard from Mr. Gilmore
- 14 at that time.
- 15 Q. Okay. And then how far had you gone, at that point?
- 16 A. Six -- I was coming to an easy -- still I seen the lantern, I
- 17 | just asked him, did you drop your lantern? I didn't hear nothing,
- 18 so I was bringing the train to an easy stop. Once I brought it to
- 19 easy stop, I stopped a car length and a half from where the
- 20 | lantern was, and his, he was right there, when I stopped. When I
- 21 stepped out the engine, I could almost step on him, almost,
- 22 really. About a truck length from him.
- 23 Q. Okay. And then at that point, you called for help. You
- 24 notified the yardmaster?
- 25 A. Yeah. Like I said, emergency. I got a man down. Emergency,

- 1 | I need help. I need everybody. Medical attention immediately.
- 2 Then I had people around. It happened at 7 o'clock; 7:11, the
- 3 emergency people was coming in there and doing what they did.
- 4 | Staff was on point. They was there -- I don't -- they was there
- 5 | two minutes, three minutes or what. It seemed so long for the
- 6 medical people to come, because they was trying to find the
- 7 location where we was at.
- 8 Q. Who arrived in a few minutes? You said about (indiscernible)
- 9 superintendent?
- 10 A. I don't know the exact guy, the last name. The van driver,
- 11 Mr. Paul, he arrived first. That's a jitney driver.
- 12 Q. Oh, it is? Okay.
- 13 A. He arrived first. Next person was Trainmaster Jason Macour
- 14 (ph.) and Jonathan Ward (ph.), he arrived. Kevin McCulley (ph.),
- 15 | he came in his personal car to see what was going on, to meet the
- 16 fire department on Lombard and Parker Street.
- Galen O'Neill (ph.), he came around to assist, and also to
- 18 pick me up and make sure the -- they met the -- he also let the
- 19 | fire department on Lombard Street outside of truck train, while
- 20 Kevin and I think Mr. McCulley and Mr. O'Neill talked to truck
- 21 train, because they have this low clearance gate that you got to
- 22 move, because the hook and ladder fire trucks couldn't get in.
- 23 So they instrumented the move so they can try to get medical
- 24 attention to Mr. Gilmore as best as they can. So they were there.
- 25 They had to -- they opened this gate, so the truck can come in,

- 1 | because you can't go out there with a trailer. So they did what
- 2 | they had to do. So they went right over the spikes coming into --
- 3 | you know, you come into truck train, there's spikes.
- 4 Q. Right.
- 5 A. So they couldn't come in that way. So they instrumented with
- 6 truck train crew, trailer, who are the people are in truck train,
- 7 I don't know the name of the company, to open up this gate so the
- 8 fire department gain access to get in.
- 9 So they -- I think by then Mr. McCulley was bringing the fire
- 10 truck in, or Mr. O'Neill brought the fire truck in, then somebody
- 11 brought the ambulance in. So, all this happened within, between 7
- 12 and 11 -- 7:11 is the actual time that the first responder was on
- 13 the ground, assisting medical assistance to Mr. Keith Gilmore --
- 14 Q. Eleven minutes.
- 15 A. -- at that time.
- 16 Q. About 11 minutes after the incident?
- 17 A. Yes. Yes, sir.
- 18 Q. And where were you at, at this time? Were you standing in
- 19 | the parking lot, or?
- 20 A. No. No. No, no. I had tied my engine down. I stayed in
- 21 | radio communication, because I didn't know if anybody needed to
- 22 | call me, to find out where I was at. I'm trying to talk to 911,
- get them on the phone. The phone had cut off two or three times,
- 24 | I think. And I was on radio communication with -- I don't think
- 25 | it was Chuck. I think -- somebody -- I don't think it was Mr.

- 1 Dugan. I don't know who the person was on the radio, and they was
- 2 | telling me to -- next thing I know, so all these people come
- 3 around.
- And they got there in about three minutes, two minutes. I'm
- 5 not too sure, sir.
- 6 Q. Okay, good.
- 7 A. But they was a -- it was a fast response time from Bayview
- 8 staff, track department, and the Bayview staff here, track
- 9 department, the people in truck train. Everybody responded
- 10 within -- because the type of call that went out from me, they
- 11 never heard that from me. And they all responded at that time.
- 12 Q. Okay.
- 13 A. And the first responders on the ground at 11 o'clock -- I
- mean 7:11. So 7:11, they was on the ground, assisting Mr.
- 15 Gilmore.
- 16 Q. Okay.
- 17 A. And that's when they chased me away ten minutes later,
- 18 because I had enough after that. Because all the supervision was
- 19 there and my train was secure, and I just needed to get away.
- 20 Q. Okay. You asked them to take you away? Or did --
- 21 A. I was instructed by -- it was so many people, just grabbed
- 22 | me, get me out of there. I think, Galen O'Neill had put me into
- 23 | the vehicle, and then Jonathan Ward gave me my bag. Said I don't
- 24 | need my bags. I just need to get away. So they took me away, and
- 25 brought me around here. And I set --

- 1 Q. Came to the yard office?
- 2 A. Yes. I set in -- I set in here. That was in the crew room
- 3 until 2:30, 2 o'clock. So went to, to do the FRA urinalysis,
- 4 | blood test, whatever, down at Occupational Health and Safety at
- 5 3600 O'Donnell Street. And that was done at 2:30 yesterday.
- 6 Q. Okay. So you sat here from the time you arrived --
- 7 A. Yeah. I might have --
- 8 Q. -- that you sat here --
- 9 A. I probably arrived here at 20 minutes of 7, give or take a
- 10 few. Had called my sister, and my son to tell them, don't tell my
- 11 mother what happened, because it's on the news. And I have a
- 12 terminally ill mother, and they needing to her know something
- 13 happened. So I called my son and my sister, and told them, that I
- 14 was okay.
- 15 Q. Okay. That's all I have for now.
- 16 A. Okay.
- 17 Q. Thank you. Thank you. Do you need to take a break?
- 18 A. I'm good.
- 19 Q. Okay.
- 20 A. I'm all right. I'm good.
- 21 MR. BULL: Thank you.
- MR. CASSITY: Jared Cassity with SMART.
- BY MR. CASSITY:
- Q. Mike, I've been writing the questions down as you're talking,
- 25 so --

- 1 A. Yes, sir.
- 2 Q. -- come across a little choppy, I apologize. I'm a do my
- 3 best.
- 4 A. There's no problem. (Indiscernible) are choppy.
- 5 Q. You made several references that I caught on to, talking
- 6 about how Mr. Gilmore had finally got it right, and that he was
- 7 catching on now. So he's a fairly new employee, or a new
- 8 | conductor marked up?
- 9 A. Yes. He marked up, I would say three months, maybe. Just --
- 10 I mean, yeah, I think his anniversary date was yesterday, I do
- 11 believe.
- 12 Q. Okay.
- 13 A. Yesterday.
- 14 Q. Did you get the sense that he was confident in his ability to
- 15 do the job in the yard?
- 16 A. Yesterday he was exceptionally well, man. Sir, it was
- 17 | exceptionally well. He did everything that we had the job
- 18 | briefing about, except that one switch, was a facer point switch
- 19 at the bank, and next to the cab. He lined up the railroad off 30
- 20 | track. He lined the railroad up going to the truck train 3.
- 21 Double-checked the D rail. And we -- he came up. We had another
- 22 job briefing. He'd made the cut exceptionally well.
- 23 After he made the cut, after (indiscernible) three-step
- 24 protection and all that, I asked him, did you want to ride up or
- 25 | you want to walk up? He said no, I'll ride. I said, I got to

pull ahead one car, let me get the switch, or did you want to get it? I said, I can get it, it's no problem. So I tied the train and did what I had to do. Got the switch.

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And then when I got on board, told him 9207, back on board, are you ready? Yes. I said, are you secure? I'm secure and ready to go. And I said, when we get around truck train, like I said, you need to stop at that road, because you can't ride across truck train road. And we stopped. We pulled ahead. Once I pulled ahead, I stopped, gave him three-step. And he came up.

He said man, I really -- I'm really am jumping it today. I'm catching it. And I like work -- he said -- and he said, I like working with, you know, and basically like, and the words like -- I don't know if he said, I like working with you, or love working with you, or I appreciate you. I can't remember, because it was so much happening yesterday. But he said something nice. It wasn't nothing negative, that he enjoyed working with me, or it was something of that nature.

And I said, appreciate that, man. I said, I appreciate that. I said, but be careful. I started out, just be careful. I said, take your time. We're not in no -- take your time. So, and then we went on to -- like I said, we made the separation at that three-step. Then we was going to shove by truck train 4. Can't shove but another truck train past and ride.

So Mr. Chuck Dugan said, well come up the bank. So he walked down to the bank switch because, I guess -- he walked up because,

- 1 | it used to be a D-rail up there years ago, I guess. He didn't --
- 2 | he probably seen, or didn't know it was there, but he walked up
- 3 around that little curve, and threw the switch at the bank and
- 4 truck train 3 and 4, and then told me to come ahead. And then we
- 5 stopped. And then we -- gave him the -- double-checked the
- 6 switches, and then the incident happened.
- 7 Q. You had said that he was an extra conductor, which I'm
- 8 assuming is off the extra board with Norfolk Southern. Is that a
- 9 daily assignment for an extra board employee, or is that by the
- 10 | week, or --
- 11 A. He's on the extra list. If there's a vacancy in the
- 12 | conductor position, they call him -- they pulled him off the extra
- 13 list to work with me. My conductor was off today and yesterday
- 14 for a personal day. He goes on vacation on Monday.
- 15 Q. Okay. So in a consecutive time period, it would have just
- 16 been that day, is all he worked on that job or that assignment?
- 17 A. It would -- I would have had him or any other conductor that
- 18 | was --
- 19 Q. Okay.
- 20 A. -- on the extra list, a seasoned or non-seasoned conductor.
- 21 I would have had an extra guy on the extra list yesterday.
- 22 Q. Okay.
- 23 A. That they would have taken the time, at 6 a.m.
- 24 Q. Do you know if he had worked that particular assignment
- 25 before?

- 1 A. That, I couldn't tell you if he just came off of his two-
- 2 day -- off TA rest or what. But I couldn't tell you that. I know
- 3 he didn't work the day before, because I didn't see him the day --
- 4 I didn't see him there Wednesday. I saw him Thursday, or much of.
- 5 Q. In general, though, do you know if he had actually worked
- 6 | that assignment? I mean, I know it's a local --
- 7 A. Oh, my assignment?
- 8 Q. -- take that local job yesterday.
- 9 A. Oh, he -- I can't -- he worked a local, but not my local
- 10 before. He worked the southbound local, which is the 862. I do
- 11 know he worked that twice.
- 12 Q. Okay.
- 13 A. I want to say he worked that once with me or twice with me on
- 14 the 862. I don't know the exact dates at this time, but he did
- work the 862 with me, and several other conductors. He has been
- 16 out on the locals before. And he has also been up the road, but I
- 17 | don't know the exact jobs or description he worked at that time.
- 18 Q. If I can, I want to go to the last shove load, if you will.
- 19 Do you know, from his location, when he would have climbed on
- 20 point? I believe you said it was -- he bank-tracked where the
- 21 cars were sitting. There was cars actually on the bank track; is
- 22 | that right?
- 23 A. Cars on the Perryville above, sir.
- 24 Q. Oh, the Perryville, okay. Do you know if he would have been
- able to see the cars located on the Perryville track from where

- 1 climbed onto that rear car ride?
- 2 A. Yes. He could see them before he climbed. He could see them
- 3 when he was walking, because truck train turn was clear. We was
- 4 on truck train 3. There's a blacktop between there. There's a
- 5 truck train 2, truck train 1, incline and the bank. He could see
- 6 all the way over the Perryville, to where those cars were. So
- 7 | that whole area was clear, and the sun was coming up about then.
- 8 It was getting daylight by then. It wasn't dark.
- 9 Q. That was going to be my next question. There was no rain or
- 10 fog or anything?
- 11 A. It was dark when he went around to align the railroad up at
- 12 6:30, 35 or so, something like that, when he lined the railroad
- 13 up. It was still not dark, dark. It's, you know, it was like
- 14 dusty dark. It was like the sun was ready to come up, be real
- 15 | bright, but it -- you needed a lantern at that particular time,
- 16 6:30 in the morning.
- 17 Q. Okay.
- 18 A. Needed a lantern. It wasn't foggy. Try to recall, it wasn't
- 19 raining. Might have been dew on the tires or the cars. I'm not
- 20 | sure. But it wasn't raining, because I had on my, just my vest
- 21 and a hoodie. So I know it wasn't cold or other temperature out
- 22 there.
- 23 Q. You had said that he was in good spirits during your job
- 24 briefing. Did he indicate there might have been any kind of issue
- 25 with his ability to get rest the night before?

- 1 A. No, sir. He --
- 2 Q. Okay.
- 3 A. He didn't talk much, but he talked to me yesterday. He said,
- 4 I'm just, you know, happy to be with you, working and glad when we
- 5 can get together to work and get the job done. He didn't seem
- 6 upset, or -- he was talking like I'm talking to you all, face to
- 7 | face, close. I didn't notice anything. I don't drink, so I would
- 8 have noticed it, you know, but he seemed to be happy. He seemed
- 9 to be happy that he was working, had a job. He was going to be a
- 10 conductor for Norfolk Southern and try to do the best he can for
- 11 | the company, and be safe.
- 12 Q. I there a marked or bulleted or a close clearance -- in other
- word, in that track, is the close clearance marked by the track,
- or is it in a bulletin or a notice or anything?
- 15 A. Close clearance -- don't hold me to it. I can't repeat it.
- 16 But it's in the Baltimore Consolidation Terminal, I believe,
- 17 | Bulletin. And it says, if there's adjacent cars on the north, the
- 18 south Perryville in an incline, you cannot ride. Also, if you go
- 19 south, you can't ride across the bridge girders on Eastern Avenue,
- 20 on the south in the track. The north is wide, because they
- 21 | reconfigured it, but I wouldn't ride none of the girders across
- 22 Eastern Avenue. I would stop and get off.
- I think that's in your Harrisburg times table, terminal,
- 24 number 9 I think, 6 or 9.
- 25 Q. Okay.

- 1 A. I know the two -- I'm not too sure. I know it's in there. I
- 2 can -- if I had a book, I can probably show you.
- 3 Q. I want to ask you some just general questions --
- 4 A. Yes, sir.
- 5 Q. -- about the railroad. Has there been any changes to
- 6 operating rules, or safety rules lately that seem to be a
- 7 | considerate amount, or anything that may cause some ambiguity with
- 8 the crews?
- 9 A. Not to my -- everybody seems to go by the rules and safety
- 10 | that we have job briefings in.
- 11 Q. Okay.
- 12 A. I been here 16 years. It's the first, or the second
- 13 incident. I was here, when I first got here, employee had a heart
- 14 attack, Mickey Griffin (ph.), around truck train, years ago. And
- 15 | that's the second incident I know of happened in Baltimore.
- 16 | Baltimore is a place where we don't really have any injuries or
- 17 | fatalities like this, anything happening, you know.
- 18 Q. And then my last question again is very general.
- 19 A. Yes, sir.
- 20 Q. As far as training or qualifying goes, in the yard, for the
- 21 | conductors, the new hires, how do they go about ascertaining that
- 22 they're qualified in the yard? Are they assigned to every single
- 23 local and yard job? Or they kind of just allow them to generally
- 24 get familiar with the yard?
- 25 A. They assigned to every local -- every job, every local job,

- 1 and most of the jobs, the conductors have less than a year, so
- 2 | they can't be on the ground with that particular employee if they
- 3 got less than a year.
- 4 Q. Okay.
- 5 A. A new employee. So they --
- 6 Q. So you haven't seen any circumstances where there is a new-
- 7 | hire training a trainee, or another new-hire?
- 8 A. If they're a new-hire, if they had them, they'd be on the
- 9 engine with me if I had a junior conductor on the ground. They
- 10 | wouldn't be on the ground with them. They'd be up on the head and
- 11 | with the engineer or myself, whoever the engineer is that day.
- 12 Q. Okay.
- 13 A. They wouldn't be on the ground, sir.
- MR. CASSITY: Okay. I have nothing else. Thank you very
- 15 much, Mike.
- 16 MR. SMITH: Yes, sir. You're welcome, sir.
- 17 MR. FANNON: Randy Fannon, F-a-n-n-o-n, BLET.
- 18 BY MR. FANNON:
- 19 Q. Mr. smith --
- 20 A. Yes, sir.
- 21 Q. This is difficult for you. I understand that, sir.
- 22 A. Yes, sir.
- 23 Q. If you need a break, just --
- 24 A. I'm fine, sir.
- 25 Q. All right. Most of the questions that I was going to ask

- 1 were already, somebody's already hit on most of it.
- 2 A. Okay.
- 3 Q. But let's back up on the last ball of questions from Mr.
- 4 Cassity. You said if there was a -- if you had a new conductor --
- 5 A. Right.
- 6 Q. Is your regular conductor a seasoned conductor, is -- your
- 7 | normal conductor?
- 8 A. Regular conductor. I think he has 22 or 23 years of service
- 9 here.
- 10 Q. And he gets a trainee? Do you have trainees a lot on
- 11 | their -- on your site?
- 12 A. Yes. We have trainees on this job a lot, when we have a
- 13 trainee, sir.
- 14 Q. Okay. And Mr. Gilmore caught your job off the extra board
- 15 duty. Your conductor was going to be off, and he had scheduled
- 16 some off time?
- 17 A. Yes, sir.
- 18 Q. Do you -- you stated that you'd worked with him four or five
- 19 times, Mr. Gilmore --
- 20 A. Yes, sir.
- 21 Q. -- prior to yesterday.
- 22 A. Yes, sir.
- 23 Q. Do you recall any of those times where -- was he a trainee,
- 24 or a conductor, or --
- 25 A. He was a conductor, all the times that I had him.

- 1 Q. Okay. And that was on your 6 a.m. job?
- 2 A. Well I had him on this 6 a.m. job, which February the 7th,
- 3 and I had him back in January. I forgot the exact date, but he
- 4 | worked a job, he caught it off the extra list. But we didn't do
- 5 local work that day. We did yard work, moving engines around, did
- 6 | shop work, miscellaneous stuff in the yard, because we didn't go
- 7 out on a local that day. It was a -- and it had to be a Monday,
- 8 | Wednesday or Friday. It wasn't a Tuesday or Thursday when I
- 9 worked with him the last time on this particular job. It was this
- 10 year.
- 11 Q. How long have you been on this assignment?
- 12 A. Four months, three months, sir. Our job changes every
- 13 Thursday. We bid on jobs. I think (indiscernible) four months,
- 14 at least three to four months.
- 15 Q. Okay. And you've had the same regular conductor the entire
- 16 | time?
- 17 A. Yes, sir. Except when he's off.
- 18 Q. Right. Well let's -- your vigilance and your due diligence
- 19 on stopping and verbally telling him not to ride the cars on the
- 20 Perryville side --
- 21 A. Yes, sir.
- 22 Q. You had that opportunity to tell him in person? You told
- 23 him.
- 24 A. Right.
- 25 Q. And didn't want to put it on the radio. When -- did he

- 1 | comprehend? Did he acknowledge you?
- 2 A. He said okay, thank you. Appreciate that, thank you.
- 3 Q. Okay. And then --
- 4 A. And he smiled when he said that. I'm saying -- you know, I
- 5 | can just, I can see him still, sir. You know, okay. Go ahead.
- 6 Q. I understand.
- 7 A. Okay.
- 8 Q. So then you pulled off, finished pulling off --
- 9 A. Yes, sir.
- 10 Q. What were you saying, by four cars?
- 11 A. Four or five, because that engine was five cars total.
- 12 Q. Right.
- 13 A. Okay.
- 14 Q. And then you began shoving back?
- 15 A. No, after we stopped.
- 16 Q. Right.
- 17 A. We did the double-checks on the switches.
- 18 Q. Right.
- 19 A. Then Mr. Gilmore -- and he said, double-check two switches.
- 20 I said -- I said two switches. No, three switches. Then he said,
- 21 H63 conductor's on the point. On the point.
- 22 Q. But could you physically see him out the rear car?
- 23 A. No. If I'd have got up out of my seat, looked on the
- 24 | conductor's side, I might have been able to see him. But I think,
- 25 with that --

- 1 O. He was on the curve?
- 2 A. With that bridge and (indiscernible) out there, you can't see
- 3 him on the conductor's side neither.
- 4 Q. Okay.
- 5 A. If I'm not mistaken, with five cars. And if he was on my
- 6 | side, I definitely couldn't see him, because as you go around the
- 7 bank, there's a curve goes towards, goes back in towards the guide
- 8 lane. You could -- I couldn't see him.
- 9 Q. Okay. I'll ask my last few questions. Do you recall, in the
- 10 last four months that you've been on this job, how many trainees,
- 11 | conductor trainees you've had, or engineer trainees? Have you had
- 12 any trainees?
- 13 A. Yes. I had, I think three engineer -- two or three engineer
- 14 trainees. Don't hold me to -- I think, maybe two. Chuck Carnegie
- 15 (ph.) and Mike Gaskins (ph.), I do know I had those two as
- 16 engineer trainees. The conductor trainees, I'm bad at the guys'
- 17 names.
- 18 O. Well we don't need names.
- 19 A. Okay.
- 20 Q. We just need to know --
- 21 A. I had two. Two and two, sir.
- 22 0. Two conductor trainees?
- 23 A. Yeah. Two and two, where Mr. Gaddy (ph.) was a conductor on
- 24 | the ground, and I had two engineer trainees in the engine.
- 25 Q. Do you have any general observations about the training?

- 1 A. When I was trained, we got six to five months. I think they
- 2 got two months, three months now, I believe. I'm not sure.
- 3 Q. So they're getting two to three months actually on-the-job
- 4 training, after they come back from McDonough?
- 5 A. I think so, sir. And then if they do that, they're not with
- 6 | a seasoned guy half the time because they can't be on the ground.
- 7 Q. So, if they're just setting in the cab of a locomotive,
- 8 they're not actually learning what their responsibilities are? Is
- 9 that what you mean by that?
- 10 A. No. They can learn from us.
- 11 Q. Okay.
- 12 A. They can learn from engineer who wants to give -- should give
- 13 that employee the knowledge that we have, learn what the task is
- 14 at hand that we do in a day, giving that new conductor trainee a
- 15 job briefing every time the seasoned conductor's making a move.
- 16 You have quite a few new guys that would take notes. Like one's
- 17 marked up, John Ellis (ph.), he has a lot of notes. Chris Smith,
- 18 he takes notes.
- And these are new guys that was getting marked up or been
- 20 marked up, and they're taking notes from us on the engine about
- 21 how many cars go here, what is he doing. So the new trainees do
- 22 | take notes. They're not sitting up on the engine looking like,
- 23 what's going on? They taking notes, and got maps out, and asking
- 24 | you questions, what is you doing, or what's going on? So they are
- 25 taking notes.

- 1 Q. But the notes -- that's my last question. But the notes are
- 2 | not the replacement for them being hands-on, throwing switches,
- 3 tying brakes --
- 4 A. Right.
- 5  $\mathbb{Q}$ . -- being in between --
- 6 A. Right.
- 7 Q. -- seeing the physical. They're actually up on the engine
- 8 | with you --
- 9 A. The engine with me.
- 10 Q. -- getting a verbal --
- 11 A. Right.
- 12 | Q. -- education?
- 13 A. Yes. If I have a -- like yesterday, since we was running
- 14 truck train, if I had a new conductor with me, trainee, and we
- 15 | made that cut, I would tie the engine down and go out with that
- 16 | new trainee with Mr. Gilmore, had Mr. Gilmore to explain to him
- 17 what we had done. Because we had time. We wasn't on a rush cycle
- 18 yesterday.
- 19 Q. Okay.
- 20 A. I would do my thing, and do what I do to secure the unit, and
- 21 let the new employee get the knowledge from Mr. Gilmore, what he's
- 22 | done. And that's how I would have done it if I had a trainee with
- 23 me yesterday. And I'm pretty sure Mr. Gilmore would have gave him
- 24 information, and we'd have shared our knowledge what was going on.
- 25 Q. Thank you, sir.

- 1 A. Yes, sir. You're welcome.
- 2 MR. GOODEN: David Gooden, G-o-o-d-e-n. Mr. Smith, I do not
- 3 have any questions.
- 4 MR. SMITH: Yes, sir.
- 5 MS. GARCIA: Anne Garcia.
- 6 BY MS. GARCIA:
- 7 Q. I have a, kind of a short list --
- 8 A. Yes, ma'am.
- 9 Q. -- of standard questions.
- 10 A. It's no problem.
- 11 Q. Just to get some of the background facts.
- 12 A. Yes, ma'am.
- 13 Q. Okay. So first of all, could you tell us what your work
- 14 history is with Norfolk Southern? When did you come onboard?
- 15 A. I came onboard September 2006 as a conductor trainee. I was
- 16 promoted as a conductor, February 4th, 2004 (sic), as a conductor.
- 17 I went to engineer school, September 5th, 2007. And I was
- 18 promoted to an engineer October 6th or 8th of 2007. I didn't
- 19 actually physically start running permanently until sometime in
- 20 2010. But from 2007 to 2010 I was up and down, conductor,
- 21 engineer, conductor, engineer as needed. But I've been running
- 22 | since 2010 as an engineer.
- I worked the road, I worked the local, all the locals, any
- 24 job I been tasked that I need to do as an engineer. And right now
- 25 I've been on this job three or four months. If I'm not on this

- 1 job, work on the HO4 local, or the H62 local, or any road job that
- 2 | needs to be done to make sure the customer product is delivered
- 3 and serviced, because I like to get my paycheck like the customer
- 4 like to get their cars and material.
- 5 Q. Okay. Thank you.
- 6 A. Yes, ma'am.
- 7 Q. And has all your experience been with Norfolk Southern here
- 8 at this yard?
- 9 A. Yes, ma'am. It's my first railroad job.
- 10 Q. Okay. Thank you.
- 11 A. Yes, ma'am.
- 12 Q. What is your education background?
- 13 A. I have 12 for education. Graduated from Dunbar High, 1979.
- 14 I have one, two years of automotive experience.
- 15 Q. Okay. Thank you.
- 16 A. Yes, ma'am.
- 17 Q. Looking at your work schedule --
- 18 A. Yes, ma'am.
- 19 Q. What's your regular work schedule here?
- 20 A. On this job, Monday through Friday, I work 6 a.m. --
- 21 | scheduled to work 6 a.m., and scheduled to be off duty by 6 p.m.,
- 22 which is 5:59 p.m., Monday through Friday, on this job.
- 23 Q. Okay.
- 24 A. Which is the H63 local. Off Saturdays and Sundays. And
- 25 available to work Saturday and Sunday if need be.

- 1 Q. Okay. So your regular scheduled work right now is five days
- 2 | a week, Monday through Friday, 12 hours a day, 6 a.m. to 6 p.m.?
- 3 A. Yes. Yes.
- 4 Q. Okay.
- 5 A. We don't work 12 hours. But we're scheduled, you know, from
- 6 6 a.m. to 6 p.m. We don't actually do all 12 every day.
- 7 Q. Okay.
- 8 A. I'm scheduled to work from 6 a.m. to 6 p.m. I have to be
- 9 off by 6 -- by the 5:59. I have to be off duty.
- 10 Q. Okay.
- 11 A. Can't go over 6 p.m.
- 12 Q. So when do you find out what hours you're actually going to
- 13 work on each day?
- 14 A. As the day goes on. As you see the work --
- 15 Q. Okay.
- 16 A. -- you might know, you have a lot of work, and if we got on
- 17 Amtrak on Tuesdays and Thursdays, you're bound to, not to get
- 18 back, or get back. It depends on the quality of work you have.
- 19 Q. Okay.
- 20 A. We have to service one customer, which is -- we have to wait
- 21 for the locals to go in, with is Tildy's (ph.). Then we have to
- 22 | go across the street to Baltimore Steel, so we're working between
- 23 the locals and Amtrak and the sellers. We have to be on a time
- 24 frame.
- 25 Q. Okay.

- 1 A. And sometime we can't get out in the rush hour windows or
- 2 whatever. But we do service the customer.
- 3 Q. Okay.
- 4 A. We try to get in a destination. We service the first
- 5 customer, and finish over there, and then we have to get a relief
- 6 crew to come get the train later, if we can't get back.
- 7 Q. Okay. So, what I'm hearing is that you're scheduled to be
- 8 here at 6 a.m. every day --
- 9 A. Yes, ma'am. Yes, ma'am.
- 10 Q. And to be available to work until 6 p.m.?
- 11 A. Yes, ma'am.
- 12 Q. Okay, good. Could you give us what your actual work schedule
- 13 was, starting on Monday of this week?
- 14 A. What did we do Monday?
- 15 Q. Do you recall?
- 16 A. Monday, Monday, Monday. Oh, yes. We re-crewed a coal train
- 17 | that was down at O'Donnell Street, because it couldn't come into
- 18 the River. River's out of service, because they had a derailment
- 19 | a few weeks ago. So the coal train was down at O'Donnell Street
- 20 | with a crew that was about to blow up. So we went down at
- 21 O'Donnell Street. I think that train, some of it was the 590
- 22 crew. And we --
- 23 Q. I just need a time frames.
- 24 A. Okay.
- 25 Q. Yeah.

- 1 A. Just like, I guess 6:30, 6:30 Monday, we went down to recrew
- 2 | that train.
- 3 Q. Well you just, you started at 6 a.m. and --
- 4 A. Started at 6 a.m.
- 5 Q. -- and you were off at what time? Roughly.
- 6 A. Monday, we might have got off at 1 o'clock. I think we might
- 7 have got off at 1 o'clock Monday. It was an early day, Monday.
- 8 Q. Okay.
- 9 A. Early day, Monday.
- 10 Q. And did you have a lunch break?
- 11 A. Did we eat lunch? Yes. We take a 20-minute break. Yes. If
- 12 need be, yes.
- 13 Q. Okay. You get 20 minutes --
- 14 A. Yes.
- 15 Q. -- for lunch?
- 16 A. Yes.
- 17 Q. Okay. And do you recall what hours you worked on Tuesday,
- 18 starting at 6 a.m.?
- 19 A. Started at 6 a.m., and Tuesday, we got off at 3:40 or 4
- 20 o'clock.
- 21 Q. Okay. And Wednesday?
- 22 A. Wednesday was our long day. We did the local work Wednesday.
- 23 We come on duty 6 a.m., and we got off exactly 5:55. Triple 5s,
- 24 p.m.
- 25 Q. And when was your lunch break on Wednesday, roughly?

- 1 A. Probably waiting for Amtrak to give us a railroad, on the
- 2 train.
- 3  $\mathbb{Q}$ . So it was on the train, about what time?
- 4 A. Because we came south. We sat at River for about 30 minutes,
- 5 I guess. About 1 o'clock, 1:15, something like that.
- 6 Q. Okay. And did you get a dinner break also, or is that --
- 7 A. No. That's the break.
- 8 Q. -- after you get off?
- 9 A. We carry our lunch with us, on duty. We keep it with us.
- 10 Q. Okay.
- 11 A. A lunch bag, whatever.
- 12 Q. Okay. And so let's walk through. You mentioned that you get
- 13 up at 4 a.m., typically --
- 14 A. Get up at 4.
- 15 Q. -- every day?
- 16 A. That's right.
- 17 Q. So Monday morning you got up at 4 a.m.?
- 18 A. Yes.
- 19 Q. And you leave for work --
- 20 A. I leave my house at, I'd say 5, 5:15. I'm not that far. For
- 21 me, about 5, 5:15. I stop to get something to eat, and all that.
- 22 Q. Okay.
- 23 A. I'm here no later than 5:45 in the morning, give or take a
- 24 few.
- Q. Okay. And with that, so when you get off work, what did you

- 1 do Monday afternoon? Anything special? I'm just, I'm looking for
- 2 basically, you know, was it something that was very exerting?
- 3 A. Well, Monday --
- 4 Q. Was it napping?
- 5 A. Monday, Wednesday --
- 6 Q. Or were you out partying?
- 7 A. Well Monday, Wednesday and Friday, I take care of my mother
- 8 for dialysis. And she gets a ride home from dialysis, and she
- 9 stays with me. I take care of her on Monday, Wednesday and
- 10 Friday, because she need the care when she get through dialysis.
- 11 Q. Okay. So she stays with you overnight?
- 12 A. She stays with me currently. Yes.
- 13 Q. Okay. Every night? She lives with you?
- 14 A. Yes. Yes, ma'am.
- 15 Q. Okay. And so Monday, Wednesday, Friday, you take her for
- 16 dialysis when you get off?
- 17 A. No. No. She gets off from -- she go to dialysis 4 o'clock,
- 18 4:30 when they pick her up. When she come home from dialysis, I
- 19 make sure she has the food and necessary care that she need when
- 20 she come home.
- 21 Q. Got you. Okay. So about what time do you have dinner?
- 22 A. If I have a late day, I might have dinner 8. I'm in bed by
- 23 9:30, 9:30, no jokes. I would be by 8:30, 9. So about 8 o'clock.
- 24 Q. So dinner's about 8 p.m. --
- 25 A. Yes.

- 1 Q. -- every night?
- 2 A. Around 8 p.m. Yes.
- 3 Q. And in bed by 9 p.m.?
- 4 A. Yes. No later. If I ain't in bed by 9:30, I'm done. I'm
- 5 done, because 4 o'clock is in the door.
- 6 Q. Yeah. I'm with you.
- 7 A. Yeah.
- 8 Q. Yeah. Okay, good. So that's very helpful.
- 9 A. Yes, ma'am.
- 10 Q. Okay. And do you feel that you have enough time for a good
- 11 | night's rest?
- 12 A. Oh yeah. Definitely. Definitely.
- 13 Q. Okay.
- 14 A. If I feel that I'm not rested for my job at any given time --
- 15 I don't miss time for the work, but if I feel though I'm not
- 16 rested, I know I got to call that number. I got to call one of
- 17 | the conductors to get the number, because I don't use the number
- 18 | all the time.
- 19 Q. Yeah. And if you should feel sick, and calling in, do you
- 20 | get a certain amount of six leave? And is it every year, or?
- 21 A. We just mark off sick. I don't mark off sick, so I don't
- 22 know. I don't know. I think I might have marked off -- in 16
- 23 years, I might have marked off five times sick.
- 24 Q. Okay.
- 25 A. So, you know.

- 1 Q. Okay, good. And we've already gotten your cell phone number.
- 2 A. Yes, ma'am.
- 3 Q. And I appreciate that. And that's the only cell phone that
- 4 you use?
- 5 A. That's the only cell phone I have. Yes, ma'am.
- 6 Q. Okay. Thank you.
- 7 A. Yes, ma'am.
- 8 Q. And you mentioned that you work with a -- sorry. My
- 9 questions, some are --
- 10 A. That's no problem.
- 11 Q. -- standard, and some are picking up --
- 12 A. You're good. Yes, ma'am.
- 13 Q. You've worked with him four or five times?
- 14 A. Yes.
- 15 Q. And that's been, starting when? The last couple of months?
- 16 A. I know I worked with him in January, on this job.
- 17 Q. Okay.
- 18 A. Some time in '17 -- I'm sorry, '18, 2018 I worked with him
- 19 twice.
- 20 Q. Okay.
- 21 A. I'd have to look back in my timekeeper book to see.
- 22 Q. Do you know what month that would have been?
- 23 A. December, probably -- I was off of work eight days in
- 24 December, vacation. It might have been during the Christmas
- 25 holiday.

- 1 Q. Okay.
- 2 A. After the Christmas holiday time.
- 3 Q. Okay.
- 4 A. Because my other conductor was off. And it was sometime
- 5 during the Thanksgiving holiday when conductor's off. I do know
- 6 that. But it --
- 7 Q. Okay.
- 8 A. Yes.
- 9 Q. Good. Okay. And you mentioned that you had plenty of
- 10 opportunity to talk with Keith that morning?
- 11 A. Yes, ma'am.
- 12 Q. And you haven't expressed any concerns. Did you have any
- 13 concerns?
- 14 A. He was happy. And was nothing out the ordinary that I know.
- 15 He was a very quiet person.
- 16 Q. Okay.
- 17 A. And he didn't open up to everybody.
- 18 Q. Okay.
- 19 A. And when he talked, he talked to us, to certain people. So
- 20 that he talked to me, but it wasn't nothing negative, or nothing
- 21 out the ordinary that I seen yesterday.
- 22 Q. Okay.
- 23 A. At any given time that I had worked with Mr. Gilmore, nothing
- 24 out of the ordinary.
- Q. Good. And do you happen to know about his family situation,

- 1 or if he might have had any stresses at home?
- 2 A. He never talked about his family. Never talked on --
- 3 Q. Okay.
- 4 A. -- nobody. I know that he had no kids. I did know that. He
- 5 said he had no kids.
- 6 Q. Okay. All right. And do you receive any training from
- 7 Norfolk Southern on how to detect fatigue in your coworkers?
- 8 A. Yes. We had a training on that several times, about fatigue,
- 9 abuse for drugs, alcohol, or stuff of that nature. That's --
- 10 we've been well trained in that.
- 11 Q. Okay.
- 12 A. Every -- you know --
- 13 Q. Good.
- 14 A. I wouldn't have nobody out there with me under the influence
- 15 of any kind of drugs or tiredness, myself, because I hate to say,
- 16 his life is in my hands at all times.
- 17 Q. Right.
- 18 A. At all times, when I have them with me, whoever they are.
- 19 Q. Okay. Thank you.
- 20 A. Yes, ma'am.
- 21 Q. And the -- following the accident, you mentioned that you
- 22 called on the radio.
- 23 A. Yes, ma'am.
- 24 Q. And that help came from the folks here --
- 25 A. Everywhere.

- 1 Q. -- at the yard.
- 2 A. Everywhere.
- 3 Q. And you also tried on your phone to call emergency services,
- 4 | and --
- 5 A. Yes, ma'am.
- 6 Q. -- they had already been contacted, and they were --
- 7 A. Right.
- 8 Q. -- on the way. And then you mentioned, afterwards, that
- 9 Gavin took you in his vehicle over --
- 10 A. Galen.
- 11 Q. Galen.
- 12 A. Yes.
- 13 Q. Over here to the crew room, in the yard office.
- 14 A. Right. Yes, ma'am.
- 15 Q. And then they took you for the tox test.
- 16 A. Yeah. That was --
- 17 Q. For FRA.
- 18 A. -- 2:30, or 2 o'clock. Yes.
- 19 Q. Okay. That was -- so what were you doing here in the crew
- 20 room, in the yard office?
- 21 A. I wrote a statement. I was praying. I was hoping that he
- 22 | would survive. I had to go to the bathroom really bad for about
- 23 three hours. I didn't know if I could go. But I found out from
- 24 Trainmaster Joe Morse, I think it -- I think Joe was -- Chris,
- 25 Chris Morse (ph.), Chris Morse that I can go to the restroom. And

- 1 then they came out 2:30, 2 o'clock and told me I had to take the
- 2 FRA test down at the clinic on O'Donnell Street, and we went
- 3 there. And that was the same (indiscernible), because I think
- 4 several people was using this room, so I sat out in the crew room,
- 5 | right, with Mr. Dugan. We just sat out there, in the crew room.
- 6 Q. Okay.
- 7 A. And that was it.
- 8 Q. Thank you.
- 9 A. Yes, ma'am.
- 10 Q. I really appreciate you coming in to talk to us, and I'm --
- 11 A. It's no problem.
- 12 Q. -- very sorry --
- 13 A. Yes, ma'am.
- 14 Q. -- for the circumstances.
- 15 A. Yes, ma'am.
- 16 Q. Right now, are you back on your regular shift, working, or
- 17 | are you on time off?
- 18 A. I requested a time off today, to be off today because I
- 19 wasn't going to try to work today. And they told me to come in
- 20 today to meet with the individuals that are here, and be off
- 21 company business.
- 22 Q. Okay.
- 23 A. I'm off the weekend, and I'll take each step at a time.
- Q. Okay. And they've -- you have employee assistance that's
- 25 available to you?

- 1 A. Yes, yes.
- 2 Q. And you've been advised of that?
- 3 A. Yes, ma'am.
- 4 Q. Okay. Thank you. That's all the --
- 5 A. Yes, ma'am.
- 6 Q. -- questions I have right now. We're going to do one more
- 7 | round, and Troy's going to lead it.
- 8 A. Okay.
- 9 BY MR. LLOYD:
- 10 Q. Still holding up all right, Mr. Smith?
- 11 A. I'm fine.
- 12 Q. Good to go?
- 13 A. I'm fine.
- 14 Q. Water in front of you.
- 15 A. I'm good. I'll have to go to the bathroom if I drink. I'm
- 16 good.
- 17 Q. All right, so --
- 18 A. I'm fine. I'm fine.
- 19 Q. Just to keep things going, I have some follow-ups.
- 20 A. Yes, sir.
- 21 Q. And real quick, if you'd explain, what radio channels did you
- 22 guys use on the day of the accident? Is it various channels, or
- 23 just one channel in this yard?
- 24 A. The locals use 064, 064, which is Channel 2. That's the
- 25 channel that we use for the locals, is Channel 2, with 064, 64.

- 1 Q. So that's the channels --
- 2 A. That's the channel that was on --
- 3 O. 064, Channel 2?
- 4 A. Yes, sir.
- 5 Q. That was the channel you guys were on the whole time?
- 6 A. That's the channel I was on the whole time. Yes, sir.
- 7 Q. Through the accident?
- 8 A. Through the accident. Yes, sir.
- 9 Q. Okay. What equipment was the -- or you may -- what equipment
- 10 was the conductor carrying at the time of the accident; do you
- 11 know?
- 12 A. He had his safety vest on. He had his clear safety glasses
- on. He had his radio on, in his pouch. He had gloves on. I
- 14 don't know what kind of gloves he had on. And he had safety shoes
- 15 on, his regular work shoes that we're required to wear.
- 16 Q. Were they required to --
- 17 A. And he had his lantern. He also had his lantern. He had his
- 18 lantern.
- 19 Q. Do they carry anything that's, that would be bulky, that
- 20 | would probably interfere with something that would be a close
- 21 clearance, something that would probably catch on -- like the
- 22 radio pouch, something that maybe hooks on his belt, that could
- 23 easily be caught on something or -- I mean, maybe --
- 24 A. I'm not sure what type of radio belt, did he have the pouch
- 25 the radio slides in, or did he actually have it onto his belt of

- 1 | his waist. I'm not sure of that, sir. But I know he had on his
- 2 regular jacket, and NS official vest.
- 3 Q. Okay. So following up on Mr. Cassity's question, just so I
- 4 can get an understanding --
- 5 A. Yes, sir.
- 6 Q. How are new conductors qualified on physical characteristic?
- 7 This is -- to me, this is a pretty big yard. So what kind of --
- 8 how do they go through the physical characteristics and special
- 9 instructions or special safety instruction rules, in particular to
- 10 | the Bayview yard?
- 11 A. They have a training coordinator that's assigned to the
- 12 | conductors. They give them maps. They also get maps of Port Row,
- 13 maps of Amtrak. And whatever seasoned conductor they work with,
- or they sit down in the crew room, talk to engineers. They try to
- 15 pick our heads of certain things. Each conductor they work with,
- 16 they qualify them on the territories, just like you qualified at
- 17 Dundalk, you qualified at Canton Railroad, you qualified on the
- 18 locals.
- And I'm on the north, the -- I'm on the, I guess you would
- 20 | call it the daylight local. There's a northbound local that goes
- 21 north. And there's a A62 local when they call up, actually goes
- 22 | south. So you're qualified each one of these territories by the
- 23 engineer's assistants and also the conductor's assistant and also
- 24 by the maps.
- 25 You also qualify with the big maps we have in the yardmaster

- 1 office. Each individual yardmaster goes over the maps of
- 2 territories when they give a new conductor paperwork, to show them
- 3 | what tracks they work on, or where these areas are. And that's
- 4 | very helpful to the new conductors. And they get a lot of
- 5 | information from everybody, the yardmaster, the trainmaster,
- 6 | conductors, along with the engineers. We all work together round
- 7 here to make it efficient and safe as best as we can.
- 8 Q. So someone that's new, that's coming into the yard as a
- 9 conductor trainee, does he work with a -- is there a mentoring
- 10 process that he is assigned to a seasoned veteran for so many
- 11 months, and he's doing a, you know, a nightly or daily evaluation
- 12 on this trainee, he's doing this, he's not doing this? You know,
- 13 a --
- 14 A. The mentor --
- 15 Q. A mentoring process.
- 16 A. The mentor assigns him to certain jobs, like the H63, might
- 17 | work this job a week or two weeks, and might work the H62 a week
- 18 or two weeks, the HBO1. Each job, the mentor assigns him a job.
- 19 If there's a senior conductor there, they will get that training.
- 20 If there's a senior conductor off, and there's a junior conductor,
- 21 they're not going to be able to be on the ground with that person.
- 22 But the mentor and the trainmaster works with the new
- 23 | conductors, setting their schedule up as best as they can, to get
- 24 the training from a senior conductor, as best that they can. And
- 25 | if they on the engine with us, they -- we try to help them out as

- 1 | best as we can. Even when they get marked up, we try to watch
- 2 | them out, make sure they straight. If they're not even working
- 3 with us, we try to give them a job briefing to assist them as best
- 4 as we can out here.
- 5 Q. So with that said, there are some cases that a new conductor
- 6 | will go out on a nightly switching or -- and may not have a
- 7 | seasoned veteran watching what he's doing, making sure he knows,
- 8 you know, do this, don't do this, don't ride on this side of the
- 9 car, this -- here's a close clearance. This -- and there -- so
- 10 sometimes he's, it's just that newer conductor and that engineer?
- 11 A. Yes.
- 12 Q. With no other mentor?
- 13 A. Sometime you got a new engineer just got marked up with a new
- 14 conductor. It happens.
- 15 MR. LLOYD: That's all I have. That's all I have.
- MR. BULL: Yeah, Mike Bull, FRA.
- 17 BY MR. BULL:
- 18 Q. Just a couple of quick follow-ups.
- 19 A. Yes, sir.
- 20 Q. I think you may have already answered them, but I just want
- 21 to make sure.
- 22 A. Yes, sir.
- 23 Q. Your commute time from your house to here, how long does that
- 24 take you? About, approximately.
- 25 A. Fifteen -- ten to fifteen minutes, I'd say, tops.

- 1 Q. Okay.
- 2 A. I'm close.
- 3 Q. Close enough. And you go to bed about 9:30 every night --
- 4 A. Nine o'clock.
- 5 Q. Nine or nine thirty.
- 6 A. Nine thirty, I'm done. Nine o'clock.
- 7 Q. I hear you. I'm with you there. So --
- 8 A. Nine o'clock.
- 9 UNIDENTIFIED SPEAKER: You sound like me.
- 10 BY MR. BULL:
- 11 Q. And you normally get a good night's rest?
- 12 A. Yes, sir.
- 13 Q. For the whole night. You don't wake in the middle of the
- 14 night and --
- 15 A. I may go to the bathroom maybe two times a night, that's it.
- 16 Q. Okay.
- 17 A. Check on my moms, give or take a few. That's it.
- 18 Q. Okay. And the night before the incident, did you get a good
- 19 | night's rest that night?
- 20 A. Yes, sir. I was off early, and I was in the house. I might
- 21 have -- I don't -- I didn't even see the 6 o'clock news that day.
- 22 No, the -- from the night before.
- 23 Q. You worked 12 hours the night before?
- 24 A. Twelve hour -- no. I got off at -- 12 hours, yeah. I didn't
- 25 | even see the 6 o'clock news or the 7 o'clock news. I was in bed

- 1 | before, I know, 8 o'clock, at least before 8, because I got
- 2 something to eat on the way home.
- 3 Q. Okay. So, you had a good night's rest, and you felt alert
- 4 when you came to work?
- 5 A. Yes, sir.
- 6 Q. Yeah.
- 7 A. Felt alert. Yes, sir.
- 8 Q. Would you consider that very alert, or somewhat alert?
- 9 A. I'm always alert, very alert. I'm on point.
- 10 Q. Good answer. That's all I have. Thank you --
- 11 A. Yes, sir.
- 12 Q. -- very much. Thank you.
- 13 A. Yes, sir.
- 14 BY MR. CASSITY:
- 15 Q. Mike, Jared Cassity again with SMART. I want to go back to
- 16 the close clearance thing real quick. Does Norfolk Southern
- 17 utilize physical close clearance signs anywhere on the property?
- 18 A. Yes, sir. There's a close clearance sign that's on the
- 19 track, that tells you can't take multi-levels on the track. Now,
- 20 around truck train -- don't hold -- I haven't worked truck train
- 21 | terminal in a minute, but I think there's a close clearance sign
- 22 on the lower head of truck train or the top end. So on the very
- 23 top end, when you first come in, it says -- I think it's an orange
- 24 | illuminated sign, yellow. I think it says close clearance on that
- 25 too, sir.

- 1 Q. Okay. So you said earlier there's close clearance
- 2 | notifications contained in the special instructions of the time
- 3 table?
- 4 A. Yes, sir.
- 5 Q. And now there's actually close clearance signs. Do you know
- 6 where there is a close clearance sign? Is it also listed in the
- 7 | special instructions, or is that somehow separate?
- 8 A. It's in the same special instructions, Baltimore --
- 9 Q. Okay.
- 10 A. -- Terminal Consolidation.
- 11 Q. So there are some close clearances that are in the time table
- 12 that actually have signs, and then there are some that are in the
- 13 | time table that do not?
- 14 A. Yeah, I do believe. There's --
- 15 Q. Okay.
- 16 A. -- a sign out on track for the, you can't take multi-levels
- 17 down there. I do believe that real bright sign that's on truck
- 18 | train, don't hold me to it, I think is, it says close clearance
- 19 and something of that nature.
- 20 Q. Okay. Would you have any idea why some are marked and others
- 21 may not be?
- 22 A. I have no idea, sir.
- 23 Q. If not, that's fine. And just so you know, I'm a CSX
- 24 employee.
- 25 A. Yes, sir.

- 1 Q. So I'm not real familiar with the NS training program. Could
- 2 | you very generally walk me through it, to the best of your
- 3 knowledge? I know it's been 16 years. I'm sure there's been some
- 4 changes. But new hire gets hired on and goes to Atlanta; is that
- 5 right?
- 6 A. Yes, sir.
- 7 Q. And then does their book training, and then comes out to the
- 8 property for on-the-job training?
- 9 A. I think they go straight three weeks, if I'm not mistaken.
- 10 Q. Okay.
- 11 A. They don't do like we did. We had a break in between. I
- 12 think they go straight three weeks, go through the book process,
- 13 take the signal test. Then they come back, get with us onsite, I
- 14 do believe. I'm not too sure.
- 15 Q. Okay.
- 16 A. I think it's a three-week process. I'm not sure.
- 17 Q. You had alluded to the trainees being on the locomotive. Is
- 18 that actually part of the training program, or is that just in
- 19 circumstance where they happen to catch a junior conductor, and by
- 20 rule they're not allowed to work with that conductor so they're
- 21 isolated to the cab of the locomotive?
- 22 A. It's a safety feature. They can't be on the ground with a
- 23 | conductor that has less than a year's service. So they put them
- 24 up in the locomotive with us, so they'll be safe.
- 25 Q. Do you know if that time in the locomotive counts toward

- 1 | their qualification or the actual training?
- 2 A. That's something -- I can't answer that, sir. I'm not sure.
- 3 Q. Do you know what the determining factor is by NS standards
- 4 | for a conductor to become qualified to train another conductor
- 5 trainee?
- 6 A. One year, I believe.
- 7 Q. One year? Okay.
- 8 A. And then it's, I think it's one year.
- 9 Q. Okay. I have nothing else. Thank you, Mike.
- 10 A. Yes, sir.
- MR. FANNON: Randy Fannon. I have no follow-up questions.
- 12 MR. SMITH: Yes, sir.
- MR. GOODEN: Dave Gooden, Norfolk Southern, no questions.
- 14 MR. SMITH: Yes, sir.
- 15 MS. GARCIA: Anne Garcia. I have no further questions.
- MR. SMITH: Yes, ma'am.
- 17 MR. LLOYD: I don't have anything. Anybody else?
- 18 Mike?
- MR. BULL: No, sir. I'm good. Thank you.
- 20 MR. SMITH: Yes, sir.
- BY MR. LLOYD:
- 22 Q. Just a couple little follow-up, Mr. Smith, just --
- 23 A. Yes, sir.
- 24 Q. -- in the record here. So again, what was your train
- 25 | identification for that night?

- 1 A. H63H007, because today's the 8th, right? Yeah. The date,
- 2 | yeah, the 7, yeah.
- 3 Q. So we got H as in Henry, 63?
- 4 A. Yes.
- 5 Q. Then H again, as in Henry?
- 6 A. Yes. Zero --
- 7 Q. Zero, zero, seven?
- 8 A. Yes, sir.
- 9 Q. Okay. Do you take any prescription or nonprescription drugs?
- 10 A. Yes, sir. I take prescription drugs. Take nifedipine for
- 11 | high blood pressure, and I forgot the other name, metformin for
- 12 diabetes.
- 13 Q. Okay. And we discussed your --
- 14 A. No other drugs.
- 15 Q. And what was your last certification date for your engineer?
- 16 A. I just got my ride, I want to say September of '17 or October
- 17 | '17 -- '18, I'm sorry. '18, I'm sorry. '18, '18, '18. I got it
- 18 | in my pocket, I think, or it's in my bag. It's September of 2018
- or October 2018, by Road Foreman Ogee (ph.), Mr. Ogee.
- 20 Q. Did your training prepare you for the requirements of this,
- 21 of the job that you perform?
- 22 A. Yes, it did at the time. Yes, and above.
- 23 Q. I know you explained this earlier, but did you use your cell
- 24 phone while on duty that day?
- 25 A. No, not till the incident, sir. Not till the incident.

- 1 Q. Do you consider this a safe place to work?
- 2 A. Yes, most definitely. In Baltimore City, where we at, yes
- 3 | sir. No joke. Baltimore, it's a safe place. Yes.
- 4 Q. Good.
- 5 A. We watch out for everybody around here. It's a safe place.
- 6 Yes.
- 7 Q. And if anything, is there anything else that you want to add,
- 8 so we can -- before we go?
- 9 A. I'm just sorry that Mr. Gilmore lost his life. I'm sorry for
- 10 his family. I tried my best to watch out for him and all the new
- 11 | conductors as best as I can, when I have them. But, in this
- 12 circumstances, I just don't know how it happened. I truly don't.
- 13 And I'm just sorry it happened.
- 14 Q. I like to end on a learning note. If we can take anything
- 15 | out of this, what do you think could have been done different to
- 16 prevent this accident from happening?
- 17 A. Maybe I could have got him a ride from the van driver. Maybe
- 18 | he could have walked. There's just so many things that could come
- 19 up, you know, with a new guy, because most people ride. So I
- 20 | could have probably got him a ride, to ride back to the switches
- 21 and double-check them to give me a car count. Or he could have
- 22 | walked, or anything. Or he could have asked for assistance. I
- 23 don't know.
- It's just so many -- it's a lot of things that I can't answer
- 25 that, sir. But I probably could have got him a ride, or he could

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1
    have walked. I would stick with those two.
2
         MR. LLOYD: Anything else, anyone?
 3
         All right, Mr. Smith. I'd like to thank you. This --
 4
         MR. SMITH: Yes, sir.
 5
         MR. LLOYD:
                      Call this meeting closed, this interview closed.
 6
    Off the record.
 7
          (Whereupon, the interview was concluded.)
8
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## CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: BAYVIEW YARD CONDUCTOR FATALITY

BALTIMORE, MARYLAND FEBRUARY 7, 2019

Interview of Michael Smith

ACCIDENT NO.: RRD19FR004

PLACE: Baltimore, Maryland

DATE: February 8, 2019

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

Pamela Jacobson Transcriber

3/21/2019

INterview Transcript RRD19FR004



I.Michael Smith have read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE			
7	23	Grasmick Not Grassman			
7	25	Grusmick Not Grassman			
8	l	Grasmick NOT Grassman			
9	17	I not he			
9	17	I gave him NOT gave me			
9	18	protection Not attachment			
9	24	the next to the cab NOT go be			
changes in tl		y statements and that it is true and correct subject to any tance entered here.			



I, Michael Smith have read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE			
9	25	Remove the word lift			
9	25	cab Not cad			
10	11	lined not live			
10	16	length not lift			
10	17	length Not lift			
	20	lined not aligned			
12	4	saying Not seeing			

I declare that I have read my statements and that it is true and correct subject to any changes in the form or substance entered here.

Date: 3-20-19	Witness:
---------------	----------



I, Smithave read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE		
13	24	Ponca wat Parker		
14	20	Grasnick NOT G-855 mgn		
15	3	38 A NOT 4 \$ 38		
19	7	under near NUT ON		
19	18	shop not shot		
22	9	brought not bring		
23	13	McClure not Macour		

I declare that I have read my statements and that it is true and correct subject to any changes in the form or substance entered here.

	3-76-10	
Date:	22019	Witness:



In Charle Smith, have read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE			
23	16	Ponca not Parker			
24	5	Instructed NOT instrumented	vi+h		
24	12	7:11 AM Not 7:11			
25	14	7:11 AM NOT 7:11			
24	3	2:30 PM Not 2:30			
30	10	H62 not 862			
30	14	H62 not 862			

I declare that I have read my statements and that it is true and correct subject to any changes in the form or substance entered here.

Date:	3-20-19	Witness:
-		



I, Michael E. Sm. Khave read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE				
30	15	H62 not	862			
31	3	Terminal	Not	turn		
31	12	6:30 AM	not	6:30		
38	23	Geddie	not	GAddy		
43	21	Tilleyls		Tildyls		
48	20	51ck	not	SIX		
52	25	Morris	not	Mouse		

I declare that I have read my statements and that it is true and correct subject to any changes in the form or substance entered here.

Date: 3-20-19

Witness:



Inchael Smith, have read the foregoing pages of a copy of my testimony given during an interview relating to the accident that occurred on February 7, 2019, in Bayview Yard; Baltimore, Maryland, and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	AGE NO: LINE NO: CHANGE AND REASON FOR CHANGE				
56	21	462 n	ot A	62	
59	3	9:30 PM	not	9:30	
61	10	Consolibated	Te-mina	l not	Terminal Consolidation
changes in th	e form or subst	y statements and that it is tru tance entered here.	e and correct su	bject to any	
Date: 3	20-19	Witness:			