

## **MEMORANDUM FOR RECORD – SMS**

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## Safety Management System

The FAA advisory circular (AC) 120-92B Safety Management Systems for Aviation Service Providers dated January 08, 2015, states in part:

An SMS is an organization-wide comprehensive and preventive approach to managing safety. An SMS includes a safety policy, formal methods for identifying hazards and mitigating risk, and promotion of a positive safety culture. An SMS also provides assurance of the overall safety performance of your organization. An SMS is intended to be designed and developed by your own people and should be integrated into your existing operations and business decision making processes. The SMS will assist your organization's leadership, management teams, and employees in making effective and informed safety decisions.

The operator had a fully functioning SMS system in place at the time of the accident, which included the following components: Safety Management Policy, Safety Risk Management, Safety Assurance, and Safety Promotion.

Aero Air, LLC, Safety Management System Manual, was a PRISM Solutions publication copyrighted in 2015 by ARGUS International Inc. Chapter 4 of the manual, Safety Assurance stated in part:

Safety assurance demands Aero Air actively monitor systems and processes to continuously identify new hazards, measure the effectiveness of aircraft operations and maintenance, and monitor risk controls that have been implemented in order to ensure compliance with internal and external requirements.

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The manual went on to state that Safety Assurance required continuous monitoring of organizational performance utilizing a proactive internal evaluation program designed to assess conformity with internal and external requirements, measure the effectiveness of safety risk controls, monitor products and services received from vendors and contractors, assess the company's system and process performance, and identify hazards and deficiencies.

End of Record