UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

Investigation of:

*

CALTRAIN COLLISION WITH

CONSTRUCTION EQUIPMENT NEAR *

SAN FRANCISCO, CALIFORNIA, * Accident No.: RRD22LR007

ON MARCH 10, 2022

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Interview of: GILBERT M. PADILLA, IV, Watchman
TransitAmerica Services, Incorporated

San Francisco, California

Saturday, March 12, 2022

APPEARANCES:

DICK HIPSKIND, Railroad Accident Investigator National Transportation Safety Board

RICK BRUCE, Track Safety Specialist Federal Railroad Administration

JAMES RIDGEWAY
California Public Utilities Commission

ROBERT SEBEZ, Acting Director, Safety and Security Caltrain

JIM BROWN, Safety Officer Caltrain

DEREK BROWN, Deputy General Manager TransitAmerica Services, Incorporated

MITCH MCCARTHY, Vice Chairman BMWED

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INTERVIEW

MR. HIPSKIND: All right. Good afternoon, everybody. My name is Dick Hipskind, and I am a railroad accident investigator for NTSB, and I am the track and engineering group chairman for NTSB for this accident. We are conducting this interview on March 12, 2022 with Gilbert M. Padilla, IV, who works for the TransitAmerica Services, Incorporated as a watchman. The interview is being conducted in person at the Westin Hotel in San Francisco, California.

This interview is part of NTSB's effort in conducting a series of follow up interviews with the parties to the investigation in which we are conducting an ongoing investigation of Caltrain train's number SB506, its collision and derailment that occurred on March 10, 2022 on Caltrain's railroad on main track 2 in San Bruno, California. The NTSB accident reference number is RRD22LR007.

Before we begin our interview and questions, let's go around the table, introduce ourselves. Please spell your full name, and please identify who you are representing and your title. I would remind everybody to speak clearly and loudly enough so we can get an accurate recording. I'll lead off and then ask for the other interviewers to identify themselves according to the order previously established.

Again, my name is Dick Hipskind. The spelling of my full name is D-i-c-k, H-i-p-s-k-i-n-d. I am a railroad accident

investigator for NTSB on this accident.

Rick?

MR. BRUCE: Rick Bruce, R-i-c-k, Bruce, B-r-u-c-e, I'm with the Federal Railroad Administration. I'm the track safety specialist.

MR. RIDGEWAY: James Ridgeway, J-a-m-e-s, Ridgeway, R-i-d-g-e-w-a-y. I'm with the CPUC Track.

MR. SEBEZ: Robert Sebez, R-o-b-e-r-t, S-e-b-e-z, Safety with Caltrain.

MR. JIM BROWN: Jim Brown, J-i-m, B-r-o-w-n, with Caltrain Safety.

MR. DEREK BROWN: Derek Brown, D-e-r-e-k, B-r-o-w-n, Deputy
General Manager for TransitAmerica Services, Incorporated.

MR. HIPSKIND: All right. To all the interviewers, thank you. Prior to recording our interview, I spoke with Gilbert to request his permission to record our discussion today, and to obtain his permission to go on a first name basis. Gilbert, has there been any change to your affirmation to those conditions?

MR. PADILLA: No, there has not.

MR. HIPSKIND: All right. And you'll have to speak up just a little bit. Hearing no objections, let us proceed with the interviewee's introduction. But before I get to that, do you want to have a representative with you today?

MR. PADILLA: Yes, I do.

MR. HIPSKIND: Mitch, would you put yourself on the record?

MR. MCCARTHY: My name is Mitch McCarthy, M-i-t-c-h, last name McCarthy, M-c-C-a-r-t-h-y, and I'm a vice chairman for the BMWED representing Mr. Padilla.

INTERVIEW OF GILBERT M. PADILLA, IV

BY MR. HIPSKIND:

- Q. All right. And, Gilbert, would you please put yourself on the record?
- 8 A. Okay. I'm Gilbert Padilla, IV. G-i-l-b-e-r-t, P-a-d-i-l-l-9 a, I-V. I'm a watchman for TransitAmerica.
- Q. All right. Thank you, Gilbert. I'm going to give you a couple of questions just to kind of get your background with us.

 Please give us a synopsis of your work history, bringing us up to your current position, and how long you have held it, and we're

asking you for your railroad related employment.

A. I started the railroad on September 13 of 2021. Roughly been here for six, seven months. First started as -- on the track, track maintenance gang. I worked with them for two months until I filled in a vacancy spot for welder helper, so I started welding for another two to three months with the welders, and then right after that I bided for a watchman position. I've been there for roughly a month or so. I've just been shadowing other experienced watchmen and they're teaching me how to become a watchman myself, and what's the right thing to do, and everything to do safely and

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different individual to have and provide different types of safety

protect our contractors, and just learning the ropes in every

- and how everybody can set perspectives on how they work and brief everybody to their jobs.
- Q. Gilbert, is it fair to say that you're trying to expand your skill set?
- $5 \parallel A$. Yes, sir, I am.

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- Q. Okay. Thank you for that. And in your position on the day of the accident, please describe to us your duties and responsibilities in that position.
- So on the day of the accident, my duties were to shadow 9 10 Tim Hidalgo. I was shadowing him because I never been in a form B 11 (ph.) before, so he was going to teach me how to run a form B 12 before, so he was going to teach me how to run a form B and he was 13 teaching me how to hyro on tracks and it's a little bit of 14 piloting in everything, but mostly it was mostly to learn the form 15 B and how everything in the form B works, how to talk to the 16 (indiscernible) employee that was in charge, and slowly learn how 17 they talk to the train engineer so they can attach the form B nice 18 and safely for us, and how to clear out and do everything properly 19 for the form B.
 - Q. Okay. And on the day of the incident, kind of give us an idea of when you started and where you met up with the other members of the group and conducted a job briefing if that's what you did.
- A. Well, at the time we started was 6:30. I met up with
 Tim Hidalgo. We went to his work truck and moved all this stuff,

and then we grabbed some of my watchman gear and put into a hyro truck. We got everything situated and made sure the hyro truck was inspected and everything was nice and good. We had to grab track warrants and other form B number, and grab a case of water, and then we waited for Wilber to talk to the manager, the (indiscernible) manager, and all three of us got into the truck and we met up with Claude (ph.), had visitations, do a briefing at 7:30.

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We roughly got there around 7:20, 7:25, and then Claude had the briefing ready, and then he let me do -- speak out for the briefing because he wanted to know how I briefed the people because, you know, everyone has a difference, so I briefed the contractors, and then right after I was done briefing the contractors, Claude, he added more to the briefing to show me like more detail to get into explaining how to get more in depth with the contractors and the briefing to make sure they got everything understandable, and then you talk about everything that needs to be done. Then during that briefing, Claude was saying that what they were doing, they said first we're going to do the -- put up the red -- yellow/red flags for the form B, so him and Wilber were going to do the flags for the southbound trains, and me and Tim were doing the flags for the northbound trains, and then he said right after that we're going to meet up with the contactors at Center, Center Street, and then we're going to wait for tracking time, brief there, wait for tracking time so we can get on track

so we can go to San Bruno yard so we can -- so they can grab materials, the poles so they could put up the (indiscernible) poles because that's what they were going to do that day inside the form B limits.

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So right after that, me and Tim, we grabbed our flags and made sure we had our flags and bolts and everything we needed, and we went to 11 -- I think it was 11.9 to put down the yellow red flags, and then right after that we went to -- made sure we put those up right, make sure that the flags were facing the right way, then right after that we went to 9.8 to put up the red flags for work zone. Once we put those up, we made sure that it was -they were good, facing the right way, made sure they were in the right spot, lined up perfectly, and then after that we went to Center Street and met up with the contractors, and then we had a little briefing with some to make sure what track we were going and exactly what we were doing. They said we were going to be on main track 2, or hired only on main track 2 and they were going to San Bruno yard at 11.7, and then once we -- they explained that, him and Tim, Tim told them that we were waiting for Claude to get check in time for us and make sure everything was confirmed that we were able to go on track. And then we waited roughly around 20, 25 minutes the track time, and then once they got -- once Claude got the track time, he called Tim. He gave us the track The first track time he gave us was authority number 209. It was between Sierra and Scott on main track 1, roughly I think

it was around 0931 when he got it, and he acknowledged us that at 0932. And then roughly after that he gave us authority number 2010. That was going to give us on track between North Scott to Center on main track 2. He got that I think at 0932, and then he acknowledged us at 9:34, and then once he got that, I got -- me and Tim got our hyro trucks onto the tracks, and then he told Claude to -- if we could get main track 1 between Scott and Center so we could get the crane trucks in because there was going to be a -- before they put on the tracks they were going to be blocking both tracks so there's a tight space so Claude got him on main track 1.

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As soon as I got on the tracks, I went up like two cadney (ph.) poles up and then Tim would wait for check time on main track 1, so he was on the crossing with the contractors. I was in the truck waiting because I wasn't going to let the truck be by itself on the track because you never know what is going to happen if the truck rolled off, so I stayed in the truck. Then I saw that Tim got the tracking time for main track 1, so then I seen them putting both crane trucks on the tracks. Then once they got both crane trucks on the tracks I went up a little bit, let the crane trucks clear out in the crossing. Once they cleared out, Tim walked to the truck and told me let's go, we're clear, and I was all right, and then we were going to the San Bruno yard at 11.7, and then once we got there, we jumped out of the truck. Once we got out of the truck, the contractors was saying that

they're going to start grabbing the materials and everything, and me and Tim said okay. Tim grabbed a watchman disk, I grabbed two irons and then he gave me a radio, and he had a radio, and they were on the platform right by our truck, you know, just watching to make sure they weren't going to following the other tracks between tracks when they're walking around, grab stuff out of the trucks, and we had a southbound come by and then roughly after that we had a northbound come by. Tim was grabbing his lunch box. He was eating a snack right before the northbound passed us, but once the northbound passed us, he got done then eating, so he just grabbed another snack and everything, and then he asked me if I wanted to take a lunch. I told him, no, I took one like roughly an hour after that because he said we're going to be busy. I was like, no, I'm okay right now, and then right after that he was going inside -- he leaned over inside the driver's side of the truck, he was putting his lunch box away, and then I was just, you know, just looking out and make sure trains, you know, honk my horn when I see a train coming, and then I heard on the radio a southbound was coming, it went through a form B limits and then Claude excused it. I was like, okay. So we had a southbound coming. I knew the southbound was coming, so I started seeing the lights of the southbound, and then when I seen the lights, I honked my horn to let the contractors know that we had a train coming, to not be in the middle of the tracks, to stay on our tracks on the platform, but they were supposed to be on the

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platform. All of them went on the platform. One of the contractors from one of the crane and then once after that I made sure that everybody was not in between the tracks, and then I see — I looked over at the train. I was watching the train, and then I seen the train was exactly on main track 2, and then I froze there for a quick second, and I yelled at Tim, like Tim, Tim, Tim, the train's on our track, the train's on our track. Tim looked up, panicked. He got on the radio, emergency, emergency, and we just started yelling and yelling and yelling, and then next thing you know, the train's like right in front of our truck. We ran towards the train like on the platform towards the train to stay away from the debris. We just yelled. Tim was going crazy on the radio, emergency, emergency, emergency.

The next thing you know the train real quick passed right by us, just hit our truck at impact, and then hit everything, and I remember that, just hit our truck and then a burst of flame came up, black smoke, and then I just -- at that moment, it didn't feel real, and then I just felt like I was in a dream, and then I kept telling Tim, I was like what was -- what's going on, dude. I was like we was supposed to have tracking time. This track's supposed to be out of service, we're supposed to be protected, positive, and he didn't know what to say. He just panicking. He's on the radio, and then I tried keeping myself together, and I seen all the passengers coming out of the train, and then some passengers were on the tracks, and I told them to stay off the tracks because

sometimes, you know, you never know that a train might be close by and hit our debris or whatever is on the other track. I kept forcing them to get off the track. Some of them wouldn't get off the track, but there was only so much I could tell them, and I told them just stay off the tracks, I'm going to find them a way because they said the other entrance on main track 1 is locked. told them that just stay off the tracks, I'll find you guys a way out of the tracks, and then we grabbed one of the fences from the yard and lifted it up and moved it, and we told all the passengers to get off the track safely, just hurry up, move it up fast, don't take your sweet time. Some didn't listen, so one of the contractors was there with us. He was yelling at them, too, just get off the track, get away from the trains, like go to the street side, and then right after that, I seen the conductor come out of the train and I told him that everybody out of the train. everyone's out of the train, everybody's out of the train, and then he said we can't contact our engineer, and we couldn't get a hold of him, and I told okay, let's clear the tracks and we can try to at least see if we can get in contact with him because we were going to go and see if he was still there, but there was too much black smoke and fire everywhere, and everything was just -there was a lot of debris everywhere. And then right after that everyone cleared the tracks, the fire department and the police came, everybody came. I made sure that all the contractors were accounted for, and they said -- I seen them put one contractor in

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the truck. They said they were going to take him to the hospital, so at that point I knew that one contractor was hurt, but nothing serious, but the only serious that they said that -- I heard the that contractor went on fire a bit, but they took it out, so they took him to the hospital. And I talked to the other contractor, told him that they had everybody accounted for. He said they had everybody, everybody's safe, just one guy going to the hospital. I was okay, so all I knew that the engineer wasn't responding to nobody, so I was scared that he was pretty hurt. I didn't want to have any type of critical injuries or anything. I just wanted everybody to be safe. And after that when the fire department started taking out the fire and everything, I made sure Tim was okay. Tim kept blaming himself. I told him it's not your fault, we don't know what happened, dude. We did everything we could. We had tracking time. I don't know what happened, and after that, I feel my whole body pull down. I just started like crying and everything because I was so scared because the train was like right next to us. If it wasn't for me looking again at the train, we could have had a pretty bad fatalities, so -- and then right after that, just everything -- the day just went by slow. Okay. Thanks for all of that, Gilbert. I appreciate that you went through everything and we didn't have to ask you a lot of questions, but I do want to go back and I do want to ask you a couple of questions about the job briefing. Was everybody that was on that particular task for that day, were they present at the

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- job briefing, physically present?
- A. Yes, they were there.

- Q. Okay. And kind of characterize for us what was the takeaway
- 4 in terms of tracking time, not the form B, but in terms of
- 5 | tracking time, what was relayed to the group? Did you -- was
- 6 there any tracking time that was talked about?
- $7 \parallel A$. All I heard was that tracking time was going to be just from
- 8 -- to Sierra to Scott and North Sierra to Center.
- 9 Q. You have to speak up a little bit.
- 10 A. I'm sorry. I'm thinking. All I heard was tracking time was
- 11 going to be from Sierra to Scott and then from North Sierra to
- 12 Center, but they were just saying everything was going to be the
- 13 same from yesterday, and then I asked Tim actually what was from
- 14 yesterday, and he told me they were just going to go to San Bruno
- 15 yard because it was my first day with them on the form B. That
- 16 \parallel was the whole week because I guess they'd been with chug (ph.) the
- 17 whole week so they knew exactly what was happening. It was my
- 18 | first day with them on the form B because the manager wanted me
- 19 there to get learning for the form B so I know what to do if I was
- 20 | ever on a form B, but besides that, they said tracking time was
- 21 | just going to be the same as yesterday and the day before, and
- 22 | that's when I asked Tim what was the tracking time that we're
- 23 | having, and that's when he told me it was Sierra to Scott and
- 24 | North Scott to Center on main track 2, both of them, so that's all
- 25 | I remember that was discussed.

- 1 Q. Okay. But you took a swipe at conducting a job briefing.
- 2 \parallel It's all part of your kind of internship or --
- 3 A. Yeah.
- 4 Q. Okay. So did you tell the group that they had tracking time
- 5 on either main track at the job briefing?
- 6 A. No, Claude told them that we were waiting on tracking time
- 7 | because we didn't have approval at that time because the approval
- 8 was at 9:30 and it was only like around 7:30, so he said we had to
- 9 wait, so they never -- in the first briefing we never had a
- 10 discussion, really a discussion about tracking time until we got
- 11 to Center, and that's when we -- me and Tim discussed tracking
- 12 time with them.
- 13 Q. Okay. Did you overhear the conversation that Tim had with
- 14 | Claude?
- 15 A. When he received the two tracking time limits, I did hear
- 16 that. I was next to Tim when he received both of them, but
- 17 anything about releasing any tracks I did not hear none of that.
- 18 | I wasn't there.
- 19 Q. Okay. What was your understanding -- you mentioned two.
- 20 | Take us through what you believe you heard about the two tracking
- 21 | times, which track were they on and for how long, and the limits
- 22 | if you remember.
- 23 A. Okay. The first tracking time was at authority 209 from
- 24 | Sierra to Scott. That got okayed at 9:31. It was until 1600 I
- 25 | believe it was. And then our second piece of tracking time was

- 1 210, it was okayed at 9:34 between North Scott and Center, and 2 that one was until call.
- $3 \parallel Q$. And please take me through the 209 was for what track?
- $4 \parallel A$. Both of them was on main track 2. It was on 209 and 210.
- 5 They were both main track 2.
- $6 \parallel Q$. Okay. And who got those two pieces of tracking time?
- 7 A. Claude got both of the tracking times.
- 8 Q. And how did you hear that? He was having a conversation or
- 9 did it come over the radio? How should I understand that?
- 10 A. Well, as I was informed that our WIC of the group was going
- 11 | to grab tracking time, and then once he grabbed the tracking time,
- 12 he was going to call Tim, so we were able to copy it down and get
- 13 acknowledged what time to get on track, so that's what I was
- 14 informed of, and that's what happened after he called the -- after
- 15 he grabbed the tracking time, he called me and Tim and gave us the
- 16 tracking time so we could be on the tracking time so we got
- 17 rolling.
- 18 Q. Okay. And the tracking time of the other one, 210, that was
- 19 on main track 2 as well?
- 20 A. Yes, that was on main track 2.
- 21 | Q. Okay. And was the track -- was the next authority for
- 22 | tracking -- or request for authority for tracking time, that was
- 23 211?
- 24 A. I believe it was. I wasn't there when he gave Tim the
- 25 | tracking time, but I heard that it was 211, but any other

information on that I don't know about.

- Q. Okay. Does it make sense that they would have reached out to the dispatcher to get tracking time to set the equipment on, and
- 4 | that would have been for filing main track 1?
 - A. Yes, sir. It was.
- $6 \parallel Q$. Okay. Let's go back to the job briefing again.
- 7 A. All right.

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- Q. Did you or anybody at the job briefing, did you detail what specifically you were going to do after you set on main track 2?
 - A. Yeah, I was informed that we were going to get on at Center on main track 2, and we were going to go to 11.7 to San Bruno yard to pick up poles, and right after that we were going to go to our work zone inside of form B right after they loaded up and drop off
- 14 the pole -- either drop off the pole or they were going to install
- 15 the pole first, and then we were supposed to make our way back to
- 16 San Bruno yard at 11.7 to grab more, I guess another pole among
- materials, and then go back into the form B and then stay inside
- 18 of form B for the rest of the day and just work.
- 19 Q. So the description about going to 11.7, stopping and picking
- 20 up material, is that something that you expressed to the group as
- 21 part of the task, the sequence of task, or was that something that
- 22 Claude covered?
- 23 A. Claude covered that one. It was me, and the contractors, and
- 24 | -- but he -- the contractor's foreman was the one who said that we
- 25 were going to stop at San Bruno yard first at 11.7.

- Q. Okay. So let me kind of truncate what I understand. After the job briefing, the sequence was equipment, go down to Center, don't set on, wait until somebody arrives, we'll get protection for you, we'll get you tracking time on two, we'll get you tracking time on one while you temporarily follow it, but the idea was that after you're on track 2, go up to 11.7, the fabrication yard and load up material.
- A. Yes, sir.

- Q. Typically how does that -- how long does that take?
- A. I was never with them on how long it took, but we were there probably for a cool 20, 30 minutes before they put the first pole on the trailers, and I didn't know what else they were doing. I don't know if they were grabbing another pole or they were strapping that pole down to the truck, but roughly I don't know how long that takes them to do it because I never been with them, and seen how fast they go, so -- but we're roughly there for like 20 to 30 minutes just loading up one pole and then getting the
- Q. Okay. In your training, if somebody has tracking time authority, talks to the dispatcher, and is intending to release that tracking time authority, whether it's on main track 1, main track 2, whatever, do they typically call the people that that
- 23 | will affect?

little trailer on tracks.

A. Yes, they make sure that -- so if somebody's on the tracking time, they make sure they call and let them know that they're

going to be releasing time (indiscernible) and all of that to let the guys be clear from the track and then if there's going to be no tracking time, so they're going to be following the track to provide TAW, and then if there wasn't no wait on tracking time, we made sure that nobody was following the tracks when he released the tracking time, and then just make sure that like if they need to follow the tracks that let us know so we can provide any type of TAW, but we always make sure that there are people or contractors and watchmen to clear of the tracking time and let them know -- inform them that we're going to release them before we release them.

- 12 \ Q. TAW stands for what?
- 13 | A. Train approach warning.
- Q. Okay. You can't use train approach warning for everything, right?
- 16 | A. Yeah.

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- 17 Q. Okay.
- A. Can't use it for having -- if you're having equipment within
 four foot of the tracks or any type of equipment on tracks you
 cannot have TAW. You only can provide TAW if it's only hand work,
 hand tools, and maybe a little bit of power tools, but no type of
 big equipment, excavators, skid stairs, and all that.
- Q. Okay. At the time, were you with Tim this whole time from when you set on at Center, trammed up to the fabrication yard to load up equipment, were you in his presence 100 percent of the

time?

- A. 100 percent of the time because when the contractors are sitting on track he wasn't with me. I wasn't with him. I was in the truck, he was at the crossing. I went up more to stay in the truck to, you know, make sure that the truck doesn't move on or whatever, but he was at the -- he said I'm going to stay at the crossing to make sure that they're going. Just go two poles up, you know. Once they're getting on just -- I'll meet you in the truck, you just stay where you're at. I was like, okay, that's what we're going to do.
- Q. Okay. Do you have any recollection of any conversation that Tim would have had with Claude or Claude calling Tim to discuss the release of a tracking time authority on main track 2?
- A. No, we were not informed nothing about having anything released on main track 2. We knew that the only way that we were going to release main track 2 was if Tim called Claude to say that we're clear from our limits. That was -- that's what Tim told Claude. He's like we'll let you know when we're out of limits so you can release, he said, but now we're not. We're going to stay on track, but I'll call you back to whenever it's -- once we clear our limits.
- Q. Okay. And by clearing that, I don't want to put words in your mouth, but that would have been after you got up and entered Claude's form B.
- 25 A. Yes.

Q. Right?

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- 2 A. Right after we passed North Scott, that's when Tim was going to call Claude to release track time on 210.
 - Q. Okay. Gilbert, thank you for all that.
- 5 MR. HIPSKIND: Let's see what the other interviewers have on 6 their mind.
- 7 BY MR. BRUCE:
- 8 Q. Gilbert, how was time transmitted specifically? Phone or
- 9 | radio?
- 10 A. It was between the company's phones.
- 11 Q. Phones?
- 12 A. Yeah.
- 13 Q. How many folks with your work group, and be specific,
- 14 contractors to your employees?
- 15 A. That was there at the San Bruno yard?
- 16 | Q. No.
- 17 A. Oh, just at the briefing.
- 18 0. Just at the briefing at Center Street.
- 19 A. There were four contractors, three Caltrain employees. There
- 20 was -- no, no, four Caltrain. There was me, Wilber, Tim and
- 21 | Claude, and then it was four (indiscernible) contractors. There
- 22 was eight of us all together.
- 23 Q. That's all I have.
- 24 MR. HIPSKIND: Thanks, Rick.
- 25 Jim?

MR. RIDGEWOOD: Yes.

BY MR. RIDGEWOOD:

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- Q. So when he was communicating, Robins (ph.) was communicating to Hidalgo, did they do it on the company phone I understand, but do they -- did they do it on speaker phone? Could you hear or were you just getting one side of the conversation?
- A. No, he was on speaker phone when he was collecting the (indiscernible) authority number at 209 to 210. He was on speaker. He was on the passenger side of the truck, I was on the driver's side, and we were both copying it down.
- 11 Q. You both copied it.
 - A. Yeah, I put it -- when he was copying it down, I put it on a little notebook that I had in my pocket. I wrote it all down that what we had, but he wrote it down on our tracking time book, but I believe that was inside of our truck when that happened, so --
- 16 Q. That's all I got.
- 17 MR. HIPSKIND: Thanks, Jim.

18 Robert?

- MR. SEBEZ: Dick, I'd just like to ask for your courtesy if I could ask about the adjacent track at form B. I assure you that it does have relevance.
- 22 MR. HIPSKIND: Sure, go ahead.
- 23 BY MR. SEBEZ:
- Q. So sounds like you were -- you comforted Tim, you were sure what your limits were, right, when you were at San Bruno yard?

- A. Yeah.
- $2 \parallel Q$. Okay. And were you involved in the work the day prior?
- 3 A. No, I was not.
- $4 \parallel Q$. No. Have you been involved in that type of work before?
- 5 A. Not with that specific group, but putting up poles I was
- 6 always with surveying or the OCS crew, or just putting regulators
- 7 | up.

- 8 Q. Okay.
- 9 A. But this typical group I was never with. This was my first
- 10 time with them.
- 11 | Q. Okay. Was -- do you know if main track 1 was ever to be
- 12 | followed throughout the course of the day?
- 13 | A. Well --
- 14 0. Besides sitting on at Center?
- 15 A. Yeah, well, they said -- what I've heard is that they may
- 16 | follow main track 1, but it wasn't a for sure because they didn't
- 17 know if they needed to do anything on main track 1, but it was
- 18 either -- it was -- the foreman of the contractors said they might
- 19 | follow main track 1 just to see what they had to do the next day,
- 20 but besides that, everything was just going to be on main track 2
- 21 that day.
- 22 | Q. Okay.
- 23 | A. That there shouldn't be any type of work on main track 1.
- $24 \parallel Q$. Sure, and the crane was used at San Bruno yard?
- 25 A. Yes.

- Q. And there was no tracking time, no form B for that?
- $2 \mid A$. There was only tracking time on main track 1.
 - Q. On main track 2, right?
- $4 \parallel A$. Oh, yeah, main track 2.
- 5 0. That's okay.
- 6 A. Yeah.

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- $7 \parallel Q$. And what is the reason why Claude wasn't at San Bruno yard?
- 8 A. Because he was putting the flags up on the southbound trains
- 9 with Wilber and then he told us that we're going to meet at
- 10 San Francisco station, south San Francisco station, that me and
- 11 | Tim were just going to -- we were going to meet down at south San
- 12 | Francisco station while they just stay over there, and we just
- 13 hyro there after our work's done.
- 14 Q. Okay. All right. No more questions. Thank you.
- 15 A. Okay.
- 16 MR. HIPSKIND: Jim?
- 17 MR. JIM BROWN: I have no questions. Thank you.
- 18 MR. HIPSKIND: Derek?
- 19 MR. DEREK BROWN: Yes, I've got one question.
- 20 BY MR. DEREK BROWN:
- 21 | Q. At any time were there any discussion with you regarding the
- 22 | tracking time on the main track 1 while you all were getting at
- 23 | Center?
- 24 A. No, I did not hear nothing about -- the only thing I was
- 25 informed at, we were going to get tracking time on main track 1,

- but I wasn't there. I didn't hear them discuss about Tim receiving the main track 1 from Claude or releasing it, so, yeah.
- 3 Q. Okay. Thank you.
 - MR. HIPSKIND: Is that all, Derek?
- 5 MR. DEREK BROWN: Yes, sir. That's all.
- 6 MR. HIPSKIND: Mitch, let's hear from you if there's anything 7 you want to clarify.
- 8 BY MR. MCCARTHY:
- 9 Q. I think it was pretty thorough. You did a great job. Thank
 10 you for your input even though you had to relive the situation.
- 11 It is very important for the safety aspect. I do have one
- 12 question. Is the San Bruno yard and the visitation location the
- 13 | same?

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- 14 A. No, they're like -- they're way different.
- Q. And -- okay, so the job briefing in the morning, was that at the San Bruno yard or at the visitation?
- 17 A. That was at the visitation.
- 18 \parallel Q. Okay. All right. That's fine.
- MR. MCCARTHY: Thank you. I have no further questions or no further --
- MR. HIPSKIND: All right. Thank you. Gilbert, are you still good to go?
- MR. PADILLA: If you have any other questions I'm able to answer, yeah.
- 25 MR. HIPSKIND: Okay. Rick? Jim? Robert? Jim? Derek? Any

other questions?

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UNIDENTIFIED SPEAKER: I'm finished.

UNIDENTIFIED SPEAKER: I have no other questions.

BY MR. HIPSKIND:

- Q. I just want to touch base. Maybe we didn't ask the right question, but for all the conversation about all the tracking
- 7 | time, you've told us what you know.
- 8 A. Yes, I did.
- Q. Okay. And I just want to confirm, if there was a tracking time that Claude released with the dispatcher, you are not aware
- 12 A. No, I was not aware.

that that was done.

- 13 Q. Okay. All right.
- MR. HIPSKIND: Gentlemen, that's all the questions I have.
- 15 If nobody else has any, we'll close out.
- 16 MR. PADILLA: Yeah, we can.
- 17 BY MR. HIPSKIND:
- Q. Let me ask you just these few questions. Is there anything that you would like to add or change about your conversation with
- 20 us today?

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21 A. The only thing I would add is that you guys -- that the last

time I seen Claude was the visitation. Anything else we didn't --

him and Wilber were gone and we were just going to meet them at

- 24 South Center just to make that clear, inform you guys that that
- 25 was the last time we seen Claude before the incident, so that's

- all I have to add.
- Q. But that was part of the plan. Everybody knew that.
- $3 \mid A$. Yeah, me and Tim knew that that's what we're going to do.
- $4 \parallel Q$. And are there any questions we should have asked but did not?
- 5 | A. No.

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- 6 Q. Okay. And do you have any suggestions for preventing a 7 reoccurrence?
- 8 Preventing a reoccurrence? I feel like that if you're 9 getting tracking time or adding tracking time, someone -- if 10 you're with a group, more than two people, that other person 11 should be next to you and knowing if you guys -- if anybody has 12 people, so like you have like a second perspective of everything, 13 make sure everything's clear and that they heard it, that --14 before any type of getting or releasing or any type of thing that 15 makes everybody feel safer than just one person going on because 16 then if one person does something, then not everybody knows, and 17 no one knows what really happened or any type of thing, so I feel 18 like any time you get tracking time or releasing tracking time, 19 you should always have somebody, even if it's a contractor that 20 knows the area or another Caltrain employee, they should be by you 21 referring and making sure that everything's right before you get 22 -- or release it.
 - Q. Okay. Let me just make an observation. I think what you're alluding to is a lot of this communication with the dispatcher probably is being done on cellphones, and not radios.

A. Yes.

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- Q. Is that what you're kind of pointing out or not?
- $3 \mid A$. Well, not really. I was just saying that like say that we
- 4 have more than two people by each other, right, like Caltrain
- 5 employees. I feel like that everybody should refer like -- let's
- 6 say that somebody's releasing track or getting tracking time, the
- 7 other person next to him should go over it again and make sure
- 8 that everything's clear, everything's good and safe because
- 9 there's different aspects that -- recurrence or any type of thing
- 10 that could happen that might not -- because I just want to like
- 11 know what happened, why did this happen, like I know you guys are
- 12 | the same, want to know why this happened, and I feel like why it
- 13 | happened have like different types of conclusions in my head, what
- 14 could have -- what happened, what didn't happen and everything,
- 15 but I feel like it should be better reference or type of protocol
- 16 | of calling tracking time and stuff like that.
- 17 0. Better communication.
- 18 A. Yeah, better communication.
- 19 Q. Especially when it concerns tracking time and release --
- 20 A. Yes.
- 21 0. -- of tracking time.
- 22 | A. Yes.
- 23 0. Okay. Is there anyone else we should interview? We
- 24 | interviewed Claude, Tim, you. We'll probably interview a couple
- 25 more personnel that were out there.

1	A. No, I think that's it.		
2	Q. Okay.		
3	MR. HIPSKIND: Listen, Gilbert, great interview. We		
4	appreciate your assistance to the investigation, and with that,		
5	we'll close the interview.		
6	(Whereupon, the interview was concluded.)		
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: CALTRAIN COLLISION WITH

CONSTRUCTION EQUIPMENT NEAR SAN FRANCISCO, CALIFORNIA

ON MARCH 10, 2022

Interview of Gilbert M. Padilla, IV

ACCIDENT NO.: RRD22LR007

PLACE: San Francisco, California

DATE: March 12, 2022

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

of the same

Lois D. Rush Transcriber