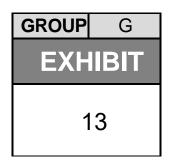


NATIONAL TRANSPORTATION SAFETY BOARD Investigative Hearing

Norfolk Southern Railway general merchandise freight train 32N derailment with subsequent hazardous material release and fires, in East Palestine, Ohio, on February 3, 2023



Agency / Organization

East Palestine Police Department

Title

Interview Transcript – Detective

Docket ID: DCA23HR001

UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

* * * * * * * * * * * * * * * * * *

Investigation of:

NORFOLK SOUTHERN TRAIN DERAILMENT *
IN EAST PALESTINE, OHIO * Accident No.: RRD23MR005
ON FEBRUARY 3, 2023 *

* * * * * * * * * * * * * * * * * * *

Interview of: DAN HAUETER, Detective

East Palestine, Ohio Police Department

Via Microsoft Teams

Friday, March 3, 2023

APPEARANCES:

RICHARD DOWNS, Investigator National Transportation Safety Board

CYNDI LAKE, Investigator National Transportation Safety Board

MARK DOUGHERTY, Investigator National Transportation Safety Board

TROY LLOYD, Investigator National Transportation Safety Board

I N D E X

ITEM				PAGE
Interview	of	Dan	Haueter:	
	Ву	Mr.	Downs	6
	Ву	Ms.	Lake	11
	Ву	Mr.	Dougherty	19
	Bv	Mr.	Llovd	20

INTERVIEW

(10:35 a.m.)

MR. DOWNS: Good morning. Today's date is March 3, 2023.

The approximate time is 10:35 a.m. This interview is being implemented virtually utilizing Microsoft Teams and is being conducted by the Investigative Staff of the National

Transportation Safety Board in connection with the Norfolk Southern Railway train derailment that occurred in East Palestine, Ohio on February 3, 2023. The NTSB Accident Investigation Reference Number is RRD23MR005. This is an interview of Detective Dan Haueter. Did I pronounce that correctly sir?

MR. HAUETER: You did, yes.

MR. DOWNS: Very good, who's affiliated with the East
Palestine Police Department. Detective, good morning. Thank you
for joining us today, and if you could kindly please spell your
last name and state your current job title for the record.

MR. HAUETER: Right. It's H-A-U-E-T-E-R, and I'm a Detective with the East Palestine Police Department.

MR. DOWNS: Very good. And you understand that this interview is being recorded?

MR. HAUETER: I do, yes.

MR. DOWNS: Thank you. Now before we start this interview and questions, I'd like to advise the participants that NTSB interview procedures under 49 C.F.R. 831.7 affords persons being interviewed the opportunity to be accompanied by one

representative of their choosing in which that participating representative can be anyone, or the interviewee can elect to have no representative to be present. So I'll ask our interviewee, have you elected to have a representative accompany you in this interview?

MR. HAUETER: I have not, no.

MR. DOWNS: Thank you, sir. Now the purpose of the investigation is to improve safety and not to assign fault, blame, or liability. The sole mission of the NTSB is to improve transportation safety and prevent accidents, and as such, NTSB cannot offer any guarantee of confidentiality, immunity, or immunity from any legal proceeding by any other agency whether it's local, state or federal.

Also I just wanted to note to all the participants here, a transcript of this interview will be compiled and placed in the NTSB public docket for this investigation which will be available through the NTSB website, that being www.ntsb.gov.

Now with the above admin items covered, let us go around the virtual room here and introduce yourselves. I'll ask that you please spell your last name, what entity you are representing or affiliated with, and your employment title. I would like to remind everyone to speak clearly for the recording and the transcription. Now as we've already identified our interviewee, I'll start off with myself and then we'll go around the virtual room.

My name is Richard Downs, D-O-W-N-S, I'm a Survival Factors Investigator with the National Transportation out of Washington, D.C., D-O-W-N-S, and one of my specialties Emergency Preparedness and Response. And let's proceed to the next person going around the room.

MR. DOUGHERTY: My name is Marc Dougherty, spelling my last name, D-O-U-G-H-E-R-T-Y. I'm a Hazardous Materials Accident Investigator with the National Transportation Safety Board.

MR. LLOYD: Good morning. My name is Troy Lloyd. I'm with the National Transportation Safety Board. I'm a railroad accident investigator. Spelling of my last name is L-L-O-Y-D.

MS. LAKE: Detective Haueter, hello again, my name is Cyndi Lake. I work in the Safety Division at the NTSB and my last name is spelled L-A-K-E.

MR. DOWNS: Okay. Now that we have everybody identified, Detective we welcome you to the investigation, and your responses we ask that they be in your own words of course. And if any questions are unclear or you don't understand the question, please don't hesitate to ask the questioner to clarify or restate the question. And if you don't know the answer, of course it's fully permitted to indicate that you don't know. We don't want to cause speculation.

INTERVIEW OF DAN HAUETER

BY MR. DOWNS:

Q. Okay. Detective, can you please tell us about your

background, education, expertise with the Police Department there?

- I've been in law enforcement 30 years. I had spent 20 years on the SWAT team, the tactical team here in the County. assigned with the Mahoning Valley Human Trafficking Task Force
- working human trafficking-related crimes in addition to general 5 detective duties with the East Palestine Police Department.
 - I've had prior training in explosive and mechanical breaching obviously with the TAC team, defensive tactics, chemical weapons, CSOC, stuff like that in addition to general investigatory training classes throughout the course of my 30 years.
- 11 Very good. And what positions have you had in your career 12 with the PD?
- 13 Patrolman and then Detective.

1

2

3

4

6

7

8

9

10

- 14 Boy, it sounds like you worked your way up through the ranks.
- 15 Second generation law enforcement. That's pretty much all
- 16 I've known throughout the course of my life.
- 17 Very good, thank you. You say you've been there 30 years with the PD? 18
- 19 Not with that PD. I worked with another PD prior to going to 2.0 East Palestine.
- 21 And what police department was that?
- 22 I worked with the New Waterford Police Department and I also 23 spent time with the Salem Police Department and the Goshen
- 24 Township Police Department early in my career.
- 25 Sorry, what was the first one you mentioned?

- A. The New Waterford Police Department.
- 2 Q. Is that Ohio?
- 3 A. Yes sir, all in Ohio.
- 4 Q. All in Ohio, okay. We covered your duties, and who do you 5 report to?
- 6 A. Chief Jim Brown.
- 7 Q. That's the Chief of Police?
- 8 A. Correct, yes.
- 9 Q. And your role in the response to the train accident, what was
- 10 | that?

- 11 A. I was actually working that evening and I was the first one
- 12 on scene at the crash site.
- 13 Q. You were working as a detective. You don't patrol the city,
- 14 | is that correct?
- 15 A. Correct, right.
- 16 Q. But you were at the station when you heard the radio call?
- 17 | Is that how it transpired?
- 18 A. Yes. I was at the station when the 911 calls started coming
- 19 in. One of the patrol officers walked past my office, asked me to
- 20 go with them because they -- a call had come in that there was an
- 21 explosion behind the Leake Oil in downtown East Palestine. Now
- 22 | there's two Leake Oils. There's one downtown East Palestine,
- 23 approximately, not even a half-mile from our station, and there's
- 24 | also the Leake Oil where the train derailment happened. And
- 25 | that's approximately one mile east of our station.

- Q. I see. And it was clear to you which gas station they were referring to?
- A. No, the call came in as the Leake Oil downtown. So our initial response was to the downtown area.
- Q. Okay. And were you able to see fire and everything, and was that how you recognized it was different? Or what transpired once you got to that initial location?
- A. We went downtown, myself and the other patrol officers, and obviously we did not see any type of explosion, any type of fire, any type of smoke. However, there was a train stopped on the tracks, so we had to take an alternative route to try to find, you know, what the caller had seen because we didn't see anything in
- Q. So was the call, initial call, did it mention, do you happen to know if it was a train event or just an explosion?
- 16 A. Just an explosion.

that area.

13

- Q. Just an explosion. So the fact that there was a train there, there was no direct connection at that point, is that correct?
- 19 A. That's correct, yes.
- Q. Very good. And what prompted you to head in the eastern direction rather than around the other way?
- A. Well, the train was blocked so we had to head west, take the bridge, the West Street bridge to get over the tracks. And then occasionally throughout my career, I've seen calls come in, Baron will be on fire, something will be on -- a small fire on a train.

- 1 \parallel So I thought maybe somebody had seen that so that's why the train
- 2 | had stopped. So we were checking the other crossings to see if at
- 3 any point, you know, one of the train, you know, there was
- $4 \parallel$ something was wrong with the train and that's why it stopped.
- $5 \parallel Q$. Thinking perhaps it was just a small routine fire as had been
- 6 previously experienced, is that a fair assessment?
- 7 A. Correct, yes. And possibly the caller just heard a loud
- 8 bang, saw some flames, and called it in as an explosion.
- 9 Q. So it sounds like because you saw no flames or fire in that
- 10 general area, and the distance between the two Leake stations is
- 11 | roughly how far apart, a couple miles?
- 12 | A. Approximately one mile.
- 13 Q. One mile. So it sounds like the fires at that juncture,
- 14 | based upon your location, you had no visibility of a fire. The
- 15 | fire might not have been to the magnitude that it later grew to.
- 16 | Would that be a fair appraisal?
- 17 A. That would be a fair appraisal because none of us saw that
- 18 | fire where we were at that point in time, correct.
- 19 Q. Well, how many patrol officers were with you? How many cars
- 20 | roughly?
- 21 A. There were three cars.
- 22 | Q. Three cars, and all patrol cars?
- 23 A. Yes. My car was unmarked.
- 24 Q. Okay. Pardon me?
- 25 | A. My car was unmarked, the other cars were patrol cars.

Okay, very good, and you had your own car so you could transit around on your own. Very good.

MR. DOWNS: Well that settles my initial questions. going to turn the floor over to Ms. Lake initially, and she'll start the round of questioning. Thank you.

MS. LAKE: Okay, thanks Rick.

BY MS. LAKE:

1

2

3

4

5

6

7

10

11

12

13

15

16

17

18

19

20

21

22

- 8 So at what time did you realize then that it was at the other 9 location?
- I sent one of the cruisers to look for the train car to find the engineer and the conductor. I sent him west. I headed east on Taggart Street to see if I could -- unfortunately, I'd never paid attention in all these years which direction which tracks 14 went. So it was pretty much you go east, I'll go west. headed east, and when myself and another patrolman who was behind me got closer to the Leake Oil where the derailment happened, we then saw that that was where the fire was originating from. was burning angry at that point.
 - And so you mentioned looking for the train conductor, Okay. and in the past you've had small fires on the train. Is that part of your standard process when there is a rail car on fire to look for the conductor?
- 23 The couple times -- the few times I should say that I've had 24 that, I do, just to see what the issue is, can we provide some 25 assistance, you know, stuff like that.

- Q. Okay, great. Thank you. Then I guess describe, walk me through what happened then once you actually got on the scene. So you said you were first on scene. Was the fire department not there yet when you arrived?
- 5 A. They were not there yet.
- 6 Q. Okay.

8

9

13

14

15

16

25

- A. I radioed our dispatch, told them it was the Leake Oil on the east side of town, and that whatever was on fire was fully engulfed.
- Q. So would you say since you were technically first on scene that you were the incident commander initially, or how does that work for a fire incident where a non-fire entity shows up first?
 - A. I wouldn't call -- as far as incident command for the PD I would have been, but for the fire, you know, that's their ballgame, you know, so I didn't have any control over the incident command as far as the fire scene.
- 17 | 0. Sure, okay. So then what happened next?
- A. Again, I radioed dispatch, told them of the fire. Then we continued on to look for the train conductor. I continued east.

 I had our guy continue west. We could not find -- which I found out later it is protocol they unhook and continue on. But we couldn't find the engine car. My thought process at that time was I want to know what's in the cars, what's burning, is it hazardous, is it not hazardous, what's on fire.
 - Q. Okay. And is that -- so were you thinking hazardous

materials then in that initial --

concern.

- A. I didn't know, but I wanted to err on the side of, you know, whatever's burning, you know, is it something that we need to not be in the area, is it something we need to start getting people out of the area. Just some, you know, regular rail cars. I didn't know, but I definitely wanted to find out, an immediate
- 8 Q. Okay. So then you didn't find the conductor, then I guess
 9 what happened next? Do you remember when the fire department
 10 showed up?
 - A. Right. The fire department started rolling in not too long after, you know, I had radioed that's where it was. I went back to the fire scene. Their trucks started rolling in to the Leake Oil parking lot. I started talking to one of the fire lieutenants. I told him I would have the street blocked off because I obviously knew that there was going to be a large amount of fire apparatus coming in, so I told him I would shut the street down. And I had one of the guys come up and start shutting the street down. And it wasn't too long after that more fire apparatus started rolling in, and I realized that it looked like cars were starting to catch on fire and spread west.
- 22 Q. Parked cars? Sorry.
- 23 A. The train cars.
- 24 Q. Oh gotcha, sorry.
- 25 A. Yes. It looked like the fire was starting to spread west on

the train cars quite rapidly. Initially when I got there, it was contained almost right behind Leake Oil, and just an inferno. But then it really looked like it was starting to spread rapidly west.

Q. Okay. What other things were you doing then as the event continued to unfold?

- A. I radioed our one unit and said we need to start getting people out of -- the tracks are paralleled by two streets, so Taggart Street and Clark Street -- and there's houses that are very close proximity to those tracks, but almost in their backyards. When I noticed that those cars were starting to pop one after another, I said you know, we probably need to start getting people out of these houses if the fire department can't get that stopped or under control.
- Q. Okay. Do you remember around what time that was? Or how soon into your arrival on scene?
- A. It probably was within 20 minutes or half-hour. It wasn't too long after, but, you know, it wasn't immediate but there was a little bit of time, but it wasn't a whole long after.
- 19 Q. That was accomplished by going door-to-door?
 - A. Right. I had our units. We had other units from a neighboring police department and the State Highway Patrol show up. And we started going door-to-door on those two streets getting people out of their -- telling them to listen, you know, there's a fire coming. At that point I was just concerned about the fire. Because I mean this thing's spreading and there's

houses. So I started getting them out.

- Q. Okay. And did you direct them to another location? Because I know there was, you know, was it the high school that was set up for the evacuation, but it sounds like that was a little bit later.
- A. It was. At that point, we were just concerned. We didn't have any time to get anything set up. It was just, hey look, there's a fire, leave your house, you know. And people were asking where to go, and we were actually telling them, listen, I don't know at this point, just leave your house because we don't know if this fire is going to continue to spread or they're going to be able to get it under control quickly.
- Q. Okay. And do you remember when you got the communication about the specifics of what was on the train as far as hazardous materials?
- A. That goes a little further. We got the one mile -- after we literally had just got the two streets cleared, our dispatch notified us that the fire department wanted one-mile radius evacuated. At that point I realized that wasn't just for a fire, that was probably chemical-related, you know, explosion fires that would impact that one mile. So I inferred and assumed that that was chemicals at that point.

So it wasn't until, I'm going to say, around 1:30 in the morning when I was emailed, our EMA director had forwarded an email from Norfolk Southern, the train car, what their cars

contained. She had emailed me that to print, so they were having a meeting. That was 1:30 in the morning. I read those, and that's when I learned what was on the train cars.

- Q. Okay, and that was from Peggy Clark that sent you that?
- $5 \parallel A$. Yes.

- Q. Okay. So when dispatch notified about the one-mile evacuation, do you remember about what time that was or how much, because you said about 20 minutes into the incident is when the houses, when you started getting people out of the houses?
- A. It was probably -- I know the fire department was pulled from the scene before midnight, so I'm going to take a guess maybe around 10:30, around that time. It took us some time to go those two streets, so probably ballpark around 10:30-ish.
- Q. So dispatch, was that message directed towards the first responders and the community in terms of that evacuation?
- A. That message came over our radios. You know, she dispatch radioed us, you know, distance of one mile. Obviously a one-mile radius, at that time we had off-duty units come in, but we were bringing in other units from other areas. But I still knew that was going to be a large task to accomplish.

I reached out, we had one dispatcher at that time, and obviously I know how things get in situations. I had her call in another dispatcher, but I had no knowledge if she arrived yet and I knew she was getting inundated. So I have a contact with a news media station. I sent him a text message and asked him if he

could get it out on their -- it was WKBN, channel 27, if he could get that out that we needed this area evacuated. Because I knew she wasn't going to have time at that point, and I figured one station is better than no station at that point.

- Q. Okay. And was that a mandatory or a voluntary evacuation?
- A. At that point it wasn't even listed. I said we need people to evacuate. So I didn't have that information whether it was going to be mandatory or what. I didn't have -- like I said, all I knew at that point the information I had was we needed one-mile evacuated.
- MS. LAKE: Rick, go ahead.
- 12 MR. DOWNS: I'm going to intercede here if I may.
- 13 MS. LAKE: Sure.
- 14 BY MR. DOWNS:

1

2

3

4

5

6

7

8

9

10

11

- Q. It sounds like, Detective, that if you were not ordering folks to leave and you were kind of persuading, it sounds like a voluntary?
- A. Yes. We weren't like telling them hey you've got to leave.

 But the good thing is nobody's -- I think people realized, they

 looked out and said, yes, I'm going to leave.
- Q. So like I said, the big characterization, correct me if I'm wrong, but it would've been a voluntary, but the folks took that persuasion very seriously. It sounds just by the visual that they were getting being close to the site. Would that be a fair assessment?

A. Fair and accurate.

MR. DOWNS: Thank you. Sorry for that interruption, Ms. Lake.

MS. LAKE: No worried.

BY MS. LAKE:

- Q. So then with the evacuation, tell us a little bit about your role. You talked about reaching out to the news media and obviously sending people door-to-door, but what else was involved as part of that?
- A. I went back and obviously plotted out a map of about a onemile radius. The communication was an issue with the other
 agencies because we're all on the same radio frequency. So there
 really wasn't time to get everybody at one location, so I was just
 telling our guys, if you see the State Patrol units, if you see
 the Columbiana PD units, see the Salem PD units, have them hit
 these streets and then call us and let us know when they are clear
 of those streets. I assigned obviously our guys to certain
 streets.
- 19 Q. Okay.
 - A. I met with our City Manager, Tracy, and Peggy Clark, the EMA Director. They were then going to put out a -- this was after we had already started the one-mile, probably halfway through the one mile if not three-fourths through the one-mile. I went back to the PD. They wanted to get a release to all the news media, and you know, about the one-mile evacuation. So they wanted to know

which streets, from which distance to which distance people needed to leave. You know, if you're from this street over from Leake Oil, we recommend you leave you residence.

MR. DOWNS: If I may interject, Detective.

MS. LAKE: Go ahead.

BY MR. DOWNS:

2

3

4

5

6

7

8

9

12

13

14

15

16

17

18

20

21

- Q. The evacuation was initiated by the PD or upon the fire department's request, or how did that work? How did that initiation start?
- 10 A. The initial two streets were our request. The one mile was
 11 from the fire department's request.

MR. DOWNS: Very good, okay thank you.

MS. LAKE: Okay, so I think here I'll pause and let others jump in and ask if they have any questions, and then we'll follow-up on kind of training and exercises with the railroad after that.

MR. DOWNS: Who would like to be next? Mr. Dougherty?

BY MR. DOUGHERTY:

- Q. I'll go. How are you Detective?
- 19 | A. I'm well, thank you.
 - Q. Good. Just one question for you. I understand that your department had set up a live camera for Norfolk Southern to
- 22 monitor those cars that were flaring. Do you know if that,
- 23 although it is a live-feed, if any of that was recorded?
- A. It was not. And the reason is, they wanted it specifically focused on that one car that they felt that the valve was

malfunctioning on. So they were working with an IT guy to see how they could do that, and we volunteered our pole cam that we use for dope houses and stuff which is a live feed. The issue with that is the computer that that goes to, they had a large monitor set up in the initial command center, a large TV actually that they'd purchased. It was going to sit out there. The computer and the external hard drive that that stuff downloads to for our cases contains cell phone dumps with child pornography, evidence of crimes, so I couldn't leave that system out there. We went and bought a stand-alone computer to run that on for them, but to record that it would have taken up the capacity of that hard drive.

Q. I can imagine.

- A. It just was played and monitored, you know, throughout the process. It did not record. It wasn't set up to.
- MR. DOUGHERTY: That makes sense, thanks. That's actually the only question I have.
- 18 MR. DOWNS: Mr. Lloyd, you have the floor.
- 19 MR. LLOYD: Thank you Mr. Downs.
- 20 BY MR. LLOYD:
 - Q. I've just got a couple questions, mostly some follow-up what Ms. Lake was asking. And you get there, just getting some confirmation, you get this call, you said you're about a mile away. So I guess around like the Market Street I guess? I remember going across Market Street, that's where like the gas

station, a Pizza Hut, and all that stuff was?

- A. Correct. You go over those tracks heading northbound, that

 Leake Oil is on the right once you get over the tracks.
- Q. Okay. So you get there, you really don't see anything, it's mostly, you just see a train parked on the tracks. There's really no smoke, no big fireball in the sky. So it's much more of you're
- 8 A. Absolutely.

1

7

14

21

22

23

24

25

9 Q. And how long was it when you sort of estimated what do we 10 have here until all of a sudden things started kicking off?

in that what's happening, like an investigative mode?

11 A. I'd say, probably estimate five minutes because we had to
12 take, again we couldn't get over the tracks. We had to go west to
13 go back east, so we checked the crossing at James Street --

actually got to the crossing, looked down and didn't see anything.

- And then had to drive back west to go back east, so probably I'd say five minutes or so.
- Q. Yes, and eventually you got to James or Pleasant Drive. I think that's where the pileup was, east of Pleasant Drive?
- A. Yes. I was on Taggart, heading east, and then when you got up closer to Leake Oil, you saw (indiscernible).
 - Q. So the thing started kicking off. What was your first impression? I mean, now before that it's something that never occurs in the village of East Palestine. So this really never occurs -- I don't want to say never -- but it's one of those incidents that's the once-in-a-lifetime thing. So what was the

first impressions when things started like, oh my god?

A. When I first saw it, I mean it was probably one of the strangest, craziest things I ever saw. The diesel fire alone, I mean how hot and how angry it was burning. You know, I remember thinking like wow, I mean, because I remember my radio chatter, whatever it is it is fully engulfed, and it was burning angry. My initial impression was it was crazy.

As the fire days wore on, I made several comments, I said this is like a disaster movie and we are just living the live feed of it. I go back to the Dante's Peak movie with Pierce Brosnan.

I've often thought of that, like this is a disaster movie and the volcano is going to erupt.

- Q. Oh yes. The lava pits in Las Vegas -- no, Los Angeles. So I guess at that moment, I guess it's more of a, for the cops out of the house it's scene security, just start blocking streets off, whatever. Because it's 9 o'clock, there's still going to be traffic in East Palestine going on, right?
- A. I was getting -- I was radioing, and I was working with the City Manager. I wanted to get streets blocked off because at one point it was like the Toledo Turnpike. I mean people were just driving a block and just filling up the streets to see what was going on. So we didn't have the manpower because we were sending everybody to get people out of the houses.

You know, I just wanted to get streets blocked. I don't care if it's street trucks, I don't care if it's barricades, jersey

1 bears, just park something on the streets to block them off.

right-hand side right there about where the pileup was --

Q. Right. So Cyndi brought up the evacuations. Differently with the evacuation of firefighters, that's more of a coordinated, you must do what the incident commander says, we're backing up, we're evacuating, that's a shall moment. But when you started the evacuations of the houses, especially the ones I think it ran -- if you're looking at the tracks, I guess looking east, there's some houses on the left-hand side and there's a business on the

A. There is, yes.

- Q. Yes, so how was that coordinated? Was it pretty much -- like I said, it's something that doesn't happen all the time, so was it hard to coordinate that effort, evacuation effort of the families?

 A. The initial two streets, I had called in our off-duty Lt.

 Johnson and Sgt. Moore. I had them call them in because at that
- time, you know, we had just a few bodies. I sent two of our patrolmen to Taggart Street and I started Clark Street, and Lt. Johnson showed up and he helped me with Clark Street. And then some Columbiana NSP guys arrived. And we were able to get it done. It wasn't like a planned, hey sit down, you take this
- 21 house, you take this house, you take this street, you start going 22 door-to-door.
- Q. Yes, it's something, let's just start doing this, absolutely.

 Just do the best you can, let's just start getting as many people

 notified as possible. That's usually what happens when the first

half hour of something like it takes place. So talk to me about the, you guys are doing this, the fire department's doing their thing. What's that called, a unified command system, everybody's connected. Talk to me about the unified command system once all that was established, communications between you guys and the fire department. You guys might be on encrypted stuff, I don't know, but talk about that.

A. When they moved the -- they initially had their command center set up when they were on scene in the parking lot at Leake's. Obviously, that didn't last long. They got pulled off of there. And the command center then got moved to the old fire bay. We use it for parking our vehicles now. But the fire department had a section of -- the fire department and the police department were in one building. They had a bay for the longest time.

So they took over that bay that's adjacent to our police department, and they set up the unified command center in there. EMA was in there, Norfolk, hazardous materials, pretty much everybody, the fire department worked out of that command center up until the day, the Sunday that they were going to do the controlled burn on that Monday.

We were actually in the mile. We were up at .09 miles within that mile radius. So then that's when the unified command center was then moved to the library at the elementary school.

Q. Okay. And I guess you guys had, because I remember talking

1 to several sheriff department members, East Palestine, and I was

- 2 the first one at 9 o'clock the next morning. It was at that
- $3 \mid\mid$ firehouse, you go through the door and there's like I guess a
- 4 dispatcher or somebody sitting there.
- 5 A. The dispatcher's to the left and you go into that room on the 6 right, yes.
- 7 MR. LLOYD: Okay. That's all I have right now Cyndi or Mr.
- 8 Downs. I'll just probably do some follow-up once everybody's
- 9 done. Thank you sir.
- 10 MR. DOWNS: Very good. Thank you Mr. Lloyd. I'm going to
- 11 turn the floor back to Ms. Lake because she had mentioned that she
- 12 wants to address some of the training, which is on my list of
- 13 things to ask, so I'll allow you to have the floor there Ms.
- 14 Cyndi.
- 15 BY MS. LAKE:
- $16 \parallel Q$. Okay. So Detective, do you usually do training with the fire
- 17 department and other entities like EMA for disasters similar to
- 18 | this one?
- 19 A. I have never had a train disaster training other than in 1992
- 20 | when I was going through the Police Academy. They give you those
- 21 | little yellow HAZMAT books and a pair of binoculars and tell you
- 22 to identify the placards.
- 23 | Q. Okay.
- 24 A. My largest training was with the SWAT team. We did train
- 25 | interdictions. Like if a train, Amtrak or something gets taken

- hostage, you know, we learn, you know, how to crawl under them and access them. But as far as actual train disasters, never had one in my life.
 - Q. Okay. So what about general emergencies? Like do you have drills and exercises that you do with the other responders in the community, whether it's a -- I mean it could be like a terrorist event, or an active shooter, or something where all the departments have to work together similar to this?
- A. As far as a joint interagency, you know, from other police departments, we will work -- we do active shooter training at our school. We do invite like the fire, I think the Fire Chief came to the last one if memory serves me right, and a couple of the paramedics and the EMTs. We do do that stuff as far as active shooter.
- 15 Q. Okay.

5

6

7

8

- A. But as far as disasters, I know the Chief works and plans
 with Peggy, and the Fire Chief, they have plans and mock setups
 and stuff. But as far as hands-on, pick a day, we're going to do
 this training, I've never been a part of that, no.
- 20 Q. Okay. And so I take that -- sorry.
- 21 A. Just active shooter.
- Q. Yes, okay. So I take that, so there was an exercise that
 Norfolk Southern put on. I think it happened in East Liverpool in
 October of 2022, it was a tabletop exercise. And I know the fire
 department was included, so you were not invited as part of that?

- A. If I was invited, I don't remember. I didn't go --
- $2 \parallel Q$. You didn't go, okay.

16

- 3 A. Yes, didn't go to that one. Which --
- Q. Any other training related to hazardous materials that you've had as part of your role in the police department?
- 6 A. No, not that I can remember. If I have, I don't remember.
- 7 It would've been years ago. I don't remember if I did, but 8 nothing comes to mind.
- 9 MS. LAKE: Okay, great. I think that's all I have, so either
 10 I'll turn it over to you Mr. Downs or see if anybody else has any
 11 follow-up.
- 12 MR. DOWNS: Thank you Ms. Lake.

City has there at the department?

- 13 BY MR. DOWNS:
- Q. A question just popped up on my memory here. Preparedness plan, does the PD have a preparedness plan, a joint plan that the
- A. The PD has a plan as far as any like disastrous, specific example is like we had to move our dispatch center to a
- 19 neighboring police department. That plan is already in place.
- 20 Although it's never actually been enacted, you know, we had never
- 21 had to enact it, that plan had already been in place, but we knew
- 22 where the dispatch center was going.
- Obviously, you know, every plan's great until you actually

 hit the door and then you realize that there's some bugs because
- 25 you never enacted it. So there was some kinks to work out when

- the dispatch center got moved because it's never actually physically been moved, but the plan was in place. So yes, there is stuff like that.
- Q. Who is the keeper of the plan these days?
- 5 A. Jim Brown, Chief James Brown.

- Chief Brown, all right. Well we'll ask him when we interview him, maybe get a copy of that to see what that's all about. Often we've discovered, often the FPA makes templates available and the cities can often adopt that, do a name change so to speak and just utilize the sequence and the logistics and everything. So we'll probe a little bit on that later on. You mentioned the evacuation. Does the PD there have an auxiliary police force or people that can come in, support, assert type of operation, community emergency response?
 - A. Yes. We have a reserve unit, but I think -- as far as I know there's nobody on it. They're not active. We don't have people to come out and ride. It's just our bodies on the street. We have a hard enough time filling paid positions, so --
 - Q. Right. It's called, often in jurisdictions, a Community
 Emergency Response Team, CERT, and those folks are kind of vetted,
 meaning you can call upon them at a moment's notice, and they can
 assist with evacuations or crowd control or highway blockages,
 whatever, much like if the fire department would be able to do if
 the fire department was tied up as in they were tied up here. I
 figured I would ask that. On your training you covered that

nicely, nothing recent with the railroad. That covers my training. Anybody else have in our follow-up circle of questions?

MR. LLOYD: I do Mr. Downs, just two quick ones.

MR. DOWNS: Proceed.

BY MR. LLOYD:

1

2

3

4

5

6

7

13

14

15

16

17

18

19

20

21

- Q. So Dan, so at the ECC, the dispatch center, is the police and fire together or are they separate communication pieces?
- 8 A. It's police, the dispatcher handles the police and fire.
- 9 It's all from the same console, although different channels.
- Q. Okay, so one stop shop. If there were a request for documents, you would probably be able to get both the police and fire department from the same place?
 - A. Well the request comes in through the dispatcher. Then she would forward it to the fire department or the police department.

 But like of you were to call, I guess if you were to call the police department or the fire department number, the dispatcher is the one, the same dispatcher is going to answer it.

Now she's going to forward, like if a request would come in for a public document for the police department, she would forward that to the Police Chief. If it came in for the fire department, she would forward that to the fire department.

- Q. Yes, and I'm more asking about like your CAD paperwork, dispatch times, on-scene times --
- 24 A. Yes, that would definitely --
- 25 | Q. -- so that would all come from the same place?

- A. Yes. And Chief Brown, Police Chief, would probably be the one to handle even that I think since he's the one in charge of the dispatch center, correct.
- Q. Right, right.

2

3

4

5

7

8

- MS. LAKE: He sent that to us, Troy, so we have that.
- 6 BY MR. LLOYD:
 - Q. So being on-scene and living the Tommy Lee moment, lessons learned?
- 9 MR. DOWNS: If I may interrupt, we don't want to get ahead of ourselves just yet there, Troy. Because we might have consolidated thoughts on the lessons learned. Detective, we lost
- 12 your camera there, if you're still there?
- MR. HAUETER: I'm still here. I'm showing me.
- MR. DOWNS: Okay, yes, I don't know what happened.
- MR. HAUETER: Let me turn it off and turn it back on, hold on one second.
- 17 MR. DOWNS: Okay.
- 18 MR. HAUETER: I'm turning camera off, I'm turning camera back
- 19 on. Do you see me now?
- 20 MR. DOWNS: We've got you now, yes.
- 21 MR. HAUETER: All right, there we go.
- MR. DOWNS: Not critical, but we like to be able to visualize
- 23 where we can and keep everybody looped in as they say. Okay,
- 24 | anybody else, Marc, any final thoughts in the questions here on
- 25 | the round-robin?

MR. DOUGHERTY: No sir.

MR. DOWNS: Okay, well, I'm going to go back to Troy's point, lessons learned.

BY MR. DOWNS:

- Q. Relevant to your role in the accident, in retrospect, knowing what you know now, and that's a key thing, might you be able to offer any thoughts or observations on lessons learned? Or is there anything that you might have done differently to sort of help circumvent challenges, pitfalls, and the like?
- 10 A. I probably just would have kept driving.

terms of the damage done and everything.

11 | Q. Driving?

1

2

3

4

5

6

7

8

9

17

- 12 A. I would have just kept driving.
- 13 MS. LAKE: Good answer.
- 14 A. I guess that covers it, I guess just don't go back.
- Q. It's nice that you have a little levity, thank God. There's no unseen injuries, that kind of thing. It's a catastrophe in
- 18 A. Lessons learned from a personal standpoint, I know I went
- 19 there -- when the fire department arrived, I went there and got
- 20 out. From a personal standpoint, I mean it was a concern of mine
- 21 what's in those cars. I know the fire department got out and
- 22 started walking toward the fire, no PPE. So I was kind of using
- 23 maybe the blue canary theory, if they haven't dropped I should be
- 24 | all right.

25

From a personal standpoint, that probably wasn't the smartest

thing. Because we don't, you know, at that point nobody knew what was on the cars. They could've just dropped as easy as I would have dropped depending on what it was. So from a personal standpoint I probably, you know, would've not jumped out like that. Just because I saw them walking doesn't mean that, you know, it wasn't any good.

As far as the evacuation, I really don't because, you know, things happen on the fly. Everything sometimes we do is on the fly. I think that went pretty well as far as that goes, especially with the fact that we don't have any mode of communication. There was an issue at some point in the evening whether we got some conflicting things as far as whether people were allowed to come back in their house. We got some conflicting things with evacuation, we don't need to evacuate any more. I probably would have clarified that a little more.

I think Peggy was giving, you know, some direction. The Fire Chief was giving some direction. I think Norfolk may have been giving some direction. I probably would've taken the time to actually like somebody make a decision, give me an answer, and this is what we're sticking with. Because our dispatchers were getting calls and, you know, we didn't have the answer.

You know, going back, you know, Monday morning quarterback, I probably would've said, hey listen, we need to make a decision to unify what's going to happen with these people so they're not -- you know, they have an answer and they know. So that's one of the

things. I probably would've pinned down Norfolk a little quicker as far as what was on the cars instead of trying to, you know, instead of waiting to find out just because I was reading some documents. Just in retrospect as things played out, I would have liked to have probably done that, you know, from a personal aspect.

Q. Okay. Anything else comes to mind?

A. I kept driving. No. You know, like I said, it's a generational, not even, maybe longer than a generational thing. It's not like anybody woke up that morning and thought we were going to have a, you know, an event like that. So I think overall, obviously you can go back. You know some people criticized putting the command center right there, not moving it to Lisbon where they have a big, you know, EMA thing.

Personally, I think their decision to keep it local was good.

I think, you know, the first responders, the fire department
wanted to be there, that's their hometown. They wanted to be in
the area. I think you get a boots on the ground type feeling.

You know, you're able to help if you're at least close. I think
moving it to Lisbon 10, 15 miles away wouldn't have been conducive
to what they were dealing with. So I agreed with that decision.

- Q. Very good, okay. Reverse 911 system. Does the City have reverse 911 by chance, do you know?
- 24 A. When you say reverse 911, I'm not familiar with --
 - \parallel Q. That's where, it kind of reverse the messages. When you call

- 911 to report, there's a system whereby the city can send a, you pre-register as a resident on your phone --
- A. Oh no, no.

3

- 4 Q. -- and they can send you a recorded message on your phone 5 saying we've got an emergency, blah, blah, blah.
- A. No they don't have that. Now EMA has a system where they can send out mass texts to everybody, and they did do that throughout the course. I know they did it the night of the controlled burn and I think one other time.
- Q. We'll get with the EMA and follow up. I just wanted to see if the city had one.
- 12 A. No, we do not.
- Q. The preparedness plan, do you happen to know in the plan -- I don't have a copy in front of me -- often evacuation sites would be stated. Do you happen to know if that was in the plan or that came to somebody's mind, the location came to somebody's mind, oh it was outside of the evacuation zone, big enough area to accept people. Do you know how that particular was set up?
 - A. I think the school is in the evacuation plan. We used that night, I commandeered a place in New Waterford, which is about five miles away, a church as one area and we used the school as another area.
- 23 | Q. Very good, so there were two sites?
- 24 A. Correct.

19

20

21

22

25

got a critical situation. And people took a look and said no argument here and they split. It would then evolve to where the school now was the designated destination.

- A. Right. When the one mile came into effect, we realized it was not just the fire, obviously it would have been the chemical-related, that's when we set up the stations at the school and the church in New Waterford. And then we had to use, because obviously we have a group home, like an assisted living home, and we have an apartment complex where there's some elderly and people that don't drive. So I had one of our guys who is actually on the school board commandeer a school bus to transport those folks out of there.
- Q. Very good, okay. Yes, that's a good point that you had those elements in the plan and were actually engaged. That's a good point.

MR. DOWNS: Okay, anybody else, any follow-up questions before I start to wrap this up? Okay, upon hearing none, Detective we've asked a number of questions today, so to close, is there anything we haven't asked you or might there be any information or knowledge that you have relative to the accident which you believe might be important to the investigation which you would care to share with the investigation?

MR. HAUETER: I do know that, and I don't know how accurate it is because that wasn't mine, I do know there was some I'll say Ring Doorbell camera, maybe some security camera footage from

Salem and possibly Columbiana in the middle location that they claim to show the wheel bearing on fire. I know we have cameras, or police department maintained cameras that are downtown that show the tracks.

I know we watched those cameras. And at that point in time when the train came through there was no -- I know I watched them, one of our dispatchers and other patrolmen watched them -- and they did not see any fire or anything from the bearing at that point in time that they showed on the other cameras. I saw those other videos, I don't know if they're authentic or not. I can't attest to that. But we did not see anything on ours. But maybe, you know, it's possible that that wheel bearing had just burned out to the point that it wasn't even there and wasn't even on fire anymore from just an educated guess, I have no idea. But that's the only thing I can think of that we did not see that on our camera when we pulled it up.

MR. DOWNS: Okay, very good. That's good information.

Thanks so much. And if you do happen to think of anything additional, by all means please don't hesitate to contact us. I'm going to make Ms. Lake be contact person on that since you had prior conversations and everything.

MS. LAKE: Sure.

MR. DOWNS: Okay. Again thank you Detective for your time and for your responses to our questions today. This will conclude out interview. The time now is 11:27 a.m. and we can conclude the

1	recording.								
2	(Whereup	on, at	11:27	a.m.,	the	interview	was	concluded	l.)
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
24									
25									

CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: NORFOLK SOUTHERN TRAIN DERAILMENT

IN EAST PALESTINE, OHIO

ON FEBRUARY 3, 2023

Interview of Dan Haueter

ACCIDENT NO.: RRD23MR005

Via Microsoft Teams PLACE:

March 3, 2023 DATE:

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

Jennifer Allen

Janniger Allen

Transcriber

Downs Richard

From: Downs Richard

Sent: Tuesday, May 30, 2023 8:49 AM

To: Lloyd Troy

Cc: Lake Cyndi; Dougherty Marc

Subject: FW: NTSB / East Palestine, OH (RRD23MR005) - Interview Transcript transmittal [for review] + Errata

Sheet

May 30, 2023

Mr Troy Lloyd / Panel 1 Chair – further to this, this to document that the Interview Transcript and the corresponding Errata Sheet transmittal, as described in the message below, had been sent to the identified Interviewee [recipient of the message below], to afford an opportunity to provide transcript corrections / comments, in which no reply had been, as yet, forthcoming in response to this transmittal inquiry.

Accordingly, given the approaching due-date for the required submission of Exhibits into the public docket for the Public Hearing, which would include the Interview Transcript and corresponding Errata Sheet, this to document that the Interview Transcript [as received from the agency transcription contractor], and the corresponding Errata Sheet [as sent to the interviewee], will be placed into the public docket for the Public Hearing, which will include a copy of this message, as documentation of the transmittal non-response.

Further, if an Errata Sheet [with, or without, described transcript corrections / comments] for this transmittal non-response is subsequently received by the Panel 1 investigation, that responded documentation will be correspondingly placed into the public docket for the Public Hearing, as a follow-up docket-placement item, such to reflect that an Errata Sheet for the subject Interview Transcript had been conveyed to the investigation. -- Richard Downs, Jr., P.E. / Panel 1 [investigative support]

From: Downs Richard

Sent: Friday, May 19, 2023 11:24 PM **To:** d.a.haueter@eastpalestine-oh.gov

Cc: Lloyd Troy <Troy.Lloyd@ntsb.gov>; Lake Cyndi <Cyndi.Lake@ntsb.gov>; Dougherty Marc

<Marc.Dougherty@ntsb.gov>

Subject: NTSB / East Palestine, OH (RRD23MR005) - Interview Transcript transmittal [for review] + Errata Sheet

May 19, 2023

Detective Dan Haueter / Village of East Palestine, OH, Police Department –

First off, I want to thank you for your support to the NTSB Investigation, and for your participation in the interview as conducted in the investigation. The interview resulted in an official transcript that will be very useful to our investigative process and provide valuable insight to support the NTSB's Public Hearing, that is being scheduled to address the above referenced accident investigation.

As mentioned during the interview activity, you (as the interviewee) are afforded the opportunity to review the transcript that's generated from the interview recording, such to provide prospective transcript content corrections feedback to the investigation, which you would like to propose for consideration by the investigation.

Accordingly, to that end, attached please find a .pdf copy of your interview transcript (as received from the transcription contractor), which is hereby provided for your review / corrections response opportunity, along with an Errata Sheet (transmittal form).

Correspondingly, given the above, to execute this transcript review / feedback process, kindly please printout the attached transcript document and Errata Sheet, which will enable your review of the full transcript, in which the Errata

Sheet is used in your reply transmittal. Completion of the data in the Errata Sheet is self-explanatory (... simply check the appropriate response box, affix a signature, and date, and if needed, attach any identified transcript changes -- per the Errata Sheet).

Kindly please return to me, via reply email, the completed Errata Sheet, along with any transcript changes, in which it's requested that you kindly submit your response, even if there are no transcript changes identified, by **not later than May 24, 2023**.

Lastly, please note, [1] that investigation transcripts must be maintained as <u>confidential</u> at this time, in which the transcript is for your use only, and not for release outside of the investigation, and [2] I welcome you to contact me, or any of my Tech Panel 1 colleagues (cc'd here, for your convenience), should there be any thoughts or questions regarding this transcript review / Errata Sheet response process.

Thank you for your support to the investigation, and for your assistance and cooperation in this response.



Richard M. Downs, Jr., P.E.
Mechanical Engineer (Crashworthiness)
Sr. Survival Factors Investigator
National Transportation Safety Board
490 L'Enfant Plaza SW, Washington, DC 20594
Mobile - 202.320.6315
downsr@ntsb.gov



National Transportation Safety Board

Office of Railroad, Pipeline and Hazardous Materials Investigations Washington, D.C. 20594

Date: May 19, 2023

Interviewee: Dan Haueter / Village of East Palestine, OH, Police Department

Reference: Errata Sheet Transmittal / Transcribed Interview, regarding the February 3, 2023,

Norfolk Southern Railway train derailment and fire, in East Palestine, OH -- NTSB

Investigation number RRD23MR005

As indicated during the subject interview, attached for your attention and response, please find a copy of the transcript of your interview that was conducted on May 3, 2023, which was compiled as a preparation activity [element], of an NTSB Public Hearing, that is being scheduled to address the above referenced accident. Kindly please review the enclosed transcript for accuracy, and please utilize this sheet to convey (to the investigation) any necessary editorial changes.

Your response in this may be either ...

- [1] submission of an 'itemized tabulation' of your suggested transcript changes (i.e., in a tabulation format, cite the relevant page and line number, along with any suggested change(s)), -- or --
- [2] you may 'redline' (i.e., manually, or digitally markup, with an inserted corresponding notation) any suggested changes to a copy of the transcript, to which kindly please initial any changes cited, in which only the individual pages containing the cited changes need to returned in your response.

When replying, please use this transmittal sheet for your response, even if there are no changes identified in your review, to which, please be sure to <u>apply a checkmark to one of the three statements below</u>, and please affix your <u>signature</u> and <u>date</u>. It's requested that you kindly please submit your response to me, via **reply email**, by **not later than** the CoB of **May 24, 2023**.

I have reviewed the enclosed transcript(s) from the above referenced accident, and						
	I have no comment(s) to offer,					
	my comments are submitted herewith (as an itemized tabulation), OR					
	my comments are indicated on the attached / marked-up ("redlined") transcript copy.					
Respond	dent's signature Date					

Please note that investigation transcripts must be maintained as <u>confidential</u> at this time, in which the transcript [provided at this time, for your accuracy review] is for your use only, and not for release outside of the investigation. Upon NTSB receipt of your errata sheet response to this, a copy of the transcript and corresponding errata sheet may be placed in the public docket. If you have any questions, I welcome you to contact me by phone, or by reply email.

Thank you for your support to the investigation, and for your assistance and cooperation in this response.

-- Richard Downs, Jr. / Technical Panel 1 – Emergency Response Efforts



Richard M. Downs, Jr., P.E.
Mechanical Engineer (Crashworthiness)
Sr. Survival Factors Investigator
National Transportation Safety Board

490 L'Enfant Plaza SW, Washington, DC 20594

Mobile - 202.320.6315 downsr@ntsb.gov

<u>aownsr@ntsb.gov</u>