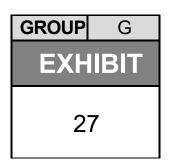


National Transportation Safety Board Investigative Hearing

Norfolk Southern Railway general merchandise freight train 32N derailment with subsequent hazardous material release and fires, in East Palestine, Ohio, on February 3, 2023



Agency / Organization

NTSB

Title

Interview Transcript – Norfolk Southern Engineer - February 5, 2023

Docket ID: DCA23HR001

UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

Investigation of:

*

NORFOLK SOUTHERN TRAIN DERAILMENT *

IN EAST PALESTINE, OHIO
ON FEBRUARY 3, 2023

* Accident No.: RRD23MR005

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Interview of: TONY FAISON, Engineer

Norfolk Southern Railroad

Sunday, February 5, 2023

APPEARANCES:

ZACH ZAGATA, Investigator National Transportation Safety Board

LARRY SLAPPY, Chairman Division 590 Brotherhood of Locomotive Engineers and Trainmen

DAVE GOODEN, Division Superintendent Keystone Division Norfolk Southern Railroad

DEWAYNE DEHART, General Chairman Safety Task Force Brotherhood of Locomotive Engineers and Trainmen

SHAWN LAWTON, Investigator Safety Task Force Brotherhood of Locomotive Engineers and Trainmen

KRAIG BARNER, General Manager, Northern Region Norfolk Southern Railroad

JOSEPH CLAY, Operating Practice Inspector Federal Railroad Administration District 2

JORDON GIBSON, observer Federal Railroad Administration

JEFF MITCHELL, National Safety Director SMART Transportation Division

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INTERVIEW

MR. ZAGATA: Good morning, my name is Zach Zagata and I am the NTSB ops group chairman for this accident. We're here conducting an interview of February 5th, 2023, with Tony Faison?

MR. FAISON: Yes.

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MR. ZAGATA: Who works for NS. This interview is in conjunction with NTSB's investigation of the accident in East Palestine, Ohio. The NTSB accident reference number is RRD23MR005. The purpose of the investigation is to increase safety and not to assign fault, blame, or liability. Before we begin our interview and questions, let's go around and introduce ourselves, please spell your last name and your title and I'll start off and I'll pass to my right. Again, my name is Zach Zagata and I'm the NTSB's operations group chairman.

MR. FAISON: My name is Tony Faison and I'm an engineer for NS.

MR. SLAPPY: Larry Slappy, Local Chairman, BLE 590.

MR. GOODEN: Dave Gooden, Division Superintendent, Keystone Division, that's G-o-o-d-e-n.

MR. BARNER: Kraig Barner, General Manager, Northern Region, Norfolk Southern. B-a-r-n-e-r.

MR. CLAY: Joseph Clay, FRA operating practice inspector, District 2. C-1-a-y.

MR. MITCHELL: Jeff Mitchell, SMART Transportation, National
Safety Director. Mitchell, M-i-t-c-h-e-l-l.

1 MR. LAWTON: Shawn Lawton, BLET safety task force 2 investigator. L-a-w-t-o-n.

MR. DEHART: Dewayne Dehart, General Chairman, BLET with the BLET task force. D-e-h-a-r-t.

MR. GIBSON: Jordon Gibson, FRA observer. G-i-b-s-o-n.

MR. ZAGATA: Thank you.

Do we have your permission to record our discussion with you today?

MR. FAISON: Yes, you do.

MR. ZAGATA: Okay. Do you understand the transcripts will be part of the public docket and as such we cannot guarantee any confidentiality?

MR. FAISON: I understand.

MR. ZAGATA: Okay, thank you.

INTERVIEW OF TONY FAISON

16 BY MR. ZAGATA:

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- Q. To get started with, if you could give us an overview of your railroad career.
- A. Okay, I hired as a conductor August of 1996 and I became an engineer in 1999. From '99 to right now -- I'm sorry, is that all you wanted to know or do you want -- what was that?
- 22 | Q. Yeah, that works.
- 23 A. That's basically it.
- 24 | Q. Yeah. As far as locations, where have you worked at?
- 25 | A. Okay. I started off, I worked at Conneaut, Ohio, from

- 1 Conneaut to Buffalo. I worked Buffalo to Binghamton, New York. I
- 2 worked Buffalo to Renovo, Pennsylvania. I worked Buffalo to
- 3 | Toledo. I've also worked in Meadville, Meadville to Connolly and
- 4 | Meadville to Corning, New York. And then I worked Conneaut to
- 5 Bellevue. And now I'm working where I'm at right now, Connolly to
- 6 | Toledo.
- 7 $\|Q$. Okay. And this is your regular assignment?
- 8 A. Right now, yes.
- 9 Q. Okay, all right. Now, if you could give us an overview of
- 10 | your day, going from when you went on duty, you know, leading up
- 11 | to the accident, and as we get closer to talking about the -- as
- 12 you're proceeding towards the accident scene there, what you were
- 13 doing as far as the throttle, the air, the DP, all that kind of
- 14 | stuff to get --
- 15 | A. Okay.
- 16 Q. -- a sense of that, so --
- 17 A. Okay. Well, what happened is when we went to work, we
- 18 | started off, everything was normal. I got on the train first
- 19 because the crew was already there, the conductor had a student,
- 20 | he had to get the paperwork, so I got on the train to get the crew
- 21 off and so I was just -- kind of went through everything, checked
- 22 | the second unit out to make sure everything was the way it should
- 23 | be, and then the conductor got on with his student and he gave me
- 24 | my train information. And I logged in like I'm supposed to, he
- 25 | had a job briefing and we put it together. "Are you ready to go,

yeah, okay, everybody ready," and it was just normal. That's basically how it started. And all we did was talk to the brand new trainee, trying to let him know what not to do and what you should do, and a matter of fact, he asked a lot of questions, it was a great trip, it was learning for him, I was -- it made me go back and look at some of the things that I could remember, I mean, it was a great trip. There was a lot of railroad talk about what to do and what not to do.

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I mean, this guy was interested, and my conductor was telling him things, I was telling him things, I mean, it was like -- he was supposed to get set up next week, so we just tried to make him feel comfortable. It was just a nice, normal trip. And then nothing unusual happened. We went from Toledo to Cleveland, no problem. When I went down the hill at Cleveland, I had it on auto control, I had the auto control running it, but when I went down the hill in Cleveland, I took over because I just didn't trust it with the weight and the tonnage, that's just me.

So you know, I wanted to make sure I had it going down and I was actually running it, not the computer, and I had -- so whatever I did on the head end, that's what happened on the DP.

So I went down the hill nice and easy, slow in Cleveland, and then once I got to the bottom of the hill, I kicked everything back in to go up the hill, I just didn't want all that weight to come down, it was just my way of trying to be safe, that's just my thoughts, people might not do that, so -- because I knew it was

heavy, I've been around. And so when I got on the other side, I put it back in auto control, it ran great, I mean, I let it go through a slow order -- no. A slow order popped up somewhere, I can't remember. I trusted the auto control and it went right through, brought it down nice, I'm watching it, and everything is okay.

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And then when I got to Alliance to get on the PC line, what happened is I know it started catching traffic and there was a 10-Q (ph.) train in front of me, a heavy train, I don't know how heavy, but I know it's big, and what I was doing was a couple times I was coming in and out of that auto control because I didn't want to catch it and there was a couple areas on that -- in that territory that's very, very hilly and what I wanted to do is I backed off a little bit and I took over because I wanted to make the hill without stalling.

I needed a good run up the hill and that was my mentality behind this train, don't get too close and stop and be stuck on a hill and stall, you know, I don't want to do that. So what happened was everything was running fine. A couple times, I think I came down into Latonia and what I did is it will run me down through there, I took over right there, too, I just had a gut feeling, so I took over and then all of a sudden I gave it back and we ran -- and I let it go and when we got to, I think it was around PC, I can't be exact, but around PC 50, 50, where CP LUM is, right -- no, it's beyond that, around CP 52, maybe, somewhere

around there. I took over because we were going -- we were getting ready to go down into East -- getting to where we go down to East Palestine and what happened was, just let me say this, when I went through -- when I went through Salem, we went over a detector, it said no defects, and we all said in the cab no defects, because I always hit my counter. So when that thing goes beep-beep, I'm waiting, I'm waiting, it's guaranteed, and it said no defects, you know, I did that all the way, normal.

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And what happened was that 10-Q train was in front of me, we could see ahead, and so what I did is I took over, coming down into East -- well, what did I say, around CP 50, 52? I think it's 52 where it drops down in there, and I wanted to -- I didn't want the -- I didn't want to run it automatic because it would've ran me high and I didn't want at the last minute to try to get it down.

I like to be ahead of this, I want no panic and just keeping a cool head, knowing what's happening in front of me, knowing what's going on, knowing what my train is doing, we're on that, you know, I'm always looking at that. And so I had full control when I went down into East Palestine and what happened was as soon as I went over the detector, it seemed like within -- to me, within seconds, maybe 15 seconds, it said critical alarm, critical alarm, so I was already going at a slow speed because of the train way ahead of me, I didn't know that was going to happen, that was just me ahead of all this, I didn't know this stuff was going to

happen. So I just started braking a little tighter, I just wanted to get it down to get the train stopped and while I was in my dynamic trying to stop, it went in emergency and that was it. And what went through my mind was I heard a critical alarm, it didn't give me a chance to do nothing, I was already doing something, but I've seen this before and you stop your train once you hear that, you know, but it didn't even give me a chance and I was kind of concerned about that, it went in emergency?

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It's a critical alarm, I'm bringing it down and it goes in emergency, that was odd to me, but I just left that there, you know. And that's what happened and, you know, we did not know we were on the ground or anything like that, there was no jerking, no nothing, it was nothing, didn't feel nothing, maybe because I had the train all tight and bunched, I don't know, but I was trying to bring it down to a stop and didn't feel nothing and I was kind of surprised it went in emergency and I thought, I said I hope we ain't on the ground because that -- I thought about that critical alarm, this is in my head, I thought about that critical alarm not giving me no time.

I mean, I just happened to be coming in there slow and braking, I think I was in braking, but I was going at a slow speed because of the train in front of me, so that's what caused that, so it took me nothing to try to get it down. And that's what surprised me when I got it down and before it stopped, not right before it stopped, but as I got it farther down it went in

emergency, so like how did this happen, in my mind. So I thought it out and then I let the dispatcher know, and let the road foreman know in Atlanta, I called him, let wayside -- let wayside know about it. And we called wayside and he said it was a hundred and first axle on the south side, so my conductor knew about that, so he knew where to walk, he said -- but like I said, we didn't know all that was going on.

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So the conductor was getting everything together to walk out there and I think before that -- well, before that happened, the dispatcher called and said you've got cars on fire and said there's a building on fire, and I said what? And so the conductor got down, he started walking. The trainee and I were looking at the HAZMAT book and it said something about stay 300 feet away, we called the conductor, said come back, he saw smoke, he came back, I said don't go back there, to be for safety. So he come back on and then we were talking about it and we got to stay 300 feet away and that was in the HAZMAT book.

And so what happened is we asked the dispatcher if we -because of the smoke, can we cut away, we didn't go far, she said
okay to cut away for safety, so we just cut away, we were maybe
about 4, maybe 500 feet, and then you could still -- we still
start smelling some of that, we asked her if we could move a
little farther, she said yeah, so we just moved a little farther
away, we didn't -- you know, I could still see the train, you
know, we didn't get that far. So what happened after that was we

were sitting there for a long time, a long time. The person from wayside, who we are supposed to call when this type of stuff happens, after he told us where it was, he said we were trending high at 66-something, that's way back at Salem, but we had -- it said no defects and so we were on our way, just like all the other ones said, from all the way to Toledo, over a hundred and 20-some miles, no defects, no defects, so that's no defects.

So what happened is -- I want to make sure I said that. So we sat there for, I don't know how long, it was a good while, and then the dispatcher said take the power to Conway and that's what we did. We took it to Conway, took it to the house, and then upper management came out with all their stuff and they were doing stuff to the engine and then we had to go and that was it, what happened right there.

- Q. I appreciate that. So as you were approaching that area, you said you were braking, just to be clear, that was just in dynamic brake?
- 18 A. Right.

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- 19 Q. Okay. All right.
 - A. And I was controlling it because -- and a matter of fact, the road foreman asked me what I was in, I said I was running it, and he say why? I said because I have -- I have a planned stopped in front of me, reason being with that train in front of me, I didn't want to -- with my train being so heavy, I wanted to make sure it had cleared the cut, a mountain to come down, because I was next

- 1 | and I wanted a clear run up that hill, that's why I was braking,
- 2 | you know, coming down easy so -- and that's why I took over
- 3 | because I thought I was going to have to make a planned stop to
- 4 that thing, this stuff happened, but that's what I was doing. And
- 5 the road foreman, he said he was okay with that. But I wanted to
- 6 put that in there, too.
- 7 | Q. I appreciate that. As far as the train, it felt like it was
- 8 | handling normal and all that?
- 9 A. Yeah, yeah. Yeah. Yeah.
- 10 | Q. Okay. How long do you think you sat there before first
- 11 | responders showed up, do you think?
- 12 | A. I'm just giving you an estimate, I'm not -- these things just
- 13 | happen, it didn't happen quick. I'd say about -- and I seen a
- 14 couple fire trucks go by me, I don't know who was back there, but
- 15 | I seen some fire trucks probably about 20 -- about 25 minutes
- 16 | after, that's an estimate.
- 17 | Q. Did they come up and talk to --
- 18 A. Nobody did.
- 19 \parallel 0. -- the crew?
- 20 | A. Nobody came. There was a trainmaster, he -- or maybe an
- 21 | assistant. He called us and asked if we were okay. He called us.
- 22 | And some other guy called us, I don't know if he was the track
- 23 | guy, he wondered what was in one of those box cars and we had to
- 24 | look that up and we told him. But other than that, we actually,
- 25 physically didn't see anybody and we didn't even know, we was just

- there.
- $2 \mid \mid Q$. Did they ask on the radio what you had for HAZMAT on your
- 3 | train or anything like that?
- 4 \blacksquare A. That one I can't remember. We already knew we had it, but I
- 5 | don't -- I don't really know, I don't remember that.
- 6 MR. ZAGATA: Okay. Yeah, no problem. That's all I got, I'll 7 pass it off to my right now.
- 8 MR. GOODEN: This is Dave Gooden with Norfolk Southern.
- 9 Really, I don't have any questions, I just want to thank you, it
- 10 sounded like you handled the whole situation well, really no
- 11 | issues, and that's why everything's okay from the crew perspective
- 12 | and appreciate what you did, but I have no questions.
- 13 MR. FAISON: Thank you.
- 14 BY MR. BARNER:
- 15 | Q. Kraig Barner, Norfolk Southern, I'll second that. Great
- 16 | handling, thank you. Only question I have is do you remember what
- 17 | the last train that passed you going the opposite direction was,
- 18 by chance?
- 19 | A. It was a container train, it was a band (ph.) train, but I
- 20 | don't know what symbol it was.
- 21 | Q. Okay. And the reason I asked you, I was just wondering if
- 22 | they'd seen anything with the -- you know, with the -- anything
- 23 wrong with your train, so --
- 24 | A. I know they would've said something.
- 25 | Q. Yeah, you didn't get any -- nobody said anything?

- \square A. No.
- 2 | Q. Okay.
- 3 A. Nobody said anything.
- 4 MR. BARNER: That's all I need, thank you.
- 5 BY MR. CLAY:
- 6 Q. Joseph Clay, FRA. I'm glad you're okay, sounds --
- 7 A. Thank you.
- 8 Q. -- like you did a good job. Just a couple things. Do you
- 9 remember how fast you were going whenever you started having
- 10 | issues?
- 11 | A. I'll just say I knew I had control and the last speed --
- 12 | because I keep looking at my speed, but I didn't expect this to
- 13 | happen, you know, I'll look at it, know I've got it, know I've
- 14 got. Now, if it happened after I looked at it, I don't know, but
- 15 | the last time I looked, I was going approximately 33 mile an hour.
- 16 | That's what I seen when I looked at it.
- 17 $\|Q$. Do you recall any air issues, the reading on your flow when
- 18 | you're --
- 19 | A. I'm sorry, what --
- 20 | Q. You mentioned the flow on the computer screen (indiscernible)
- 21 | was it hot --
- 22 | A. No, no.
- 23 | Q. -- operation or anything?
- 24 A. When I was running that train, I even told my conductor it
- 25 was zero, it was zero, maybe like three and zero, I said for big

as this train, it's a tight train, I told him, I said I like a train like this. It was a good train, it was tight, the air was up there, I had 80, I had 90, we could drop from 89 and go back up to 90. Big as it was, I was impressed.

MR. CLAY: That's all I have.

BY MR. MITCHELL:

- Q. Okay, Jeff Mitchell, SMART Transportation. Only question I have, the signals you were running along --
- A. Um-hum.

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- 10 Q. -- were clear signals all the time --
- 11 A. Yes, yes.
- 12 | Q. -- and you were pacing --
- 13 | A. Yes.
- 14 | Q. -- to keep the train ahead of you?
 - A. I'm trying to think, there was a couple times I got an approach and I didn't know where that was, I can't remember where it was, I just know I responded, you know, I had the step go down on or else, you know, I would've got it forced, so I took action and I think that's why my speed was so low, I said 33, because just in case I got another approach it would've took me nothing to get to 30 because that's where I should be at. I was just being proactive to that train because I didn't know what it was doing, but I was just being proactive. What was I going to say? But I did get a couple clears, but I didn't pick the speed up because I knew I was going to get another approach, that's what was going

- 1 on, so I just maintained what I had. You start going up and down,
- 2 | up and down, I just kept it there and really, that was it.
- 3 | Q. But while you were pacing everything, you just used dynamic
- 4 | to slow your train down, you never did use air or anything?
- 5 A. Oh, I never touched the air.
- 6 Q. Never touched the air, good.
- 7 A. Never touched the air. The only time I touched it is when
- 8 | they had to stop me. I know I stopped in Cleveland, they wanted
- 9 to get a couple trains, they got a train by me, I stopped in
- 10 | Cleveland, had to do my DP train check and we did everything, got
- 11 | to where it was supposed to be, then I took off, nice and easy,
- 12 | and that's when I took it easy down the hill, I didn't really want
- 13 | to use air and I didn't have to, so I was just performing surgery.
- 14 | Q. I'm presently an engineer, have been for 28 years now, so --
- 15 \parallel A. Um-hum.
- 16 $\|Q$. -- it sounds like you did an excellent job.
- 17 | A. Thank you.
- 18 Q. So thank you.
- 19 | A. Um-hum.
- 20 MR. MITCHELL: That's all I have.
- 21 BY MR. LAWTON:
- 22 | Q. Shawn Lawton. Just a couple, I'm going to jump all over the
- 23 place because I have a couple questions. You said Salem was the
- 24 | last one, but it read clean, there were no defects, no nothing.
- 25 A. Right.

- 1 | Q. But eventually the wayside people came back and told you to
- 2 start the train. What was the detector thing that you had the
- 3 | issue with?
- 4 | A. The detector, we had the issue?
- $5 \parallel Q$. Yeah.
- 6 A. When -- okay. Where the derailment happened.
- 7 \mathbb{Q} . Yeah, what is that?
- 8 | A. That was in East Palestine --
- 9 Q. That's in East --
- 10 A. -- right in East Palestine, just right across the main
- 11 || crossing, it sits right there.
- 12 | Q. Okay.
- 13 | A. That was the one.
- 14 | 0. You said the dispatcher said, he called you guys and said you
- 15 | had cars on fire and a building on fire. Do you remember how long
- 16 | after they would've called you?
- 17 | | A. She got a call from the police department and they told her,
- 18 | so I don't know how long that took place, I can't say exactly.
- 19 | All type of stuff's going through my head, wondering what's going
- 20 on here, what's back there, you know. So I can't give you an
- 21 | exact time, it wasn't long and it wasn't quick, it was -- I can't
- 22 | -- I can't say.
- 23 | Q. Okay. When you said you're in auto control, I assume we're
- 24 | running Trip Optimizer?
- 25 A. Yes.

- $\mathbb{L} \mid \mathbb{Q}$. And an ongoing manual, okay.
- 2 | A. Um-hum, um-hum. Right.
- 3 $\|Q$. Who's the dispatcher that covers the territory?
- 4 | A. Her name was Linda, I don't know her last name.
- $5 \parallel Q$. Just the position name.
- $6 \parallel A$. Oh, oh, sorry.
- 7 || Q. That's all right.
- 8 A. She was a Cleveland dispatcher.
- 9 ||Q. Cleveland, okay.
- 10 | A. Um-hum.
- 11 | Q. Now, wayside is -- can you explain wayside, what they do,
- 12 | what they are?
- 13 A. Okay, wayside, what they do is, if we go over a detector, a
- 14 | lot of times, you know, we'll get no defects and we're just
- 15 | usually, you know, running the train, I'm using my situation, just
- 16 | running the train, you know, no defects, we're good to go. A lot
- 17 of times, what I've always heard is wayside would come on and say
- 18 | you have a hot wheel trending and when they say that, they tell us
- 19 where it is, they'll tell us what to do, because of how hot it is,
- 20 | they'll tell us what to do, you know, they say -- a lot of times
- 21 | they say stop, talk to your dispatcher, do a set and release, full
- 22 | set and release, a lot of times that takes care of it, but if it
- 23 | don't, I guess there's times you got to walk the train. So that's
- 24 | basically -- so basically, what I did is -- I hope I'm answering
- 25 | your question. What I did is when that -- we went over that

detector in Salem, of course, they didn't call, they didn't call us, we didn't call them, there was no reason, and when that thing said critical alarm, I know the first thing I said, that's us, like you know, we're trying to get done, oh, man, that's us, so I knew what I had to do and we called wayside and we waited a little while for them.

In between wayside, I think I called the road foreman, you know, it was an emergency, we told him a couple of buildings, something was on fire, this and that, and I don't know what he did, but I let him know in Atlanta. And wayside finally came back and he told us where it was and he said back at, wherever that was in Salem, I don't know the PC number, he said it was showing hot wheel trending. And like I said, we got -- we got no defects.

So that was it, you know, I was kind of stunned when it said critical alarm, I wasn't stunned, of course, that's what that's supposed to do, but what stunned me is how fast that happened, I said that doesn't happen like that. I've been an engineer a while and I've always got that down, no problem, that doesn't happen, but that's all that got me and that's it, that's all I can tell you.

- $\|Q.\|$ PC, I assume that's the mile post --
- 22 | A. Yeah.

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Q. -- identifications? Okay. When you have wayside call you guys, you mentioned, I think you mentioned it was the hundred and first axle south side.

- A. Right.
- Q. Do you find them to be off, do you find them to be a hundred percent accurate or is it a roundabout area?
- 4 A. I want to tell you, when -- I can't tell you what happened
- 5 then, because the conductor couldn't go back there, but usually
- 6 | they're right on the money, you know, we got to check, we got to
- 7 go like 20, even extra to make sure, but usually when that
- 8 conductor comes back, wayside is right on the money, it's there.
- 9 MR. LAWTON: I'm good.
- 10 BY MR. ZAGATA:
- 11 | Q. Zach Zagata, NTSB. When you took the train, did you get a
- 12 | roll-by at all from anybody from the time you departed up until
- 13 | the time of the derailment?
- 14 A. No, uh-uh. A lot of the trains were passing us, I mean,
- 15 | they're going 60, I'm going 50 on the Chicago line and then it got
- 16 | dark. A lot of trains went the other way, but nobody seen
- 17 | anything, nobody said nothing.
- 18 \parallel Q. Or when you first took possession of the train, no roll-by
- 19 when you guys departed there?
- 20 | A. In Toledo, we thought -- no.
- 21 \parallel Q. Okay. And then about how long were you on duty, you said?
- 22 | A. Probably -- let's see. Got a call for 1:15 p.m. I don't
- 23 | know exactly, I'd say -- I don't if we logged out and all that, so
- 24 | I'll -- I would say about 15, 14, 15 hours.
- 25 MR. ZAGATA: All right. That's all I got for now, I'll pass

- it around one more time for any additional questions.
- 2 BY MR. GOODEN:
- Q. Yeah. And this is Dave Gooden with Norfolk Southern. So
- 4 | where did you go on duty, what city or location?
- 5 A. Toledo, Ohio.
- 6 Q. So can you describe from the time you went on duty to the
- 7 point of the derailment, how many different rail lines per name
- 8 | that you have covered, just to give the group a little more --
- 9 | A. Okay.
- 10 | Q. -- context, please?
- 11 A. Okay. I got on in Toledo, that's called the Chicago line.
- 12 | And a matter of fact, I was on Track Number 2. Got on in Toledo
- 13 on the Chicago line and then once we got to Cleveland over the
- 14 drawbridge, it turned -- it turned into the Cleveland line, they
- 15 use RD for that, I don't know why, but that was the Cleveland
- 16 | line. And then when I got to Alliance, that was the Fort Wayne
- 17 | line, so I go over three different lines, if that's what you're
- 18 | asking me.
- 19 MR. GOODEN: Okay, all right. Thank you.
- 20 MR. FAISON: You're welcome.
- 21 MR. BARNER: Kraig Barner, Norfolk Southern. I'm good, thank
- 22 | you.
- 23 BY MR. CLAY:
- 24 | Q. Joseph Clay, FRA. Did you guys do any pickups along the way?
- 25 | A. No. And you know, we were asked that by somebody, I can't

- 1 \parallel remember who. No pickups, at all.
- 2 | Q. And do you recall how many times you stopped prior to the
- 3 || incident?
- 4 A. I can recall two times. Well, when I got on the train. And
- 5 | we stopped -- we stopped in Cleveland at CP Max. When I got on
- 6 | the train, that's when we left, so we only stopped one time.
- 7 \mathbb{Q} . And that was in Cleveland?
- 8 A. In Cleveland at CP Max. We let the train go around us and I
- 9 had a red signal, so --
- 10 | Q. Do you know how long you sat there?
- 11 | A. I'd say probably -- I'd say about 10 or 15 minutes. Because
- 12 | I thought they were going to run two trains around me, that's why,
- 13 | and I did my full service DP check before we took off, so that's
- 14 | why I remember that's the only time we stopped.
- 15 $\|Q$. Is it a common practice for any of your conductors or even
- 16 yourself to like -- I'm not saying you have to do this --
- 17 | A. Um-hum, um-hum.
- 18 $\|Q$. -- but some railroads do you require you to do it, and I
- 19 | can't remember if NS did. Say you're going around a curve --
- 20 | A. Yes.
- 21 | Q. -- you notice anyone look out the window to see any other
- 22 | trains, like hey, I see somebody on there?
- 23 | A. You know, I don't -- it's strange you said that. I never
- 24 | really seen or watch for that, but I was taught that 20-something
- 25 years ago and I do that, I do that, every now and then, a lot of

times when I go around a curve, I'll stick -- I'll look in the
mirror, so I'll stick my head out and look as far as I can. We
were taught that, a long, long -- like 25 years ago. But I don't

4 remember anybody off hand doing that because I had a couple people

5 ask me why you looking back for, I said I'm just looking at my

6 train.

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You know, I don't know if that's required, but it was 25 years ago and that just stayed with me, even as an engineer, because they wanted the conductors to look back, but the engineers, I mean, I don't know, but it was just a habit, that's all, that I couldn't break.

- Q. And do you recall your brake test slip, everything seemed to check out okay?
 - A. Oh, yeah, we checked that off before we left, I said you got the brake slip, I said you got the cars, has the dump test been done, and I looked at the cab signal, feet, yeah, everything was where it was supposed to be.

MR. CLAY: That's all.

Right, right, yes.

MR. MITCHELL: Jeff Mitchell, no questions.

20 BY MR. LAWTON:

- Q. A couple of follow-ups. Shawn Lawton. The DP was in, you said it was doing -- I don't know if it was near motor synchronized, correct?
- 25 Q. How do you guys contact wayside? You said wayside contacts

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- you when there's issues, do you guys ever have to call them and if you do, what's the process to --
- 3 \blacksquare A. Okay, what happen is when we call them, like I got a critical
- 4 | alarm, okay, or just anything like a hot box or something
- 5 dragging, anything, once we stop, I think it's #127, I think it
- 6 is, we call them because they don't know, far as I know. I don't
- 7 | know what they do, they don't know, we call them and get their
- 8 attention and then they'll look at it and they'll tell us what's
- 9 going on. But there's times where they already let us know
- 10 | because we don't know, so I just want to throw that in there and
- 11 | that's all I have to say.
- 12 | Q. You said when you left Toledo, you did your train check --
- 13 | A. Um-hum.
- 14 | Q. -- that's a minimum of 10 pounds you have to have for a train
- 15 | check to come on. So you had at least 10 pounds on, you said you
- 16 stopped once around Cleveland full service.
- 17 | A. Um-hum.
- 18 | Q. Other than that, the air was never used, never on?
- 19 | A. Never touched it.
- 20 | 0. Okay.
- 21 | A. And also, when I get on a train, I take it right to full
- 22 | service, I want to make sure I get a clean release because I don't
- 23 want to be stopping for nothing.
- 24 MR. LAWTON: We operate the same way.
- 25 (Laughter.)

MR. LAWTON: We're doing a lot of the same things.

MR. FAISON: Okay.

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MR. LAWTON: That's it. Yeah, I think I'm good with my questions.

BY MR. ZAGATA:

- Q. Yeah, appreciate it. Zach Zagata, NTSB. Tony, is there anything you can think that could prevent something like this from happening again?
- A. You know, that's what's been on my mind after all these years, I've been thinking what could I have done and to be honest, it's been on my mind, to me it's been -- this has been going on for months because I've been thinking about it the whole time. What I believe is, and I'm not sure, but what I believe is the action that I took was the best scenario of a worst situation, that's what I truly believe and I can live with that, you know, because this was bothering me.

And the way the situation was set up, I do not know, thinking ahead, the train ahead, I could've come flying down through there, that didn't happen, and I was already in a position, slow enough, where I could try to stop, but I don't know how it could've been prevented, we didn't know. I just had -- if I was running track speed, that could've been a whole different situation. I'm not concerned about that, I'm concerned about what happened, and I do think, even though cars derailed, I was hoping that would never happen, that's the best scenario of the worst situation and that

- 1 was a bad situation because I kept thinking about it and that's
- 2 | all I'm going to say there.
- $3 \parallel Q$. I appreciate that, sir.
- 4 | A. Okay.
- 5 \parallel Q. One last follow-up for you, as far as calling 9-1-1, did you
- 6 guys call 9-1-1 at all or, you know --
- 7 | A. You know --
- 8 | O. -- the dispatcher or how that went down?
- 9 A. -- we did not do that, we didn't know what was going on, and
- 10 | the dispatcher got a call from the police and that's how we found
- 11 out, so that's when we knew, right there. And I don't know how
- 12 | long that took.
- 13 | MR. ZAGATA: Shawn Lawton's got one more question.
- 14 BY MR. LAWTON:
- 15 $\|Q$. When we're running in DP mode and near mode and we go in
- 16 | emergency, do you know or did you notice, did the DP dump go in
- 17 | emergency at the same time? I know there was a lot going on, I
- 18 | don't know if it's -- the system was set up to -- if the head end,
- 19 head locomotives go in emergency, is that DP nearing or is the DP
- 20 | still active, doing its normal thing control-wise?
- 21 | A. You know, I was thinking about that, strange you said that.
- 22 | I did not really see it, I didn't look at the DP. What it was,
- 23 | was I looked at the -- I'm looking at my speed and I was looking
- 24 | -- I seen it go in emergency and that's all I know, but I do know
- 25 I had them together, so it had to be in dynamic, so that's all I

can say, but I didn't look at it but I know it had to be because I was running in it. Okay. That's all I got. MR. LAWTON: Appreciate it. If we have any additional MR. ZAGATA: questions, is it okay to contact you? MR. FAISON: Oh, yeah, you can call me any time. MR. ZAGATA: All right. All right, sir, I appreciate your time. With that, we'll conclude the interview. Thank you. (Whereupon, the interview concluded.)

CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: NORFOLK SOUTHERN TRAIN DERAILMENT

IN EAST PALESTINE, OHIO

ON FEBRUARY 3, 2023

Interview of Tony Faison

ACCIDENT NO.: RRD23MR005

DATE: February 5, 2023

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

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