

**Attention: One or more violations have been cited on this report.  
In addition, one or more Remedial Action reports are required.**

DEPARTMENT OF TRANSPORTATION  
FEDERAL RAILROAD ADMINISTRATION (FRA)

## INSPECTION REPORT

OMB Approval No.: 2130-0509

Inspector's Name <b>HAWKS, LANCE E.</b>		Inspector's Signature			Inspector's ID No. 37461	Report No. 5	Date yy mm dd 2023 09 13						
Railroad/Company Name & Address <b>HOUSATONIC RR CO., INC.</b>				R/C <b>R</b>	Division <b>SYSTEM</b>	RR/Co. Representative (Receipt Acknowledged) Name <b>PJ Bailly</b>							
				RR/Co. Code <b>HRRC</b>	Subdivision <b>SYSTEM</b>	Title <b>Trainmaster</b>							
						Email <span style="background-color: black; color: black;">[REDACTED]</span>							
						Signature _____							
From: City <b>CANAAN</b>	Codes <b>0100</b>	Destination City & County			Codes	From Latitude							
State <b>CT</b>	<b>09</b>	City <b>CANAAN</b>			<b>0100</b>	From Longitude							
County <b>LITCHFIELD</b>	<b>C005</b>	County <b>LITCHFIELD</b>			<b>C005</b>	To Latitude							
Mile Post: From	To	Inspection Point <b>BERKSHIRE</b>				To Longitude							
Activity Code:	<b>243P</b>	<b>243A</b>	<b>243R</b>										
Units:	<b>1</b>	<b>1</b>	<b>1</b>										
Sub Units:	<b>1</b>	<b>0</b>	<b>1</b>										
Item	Initials/Milepost	Equipment/Track #	Type/Kind	49 CFR/USC	Defect	Subrule	Speed	Class	Train #/Site	SNFR*	RCL**	# of Occ.***	Activity Code
1				243	0205					N	N	1	243A
Description Failure or partial failure of employer to conduct periodic oversight (The Housatonic's Periodic Oversight Program is incomplete due to it does not cover all components of requirements found in Part 214. Therefore Housatonic failed to perform tests and/or inspections required by 243.205.)													
Seal Applied		Seal Removed			Hazard Class			UN/NA ID					
Violation Recommended				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	Latitude:			Longitude:				
Written Notification to FRA of Remedial Action is:				<input checked="" type="checkbox"/> Required	<input type="checkbox"/> Optional	Railroad Action Code		<input type="text"/>	Date(mm/dd/yyyy):	<input type="text"/>	Comments on back?		
Railroad Comments													

# INSPECTION REPORT

(Continuation)

Inspector's ID No. 37461	Report No. 5	Report Date 9/13/2023
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Item	Initials/Milepost	Equipment/Track #	Type/Kind	49 CFR/ USC	Defect	Subrule	Speed	Class	Train #/Site	SNFR*	RCL**	# of Occ.***	Activity Code
2				243	0101	C1				N	N	1	243P

**Description**  
 Failure to classify safety-related railroad employees. (Housatonic's list of job classifications are in-complete; they do not contain all element of RWP, bridge worker safety, or 213(a)(b)(c).)

Seal Applied	Seal Removed	Hazard Class	UN/NA ID
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Violation Recommended <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Latitude:	Longitude:
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Written Notification to FRA of Remedial Action is: <input checked="" type="checkbox"/> Required <input type="checkbox"/> Optional	Railroad Action Code	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	Date(mm/dd/yyyy):	<input style="width: 80px; height: 20px;" type="text"/>	Comments on back?
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**Railroad Comments**

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DEPARTMENT OF TRANSPORTATION  
FEDERAL RAILROAD ADMINISTRATION (FRA)

## INSPECTION REPORT

OMB Approval No.: 2130-0509

Inspector's Name Smith, Owen D.		Inspector's Signature			Inspector's ID No. 82320	Report No. 135	Date yy mm dd 2023 09 21						
Railroad/Company Name & Address HOUSATONIC RR CO., INC. 90 Main Street PO Box 1146 Canaan CT 06018				R/C R	Division SYSTEM	RR/Co. Representative (Receipt Acknowledged) Name Eric Boardman Title Manager of Operations Email [REDACTED] Signature _____							
From: City GREAT BARRINGTON		Codes 0440	Destination City & County			Codes	From Latitude						
State MA		25	City				From Longitude						
County BERKSHIRE		C003	County				To Latitude						
Mile Post: From		To	Inspection Point MIDDLESEX CONTRACTOR EMPLOYEE FATALITY				To Longitude						
Activity Code:	218O												
Units:	1												
Sub Units:	1												
Item	Initials/Milepost	Equipment/Track #	Type/Kind	49 CFR/ USC	Defect	Subrule	Speed	Class	Train #/Site	SNFR*	RCL**	# of Occ.***	Activity Code
1				218	0103	B2				N	N	1	218O
Description HAND-OPERATED SWITCHES, INCLUDING CROSSOVER SWITCHES: FAILURE TO BE QUALIFIED ON RELEVANT OPERATING RULE. On August 4, 2023 HRRC permitted unqualified Middlesex employees to operate the hand operated switch at Lanes Siding. No qualified employee was present when Middlesex was operating these switches.													
Seal Applied			Seal Removed			Hazard Class			UN/NA ID				
Violation Recommended				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Latitude:			Longitude:				
Written Notification to FRA of Remedial Action is:				<input type="checkbox"/> Required <input checked="" type="checkbox"/> Optional		Railroad Action Code		Date(mm/dd/yyyy):		Comments on back?			

**Attention: One or more violations have been cited on this report.**

DEPARTMENT OF TRANSPORTATION  
FEDERAL RAILROAD ADMINISTRATION (FRA)

## INSPECTION REPORT

OMB Approval No.: 2130-0509

Inspector's Name <b>Smith, Owen D.</b>		Inspector's Signature			Inspector's ID No. 82320	Report No. 133	Date yy mm dd 2023 09 21						
Railroad/Company Name & Address <b>HOUSATONIC RR CO., INC.</b> 90 Main Street PO Box 1146 Canaan CT 06018				R/C <b>R</b>	Division <b>HRRC</b>	RR/Co. Representative (Receipt Acknowledged) Name <b>Eric Boardman</b> Title <b>Manager of Operations</b> Email <span style="background-color: black; color: black;">[REDACTED]</span> Signature _____							
From: City <b>GREAT BARRINGTON</b>		Codes <b>0440</b>	Destination City & County			Codes	From Latitude						
State <b>MA</b>		<b>25</b>	City				From Longitude						
County <b>BERKSHIRE</b>		<b>C003</b>	County				To Latitude						
Mile Post: From		To	Inspection Point <b>MIDDLESEX CONTRACTOR EMPLOYEE FATALITY</b>				To Longitude						
Activity Code:	<b>RWLC</b>												
Units:	<b>1</b>												
Sub Units:	<b>1</b>												
Item	Initials/Milepost	Equipment/Track #	Type/Kind	49 CFR/ USC	Defect	Subrule	Speed	Class	Train #/Site	SNFR*	RCL**	# of Occ.***	Activity Code
1				214	0321	D2				N	N	1	RWLC
Description <b>MOVEMENT AUTHORIZED WITHIN LIMITS OF EXCLUSIVE TRACK OCCUPANCY WITHOUT AUTHORITY OF ROADWAY WORKER IN CHARGE.</b> On August 4, 2023 Middlesex employees operated two (2) on-track roadway maintenance machines inside an exclusive track occupancy without the permission of the HRRC Roadway Worker in Charge.													
Seal Applied			Seal Removed			Hazard Class			UN/NA ID				
Violation Recommended				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Latitude:			Longitude:				
Written Notification to FRA of Remedial Action is:				<input type="checkbox"/> Required <input checked="" type="checkbox"/> Optional		Railroad Action Code		Date(mm/dd/yyyy):				Comments on back?	