Attention: One or more violations have been cited on this report. In addition, one or more Remedial Action reports are required.

INSPECTION REPORT

DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION (FRA)

Date Inspector's Signature Inspector's ID No. Inspector's Name Report No. mm dd HAWKS, LANCE E. 37461 5 2023 13 Railroad/Company Name & Address R/C Division RR/Co. Representative (Receipt Acknowledged) HOUSATONIC RR CO., INC. R SYSTEM PJ Bailly Name RR/Co. Title Trainmaster Subdivision Code HRRC **SYSTEM** Email Signature Codes 0100 From Latitude Destination City & County Codes CANAAN City City From Longitude 09 State CT CANAAN 0100 County LITCHFIELD C005 County LITCHFIELD C005 To Latitude Inspection Point BERKSHIRE To Longitude Mile Post: From То Activity 243P 243A 243R Code: Units: 1 1 Sub Units: 1 0 1 Type/Kind 49 CFR/ Speed Class Train #/Site # of Initials/Milepost Equipment/Track # Defect Subrule SNFR* RCL** Item Activity Occ.*** Code 243 0205 N N 1 243A Failure or partial faliure of employer to conduct periodic oversight (The Housatonic's Periodic Oversight Program is incomplete due to it does not cover all components of requirements found in Part 214. Therefore Housatonic failed to perform tests and/or inspections required by 243.205.) Seal Applied Seal Removed Hazard Class Violation Recommended **✓** Yes No Latitude: Longitude: Written Notification to Railroad Action Code Date(mm/dd/yyyy): Comments on back? **✓** Required Optional FRA of Remedial Action is: Railroad Comments

OMB Approval No.: 2130-0509

DEPARTMENT OF TRANSPORTATION

FEDERAL RAILROAD ADMINISTRATION (FRA)

$INSPECTION_{(Continuation)} REPORT$

OMB Approval No.: 2130-0509

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Inspector's ID No.		Report No. Report Date 9/13/2023		ate													
37461				9/13/2023													
Item 2	Initials/Milepost Equipment/Tra		nt/Track #	Type/Kind	49 CFR/ Defect Subrule USC 243 0101 C1		Subrule C1		Speed	Class	Train #/Site		SNFR*	R* RCL**	# of Occ.***	Activity Code 243P	
Descri	ption				-	L		L			L	_				L	l
	e to classify s or 213(a)(b)		elated ra	ilroad em	ployees. (H	ousatonic's	s list of j	ob classi	fications are in	n-comp	lete; th	ey do not c	ontain all ele	ement o	f RWP,	bridge v	orker
Seal Ap	pplied			Se	eal Removed				Hazard Class				UN/NA ID				
Violation Recommended Yes No					Latitude:							Longitude:					
Written Notification to FRA of Remedial Action is: ✓ Required Option						nal Railroad Action Code Date(mm/dd/yyyy):							Comments on back?				
Railro	oad Commen	ts															

Attention: One or more violations have been cited on this report.

INSPECTION REPORT

DEPARTMENT OF TRANSPORTATION

Risportin's Name	FEDE	RAL RAILR	OAD A	ADMI	NISTRATIC	N (FRA)	-	INS	PEC		JN	KI	YP()K'	L'	OMB App	orova	al No.:	2130-05	09		
Samith	Inspec	ctor's Name		Inspector's Signature						Inspe	ctor's ID No.	Report No.				T						
RR SYSTEM Name Fric Boardman Title Manager of Operations Title Manager of Operations Title Manager of Operations Manager of Oper	Smit	h, Owen D.								82	320 135		2									
90 Main Street						R/C Division						RR/Co. Representative (Receipt Acknowledged)										
POBOX 1146 Canaan CT 06018 Code 0440 Destination City & County Email Signature Code Signature Sig									SYSTEM			Name	Eric Boardman									
Canaan CT 06018 HRRC BERKSHIRE LINE Signature From Latitude From Longitude County BERKSHIRE MA 25 City From Longitude To Latitude To Latitude To Longitude Longitude To Longitude Longitude To Longitude Longitude To Longitude Longitude Longitude To Longitude Longitude To Longitude Longitude Longitude Longitude Longitude Longitude Comments on back?													ision		Title	Manager of	Oner	rations				
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From: GREAT BARRINGTON Codes 0440 Destination City & County Codes From Latitude County BERKSHIRE C003 County County BERKSHIRE C003 County County County County BERKSHIRE C003 County County County County County County BERKSHIRE C003 County County	Cana	an			CT		06018			IIICICC					Email							
Great Barrington Sust MA 25 City From Longitude To Latitude Mile Post: From To Inspection Point MIDDLESEX CONTRACTOR EMPLOYEE FATALITY Activity Code: 2180 Units: 1 Sub Units:															Signature							
County BERKSHIRE C003 County Mile Post: From To Inspection Point MIDDLESEX CONTRACTOR EMPLOYEE To Lantitude Lantitude Lantitude Lantitude Lantitude Comments on back?		GREAT B	ARRIN	NGTO)N	Codes 04	S 0440 Destination City			& County					Codes	From Latitude						
Mile Post: From To Inspection Point MIDDLESEX CONTRACTOR EMPLOYEE To Longitude Activity Code: 2180	State	MA				25	25 City									From Longitude						
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Sub Units: 1			2180	2180																		
Item Initials/Milepost Equipment/Track # Type/Kind 49 CFR/ USC 218 0103 B2 Speed Class Train #/Site SNFR* RCL** # of Occ.*** Code N N N 1 1 2180 Description HAND-OPERATED SWITCHES, INCLUDING CROSSOVER SWITCHES: FAILURE TO BE QUALIFIED ON RELEVANT OPERATING RULE. On August 4, 2023 HRRC permitted unqualified Middlesex employees to operate the hand operated switch at Lanes Siding. No qualified employee was present when Middlesex was operating these switches. Seal Applied Seal Removed Hazard Class UN/NA ID Written Notification to Province Comments on back?	Units:		1																			
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HAND-OPERATED SWITCHES, INCLUDING CROSSOVER SWITCHES: FAILURE TO BE QUALIFIED ON RELEVANT OPERATING RULE. On August 4, 2023 HRRC permitted unqualified Middlesex employees to operate the hand operated switch at Lanes Siding. No qualified employee was present when Middlesex was operating these switches. Seal Applied Seal Removed Hazard Class UN/NA ID Violation Recommended Yes No Latitude: Longitude: Written Notification to Realipoad Action Code Date(mm/dd/vyvy): Comments on back?	1						218	01	103 B2							N		N	1	2180		
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Written Notification to Page Postional Railroad Action Code Date(mm/dd/vyvy): Comments on back?	Seal Applied Seal Remov					al Removed				Haz	Hazard Class				U	UN/NA ID						
Written Notification to Page Postional Railroad Action Code Date(mm/dd/vyvy): Comments on back?																						
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Attention: One or more violations have been cited on this report.

DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION (FRA)

INSPECTION REPORT

Inspector's Name			In	spector's	Signature				Insp	ector's ID No.	Report No.	Date						
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Smith, Owen D.										2320	133	2023	09	21				
Railroad/Company Na						R/C	7	Division		RR/Co. Rej	presentative (Re	ceipt Acknow	vledged)					
HOUSATONIC F	RR CO., I	NC.					R	HRRC		Name	Name Eric Boardman							
90 Main Street						RR/		Subdivision		Title								
PO Box 1146						Cod	le RRC		OT:		tle Manager of Operations							
Canaan		CT	00	5018		HK	KKC	BERKSHIF LINE	Œ	Email								
										Signature	Signature							
From:			Codes		Dantination C	Stee & Com					From Latitude							
City GREAT B.	ARRING	TON	Codes 0440)	Destination C	ity & Cou	inty			Codes	From Lautuue							
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County BERKSHI	RE		C00:	3	County						To Latitude							
Mile Post: From		То		Inspe		IIDDLE: ATALIT		ONTRACTO	PLOYEE	To Longitude								
Activity Code: RWLC																		
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Item Initials/Milepo	Type/Kind		Defect S	Subrule		Speed	Class	Train #/Site	SNF	R* RCL**	# of	Activity						
1				USC 214	0321	D2					N	N	Occ.***	Code RWLC				
1				214	0321	D2					IN.		1	KWLC				
Description																		
MOVEMENT AUT	THORIZE	ED WITHIN LI	MITS OF E	XCLUS	SIVE TRAC	K OCCU	JPANC	Y WITHOUT	[AUT	HORITY OF	ROADWAY	WORKE	R IN CH	ARGE.				
On August 4, 2023	Middlese	x emplovees or	erated two (2) on-t	rack roadwa	v maintei	nance n	nachines insi	de an ex	xclusive track	c occupancy v	vithout the	permissi	on of the				
HRRC Roadway W				,							₁		r					
Seal Applied	al Removed]	Hazard Class			UN/NA ID										
Violation Recommend	led	✓ Yes	No			Latitud	le:			Long	gitude:							
Written Notification to FRA of Remedial A		Required	l V Option	Optional Railroad Action Code Date(n						/dd/yyyy): Comments on back?								
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OMB Approval No.: 2130-0509