UNITED STATES OF AMERICA
NATIONAL TRANSPORTATION SAFETY BOARD
* * * * * * * * * * * * * * * *
Investigation of: *
* SINKING OF THE CFV <i>EMMY ROSE</i> WITH *
LOSS OF LIFE, OFF THE COAST OF *
PROVINCETOWN, MASSACHUSETTS, * Accident No.: DCA21FM007
ON NOVEMBER 23, 2020 *
* * * * * * * * * * * * * * * * * * *
Interview of: BARTLEE McNEEL, Owner Emmy Rose
USCG Sector NNE
South Portland, Maine
Wednesday, December 16, 2020
FREE STATE REPORTING, INC.
Court Reporting Transcription D.C. Area 301-261-1902
Balt. & Annap. 410-974-0947

APPEARANCES:



BRIAN YOUNG, Accident Investigator National Transportation Safety Board

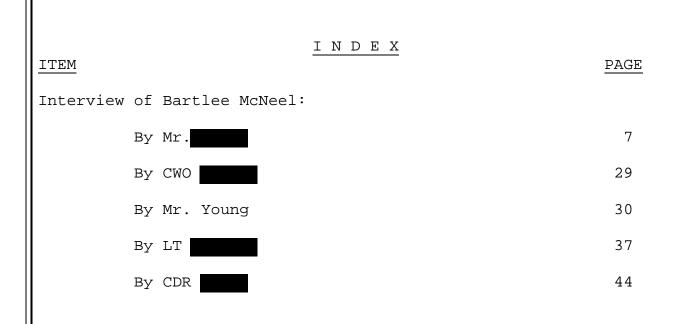
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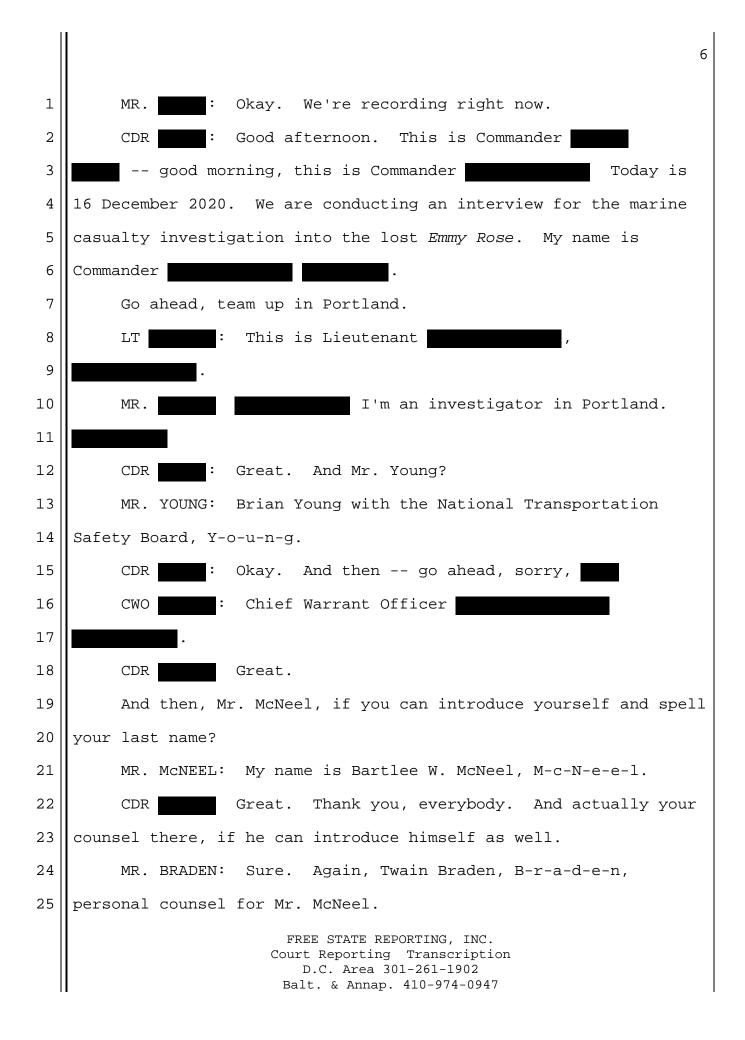
DAVID SMITH, Attorney (On behalf of Boat Aaron and Melissa, Inc.)

TWAIN BRADEN, Attorney (On behalf of Mr. McNeel)

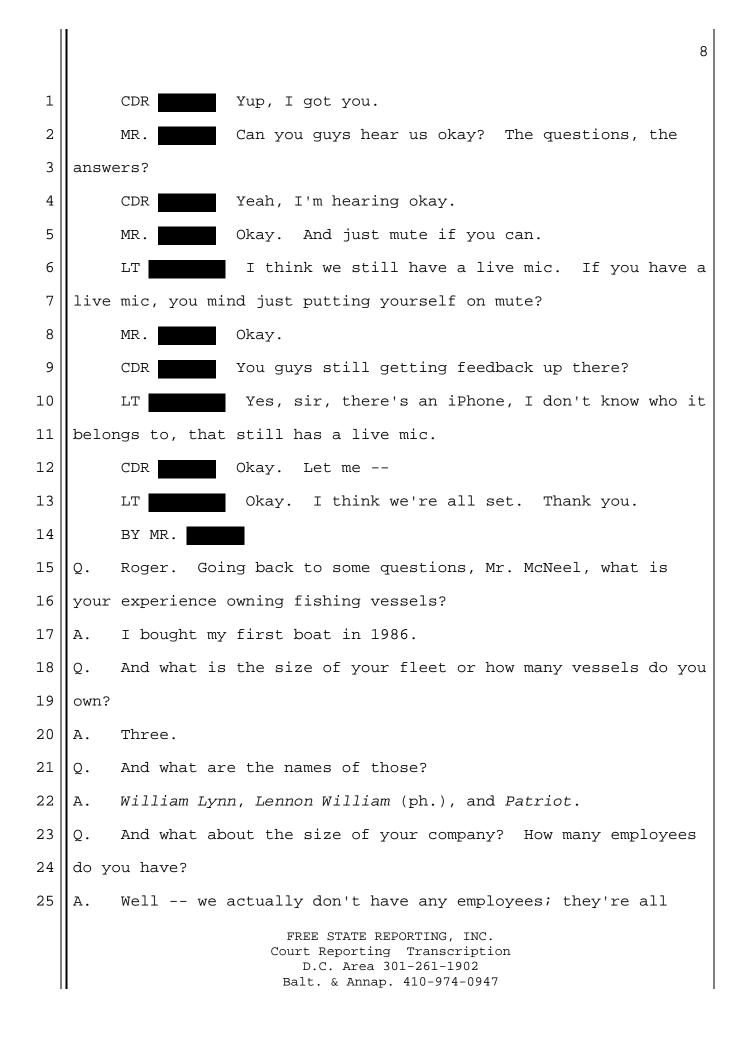


4 INTERVIEW 1 2 : Commander stationed out of Boston, CDR 3 but I've been designated from the District Commander as the lead 4 investigating officer. So I'll tell you what, we'll have the guys in the room there introduce themselves. 5 6 : Yes, I'm I'm an investigator out of MR. . 7 the Portland Office. 8 : Lieutenant , legal counsel for LT9 this investigation. 10 CDR : Great. Thanks, guys. 11 , hey, how you doing? And then CWO 12 : Hey, Commander, how are you? CWO 13 : Did you want to introduce yourself? CDR 14 Yes, I am Chief Warrant Officer CWO : 15 I'm an investigating officer with Sector Boston. 16 : Great. Thanks, CDR . 17 And then I think -- let me just see who else is on the line 18 here. I think the only other person we have on the line right now 19 is Mr. Young. So, Mr. Young, if you could --20 MR. YOUNG: This is Brian Young. I'm with the National 21 Transportation Safety Board. Our office is based in Washington 22 D.C., and I'm based out of , Maine. 23 Thank you for being here today and assisting us with the 24 investigation. We will come up with our own report of findings 25 and analysis of probable cause. And like the Coast Guard, if we FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902 Balt. & Annap. 410-974-0947

1 do have any recommendations as a result of this accident, we'll be 2 issuing them. And on behalf of our agency, we're sorry for what 3 you're going through and the loss of your vessel and your crew. 4 Great. Thanks, Brian. CDR 5 So yeah, I'll turn it back over to you, sir, if you have any б questions for us or anything before we start the formal interview. 7 Nope. Any questions? LT8 MR. BRADEN: Good morning. I just wanted to introduce 9 myself. I'm Twain Braden, B-r-a-d-e-n is the last name, and I'm 10 Mr. McNeel's personal counsel. And I just wanted to introduce 11 myself so you know that I'm here in the room with Mr. McNeel. 12 : Great. Thank you. CDR 13 And, Mr. McNeel, do you have any questions at this point for 14 us, anything? 15 MR. McNEEL: No, I don't. 16 Okay. Great. All right. Well, what we're going CDR : 17 to do just to avoid the technical difficulties of Zoom is we're 18 going to have Mr. ask all the questions for you there in the 19 room. And then we'll do some follow-up at the end if we have anything we want to ask. So without further ado, I will kick it 20 21 over to the team up there. 22 MR. Commander, do you want us to do the introductions : 23 with the spelling of the last name? 24 Yeah, yeah, just let me know once you guys start CDR : 25 the recording and then we can go ahead and introduce anybody. FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902 Balt. & Annap. 410-974-0947



1 Great. Thanks, guys. CDR 2 All right. We'll kick it back up to Thanks. 3 One more person to introduce. MR. 4 MR. SMITH: David Smith, counsel for -- S-m-i-t-h. 5 CDR Sorry, Dave, didn't see you there. 6 Quite all right, Commander. MR. SMITH: 7 Okay. We're all set to begin the questions? MR. 8 I think we got everybody, so we can go CDR Yup. 9 Thanks, everyone. ahead. 10 INTERVIEW OF BARTLEE MCNEEL 11 BY MR. 12 Mr. McNeel, I'm just going to ask you some questions, first Ο. 13 off, about your background, and then we'll go into the Emmy Rose 14 history, and then the final voyage if you happen to know anything 15 about that. Could you tell us how much experience you have in 16 commercial fishing operations? 17 I started commercial fishing in '77, and bought the first Α. boat in '86. 18 19 Are you a commercial fisherman yourself? Q. 20 Α. No, right now what I do is manage the boats and their 21 schedules. 22 But you have commercial fished? Ο. 23 Α. Yes. 24 For the board, can you hear the answers okay? MR. 25 Commander? FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902 Balt. & Annap. 410-974-0947



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1	1099s.	
2	Q. Could you explain that?	
3	A. Everybody that works on a commercial fishing boat is	
4	basically self-employed, so they're responsible for their own	
5	taxes and everything else.	
6	Q. Do you have a port engineer or a maintenance department for	
7	your fleet?	
8	A. Yes, we do.	
9	Q. And who is your port engineer?	
10	A. There's three of them. We have John Schuman (ph.),	
11	Lonnie Hillick (ph.), and Brian Woodman (ph.) and they get help	
12	from a couple of other guys. Whenever they have problems with	
13	something they'll call in Scott Russell (ph.) and Reed Solm (ph.).	
14	Q. And are they all based out of Portland?	
15	A. Oh, yeah.	
16	Q. And they just work on those three vessels that you had	
17	mentioned earlier?	
18	A. Yes. Also, the boat is not commercially fishing right now,	
19	it's a project we have. We bought I bought a scalloper in New	
20	Bedford and we're in the process of rebuilding it, and these guys	
21	help with that.	
22	Q. Can you explain the crew hiring process?	
23	A. The captain hires the crew.	
24	Q. Do you have any say-so in that or you just leave it all to	
25	the captain?	
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1	A. Well, it depends. If you see somebody on the boat that you				
2	don't want on your boat, you can go to the captain and say, I'm				
3	sorry, you can't take that person.				
4	Q. Do you happen to know the work hours and the schedules of the				
5	crew on the fishing vessels?				
6	A. No.				
7	Q. Is that left up to the captain to decide?				
8	A. Yes.				
9	Q. How about crew evaluations? Do you have any say-so in that?				
10	A. Yeah, you do. I mean, if a person is that you know has,				
11	say, a history of alcohol or drug abuse, you can talk to your				
12	captain and say, I'm sorry, you can't take that person on my boat.				
13	Q. So you can override the captain's decision				
14	A. Yes, we can.				
15	Q. How about types and frequency of drills, safety drills on the				
16	vessels? Do you have any oversight of that or do you know how				
17	often they're conducted?				
18	A. No, I don't. I do know the captains will if there's a new				
19	crewmember on the boat, they make them put the survival suit on,				
20	they time them to make sure they, you know, I guess it's within a				
21	minute or two. If they can't get it on at that time, they make				
22	them put it on again until they can get it on in that time.				
23	Q. Do you have any kind of written policy or training manuals				
24	that say how often drills have to be conducted?				
25	A. No, we don't.				
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	11
1	Q. Do you have any kind of safety management system onboard? So
2	if you have issues with safety gear or equipment that needs
3	maintenance that the captain can log it and then give that to the
4	shore engineer?
5	A. Well, yeah, the captain will usually have a list every trip
б	when he comes in, this is what I want done, and it gets done.
7	Q. And who does the captain give that list to?
8	A. He'll put the list up in the pilot house and me and the shore
9	engineers will go aboard and look at the list and determine who is
10	going to be doing which. Because there's usually two or three
11	things, four things, on the list.
12	Q. And that's just, like, on a regular piece of paper that they
13	write those?
14	A. Yeah, that's just in a regular notebook.
15	Q. Do you keep those at all anywhere?
16	A. They're on the boat.
17	Q. Okay. But do you file them away or once the job is complete
18	you just get rid of the work order?
19	A. No, he'll have one. Usually it's just a regular paper, you
20	know, wire bound notebook and we'll just go page-by-page. If
21	say if there's a couple things on the list that isn't done the way
22	he wants it done, the next trip it'll be he'll put it back on
23	the list.
24	Q. Okay. And is that kept on the vessel?
25	A. Yes.
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1 Do you have any kind of pre-employment physicals or drug 0. 2 tests that you make crew members take? Some captains will do that. Some captains will drug test the 3 Α. 4 crews, but I don't know -- we usually just don't have that 5 problem. 6 So you don't have a policy in place that anybody that gets 0. 7 hired must pass a random drug test or pre-employment drug test? 8 No, that's usually up to the captain, and this is -- if the Α. captain suspects, you know, look, I heard that you have a drug 9 10 problem, I have to give you a drug test before you can go on the 11 boat. And, you know, sometimes guys refuse to take them, but most 12 of the time -- basically, everybody knows everybody down there. 13 And, you know, you basically know. Sometimes you don't, sometimes 14 people develop problems that you don't know about. 15 If a captain was to come to you and say, I need to have Ο. 16 so-and-so drug tested, who would pay for that, and do you know 17 where they would go? 18 Well, you can go right to CVS or Rite Aid and get a drug Α. 19 test. 20 Ο. Would you pay for that as the owner? 21 Oh, yeah. It doesn't really cost anything. I had one Α. 22 captain a few years ago there who that was his thing. He wanted 23 to drug test people, and if he suspected somebody taking drugs, he 24 would. And he had two or three drug tests right on the boat so he 25 could test them.

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1 Q. Could you explain your relationship to the Boat Aaron and 2 Melissa II?

3 A. Oh, I own that boat.

Q. You own that boat too? Okay. Is that -- okay. The Aaron and Melissa sinking in 2018, could you briefly describe that and what happened?

7 Well, they were loaded -- they were fishing at the end of the Α. 8 trip and on their way in, and they had loaded the hatch. They 9 were doing a thing called -- with monkfish heads and they were 10 selling it for lobster bait. I don't know if you've ever seen a 11 monkfish head, but it's, like, just a big glob of jell-o. And 12 what they were doing was because it was convenient they were 13 taking the deck hatches, opening them up, and just throwing them 14 down into the fish hold.

And they did that until they filled up, like, two pens. 15 And 16 you're talking 7-, 8,000 pounds in each pen, and they were selling 17 them for lobster bait. Now, this really wasn't anything that I 18 was privy to because it didn't go on the -- the captain was doing 19 it, selling it for cash, and then dividing it up amongst the crew. 20 And what ended up happening was is because it was convenient, they 21 had loaded all the monkfish heads and monk tails on one side of 22 the vessel.

You're talking probably when the monk -- I think they had around 6-, 7,000 pounds of monkfish also, which is nothing but a big ball of jell-o. So then they start, on the way into Boston

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1 they got hit by, like, 60, 70 mile per hour winds and very heavy 2 seas. So needless to say, the boat is rocking a lot. One of the 3 pens breaks loose, the boat lays over heavy in heavy seas, and 4 then next thing you know, the next pen breaks loose.

5 But they were very good. They knew what was going on, they 6 got their survival suits on, they made Coast Guard calls. They 7 were calling everybody and they got their life raft out and they 8 got off the boat quickly, and they did a very good job. But 9 that's why the boat rolled over.

10 Q. I also noticed that there was a dockside sinking in 2013?
11 A. Oh, you're -- yeah, that was in Gloucester, Mass.

12 Q. Could you describe what happened on that occasion if you
13 remember?

14 The captain of the boat asked the engineer, they were Α. Yeah. 15 getting -- they had unloaded their fish, they were getting ready 16 to leave the boat at Felicia Oil (ph.), and Felicia Oil is very 17 good about checking on your boat for you. And they were ready to 18 leave and head home, and people that were there told me that I was 19 standing right there when the captain asked the engineer, have you 20 closed all the valves in the engine room? And the engineer said 21 yes. And, you know, maybe he should've gone to check, but the 22 engineer did not close all the valves in the engine room, and the boat filled with water. 23

Q. Mr. McNeel, I'm now going to ask you questions about the *Emmy Rose*'s history. Could you tell us what you know of the *Emmy Rose*?

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1 Are you the owner or manager or --

_	
2	A. Well, this is I had the permit that was not being used.
3	So Rink and I have an agreement on his other vessel, the Sea
4	Rambler (ph.), at which we set this one up the same way. In order
5	to get the permit on the vessel so you can participate in
б	fisheries, New England fisheries, you technically have to own the
7	vessel.
8	So what we end up doing what we ended up doing was is Rink
9	bought the boat with his own money, transferred the boat over to
10	Boat Aaron and Melissa. And the agreement is anytime either one
11	of us is dissatisfied or changes our mind, the agreement is null
12	and void. You take your boat back and I take my permit back, and
13	we go home.
14	Q. So the Emmy Rose is really owned by who?
15	A. Rink Varian really, truly, in the real world. It just had to
16	be transferred over to Boat Aaron and Melissa in order to
17	Q. Keep going.
18	A in order to use it in the fishing industry.
19	Q. Do you happen to know the details of why the Emmy Rose was
20	chosen? If you don't know that's fine. I'm just wondering, you
21	know, what made Rink choose the Emmy Rose?
22	A. Well, because we went down there when Carlos had to sell his
23	boats. We went down there and looked at them. I ended up buying
24	two of them, and Rink bought one of them. Rink talked to the
25	shore engineers and the shore engineers told us that that boat
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	16
1	used to be the Sasha Lee (ph.), was one of their best boats. I
2	think he was saying it was the best boat, but he definitely was
3	saying it was one of their best boats.
4	Q. And do you remember the shore engineer's name?
5	A. Tony Sores (ph.).
6	Q. Tony Sores, and they're based out of New Bedford?
7	A. Yes.
8	Q. And you actually went physically down there and looked at the
9	boats with Rink?
10	A. Oh, yeah, oh, yeah.
11	Q. Which boats did you end up purchasing?
12	A. Their prior name was Drake and Hercules.
13	Q. And what was your opinion of the did you actually go on
14	the Emmy Rose with Rink?
15	A. Oh, yeah.
16	Q. And what was your opinion of the Emmy Rose when you went on
17	board?
18	A. I thought Tony was right, it was one of their best vessels.
19	Q. And how much of the Emmy Rose did you look at? Did you just
20	go on deck in the pilot house or did you
21	A. I when we went to look at these boats, Rink and I would go
22	together, and he we would both inspect the boat. If he saw
23	something that I didn't see, he'd say hey, look at this, and vice
24	versa. So that's kind of the way we did that. And yeah, I was
25	aboard the boat looking at it.

	17
1	Q. And so did you go into, like, the fish hold, the engine room?
2	A. Yes.
3	Q. How about the lazarette? Did you check
4	A. Yes.
5	Q. And again, in your opinion, did you notice anything
б	significant about the Emmy Rose?
7	A. The only thing about the Emmy Rose that I saw was it needed a
8	good paint job and that was it.
9	Q. So nothing structurally that caused any concern?
10	A. No, not at all.
11	Q. Any unique characteristics of the design of the vessel?
12	A. No, nope. Pretty much pretty standard.
13	Q. Again, this is <i>Emmy Rose</i> history. Do you happen to know the
14	last dry dock?
15	A. I don't know the date. I know he hauled out over at Portland
16	Yacht to change the propeller.
17	Q. Any other dry docks that you know of, like, prior to purchase
18	or just after purchasing?
19	A. Yeah. Well, we were Blue Harvest was looking to buy the
20	entire fleet, so they hauled and surveyed every boat that Carlos
21	owned. So we were able to look at those surveys and we would
22	even after he bought the boat he hauled it up at Fairhaven Marina
23	and did a bunch of work on the bottom of it then.
24	Q. How about haul gauging? Do you happen to know about anything
25	like that?
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		18
1	A. Yeah, that was on th	e survey that Blue Harvest did.
2	Q. And that was in Fair	haven?
3	A. Uh-huh, Fairhaven Ma	rina.
4	Q. Did you happen to lo	ok at this survey report?
5	A. Yes.	
6	Q. How about a stabilit	y test? Do you know when the last
7	stability test was?	
8	A. I didn't see anythin	g, but it was probably on the survey.
9	But I can't remember if i	t was or not.
10	Q. And stability tests,	are they where are they typically
11	kept?	
12	A. (No audible response	.)
13	Q. On your boats for ex	ample, where do you do you keep that
14	on the bridge or do you k	eep that in your office?
15	A. You'll usually keep	that in your own office, yeah.
16	Q. Okay. For your boat	, but for the <i>Emmy Rose</i> you don't know
17	happen to know where they	kept that?
18	A. No.	
19	Q. Are you at all famil	iar with the bilge pump or alarm
20	arrangements on the Emmy	Rose?
21	A. Not no, not reall	у.
22	Q. Anything with the ve	ssel dewatering capabilities of the Emmy
23	Rose?	
24	A. Nope.	
25	Q. Again, it's fine if	you don't know.
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1	A.	No,	Ι	really	don't.
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2 0. Okav. How about lifesaving equipment?

2	Q. Okay. How about lifesaving equipment?
3	A. No, that was Rink's in the real world, it was Rink's boat
4	and he was but I know that I inspected the boat before he
5	bought it and everything was good on it. But what's I can't
6	really say to the condition of it right before it went out.
7	Q. So you're saying basically, as far as the overall condition
8	and the maintenance and the upkeep of the vessel, that was more of
9	Rink's?
10	A. That was Rink's.
11	Q. Again, these are just questions that I'm hatch
12	arrangements, are you familiar with any of that?
13	A. I know they had one hatch forward of the forward net rail and
14	that was the only hatch going into the fish hold.
15	Q. Okay. Do you happen to have any drawings or pictures of the
16	Emmy Rose?
17	A. Just one, and that's when they were painting it in Portland.
18	And I just took a picture of it because we developed a little bit
19	of a friendship with the shore engineer and Tony Sores, and I took
20	a picture of the boat and sent it to Tony.
21	Q. And do you maintain that picture somewhere?
22	A. Yeah, I got it right in my phone.
23	Q. Do you happen to know how the catch is stored on the vessel?
24	A. Yes, the same way it is on every other boat.
25	Q. Which is how?
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	20	
1	A. Pens.	
2). Pens? Do you know how many pens were on the Emmy Rose?	
3	A. No.	
4). Do you happen to know if there was any TV cameras on the	
5	vessel?	
6	A. I believe there was, but I'm not sure.	
7). So you wouldn't happen to know how many or where they would	
8	actually?	
9	A. No, I wouldn't. I think Rink put them on there, but I don't	
10	know that for a fact.	
11). Did you happen to know of any unresolved maintenance issues	
12	on the Emmy Rose?	
13	A. No.	
14	2. Any leaking or flooding conditions?	
15	A. No.	
16). How about hot work? Was hot work performed on the vessel do	
17	vou know? Welding?	
18	A. Well, when he hauled it out at Fairhaven Marina they did some	
19	work on the bottom, and the work they did on it at Portland Yacht.	
20	But other than that	
21). Okay. Do you happen to know what they typical voyage is like	
22	on the Emmy Rose, like, a normal scenario? Or all the boats run	
23	lifferently or	
24	A. They all run pretty much the same. In all of New England	
25	they usually they go out for typically five, six, seven days;	
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1 sometimes eight, sometimes nine. Depending on -- they'll be in 2 touch with the auctions or the brokers. And if the price is 3 better they'll come in, if it's not they'll stay out another day 4 or two. And for the Emmy Rose, I mean, do you happen to know what her 5 Ο. 6 -- what that vessel's typical routine was as far as how many days 7 out and where they landed the catch and? 8 They always landed in Gloucester. I really don't know the Α. 9 name of the company that they unloaded at. I think it was Pier 10 Seven, but I'm not sure. 11 But they were typical five to seven days out fishing, offload Ο. 12 in Gloucester, and come back to Portland? 13 Sometimes they'd come right back out again, sometimes -- say Α. 14 if they were going four or five days they might just want to go 15 right back out again. But most of the time they come back to 16 Portland. 17 What would be the reason to go right back out again after Ο. fishing for? 18 19 Well, say if the first two or three days of the trip they Α. 20 didn't really catch much, then the last couple of days they 21 started to catch them a lot. And they came in and unloaded, and 22 they wanted to get right back out and try to catch some more. 23 Again, the next set of questions is going to be about the 0. 24 final voyage, and I understand maybe you don't have a lot of 25 information on that. If you don't that's fine, just tell us you FREE STATE REPORTING, INC.

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	22				
1	don't know. Do you happen to know anything about the upgrades or				
2	modifications since the vessel was purchased by Rink?				
3	A. I think he kept it pretty much the same other than but I				
4	really don't know that either. But I think I don't think he				
5	changed a whole lot. I know he didn't do anything structural				
6	changes.				
7	Q. So we know he put on a new propeller?				
8	A. Yes.				
9	Q. And how about fish doors? Do you happen to know if those				
10	were changed out at all?				
11	A. Fish doors?				
12	Q. The doors?				
13	A. Trawl doors?				
14	Q. Yeah, trawl doors?				
15	A. Oh, they're constantly changing trawl doors.				
16	Q. The Emmy Rose is constantly or				
17	A. All of them.				
18	Q. Do you know for a fact if the Emmy Rose had changed out its				
19	trawl doors?				
20	A. I believe they did. I believe they changed them two or three				
21	times, yeah.				
22	Q. Do you know why you would change those out?				
23	A. The captain wasn't happy with the pair that was on there and				
24	wanted to try a new pair.				
25	Q. And again, that's a captain's decision or would that be				
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	23				
1	A. No, that's the captain usually makes that call.				
2	Q. How about communication with the crew while at sea?				
3	A. You basically call them up on the telephone.				
4	Q. And when you say telephone you mean, like, a satellite phone?				
5	A. Satellite phone, yes.				
6	Q. Is that do you know what the frequency is? Like, you're				
7	an owner of several boats, I mean, are you in contact with your				
8	boats when they're at sea?				
9	A. Yes.				
10	Q. And is it a daily thing or?				
11	A. No, it's not a daily thing. It's basically a common				
12	understanding that if you have a problem give me a call, I'll make				
13	arrangements, that type of thing. I need to know when you're				
14	going to be coming in, things like that.				
15	Q. How about rounds of the vessel? Do you know how often those				
16	are done typically? How many times you walk through the vessel if				
17	you're on watch?				
18	A. Well, you never really know about that. You kind of hope				
19	that, you know, the person is going to be looking around, but				
20	there's alarms in every compartment so.				
21	Q. Would that be more of a captain's decision as to how often?				
22	A. Yeah, that would be something he would be directing his crew				
23	to do.				
24	Q. So once again, you don't have any kind of standing orders as				
25	the owner to say, you know, I want rounds done every four hours or				
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	24							
1	anything like that? You leave it up to the captains to decide how							
2	they're going to run their ship while at sea?							
3	A. Well, what and the main reason is you're not there but the							
4	captain is.							
5	Q. Right. How about monitoring the weather? Do you as the							
6	owner monitor the weather?							
7	A. Sure.							
8	Q. And would there ever be a concern as the owner to call the							
9	vessel and say maybe you guys should come back or leave again up							
10	to the captain's discretion?							
11	A. Well, it depends on what the weather is. Say if you've got a							
12	huge storm coming up where it's going to be very rough for a							
13	couple of days, you call the auction and, you know, what do you							
14	recommend? Do you suggest that they come in? And then you call							
15	the captain up and say, look, I think you better come in and							
16	unload and wait for the storm to go by.							
17	Q. But as the owner you're monitoring the weather conditions							
18	when your boats are out at sea?							
19	A. Yes.							
20	Q. And again, do you leave that up to the captain really							
21	ultimately or can you sort of override the captain and tell him I							
22	think you should come in?							
23	A. You can override the captain if, you know, a lot of these							
24	captains are notorious for oh, we'll just lay to while the							
25	weather's bad and then we'll go back to fishing and then we'll							
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1	come in after the storm. But if, you know, if they're getting 60,					
2	70 mile per hour winds for a couple of days, you don't want your					
3	boat out there in that.					
4	Q. Did you have any of your boats out there on the night of the					
5	morning of the 23rd of November?					
б	A. Yeah, I believe so. I'd have to check to see exactly which					
7	ones, but yeah, I think they were, yeah.					
8	Q. So in your opinion, was there anything that concerned you					
9	about the weather on that night or early morning that would've					
10	caused you to want them to come back in?					
11	A. No.					
12	Q. How about the maximum weight of the catch? Do you happen to					
13	know typically what a normal load would be for a fish hold of that					
14	size vessel?					
15	A. Well, they could get probably in that boat I think that					
16	boat was over 90 foot long overall. And it would I believe it					
17	would hold 100,000 pounds or more.					
18	Q. Okay. Is that typical for them to catch that much?					
19	A. No, they usually only catch 40-, 50,000 pounds, something					
20	like that; 30,000.					
21	Q. All right. And once they reach 40- to 50,000, is that when					
22	you sort of make the if they're at the end of their five to					
23	seven day window and they have 50,000 pounds onboard, it's good					
24	enough?					
25	A. Yup, that's it, come on in.					
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1	Q. We talked about this before, but in the fish hold do you have					
2	divisions to help prevent the shifting of weight?					
3	A. Yes.					
4	Q. I mean, all vessels are similar in that?					
5	A. Very, very similar, yes.					
6	Q. And the Emmy Rose, did you happen to know how that was					
7	configured?					
8	A. It was set up the same way as basically all the others.					
9	Q. With pens?					
10	A. Yeah, with pens.					
11	Q. Now, how about if you have any emergency situations at sea on					
12	a vessel to deal with things such as a loss of propulsion, loss of					
13	steering, do you know would they typically call you, the					
14	captain, to tell you something was going wrong on the vessel? Or					
15	would they sort of handle it themselves?					
16	A. No, if you have something that's compromising the safety of					
17	the vessel you have to call the owner because the owner needs to					
18	make arrangements to haul the boat out and prepare it. You don't					
19	want the boat sitting at the dock leaking water into it, you want					
20	to get it hauled out as quickly as you can.					
21	Q. And that was kind of a standing order you had with your					
22	captains, like, I'm just going to so loss of propulsion, loss					
23	of steering, the taking on water?					
24	A. Yeah, they'll I haven't seen an instance yet where they					
25	won't call you and tell you about.					
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1	Q. And you're quite friendly with Rink. Do you know if he kind					
2	of runs his boats the same way that he would have the captains					
3	call him if there was emergency situations at sea?					
4	A. Oh, yeah, he why don't you call him micromanager and he					
5	kind of irritates the captains because he's down there all the					
6	time.					
7	Q. So I understand he's down at the vessel when it's in port a					
8	lot?					
9	A. Uh-huh.					
10	Q. Is he one of those captains that would constantly call the					
11	boat while it was fishing or?					
12	A. Well, not constantly, but he'll call them at least every					
13	other day. I mean, he'll want to know where they are and what					
14	they're doing.					
15	Q. Any again, any conditions that you know of prior to the					
16	17th of November on the Emmy Rose that you're aware of or that					
17	maybe you and Rink had discussed? Any problems, and repairs,					
18	anything that needed to be done?					
19	A. That's before they went out?					
20	Q. Yeah, the last right before.					
21	A. I don't know if it was that trip or the trip before, but I					
22	know that they swapped doors I believe when they were down there					
23	welding. Bobby, the captain, was down there welding on the doors.					
24	Q. That's Bobby Blethen Jr.?					
25	A. Yes.					
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	28					
1	Q. Are you familiar with any welding that was being conducted on					
2	the vessel while it was underway?					
3	A. No.					
4	Q. Was there anybody on the I mean, you know a lot of the					
5	people on the waterfront. Was Bobby Blethen able to do you					
6	know him as a welder or a capable welder?					
7	A. Senior or Junior?					
8	Q. Junior.					
9	A. He can weld. How good, I don't know, I don't think he's					
10	certified or anything, but he can weld I guess. I really don't					
11	know enough about it to say one way or the other.					
12	Q. The last trip that it made, if you even know, was it just a					
13	normal trip for them as far as where they went fishing and how					
14	many days they were out?					
15	A. Yeah, very normal.					
16	Q. And then going in to Gloucester to offload is normal?					
17	A. Yes.					
18	Q. How about again, we go back to standing orders. Does your					
19	master, whoever the captains of your ship are, do they leave					
20	certain instructions for the crewmembers so that if the master is					
21	asleep what he wants to be woken for?					
22	A. Oh, yeah.					
23	Q. What typically would they want to be awoken for?					
24	A. Basically anything; anything wrong. If there's a boat too					
25	close, you know, basically anything.					
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29 1 MR. I don't have any further questions. Is there 2 anybody from the board that would like to ask some at this time? 3 Hey, Commander here. I am good for CDR 4 now, I'll hold off. I will pass it off to CWO if she has 5 anything. б Thank you, Commander. CWO 7 I just have two questions, Mr. McNeel. 8 BY CWO Since Rink purchased the vessel, have you been onboard since 9 0. 10 it's been up in Portland? 11 Α. Yes. 12 , we seemed to have lost you. Are you MR. BRADEN: Hey, 13 still there? 14 Can you hear me now? CWO I am. 15 Did you hear Mr. McNeel's response to MR. BRADEN: Yeah. 16 your question? 17 I did not. CWO 18 MR. BRADEN: Okay. He said yes, he has been on the vessel. 19 CMO Okay. 20 BY CWO Approximately how many times have you been on the vessel? 21 Ο. 22 Oh, I don't know the exact number, but probably a dozen. Α. 23 And were you familiar with the crew that was onboard the Ο. vessel? 24 25 Α. I knew two of them. FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902 Balt. & Annap. 410-974-0947

	30					
1	Q. I'm sorry?					
2	A. I knew two of them; Robert Blethen and Jeff Matthews (ph.).					
3	Q. And had you did you have them on any of your fishing					
4	boats? Is that how you knew them?					
5	A. Yes. Did you hear me?					
6	Q. No, I can't.					
7	A. I said yes.					
8	Q. Yes. Which vessels did you have them on?					
9	A. Oh, I can't remember now, but I think it was on the Aaron and					
10	Melissa II. I used to have a boat back in '99 to 2005 called the					
11	Diane Lynn, and Bobby worked on that for several years, probably					
12	three or four years I guess. I can't remember how long he was on					
13	the boat, but he was definitely on there.					
14	CWO Okay. That's all I have. Thank you.					
15	MR. McNEEL: Thank you.					
16	CDR Great. Thanks,					
17	We'll move on to Mr. Young.					
18	BY MR. YOUNG:					
19	Q. Good morning, Mr. McNeel. Thank you for your time. Can you					
20	talk about how long you and Mr. Varian had worked together prior					
21	to the arrangement with the Emmy Rose?					
22	A. Well, we god, we've known each other for a long, long					
23	time. I'd say we've known each other for probably 15, 20 years.					
24	I can't remember exactly when, but we've known each other for a					
25	while.					
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	31					
1	Q. And have you worked together professionally where he has					
2	managed or operated vessels for you previously?					
3	A. Well, we had he has one other boat there, the Sea Rambler,					
4	and we have the same arrangement with that one.					
5	Q. And so just so I understand because there is a little bit of					
6	confusion, Mr. Varian purchased the Emmy Rose but because of a					
7	permit issue he transferred the ownership to you, but he actually					
8	operates and manages it. Would that be pretty fair to say?					
9	A. Yes, that's exactly the way it works. So we have an					
10	agreement to where if for any reason either one of us can void the					
11	contract and walk away, he'll walk away with his boat and I'd walk					
12	away with my permit and that's it. So that's the arrangement we					
13	have.					
14	Q. That does definitely clear that up. When it comes to the					
15	daily operation of the vessel such as choosing what to catch and					
16	where to fish do you have any say in that or is that all done on					
17	the Rink level or below with the captain?					
18	A. I think the captain decides that, but I'm not I don't know					
19	exactly what arrangement Rink has with Bobby. But I think Bobby					
20	has that discretion.					
21	Q. And you said you had boats out there around the time of the					
22	sinking. Were they fishing in the same ballpark area as the Emmy					
23	Rose?					
24	A. I think one of them was fishing probably 20 or 30 miles from					
25	them, or maybe more. But I think one of them was fishing					
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1	somewhere	around	the	same	area.

2	Q. Do you happen to know which one that might be?
3	A. I think that's the Lennon William. I believe that they were
4	out there. My understanding is that the Emmy Rose was fishing on
5	Howell Swell and the Lennon William I believe was fishing on
6	Stouts Swell.
7	Q. Around the same time, correct?
8	A. I believe so. They might've been, you know, I could be wrong
9	about that. The Lennon William could've came in a day or two
10	before or left a day or two afterwards. But I believe they were
11	all fishing in not really the same area, but they were all fishing
12	at the that area at the same time within the same month or so.
13	They were all coming and going.
14	Q. Do you track the vessels at all? Do you have any sort of
15	program or any sort of connection or internet that you actually
16	can monitor the positon of your vessels?
17	A. Yeah, we usually use an app called Marine Traffic.
18	Q. And would you frequently monitor the position of the Emmy
19	Rose while she was out fishing?
20	A. Well, the Emmy Rose wasn't coming in the last two or three
21	trips, and I think it's because the captains a lot of time will
22	turn their AIS off because they don't want the other boats to know
23	where they're fishing. And they'll forget to turn it back on, so
24	and that's not uncommon. I had one skipper that ran the
25	William Lynn there for about five years or so and he was
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1 constantly doing that. And I'd say look, I need you to keep that 2 turned on, and he would just basically say no, I'm not going to, I 3 don't want anybody knowing where I'm fishing.

4 Q. Does he still work for you?

5 A. No, not anymore.

Q. When we talked to some other people last week and we kind of got the impression that there's a good amount of cooperation out there between fishermen; that they would help each other out if they needed assistance. Would you find that to be kind of true in your experience that other companies would be available to assist if needed, or is there a lot of competition out there where people wouldn't help each other out?

13 No, no, they'll help each other out anytime for anything. Α. We 14 were talking to one of Blue Harvest's captains Monday in New 15 Bedford and he said another Blue Harvest captain had passed them; 16 he was saying somewhere around midnight is what he told us. And 17 he was telling us that they were talking to each other because 18 they were passing, they were getting close to each other. And 19 they were communicating about who was going to go which way, and 20 who was going to go what way like that; making arrangements to 21 pass each other.

And he was telling us that there was nothing wrong, they were talking to him and he didn't say anything was wrong with the boat or any problems because, you know, we could've followed them into Gloucester if there would've been. Because I think they were both

on the way in and he was kind of, like, if there was anything wrong they could've said something. So he was saying there was nothing wrong because they would've said something and they could've just followed them in. Because I think the other boat was going to Boston to unload, and they could've, you know, followed them to Gloucester Harbor and then gone onto Boston, and they would've -- what, an hour out of their way.

8 Q. Right. You had spoken previously about some sort of standing 9 orders that the captain has where he is to be woken up for almost 10 anything. Is that some sort of a document that you may have had 11 some input into or reviewed?

12 No, that's just standard operating procedure on every boat Α. 13 that I know of. You know, you put -- usually your captains are 14 the guys with the most experience, and they're the ones who need to be the ones who make decisions on what to do. So even if a 15 16 boat is getting close to you -- it depends on who it is. Ιf 17 you've got a very experiences guy up there on the wheel, you can 18 probably, you know, he'll probably handle the situation well, but 19 some people might not.

So you're always -- most captains leave the standing order if anything -- if a bilge alarm comes on, this comes on, that comes on, wake me up. That's usually the way it works. How it worked that particular night I don't know.

Q. And did you have any input as to the hiring of CaptainBlethen for the *Emmy Rose*?

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1	A.	No,	that	was	Rink's	decision.	

2	Q. And do you have any evaluation or any experience with Captain					
3	Blethen as to how he handled his vessels?					
4	A. Well, he was very experienced and very smart. So no, I					
5	didn't have any concerns.					
6	Q. Had you ever observed him in operation whether it was out at					
7	sea or alongside on how he conducted his crew and how he trained					
8	people? Any experience, good or bad, that could help understand					
9	how he operates the vessel?					
10	A. From what I saw he handled the boat and the crew above					
11	average; better than most.					
12	Q. And had he worked for you for any other vessels?					
13	A. Well, like I said, back in I think it was 2000 to 2004,					
14	something like that in that period of time, he was on the boat					
15	there for a couple of years. And then I really don't know if he					
16	was on any of the other boats. He was working on deck at the					
17	time, he was quite young at that time; in his 20s, early 20s,					
18	something like that.					
19	MR. YOUNG: Thank you. I don't have any other questions.					
20	Thank you for your time.					
21	MR. McNEEL: Thank you.					
22	CDR All right. Thanks, Mr. Young.					
23	I think Mr. and Mr. are on line. Do either					
24	of you have any questions?					
25	MR. Commander can you hear me? This is					
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2 CDR Yup, we've got you, What's your question? 3 MR. My question was just a little more specific 4 on what he heard from midnight. Does he know who he talked to and 5 what vessel that might've been?

1

Mr.

6 MR. McNEEL: I don't know. I was talking to Jerry Lemen, Jr. 7 (ph.) who is a quy, a Portland fisherman who, when Jimmy sold his boats to Blue Harvest, he went with a boat down to New Bedford and 8 9 still running that boat. And I saw him at Radar's Manufacturing 10 down in New Bedford where we were picking up a net and he was 11 picking up a net, and we were just talking. And he was saying 12 that one of Blue Harvest's other boats was run by a guy named 13 Brian, and I'm assuming he meant Brian Janelle (ph.), but he 14 didn't really say the last name.

15 But he did say that Brian had talked with a boat that night 16 he said around midnight, and -- I believe he said around midnight. 17 Maybe he said -- but anyway, that was my -- what I remember; and 18 that they had passed each other, they were both on their way in, I 19 believe Brian was going to Boston and Bobby was going to 20 Gloucester. So that's what Brian was telling Jerry; that there 21 was no problems with the boat because he had talked to them and he 22 would -- if there was a problem, he would've followed them in. 23 Okay. Thank you. I have no further MR. 24 questions. 25 Great. CDR Thanks,

	37
1	Anyone else? Any additional questions?
2	LT Commander, this is Lieutenant I just
3	have a few questions if I may?
4	CDR Go ahead.
5	LT Thank you, sir.
6	BY LT
7	Q. So, Mr. McNeel, I'm a little I'm still a little confused
8	about this agreement between you and Rink, and I just wanted to
9	kind of clarify it. So you mentioned that there was this
10	agreement and part of that agreement was this transfer of
11	ownership. So Rink purchased the vehicle the vessel, right?
12	A. Yes.
13	Q. And once he purchased that you mentioned that he transferred
14	that ownership to Aaron and Melissa, to Boat Aaron and Melissa,
15	correct?
16	A. Right.
17	Q. Was there any documentation of or how was that transfer
18	actually occurred?
19	A. Well, you have to go through I believe it's the National
20	Marine Fisheries Service, but Twain does a lot of that. But I
21	don't know who handled it this particular time.
22	MR. BRADEN: I was going to address that. But if you
23	want
24	LT Yeah, I just have a few questions, then we'll
25	discussion.
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1	MR. BRADEN: And I go ahead.
2	BY LT
3	Q. So the is there any documentation that the transfer
4	occurred?
5	A. Yes.
6	Q. Would we be able to get copies of that documentation?
7	A. Sure.
8	Q. And so you mentioned that there's this agreement between you
9	and Rink on sharing the vessel and sharing the fishing permit,
10	correct?
11	A. Well, yeah, it's kind of fuzzy. It would've been nice if I
12	could've just leased the permit to him, but because of National
13	Marine Fisheries Service regulations they will not allow you to do
14	that. So what you have to do is is you have to transfer the boat
15	into the corporation that owns the permit. Even when you want to
16	transfer a permit that you own into another company, you have to
17	put it on it has to be attached to some vessel just to transfer
18	it. They've got some funny regulations.
19	Q. Yeah, no, I can imagine already. So this agreement, was it a
20	written agreement between you and Rink?
21	A. Well, we had a verbal agreement. We did have some of it in
22	writing but there was some parts of it because of all the crazy
23	stuff that's been going on for the past nine months that we
24	neglected, but we still have a verbal agreement. And we have a
25	lot of it in writing. And quite frankly, we have everybody
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knows that's been involved, and our accountant is the same 1 2 accountant; she handles both of his boats and they do them all the 3 exact same way any everything. 4 So just for our purposes of just clarifying who the Okay. Q. 5 owner of the vessel is, could we get a copy of whatever the 6 written portion of that agreement is? 7 Α. Sure can. 8 You want me to explain what we have? MR. BRADEN: 9 LTYeah, no, that -- yeah, please. 10 MR. BRADEN: So the -- it wasn't, like, a transfer of title. 11 So Rink purchased the vessel with funds of his, and then the bill 12 of sale, which we can share with you, it says owner Boat Aaron and 13 Melissa Inc. So that's the transfer that he's describing. So I 14 can show you the bill of sale, but it just says Boat Aaron and 15 Melissa Inc. For purposes of merging the permit with the vessel 16 owner -- merging the vessel to the permit. So that's what we 17 have, and I'd be happy to share that with you. 18 LTOkay. 19 MR. BRADEN: So that sort of explains, and then, you know, 20 once that happens, then Rink -- as he's describing, Rink manages 21 the boat as if it's his own, but it's in the -- technically, in 22 the name of Boat Aaron and Melissa Inc. And the reason why he 23 manages it that way is because any minute or any time that he 24 wants to he can say, you know, I'm not happy with this, I'm taking 25 my boat and coming home. So that's the reality of the situation. FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902 Balt. & Annap. 410-974-0947

- 1
- Mr. Smith?

2	MR. SMITH: Yeah, and as I told you the other day, this is
3	complicated, and for everybody in Boston, this is kind of a
4	complicated thing because this, as I understand it, Rink's money
5	went into Boat Aaron and Melissa Inc. for the purchase of the
6	vessel because the check went from Boat Aaron and Melissa Inc. to
7	Blue Harvest, and then they

MR. BRADEN: Did it?

9 MR. SMITH: That's my understanding. But it might not have, 10 but -- I could be wrong about that. So it's kind of -- it's fuzzy 11 that way. I don't know, I don't represent the *Sea Rambler* company 12 that's involved with that, and maybe if you want to share that 13 paperwork that might help clarify things. I don't know.

14 So what he was describing was the -- this MR. BRADEN: 15 arrangement with the Sea Rambler that was all documented through 16 the drafting of a, you know, a charter agreement, and so that was 17 all in writing. When they purchased -- when together they 18 purchased Emmy Rose, they -- conceptually, they said, let's do the 19 same thing we did with Sea Rambler, but on paper other than the 20 bill of sale reflecting purchase of the vessel Emmy Rose by Boat Aaron and Melissa Inc. There's nothing else to reflect the 21 22 arrangement, a possible charter, whatever. But practically, they 23 did the exact same thing as far as how they ran the boat. 24 MR. BRADEN: And then there's other legal issues beyond that

25 about whether it's a charter or not. And that gets back to why

1 there's separate counsel involved.

-	chere's separate counser involved.
2	LT No, understood. And the agreement that you
3	mentioned that may be on paper, is that what you're referencing?
4	MR. BRADEN: So the <i>Sea Rambler</i> is yes, that's all the
5	Sea Rambler is the other vessel that is between what was the
6	name of that, I can't remember. What's the name of the vessel
7	owner of Sea Rambler?
8	MR. SMITH: Band.
9	MR. BRADEN: Band, B-a-n-d, Inc. is the owner of Sea Rambler,
10	but it's the same arrangement, and there's a charter agreement as
11	between Band and Rink Varian's LLC called Plan B (ph.). So that's
12	what we're referring to as a written agreement. This one is an
13	oral agreement, except for the bill of sale which says Boat Aaron
14	and Melissa Inc. And I'd be happy to share the bill of sale with
15	you. That's
16	LT Yeah, no, I think anything to help us kind of
17	see it more documented that would be helpful at least just
18	pinpoint where parties in it need to be designated.
19	BY LT
20	Q. And so going back, you also mentioned that there was an
21	agreement between you and Rink on another vessel. Is that
22	agreement still in place?
23	A. Yes.
24	Q. It is? Okay.
25	A. That's the Sea Rambler.
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	42
1	Q. Sea Rambler, okay. Let me just write that down.
2	LT I know you wanted to speak up earlier in the
3	interview, Mr. Smith, did you have anything else that you want to
4	discuss at this time?
5	MR. SMITH: So yeah, basically it's what Twain said is the
6	real world, then there's the legal world and what is all on paper,
7	and it's a totally different world is basically what it amounts
8	to. So when I that's what I wanted to say for now.
9	MR. McNEEL: When we had this idea of merging his boat and my
10	permit, we got in touch with our sector manager who understands
11	all the rules and regulations that National Marine Fisheries
12	Service has.
13	BY LT
14	Q. Sorry, who's the name of that?
15	A. Hank Soule.
16	Q. I'm sorry?
17	A. Hank Soul, S-o-u-l-e.
18	Q. S-o-u-l-e?
19	A. I believe that's it.
20	Q. And do you have contact information for him?
21	A. Yeah, you want his phone number?
22	Q. Yeah, if you don't mind actually, because that may be able to
23	help clarify this arrangement for my purpose. And what was his
24	title again? You said sector
25	A. Sector manager. So basically he I called him up and said
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we have this idea, this is what we want to do. So he runs it by his Board of Directors and they were kind of like, well, you can't lease a permit it's just not legal, so you're going to have to find another way to do it. And so we were kind of like -- he said, you'll actually have to take possession of the boat in order to put your permit on it.

So then, you know, of course Rink and his wife were kind of like, no, no, I don't think that's a good idea. And -- so then we came up with the idea of okay, we'll have a contract where at any time either one of us is unhappy or for any reason, you can take your boat back and I'll take my permit back and everything is null and void. Hank's number is ______.

14 A. Yes.

Α.

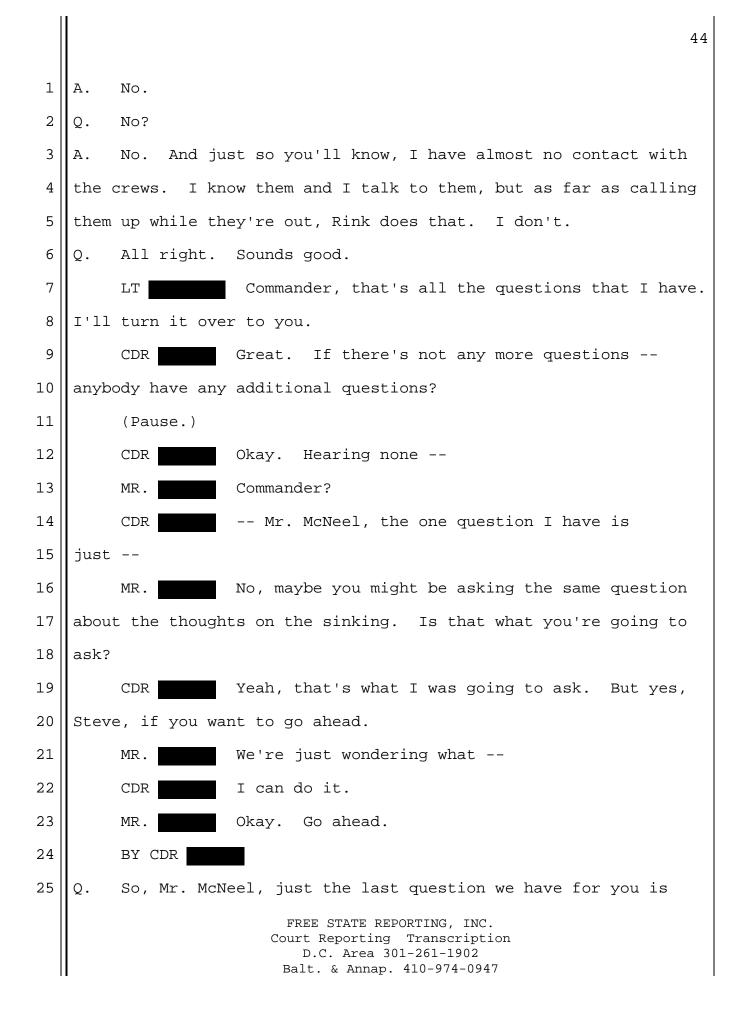
16

- 15 Q. All right.
- 17 Q. I'm sorry. Yup.
- 18 A. Yup, .

19 Q. Okay. All right. Great. And then the only other question I 20 had is any communication you had with the crew during the time of 21 this specific venture. Did you contact the crew via the sat. 22 phone at any time on maybe on the 22nd or the 23rd? 23 A. No.

Q. No? Did you have any contact with the crew at all duringthat trip?

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1 just what are your thoughts on the vessel sinking? 2 I think it was hit by something. Α. 3 MR. BRADEN: Did you hear the response, sir? 4 I did, yeah. CDR 5 BY CDR Collision or strike from something? 6 0. 7 Something, yeah. Α. 8 And why do you think that, sir? Ο. 9 Α. Well, because Rink was telling me that the night of the 10 sinking the -- he was told that the helicopter -- when the 11 helicopter arrived on scene that the smell of diesel fuel was so 12 strong that it just filled the cabin of the helicopter, which was 13 -- he was told was quite unusual. 14 And it is very unusual because fuel doesn't just come out of 15 those fuel tanks that quick. I mean, they'll come out, but they 16 won't gush out unless of course the tank is ruptured. So what 17 would cause a tank to rupture? 18 And also, that fits into how come -- you're talking four 19 experienced fishermen. How come none of them got a survival suit 20 on or made a mayday call, or would talk to the other boats and 21 never mention anything about any problems, and all the sudden they 22 just disappear? I -- the only way I can explain it is that they 23 got hit by something or hit something. 24 Thank you for sharing, I appreciate that. CDR Aqain, 25 I wanted to thank you for taking the time to come in and talk with FREE STATE REPORTING, INC. Court Reporting Transcription D.C. Area 301-261-1902

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	46
1	us today, and again, offer my condolences on behalf of the Coast
2	Guard for what you're going through.
3	At the same time, I just wanted to tell you kind of what our
4	plans are for the investigation to kind of set your expectations.
5	Right now, we're in the fact-finding stage; we're gathering
б	evidence, talking to people and so on. These investigations
7	typically take anywhere from nine months to a year so, you know,
8	we've got a while to go before we hit that point. We would like
9	to find the vessel
10	(Whereupon, the interview was concluded.)
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: SINKING OF THE CFV EMMY ROSE WITH LOSS OF LIFE, OFF THE COAST OF PROVINCETOWN, MASSACHUSETTS, ON NOVEMBER 23, 2020 Interview of Bartlee McNeel

ACCIDENT NO.: DCA21FM007

PLACE: South Portland, Maine

DATE:

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

December 16, 2020

Danielle Klunk Transcriber