

UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

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Investigation of: *

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POSITIVE TRAIN CONTROL *

SPECIAL REPORT *

REPORT No.: DCA21SR003 *

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PTC INTEROPERABLE TRAIN CONTROL WORKING COMMITTEE - Q&A SESSION

Via telephone

Tuesday,
November 2, 2021

APPEARANCES:

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National Transportation Safety Board

RUBEN PAYAN, Electric Engineer
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GREG SCOTT, Investigator
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I N T E R V I E W

1
2 MR. MANUTES: John Manutes. I'm an NTSB rail accident
3 investigator. Today is November the 2nd, 2021. And we are
4 meeting virtually via GoToMeeting today, to do a question-and-
5 answer session with the PTC Interoperable Operations Working
6 Committee. We're doing this question-and-answer session in
7 conjunction with NTSB's Special Investigation Report regarding PTC
8 systems.

9 The NTSB reference number is DCA21SR003. This is being
10 recorded. We will transcribe and provide a copy to all of you for
11 your review. The text transcription will be placed in the docket
12 for this report.

13 So this is a highly modified part. I don't think that we
14 need to do -- Ruben, do you want to do introductions around the
15 whole room all over again? I was imagining what we could do is,
16 the NTSB, we can read ourselves into the record, first name, last
17 name, title. And then whoever answers a question, maybe the first
18 time read your name and title into the record. Otherwise, I don't
19 know how we're -- the transcriptionist will keep track.

20 MR. PAYAN: I think that'll work best. Yes.

21 MR. MANUTES: Okay. So let me start. The three of us will
22 do sort of an example of how we want to do this. And then whoever
23 answers the first question, we might just remind you to do a
24 simple first name, last name, and title. So like I said, my name
25 is John Manutes. The spelling of my last name is

1 M-A-N-U-T-E-S. And I am an NTSB rail accident investigator on
2 this report. Ruben.

3 MR. PAYAN: My name is Ruben Payan. P-A-Y-A-N. I'm an
4 electrical engineer with the Office of Railroad Safety, NTSB.

5 MR. MANUTES: Thanks. Greg.

6 MR. SCOTT: My name is Greg Scott. Last name is Scott,
7 S-C-O-T-T. And I'm a rail accident investigator with the NTSB.

8 MR. MANUTES: All right. Thanks, guys.

9 So thank you everyone for meeting with us today. I know
10 Ruben said that initially but, just -- I think we all need to say
11 it on behalf of NTSB and our team here; we really appreciate you
12 taking the time out of your day to talk with us.

13 Our goal is to get the information from the experts so that
14 we can provide a good report as to what's coming down the pipe,
15 and what recommendations, and what solutions are out there for the
16 next generation of PTC. And you're the folks that are leading the
17 charts, so thank you. I will pass it back to Ruben. And just
18 with a final reminder that whoever answers the question, just
19 spell your last name the first time, and let us know who you are
20 each time. Thank you so much.

21 MR. PAYAN: All right. Well, thank you very much. So one of
22 the areas that we've been looking at as far as PTC systems is
23 operating at restricted speed, trains operating at restricted
24 speed and catching up to trains ahead of it. So we're kind of
25 interested in seeing if there's been any research or any

1 enhancements that are proposed down the line for PTC systems to
2 either be able to operate in restricted speed a little safer, or
3 to make the rear of trains, a marker in PTC systems. Can anybody
4 offer anything there?

5 MR. PARRISH: This is Jamie Parrish from CSX, P-A-R-R-I-S-H.
6 And we have some enhanced GPS technology that we're working on.
7 And, you know, I think there's some caveats here that, before we
8 speak on this topic, before we turn it over to the gentlemen
9 who'll speak about it, is that we need to understand that -- I
10 want you to understand more so than anything that, you know, this
11 -- these projects that, or these topics that we're going to talk
12 about is not anything that's going to be implemented directly
13 tomorrow. And some of these projects are years to come and
14 they're in development stages, some of them are in prototype
15 stages, some of them have, are in the process of being tested.
16 And so this topic here is our Next Gen-E-O-T which Ed Tilley from
17 BNSF will speak on. And I'll turn it over to him to provide some
18 information on that.

19 MR. PAYAN: Okay. Thank you.

20 MR. TILLEY: All right, thanks, Jamie. My name is Ed Tilley,
21 Director NLC Signal Operations at BNSF. I focus mainly on PTC and
22 Next Generation Train Control. Before I start answering the
23 question, I want to be clear that we are speaking only on the ITC-
24 PTC System. And there're several other systems out there so I
25 can't speak for them but this is the one that we are talking about

1 to make that clear so we don't confuse our system with others.

2 So for the end-of-train device, so we have been working --
3 there have been several projects funded by (indiscernible) RND and
4 then there's projects at AAR to develop the Next Generation End of
5 Train Device that can provide position to the end of train. And
6 that's critical to implementing end of the train protection. And
7 now the issue with this type of system is that there are multiple
8 vendors in this space that need to develop hardware, and then
9 there are long-term issues for railroads that need to basically
10 wholesale swap-out all of their end-of-train devices. So this is
11 going to be a multi-year, long-range project. It's going to take
12 7 to 10 years. This is the estimate to be fully implemented. So
13 we understand the importance of it and it has been in the pipeline
14 for a little while already. So we have developed prototypes and
15 are ready to publish interoperable specifications for this device.
16 So that's the first step. And then it's just getting the vendors
17 to build these hardware. So if you have questions, I'll be glad
18 to answer them. But that being short, that's where we are with
19 the End of Train devices or, and integrated with PTC.

20 MR. PAYAN: Okay. So from a technology standpoint, are the
21 railroads leaning more towards the rear-end talking to the head-
22 in, or the rear-end talking to other trains? And what's the
23 benefit of either one?

24 MR. TILLEY: No, the focus is the end of train device is
25 already in communication with the head of train so that the head

1 of train is where the onboard computer is in like computing power,
2 if you will, and then better radios to broadcast to, either the
3 back office or to other trains to announce its position. So it's
4 not the end of train device that's talking to the other trains.
5 That's hard to make close the loop as far as Safety Critical
6 Software goes. So the answer for us is to have the end of train
7 device speak to the head of train, and then it put out the
8 broadcast of location.

9 MR. PAYAN: Okay. Interesting. Very good. Greg and John,
10 do you have any questions for in this area?

11 MR. SCOTT: I can't think of anything right now. If I have
12 something pops up, I might have to come back to it.

13 MR. PAYAN: Okay. Yeah, no problem. So moving on to the
14 next topic, I kind of talked to Jamie already about it, most of
15 it. It was switching operations in PTC territory. We had an
16 accident out in Ohio, and it was restrictive mode. And I know
17 that the committee has already made some changes. Is there
18 anything further down the road that's going to affect this
19 restricting mode operations that hasn't been implemented yet or
20 being worked on?

21 MR. PARRISH: Hey, Ruben, Jamie Parrish here. So like we
22 talked about a few weeks back, I would say that there's nothing
23 specific in the pipeline short term to enhance the features that
24 we have implemented already. Further, what we've done, we've
25 implemented basically prompting notifications to the engineer that

1 provides notification that he or she is operating in restrictive
2 state and reinforces that to them, you know, kind of puts it out
3 there in their face so if they're, they're happen to be operating
4 in restrictive state, you know, when they're not supposed to be,
5 they would have to answer the prompt that's provided to them, and
6 if they do not acknowledge the prompt but they, yes, they're
7 supposed to be running in restrictive state, then the onboard
8 system, PTC, would provide a brake suppression.

9 And like I explained to you a few weeks back, that logic and
10 functionality currently is designed to prompt the engineer, the
11 operator, locomotive operator, at five miles as they move down the
12 tracks. And they have a few seconds to answer the enforcement,
13 the prompt, and then the enforcement will occur if they elect to
14 not answer, or they do not answer the prompt itself. So from a
15 systems perspective, that's what we've implemented to support
16 restrictive state.

17 MR. PAYAN: Okay. And I can't remember if I asked you
18 before. This -- all the railroads are going to do the same kind
19 of kind of enforcement or prompts, timewise?

20 MR. PARRISH: That's correct. Yes, sir.

21 MR. PAYAN: Oh, okay. So it's going to be -- okay. Well,
22 very good. Okay. Okay. So the other topic that we were talking
23 about was --

24 MR. SCOTT: Hey, Ruben? I'm sorry.

25 MR. PAYAN: Hello?

1 MR. SCOTT: I did have a question. This is Greg Scott. S-C-
2 O-T-T. With the restricted mode, is there anything -- and I
3 missed the interview that you previously had, but is there a cap
4 on the speed that they can travel at restricted mode? Like say,
5 you know, that they can travel at 15 mile an hour under or 20 mile
6 an hour under in restricted, or is it just unlimited speed?

7 MR. PARRISH: No, sir. It's set to a configurable value,
8 which right now it's, I believe it's set to 20 miles per hour, you
9 know, pretty much for the industry. I don't think anybody else
10 has a different speed. But it's, it enforces the restricted speed
11 of the railroad.

12 MR. SCOTT: Okay. Thank you. Sorry Ruben.

13 MR. PAYAN: Nope. No problem. No problem. Good question.

14 So the other area we have has more to do with passengers than
15 trains but some of these are on freight railroads. And it
16 involves terminal passenger stations where we've had several
17 accidents that the train has come in at restricted speed and
18 instead of stopping at the end, they keep running and they run
19 into the platform. Is there any work being done for end of --
20 terminal stations or for terminal tracks to be made targets for
21 PTC?

22 MR. RICHARDSON: Hi. Good afternoon. This is Greg
23 Richardson, R-I-C-H-A-R-D-S-O-N at Union Pacific. I'm the
24 General Director of Operating Technologies Tier, and I'll make a
25 run at that one. And, Ruben, I'll do this one by describing what

1 we have in place, what Union Pacific has in place in its Chicago
2 passenger terminal. Union Pacific operates under an agreement
3 with Metra in Chicago, operates a large number of commuter trains
4 on a daily basis on three different lines out of Chicago.

5 Our Chicago passenger terminal there is a stub-end, 16-track,
6 stub-end station under platform sheds with a bumper post at the
7 end of the 16 tracks. And, you know, we've, like NTSB, we've had
8 the same concern about passenger trains that get into that
9 station. The nominal operation for those trains is that they stop
10 three to six feet from the actual bumper post. That's far too
11 close a distance to simply configure a stop at the bumper post
12 location. No train -- the fidelity of PTC operation is such that
13 no train would ever even come close to being able to make its
14 normal intended stop.

15 But what we've done with the PTC System as it exists today
16 is, we've been able to manipulate the track data and speed limits
17 such that inbound trains encounter a series of speed restrictions
18 that, in essence, allow the train to nuzzle-off to the three to
19 six feet that I've discussed, but at the same time, enforce the
20 speed of the train such that should any bumper post collision
21 occur, it would be at a speed not more than two to three miles per
22 hour. So there's some creative work with track data that allows
23 that to happen.

24 I should also mention that the station is within the limits
25 of a Main Track Exclusion Addendum as well for the PTC

1 Regulations, but at Union Pacific, I know at some others, we've
2 been able to implement this configuration in order to step inbound
3 trains down to safe speed but at the same time, allow them to
4 continue their nominal practice of, you know, stopping three to
5 six feet from the actual bumper post.

6 MR. PAYAN: Oh, okay. That's interesting. So this location
7 that you're describing, are we still talking about aboveground
8 where GPS and PTC get good signals?

9 MR. RICHARDSON: It's aboveground but it's -- there's a
10 series of platform (indiscernible) that's there, so the GPS
11 situation, it's in an urban canyon as well as in a shed, it's not
12 underground but the GPS situation is not favorable at all.
13 However, again, with, with recurring modifications and evolution
14 in the PTC System, we've improved the ability of the PTC System to
15 navigate for certain distances within very poor GPS areas. So
16 we've been able to take advantage of that feature plus our track
17 data configuration to provide that protection all the way to the
18 bumper post.

19 MR. PAYAN: Oh, okay. You read my mind. That's where I was
20 going. Has that technology been refined well enough to be able to
21 bring in the train and be able to tell what track it's on, and
22 over the switches and everything else, on like, busy
23 interlockings?

24 MR. RICHARDSON: So again, the fact that in this particular
25 case, the Chicago Pass -- Chicago Passenger Terminal is in an MTEA

1 and not all the switches, etcetera are equipped. And we've been
2 able, through track data configuration, etcetera, to work past
3 some of that. I think, you know, in some regards, there's many
4 cases where this configuration would be available. There may very
5 well be somewhere the distances and the configuration may not lend
6 itself to this but I do know of this case. I know of a couple
7 others where this type of configuration has been applied to
8 protect that. I can't speak to whether it would work everywhere
9 all the time.

10 MR. PAYAN: Sure. Sure. I understand. How about for the
11 whole -- like, the station itself? Does doing this PTC target at
12 the end bog down the whole, like does it tie up the whole, the
13 host interlocking or --

14 (Crosstalk)

15 MR. RICHARDSON: That's a great question. So the answer is,
16 we've been able, through a lot of experimentation and engineering,
17 to find the right mix that allows the trains, you know, right --
18 we had three things. We needed them to answer and move through
19 the interlocking, you know, without undue delay. At the same
20 time, we needed them to be able to nuzzle up to the bumper post
21 while at the same time, provide speed limiting that would result,
22 you know, in a very minimal, you know, collision with the bumper
23 post should one occur. We were lucky. We were able to find the
24 right temperature portage to make all that comply to that if we
25 have acceptable transit times through the interlockings there at

1 Lake Street.

2 MR. PAYAN: Okay. I understand. Yeah, that's a difficult
3 area with all the switches, and very condensed operations.

4 MR. RICHARDS: Yes, yes.

5 MR. PAYAN: Oh, thank you. Thank you for that. Another
6 topic, switching topics here, another topic we came across was as
7 far as dispatching activities, we've had an accident --

8 MR. MANUTES: Hey Ruben, I think I'd like to go back before
9 we jump completely off of this topic. Sorry, I was giving you a
10 second in case we -- but before we -- I'm going to write down
11 dispatch so we don't get too lost. But I've got a couple of
12 follow-ups on that one if you don't mind.

13 MR. PAYAN: Sure.

14 MR. MANUTES: This is John Manutes at NTSB. Couple of
15 questions. First of all, you mentioned main track exclusions.
16 Can you help me understand besides end of track terminal type
17 locations, where else do you have the allowed-for main track
18 exclusion addendums? I mean, what other locations are those
19 likely to be encountered out in the system?

20 MR. RICHARDSON: So the Federal Regulations 49CFR236.1019, I
21 believe identify three different categories of MTEA. The first is
22 what's called the Passenger Terminal Exception, which is as the
23 situation we just described. The second is what's called a
24 Limited Operations Exception. And these are places where there is
25 freight traffic below a certain tonnage threshold, I believe it's

1 15 MGT, and there are four or fewer passenger trains per day.

2 Speaking for Union Pacific, we hold one Passenger Terminal
3 Exception which is there at Chicago's, I just described. And we
4 hold two additional limited operations exceptions simply in places
5 where we have low freight tonnage and very low volumes of
6 passenger trains. And I think there's a third, I can't remember
7 what the third type of MTEA is right now, but certainly the two I
8 mentioned are the most prevalent.

9 MR. MANUTES: Okay. That's helpful. Thank you very much.
10 You -- sorry, I lost my note. So you mentioned the word, the term
11 certain distances without GPS. I'm curious, what range is a good,
12 sort of ballpark, for -- what certain distance is PTC currently
13 capable of achieving after it loses, say a GPS signal, and it's
14 sort of doing a, -- maybe you can even talk to how it does it.
15 Some sort of dead reckoning without position-finding, without,
16 without GPS. Can you talk just a little bit more about that?

17 MR. RICHARDSON: Sure. Sure, I'll keep it at a very high
18 level. The -- there's two phenomenon that we worry about GPS.
19 There's no GPS and then there's bad GPS due to faulty path and
20 reflection. What PTC can do is, just as you said, it can dead
21 reckon solely based on wheel tachometer input. And as you operate
22 dead reckoning, the engineering design is that the system
23 accumulates uncertainty right where, with each turn of the wheel,
24 we're less certain about how far we've traveled simply because of
25 wheel size computations, wheel slip and slide, etcetera.

1 And so what we do when the system has accumulated a certain
2 threshold of uncertainty, as it's accumulating that uncertainty,
3 it buffers its computations by that uncertainty. But when you hit
4 a certain threshold, the level of that uncertainty is deemed to be
5 so great that operation becomes unreliable.

6 The answer to your question about how far can we do that is
7 some very small number of miles. Maybe some single digit number
8 of miles --

9 MR. MANUTES: Okay.

10 MR. RICHARDSON: -- before that uncertainty starts to become
11 impractical from a safety and engineering standpoint.

12 MR. MANUTES: Okay. So how is the system designed someplace
13 like Moffat Tunnel, where you go in for a certain number of
14 single-digit miles but you certainly don't want to bring the train
15 to a stop in the tunnels for an unneeded reason, right?

16 MR. RICHARDSON: So that's a softball. Fortunately, the six
17 (indiscernible) mile Moffat Tunnel is less than the uncertain
18 limit on that on a daily basis. I mean, specific trains
19 (indiscernible) Moffat Tunnel dead reckoning at PTC. The --

20 MR. MANUTES: Okay.

21 MR. RICHARDSON: That threshold is longer than any of the
22 tunnels that are currently on the Class 1 networks. Where we have
23 bigger problems are in, say, long canyons, like Glenwood Canyon
24 and places like that where maybe the whole digit numbers of miles
25 with intermittent coverage that we -- there's -- those are the

1 areas that are a challenge now.

2 MR. MANUTES: Is there a wayside supplemental solution, or
3 how do you get through Glenwood then?

4 MR. RICHARDSON: The short answer is there's enough little
5 opportunities for "GPS daylight" that we can reset the uncertainty
6 counter we get through there.

7 MR. MANUTES: Okay. Okay. Interesting. Thank you. I
8 really appreciate that. And then if (indiscernible) I don't mean
9 to -- I really appreciate all your answers. That was really
10 helpful. But I do want to pick on one, Drew, just for a moment.
11 I'd love to hear -- I think I heard that Metrolink was on and I'd
12 love to hear sort of their thoughts as far as getting into the
13 L.A. end-of-track area or any other end-of-track situations and
14 how Metrolink handles that, you know, real time today.

15 MR. HURST: Okay, this is Jerone.

16 MR. MANUTES: And to add to that since there's a big pause,
17 to add to that, maybe, you know, what needs to be improved? I
18 guess that's the focus of the conversation. So how do you do it
19 today, and then what needs to be improved in the L.A. area?

20 MR. HURST: Okay, this is Jerone Hurst, Director of
21 Communications at Signal Systems. Hurst, H-U-R-S-T. And what we
22 do currently is very similar to what Greg Richardson described in
23 Chicago. We have a MTEA as well as for Union Station, and we've
24 done that exact same thing. We've used track data information to
25 prevent striking a bumper post at a high speed. It's pretty much

1 the same exact thing that they did in Chicago. We've implemented
2 it over a year. We've had it for a couple years here in Los
3 Angeles.

4 MR. MANUTES: Great. Okay. Thanks. Thank you for that. I
5 don't have any more questions, Ruben.

6 MR. PAYAN: Before Jerome gets off -- so do you use an actual
7 signal there or transponder, or how do you mark the end of the
8 track?

9 MR. HURST: It's using the information in the track database
10 file. So it's the exact same thing that's done in Chicago.

11 MR. PAYAN: Okay. Okay. I see. Thank you.

12 So going back to dispatchers, we have this great PTC system,
13 and it provides for protection of roadway workers out there. And
14 we had several accidents where dispatchers lifted the authority
15 without the workers out there knowing, in PTC territory. And so
16 we identified that as a single-point failure where the dispatcher
17 could take away a work zone authority without anybody knowing.
18 And we were kind of interested and see what the industry was doing
19 to, or if they were doing anything to identify that.

20 (Indiscernible) kind of talked to us about some -- down the
21 future they were looking at tablets to issue, electronic tablets
22 that the employee in charge would use, and he would actually see
23 his work zone authority and he would allow trains to come in out
24 of the work zone. And I think that would provide some kind of
25 redundancy if the dispatcher removed the work zone authority.

1 Hopefully, he would notice that. But I'm kind of curious, is
2 there anything different or any other approaches being taken for
3 that?

4 MR. TILLEY: This is Ed Tilley with --

5 MR. ST. PETER: If I could jump in. Joe St. Peter. S-T-P-E-
6 T-E-R, A.R. Law Department. I'm not totally sure this is the
7 exact right group for that, dealing with, like NTSB's past
8 redundant protections, recommendations, and whatnot. I feel like
9 that might be something our operating folks might be more inclined
10 to be able to discuss.

11 MR. PAYAN: Oh, operations would be more of the rules. I'm
12 just wondering from a technology point if anything can be done
13 within PTC, the system itself. Technology, not rules-wise, not
14 operational procedure-wise. Just from a technology standpoint if
15 there's any redundancy that's being considered or being
16 implemented.

17 MR. TILLEY: So this is Ed Tilley with BNSF. We are and have
18 been working with the FRA R&D folks on employee in charge
19 terminals, we call it, and it's for strictly for work zones. And
20 so for our work zone, the employee in charge would have control of
21 when and when, and at what speed a train could enter his work zone
22 for the day. That is under development. I think we are looking
23 at software development and testing this, next year, 2022. And
24 then -- but implementation, etcetera, requires hardware and
25 further things, so it's at least a several-year project to get

1 this implemented across the industry, and specific -- would have
2 to make a decision to do this.

3 But working on the technology and making it part of the
4 interoperable PTC system, I think what you were talking about
5 though is for -- this would not protect tracking time or anything
6 like this strictly for work zones as defined by our rules. But
7 for tracking time, etcetera, this function does not address that
8 situation.

9 MR. PAYAN: Okay. Does it at least, does it provide like
10 real-time information to the people out in the fields? Would --

11 MR. TILLEY: The employee in charge, he would have the
12 terminal and his workgroup would work under his authority.

13 MR. PAYAN: Okay. Okay. So maybe it might provide some
14 redundancy, maybe. Okay. Well, thank you for that. I appreciate
15 that. So let's see, where are we at? So how about PTC systems
16 and highway grade crossing warning systems? Is there any work
17 being done to tie those two together?

18 MR. RICHARDSON: Yeah, hi. This is Greg Richardson with
19 Union Pacific again. I'll take that one. Again, I'll kind of
20 walk through that by a specific implementation that's in place
21 today.

22 You -- I don't know if you're familiar with the Illinois High
23 Speed Rail Program which is over a portion of Union Pacific's line
24 between Joliet, Illinois, and St. Louis. But today, we have in
25 operation, about a 225-mile segment on which there's about 250

1 crossings which are integrated with a PTC-based communications
2 warning system start and health feedback system.

3 I don't know if you're familiar with what we and AMTRAK have
4 going on out there, but that's in operation. And it's their
5 product, again, primarily as part of the Illinois High Speed Rail
6 Initiative, and it currently, today, supports 90-mile per hour
7 passenger train operation.

8 What we have in place out there is the result of a lot of
9 funding from federal and state authorities. And again, it's
10 really one of the -- there's a similar, I don't know if you're
11 familiar with what AMTRAK has in Michigan with their ITCS, or the
12 system that they have in place there. What we have in Illinois is
13 very similar to that but with a couple of twists. First of all, I
14 should mention that all those 250 crossings out there have four-
15 quad gates. They have vehicle detector routes. They have exit
16 gate management. They have a whole array of, what I'll call,
17 traditional grade crossing warning system safety appliances added
18 to them.

19 In addition, the crossings are started by the passenger
20 trains via radio. And per a directive from the state regulator
21 there in Illinois, the starts on those crossings are sufficient
22 such that, at time of warning systems start, a passenger train
23 could actually detect a health problem and make a stop, predict --
24 and stop at that crossing if, indeed, that problem is present at
25 the time of start. The result of that are some extremely long

1 warning times at those crossings, on the order of a minute and a
2 half, and that's what it takes to create time and distance for a
3 predictive stop, short of any issue there.

4 We sort of see this as a feasibility program. Again, you
5 know, working with our federal and state partners who wanted to
6 see this operation and funded it, we put it in place. It requires
7 a very exorbitant communications demands. It has very exorbitant
8 onboard processing demands, and it has, obviously, you know, very
9 exorbitant communications and equipment configurations at each
10 crossing. So it's a tremendously expensive operation. We're
11 gathering a lot of experience on how well it works. We see, you
12 know, in a world where block crossings are an issue, these long
13 warning times are somewhat problematic. But again, that's a
14 function of what the desires perceive is to, you know, it's to
15 protect a crossing that's fouled by a car or has some warning-
16 system problem in it. Again, I would say we're learning a lot
17 about the operational disruptions and impacts that come from this,
18 as well as the technical feasibility, and processing, and
19 communications demands. But it's very much, you know, it's
20 something we're looking at but, you know, today, it's been very
21 expensive and very demanding from a processing communications and
22 equipment standpoint.

23 MR. PAYAN: Okay. Very good. So if I understand right, it
24 seems like more of the work and the research is being done on the
25 railroad side of things, the health monitoring and information to

1 the trains themselves. Nothing yet for the highway user.

2 MR. RICHARDSON: Correct. That's correct.

3 MR. PAYAN: Okay.

4 MR. RICHARDSON: This is very, very rail-focused.

5 MR. PAYAN: Okay. All right. Very good. Very good. I am
6 -- was familiar with the ITCS system. I was with FRA at the time
7 when that was being put in, so I got to see it as it was being
8 implemented. And it was a brand-new system. It was very
9 interesting.

10 MR. RICHARDSON: Yes.

11 MR. PAYAN: So with all these different topics that we've
12 been talking about, what are some of the obstacles that the
13 industry is trying to or needs to overcome? And I know money
14 comes into it, but from a technology side, is the computers fast
15 enough? Is the communication fidelity good enough? What are some
16 of the big obstacles that have to be overcome for some of these
17 enhancements to come into play?

18 MR. PARRISH: Hey, this is Jamie Parrish, CSX. I'll take
19 that, and whoever, whoever else can jump in as well. I think, you
20 know, obviously, the cost for the industry and to each individual
21 railroad is a big impact. The, you know, having to work with
22 multiple vendors, you know, and coordinate, you know, requirements
23 with different vendors and the different railroads is a challenge
24 that we have. And then the interoperability piece is also a large
25 challenge. You know, developing the requirements in such a

1 fashion that it meets all the different roads operating
2 requirements and needs.

3 And then, obviously, you kind of mention in there the
4 hardware and the software. I mean, you know, trying to keep up
5 with the, you know, hardware that -- that's sufficient for our
6 needs now and then our needs for the future changes, I think are
7 some of the largest challenges that we have in the industry. I
8 don't know if anybody else has anything that I didn't cover.

9 MS. WILSON: Hi, this is Lisa Wilson with Norfolk Southern.
10 W-I-L-S-O-N.

11 MR. PAYAN: Yes.

12 MS. WILSON: I would add that, Jamie touched on some of it,
13 but we also, you know, a lot of times, just like with PTC, we
14 needed industry standards for the multitude of vendors to develop
15 to so we could ensure interoperability. And another obstacle for
16 all of this, particularly right now with what we're facing in
17 today's current environment, is some of the hardware shortages
18 that we're seeing across the supply chain, and if the hardware
19 were available for these things, you know, being able, everybody
20 being able to get it to implement it.

21 And then, additionally, just the -- again, just like we saw
22 with PTC, the resource constraints we have on our main suppliers
23 and vendors to develop these things and get them through testing
24 for us to go through testing phases for implementation. So
25 they're not, you know, they're not unlike the challenges that

1 we've faced already with PTC.

2 MR. PAYAN: So some of the same problems are still around.

3 MS. WILSON: Right. Some things just don't change.

4 MR. PAYAN: Yeah. Right. How about the FRA regulations, are
5 they flexible enough to allow you to test these new changes in
6 PTC, or does it bog down the system, the steps you have to go
7 through?

8 MS. WILSON: I would say that it doesn't. The regulations
9 don't necessarily support a lot of innovation and implementation
10 in an efficient manner. There's understandably -- you know,
11 there's safety cases to be made for some of these products. And
12 others, not as stiff a hurdle to get over, but I think the
13 regulations definitely, some of them, were written years and years
14 and years ago, need to be amended. We've talked about amending
15 them to support innovation and moving forward with technology.

16 MR. PAYAN: Well, thank you for that. Yeah. How about -- is
17 there any technology that we can, or are we looking at any
18 technology from the European side or the Asian Railroad rail
19 markets out there?

20 MR. PARRISH: Ruben, this is Jamie. I'm not familiar enough
21 with that to say that the industry hasn't looked into something
22 like that. I know here at CSX, we specifically have not in
23 regards to PTC, to my knowledge, but, you know, I'm not sure from
24 an industry perspective. I can't say whether there's a railroad
25 that hasn't looked into it.

1 MR. PAYAN: Okay.

2 MS. BITTNER: Hi Ruben, this is Debbie Bittner with CSX. I
3 would say when we work with our vendors, most of the vendors that
4 we work with are global, and so they bring the innovation to the
5 table from their global partners, if you will. So, you know, I
6 think it comes in naturally from the vendors.

7 MR. PAYAN: Okay. Yeah. Yeah, that's kind of where I was
8 trying to -- yeah, you're right. The vendors, yeah, they're more
9 world conglomerates, so that makes sense.

10 MS. BITNER: Yes.

11 MR. PAYAN: Very good. Thank you.

12 John, Greg, you have anything to follow-up?

13 MR. MANUTES: You know what, Ruben, I don't think I do.

14 MR. PAYAN: Okay.

15 MR. SCOTT: I don't think I do either, Ruben.

16 MR. PAYAN: All right. Well then I'll conclude the interview
17 and then -- don't leave yet. Let me conclude this interview and
18 stop the recording.

19 (Whereupon, the interview was concluded.)
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CERTIFICATE

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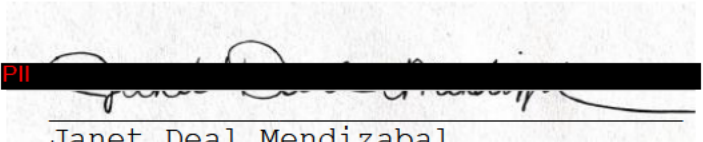
IN THE MATTER OF: BNSF EMPLOYEE FATALITY
 IN LA MIRADA, CALIFORNIA
 ON OCTOBER 6, 2021 -
 PTC Interoperable Operations
 Working Committee Q&A Session

ACCIDENT NO.: DCA21SR003

PLACE: Via telephone

DATE: November 2, 2021

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Janet Deal Mendizabal
Transcriber