DEPARTMENT OF HOMELAND SECURITY OMB No: 1625-0001 U.S. Coast Guard Exp. Date: 07/31/2022 REPORT of MARINE CASUALTY, COMMERCIAL DIVING CASUALTY, or OCS-RELATED CASUALTY Section I - Reporting Vessel/Facility Information 1. Vessel or Facility Name 2. Vessel Official Number or IMO Number 3. Vessel Flag American Mariner 619736 IISA 4. Vessel Length 5. Vessel Gross Tons 6. Vessel Propulsion Type 714.8 X Feet Meters 15396 Diesel 7. Vessel or Facility Type 8. Vessel or Facility Service or Occupation Freight Great Lakes Bulk Freight 9a. Arrangement; 9c. Maximum Size of Tow/Tow-Boat(s): 9b. Number of Vessels Towed: 9d. Did one or more of the barges in the tow cause or FOR Empty Pushing Ahead sustain damage in the marine casualty? TOWING Length Yes No ONLY Towing Astern Loaded Width (If Yes complete and attach one or more Towing Alongside Total feet CG-2692A forms to this report) Section II - Reason for Submitting this Report (Check all that apply) 10. The above vessel was involved in a Marine Casualty consisting in (46 CFR 4.05-1 and 4.05-10): X 1. Unintended grounding or an unintended strike of (allision with) a bridge 2. Intended grounding or intended strike of a bridge that created a hazard to navigation, the environment or the safety of the vessel, or that meets any of the criteria in 3 through 8 below 3. Loss of main propulsion, primary steering, or any associated component or control system that reduces the maneuverability of the vessel 4. Occurrence materially and adversely affected the vessel's seaworthiness or fitness for service or route 5. Loss of life 6. Injury that requires professional medical treatment (treatment beyond first aid) and, if the person is engaged or employed on board a vessel in commercial service, that renders the individual unfit to perform his or her routine duties 7. Occurrence causing property damage in excess of \$75,000 8. Occurrence involving significant harm to the environment 11. The above facility or vessel was involved in a Commercial Diving Casualty involving (46 CFR 197.484): 1. Loss of life 2. Diving-related injury to any person causing incapacitation for more than 72 hours 3. Diving-related injury to any person requiring hospitalization for more than 24 hours 12. The above facility or vessel was involved in an OCS Facility Casualty Resulting in (33 CFR 146.30 and 146.35): 1. Death 2. Injury to 5 or more persons in a single incident 3. Injury causing any person to be incapacitated for more than 72 hours 4. OCS Facility only - Damage affecting the usefulness of primary lifesaving or firefighting equipment 5. OCS Facility only - Damage to the facility exceeding \$25,000 resulting from a collision by a vessel with the facility 6. OCS Facility only - Damage to a floating OCS facility exceeding \$25,000 Section III - Associated Parties Information (Fill all fields that apply) 13. Name of Owner 14. Name of Operator or Manager Telephone American Steamship co. Grand River Navigation Address Email address 500 Essjay rd. 1026 Hannah Ave. STE. D Williamsville NY 14221 Traverse City, Mi. 49685 15. Name of Master or Person-In-Charge (Last, First, Middle) 16. Name of Agent (Last, First, Middle) Layton, Jeffrey A. Email address Address Email address 17. Name of Dive Supervisor (Last, First, Middle) Telephone 18. Name of Pilot (Last, First, Middle) Telephone N/A N/A N/A N/A Address Email address Address Email address N/A N/A N/A N/A Section IV - Casualty Information 19. Date/Time (local) of Occurrence 20. Location-Name of Body of Water or Waterway: Latitude: 46-30.327N River Mile Marker:

Sawmill Bay

22. Status of Involved Persons (if there are 1 or more injured, dead or missing persons complete and attach one or more CG-2692C forms to this Report)

Injured: 0

Dead: 0

Describe the Extent of Property Damage

Vessel: \$750,000

Total Number of Persons:

01/07/2023 07:35

21. Property Damage Estimated Damage Cost(s) to:

Cargo: \$ Other: \$

On Board the Vessel: 19

Longitude: 084-23.198W

Missing: 0

				Section IV - Casualt	/ Information	contin	nued)		
23. Was Ti	his Cas	sualty a Se	erious Marine Incident (SMI)	as Defined in 46 CFR 4.03-2?					
	Yes	No			es or Is Likely to	Весоте	an SI	fl complete/attach one	o or more CG-2692B forms to this report)
24a. Is the involved in	re any the ca	evidence sualty?	of alcohol or drug use by or	ntoxication of individuals directly	24b. Did any i the administra the marine em	tion of a	timei	tly involved in a casua or chemical test, when o	alty refuse to submit to, or cooperate in, directed by a law enforcement officer or l
_ Y	res [No No	(If Yes, identify those indivi- been obtained and specify evidence in block 24c)	duals for whom evidence has the method to obtain such	Yes		No	(If Yes, note the indiv	ridual(s) who refused in block 24c)
24c. Individ	duals v	vith evider	nce of drug or alcohol use, e	vidence of intoxication, or who refi	sed to submit/co	operate	inat	mely chemical test (if i	more space is needed, continue in bicck
N/A									
9									
24d Is the	ere evi	dence th	at alcohol use contribute	d to this security O					
	res		(If Yes, discuss in block 25	570					
				0)					
			e of the Casualty:						
			on Being Conducted at the						
onder.	, ay	and n	caded outbound	Sawmill Bay, St M	ary's Riv	er,	Can	ada.	
25b. D	Descript	tion of the	Casualty (casualty events a	nd the conditions and actions that	were believed to	be caus	al fac	ors as well as any haz	ards created as a result of the
				lting in damage to	#5, #6	and -	#7	port ballast	tanks No other
damage	e wa	s not	iced. Damage to	#5 port FR 57 to	#6 port	FR 6	6 i	n wav of the	: bilge roll
				o #6 port FR 68 to					
				on the bottom she					
									re was believed to
				bing bottom on the					
direct	ly a	accro:	ss from "QM18",	on the green side	of the	rhani	n_ 1	manner. Inc	ident occured
				5	or one	J1.(C.11)	161		
25c. Ar	ny othe	er commer	nts, including with respect to	use of or need for emergency resp	onse equipment				
				Section V - Person	Making this	Report			
4. Name (F Layton	PRINT)	(Last, Fire	st, Middle)	25. Sign	1	. 0			26. Date
7. Title				28 Address	-) -,	Ŋ,	y	VOA	01/10/2023
Captai	n								
							T		
G-2692	(07/1	9)							

Page 2 of 3

INSTRUCTIONS FOR COMPLETION OF FORM CG-2692 REPORT OF MARINE CASUALTY, COMMERCIAL DIVING CASUALTY, OR OCS-RELATED CASUALTY

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The Coast Guard estimates that the average burden for this report is 1 hour. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-INV), U.S. Coast Guard Stop 7501, 2703 Martin Luther King Jr Ave SE, Washington, DC 20593-7501 or Office of Management and Budget, Paperwork Reduction Project (1625-0001), Washington, DC 20503.

WHEN TO USE THIS FORM

- 1. This form satisfies the requirement for written reports of casualties and accidents found in the Code of Federal Regulations for vessels, commercial diving operations, and Outer Continental Shelf (OCS) facilities. Depending on the circumstances surrounding an incident, a written report may be required if it meets one or more of the conditions described in
- 2. VESSELS. If you are the owner, agent, master, operator, or person in charge of a vessel, other than a public vessel or an uninspected recreational or state-numbered vessel, you must submit a report if your vessel:
 - A. is involved in a marine casualty or accident that occurs upon the navigable waters of the United States, its territories or possessions and meets any of the criteria in block 10, or
 - B. is a United States vessel involved in a marine casualty or accident, wherever such casualty or accident occurs, that meets any of the criteria in block 10, or
 - C. is a foreign vessel engaged in OCS activities as defined in 33 CFR 140.10 and is involved in a marine casualty or accident that meets any of the criteria in block 10, or
 - D. is a foreign tank vessel operating in waters subject to the jurisdiction of the United States, including the Exclusive Economic Zone (EEZ), which involves significant harm to the environment or material damage affecting the seaworthiness or efficiency of the vessel.

3. DIVING

- A. Commercial Diving. If you are the master or person in charge of a vessel or facility from which a commercial diving operation is conducted: (1) at any deepwater port or the safety zone thereof as defined in 33 CFR Part 150; (2) from any artificial island, installation, or other device on the Outer Continental Shelf (OCS) and the waters adjacent thereto as defined in 33 CFR Part 147 or otherwise related to activities on the OCS; (3) from any vessel required to have a certificate of inspection issued by the Coast Guard, including mobile offshore drilling units, regardless of their geographic location; or (4) from any vessel connected with a deepwater port or within the deepwater port safety zone or from any vessel engaged in activities related to the OCS, you must submit a report if there is a diving casualty meeting the criteria in block 11, except if the diving operation is:
 - 1. performed solely for marine scientific research and development purposes by educational institutions,
 - 2. performed solely for research and development for the advancement of diving equipment and technology, or
 - 3. performed solely for search and rescue or related public safety purposes by or under the control of a governmental agency.
- B: All Other Diving. Any occurrence of injury or loss of life to any person while diving from a vessel subject to instruction 2 and using underwater breathing apparatus must be reported under instruction 2.
- 4. OUTER CONTINENTAL SHELF (OCS) FACILITIES. If you are the owner, operator, or person in charge of an OCS facility engaged in OCS activities as defined in 33 CFR 140.10, you must submit a report if your facility is involved in a casualty or accident that meets any of the criteria in block 12.

COMPLETION OF THIS FORM

- 5. In accordance with 46 CFR §4.05-10, 46 CFR §197.486, and 33 CFR §146.35, this form shall be filled out as completely and accurately as possible. Please type or print clearly. Fill in all blanks that apply to the kind of accident that has occurred. If a block is not applicable, the abbreviation "NA" should be entered in that space. If the answer is unknown and cannot be obtained before the report has to be submitted (i.e. within 5 days of the accident), the abbreviation "UNK" should be entered in that block. If "NONE" is the correct response, enter it
- 6. Once completed, deliver, email, or fax this form within 5 days of the casualty to the Coast Guard Sector, Marine Safety Unit, or Activity nearest the location of the casualty or, if at sea, nearest the arrival port. https://www.uscg.mil/Units/Organization/
- 7. Tugs or towboats with tows under their control shall complete blocks 9a through 9d and, if one or more barges in their tow causes or sustains damage or meets any other reporting criteria, use the "Barge Addendum," CG-2692A to report information on the barge(s) involved.
- 8. If an incident involves multiple barges suffering or causing damage while moored or anchored (such as in a fleeting area), or breaking away from their moorage and causing or sustaining damage, enter the location of the moorage in Block 1 of the CG-2692 and complete the form except for blocks 2-8. Details for the barges will be entered on the CG-2692A. If a single barge is involved in a marine casualty while moored or anchored, it shall be documented as any other vessel using the CG-2692.
- 9. If the casualty meets the criteria for a serious marine incident as defined in 46 CFR §4.03, use the "Chemical Drug and Alcohol Testing Addendum," CG-2692B to report information on required drug and alcohol testing following a serious marine incident.
- 10. If one or more persons on the vessel or facility were injured, killed, or missing as a result of the casualty, use the "Personnel Casualty" Addendum, "CG-2692C to report information on the extent of all personnel casualties.
- 11. For facilities and vessels engaged in OCS activities who are reporting a casualty in accordance with 33 CFR §146.35 or 33 CFR §146.303, use the "Involved Persons and Witnesses Addendum," CG-2692D to provide a list of all involved persons and witnesses to the casualty being reported. The CG-2692D may also be used to provide data on persons involved or witnessing a marine casualty or commercial diving casualty.
- 12. Block 20 "Location": Always identify the body of water or waterway. Latitude and longitude to the nearest tenth of a minute should always be entered except in those rivers and waterways where a mile marker system is commonly used. In those cases, the mile number to the nearest tenth of a mile should be entered. If the latitude and longitude, or mile number, are unknown, reference to a known landmark or object (buoy, light, etc.) with distance and bearing to the object is permissible.

Privacy Act Notice

(CG-2692, CG-2692A, CG-2692B, CG-2692C and CG-2692D)

Authority: Title 46, United States Code (U.S.C.) §6301, Title 46, Code of Federal Regulations (CFR), Parts 4 and 197, and Title 33, CFR Part 146 authorizes the collection of this information. Specifically, 46 CFR §4.05-10 mandates that vessel owners, agents, masters, operators, or persons in charge file a written report of any marine casualty required to be reported under 46 CFR §4.05-1, 46 CFR §197.486 mandates that persons in charge of vessels or facilities file a report of any diving casualty required to be reported under 33 CFR §197.484, and 46 CFR §146.35 mandates that owners, operators, or persons in charge of an OCS activities file a report of any OCS-related casualty required to be reported under 33 CFR §197.484, and 46 CFR §146.35 mandates that owners, operators, or persons in charge of an OCS and The written report must be provided on Form CG-2692 (Report of Marine Casualty, Commercial Diving Casualty, or OCS-Related Casualty) supplemented as necessary by appended Forms CG-2692A (Barge Addendum), CG-2692B (Chemical Drug and Alcohol Testing Addendum), CG-2692C (Personnel Casualty Addendum), and CG-2692D (Involved Persons and Witnesses Addendum). The forms may be used for diving casualties when the diving installation is on a facility or for OCS-related casualties that are not also marine casualties under 48 CFR Part 4.

Purpose: The Coast Guard uses this information in gathering facts to determine causes surrounding reportable marine casualties. This information assists in promoting the safety of life, property, and the protection of the marine environment through preventing the reoccurrence of accidents.

Routine Uses: Reportable marine casualty information is needed for Coast Guard investigations of vessel casualties involving injury, death, property damage, environmental damage and dangerous conditions and for preparation and submission of data reports mandated by Congress (see 46 U.S.C. 6301). Information gathered is also used to determine whether new or revised safety laws, regulations, and policies are necessary. Additionally, chemical testing information is needed to improve Coast Guard detection and reduction of drug use by mariners. The information contained on forms CG-2692, CG-2692A, CG-2692B, CG-2692C, and CG-2692D may be disclosed under the Freedom of Information Act (FOIA) in response to a written FOIA request.

Disclosure: Furnishing this information is mandatory per 46 CFR §4.05-10. Failure to furnish the requested information for occurrences that are reportable marine casualties, diving casualties, or OCS-related casualties may result in civil penalty sanctions as outlined in 33 CFR Part 1. Coast Guard credentialed mariners may be subject to administrative adjudication per 46 CFR Part 5 for reporting failures. Some of the casualty information collected on this form may be made available for public inspection; however, information collected is protected from use in civil litigation per 46 U.S.C. §6308. Personal privacy information will not be disclosed routinely. Social Security numbers are not mandated on this form.

DEPARTMENT OF HOMELAND SECURITY

U.S. Coast Guard

OMB No: 1625-0001

Exp. Date: 07/31/2022 REPORT OF MANDATORY CHEMICAL TESTING FOLLOWING A SERIOUS MARINE INCIDENT INVOLVING VESSELS IN COMMERCIAL SERVICE Note: This form shall be used to report data on persons directly involved in a serious marine incident involving a vessel in commercial service and the mandatory chemical drug and alcohol testing. Section I - Reporting Vessel Information - Casualty Date/Time 1. Vessel Name Vessel Official Number or IMO Number 3. Date/Time (local) of Occurrence M/V American Mariner 619736 01/07/2023 07:35 Section II - Reason for Submitting this Report (Check all that apply) 4. The above vessel is in commercial service and was involved in a Serious Marine Incident that resulted in (46 CFR 4.03-2): One or more deaths An injury to a crewmember, passenger, or other person that requires professional medical treatment beyond first aid, and, in the case of a person employed on board a vessel in commercial service, which renders the individual unfit to perform routine vessel duties Damage to property in excess of \$200,000 Actual or constructive total loss of any vessel subject to inspection under 46 USC 3301 Actual or constructive total loss of any self-propelled vessel, not subject to inspection under 46 USC 3301, of 100 gross tons or more A discharge of oil of 10,000 gallons or more into the navigable waters of the United States, as defined in 33 USC 1321 A discharge of a reportable quantity of a hazardous substance into the navigable waters of the United States A release of a reportable quantity of a hazardous substance into the environment United States Section III - Personnel and Testing Information 5. Individuals Directly Involved in Serious Marine Incident 6. Drug and Alcohol Testing 5b. USCG 6a. Drug Test Urine Sample 6b. Alcohol Test Specimen 6c. Type of Alcohol Test 6d. Alcohol 5a. Name (Last, First, Middle) Credentialed? Provided Within 32 Hours? Provided within 2 Hours? Specimen Provided Test Results Layton, Jeffrey A. X Yes No 0.00 Yes No Refused Yes No Refused Saliva Blood Breath Miller, Gary R. Yes No X Yes No Refused Saliva Blood Breath 0.00 Yes No Refused Still, Scott A. X Yes No Yes No Refused 0.00 Yes No Refused Saliva Blood X Breath Hoffman, Vernon K. Yes No Yes No Refused Yes No Refused 0.00 Saliva Blood X Breath Feliciano, Joshua K. Yes No 0.00 Yes No Refused Saliva Blood Breath Yes No Refused Charles, Issa I. Yes No Yes No Refused 0.00 Yes No Refused Saliva Blood Breath Drayton, Malcom D. X Yes No Yes No Refused 0.00 Yes No Refused Saliva Blood X Breath Yes No Yes No Refused Yes No Refused Saliva Blood Breath Yas No Yes No Refused Yes No Refused Saliva Blood Breath Yes No Yes No Refused Yes No Refused Saliva Blood Breath 7. Explanation of why test samples were not collected within required timeframes or not at all and/or why testing was not conducted (Required for each "No" checked in columns 6a or 6b) After the grounding incident occured, crew members were occupied to ensure the safety of the vessel. All alcohol tests were conducted aprroximately 2.5 hours after the incident. 8. SAMHSA Accredited Laboratory Conducting Chemical Drug Tests Laboratory or Individual Conducting Alcohol Tests Name: Clinical Reference Labs Jeffrey Layton Name: 11711W 83rd Terrace Address: Address: Lenexa, KS 66150 Telephone: 800-452-5677 Telephone: Email: Email: Section IV - Person Making this Report 10. Name (PRINT) (Last, First, Middle) 11. Signature 12. Date Layton, Jeffrey A. 01/10/2023 13. Title Captain

INSTRUCTIONS FOR COMPLETION OF FORM CG-2692B Report of Chemical Testing Following a Serious Marine Incident Involving a Commercial Vessel

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The Coast Guard estimates that the average burden for this report is .5 hours. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-INV), U.S. Coast Guard Stop 7501, 2703 Martin Luther King Jr Ave SE, Washington, DC 20593-7501 or Office of Management and Budget,

WHEN TO USE THIS FORM

1. This form, when submitted in conjunction with a CG-2692 or submitted alone, satisfies the requirement found in the Code of Federal Regulations for written reports of chemical drug and alcohol testing of individuals engaged or employed on board a commercial vessel who are identified as being directly involved in serious marine incidents consisting of one or more of the occurrences lists in block 4. Alcohol tests are to be conducted not later than 2 hours (unless there are serious marine incident.

INDIVIDUAL DIRECTLY INVOLVED IN A SERIOUS MARINE INCIDENT

2. The term "individual Directly Involved in a Serious Marine Incident" means an individual whose order, action, or failure to act is determined to be, or cannot be ruled out as, a causative factor in the events leading to or causing a serious marine incident.

COMPLETION OF THIS FORM

- 3. In accordance with 46 CFR Subpart 4.06 this form shall be filled out as completely and accurately as possible. Please type or print clearly. Fill in all blanks that apply to the kind of accident that has occurred. If a block is not applicable, the abbreviation "NA" should be entered in that space. If the answer is unknown and cannot be obtained before the report has to be submitted (i.e. within 5 days of the accident), the abbreviation "UNK" should be entered in that block.
- 4. If more than 10 individuals are directly involved in the Serious Marine Incident additional CG-2692Bs should be completed.
- 5. Once completed, deliver, email, or fax this form with a corresponding CG-2692 within 5 days of the casualty to the Coast Guard Sector, Marine Safety Unit, or Activity nearest the location of the casualty or, if at sea, nearest the arrival port. https://www.uscg.mil/Units/Organization
- 6. Upon receipt of a report of chemical test results. The marine employer shall submit a copy of the test results for each person listed in block 5a of this form to the Coast Guard Officer in Charge, Marine Inspection where the CG-2692B was submitted in accordance with 46 CFR §4.06-60(d).
- 7. Block 6d Alcohol Test Result: When the alcohol test results are available, the alcohol concentration shall be expressed numerically in percent by weight (i.e. 0.04, 0.10, etc.); otherwise indicate positive for alcohol being present or negative for no alcohol present.

NOTICE: The information collected on this form is routinely available for public inspection. It is needed by the Coast Guard to carry out its responsibility to investigate marine casualties, to identify hazardous conditions or situations and to conduct statistical analysis. The information is used to determine whether new or revised safety initiatives are necessary for the protection of life or property in the marine environment.