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MEMO:

DATE: December 14, 2023

- TO: Nancy-Ellen Zusman, CTA Chief Safety and Security Officer
- FROM: James Harper, Chief Engineer Infrastructure
- **RE:** November 16, 2023 Collision on Yellow Line Between Revenue Train and Snow Fighter CTA Infrastructure Division Report on Early Post-Accident Investigation Tasks and Data Gathering

Event Synopsis and Corresponding Infrastructure

On Thursday, November 16, 2023, at approximately 10:30 hours in the morning, Chicago Transit Authority (CTA) Yellow Line Run #593 was operating as a two-car consist (lead car 5600) on a normal round trip towards Howard Terminal. As the train approached Howard Terminal in the southbound direction, it struck maintenance vehicle S500, a non-revenue, diesel powered locomotive used to remove snow from the tracks (also known as a Snow Fighter). There were six CTA employees onboard the Snow Fighter and 31 passengers and crew onboard the revenue train.

This memo provides a summary of the efforts by CTA Infrastructure Division to collect data around the time of the incident, and the results of early post-accident testing and analysis of the various infrastructure systems that were potentially involved in the accident. This report will be supplemented with additional reports that provide details on accident re-enactment efforts and a system-wide assessment of CTA's signal system design.

Accident Scene

Figure 1 shows an aerial view of the accident scene east of where southbound Yellow Line trains approaching Howard Terminal Facility pass under a CTA bridge supporting a turnback "loop" track. The Yellow Line passenger train collided with the southbound Snow Fighter as it was stopped and waiting for signal clearance into the terminal facility. Following the collision, the two vehicles "rebounded" away from each other and came to a stop approximately 20 feet apart. The passenger train sustained extensive damage to the front of the train, indicating a relatively high speed event had occurred. The Snow Fighter had less damage due to the presence of the broom attachment that absorbed much of the collision energy.



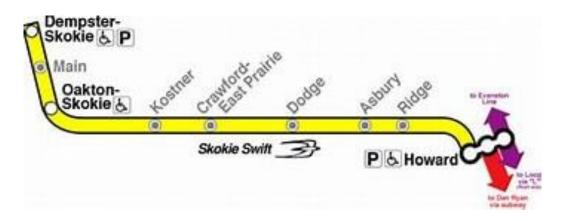
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Figure #1: Photo of Accident Scene

Yellow Line Route

Figure 2 below shows a map of the Yellow Line route. The Yellow Line provides a connection between the Howard Intermodal Terminal and two stops in the Village of Skokie (Oakton and Dempster). Under typical operations, two two-car trains operate on the Yellow Line with headways of around 10-12 minutes. Trains operate on a dedicated, two-track right-of-way leaving/approaching Howard Terminal in a depression, then rising to run on an elevated embankment structure, and finally running at-grade through a number of grade crossings while serving two stations. The trains reach speeds of 55 mph on a significant portion of the route. Note that CTA's heavy overhaul facility (Skokie Shops) is located approximately at the East Prairie crossing shown in the map in Figure 2 on the next page. Trains entering and leaving the Skokie Shops use the Yellow Line to connect to the rest of the CTA rail network at the Hamlin Crossover.





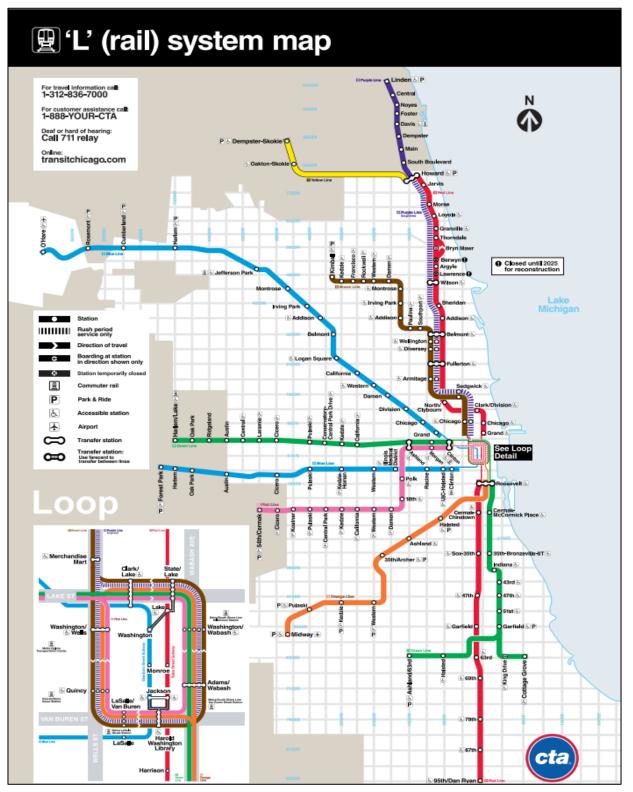
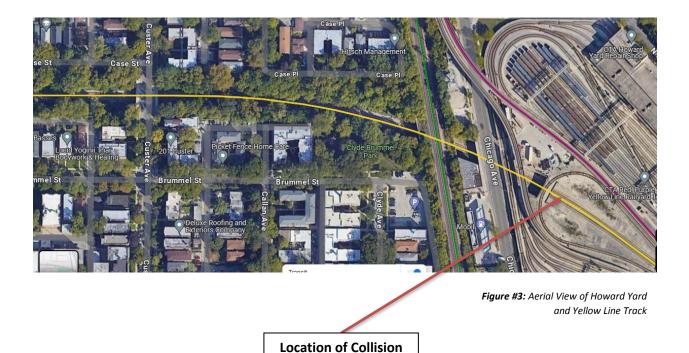


Figure #2: Route and System Map of CTA Yellow Line



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Figure 3 provides an aerial view of the Yellow Line tracks in the vicinity of the accident scene. Southbound trains returning to Howard Terminal (from left to right) operate on a downhill grade in a cut that runs under a number of bridges, including the Custer Avenue Bridge, a commuter rail (Metra) bridge, the Chicago Avenue Bridge, and finally a CTA bridge that allows a turnback loop track to pass over the Yellow Line tracks.



Track

Figures 4, 5, and 6 on the following pages provide track plans for the Yellow Line tracks approaching Howard Terminal, and the tracks associated with the Howard Terminal and Yard. Note that southbound Yellow Line trains approaching Howard encounter an approximately 1400 foot radius curve that limits the line-of-sight for train operators (see Figure 7A for a photo showing the perspective of a train operator when approaching the curve). Figure 7 provides the track profile for the Yellow Line tracks approaching Howard Terminal. Note that southbound Yellow Line trains operate on a negative grade of -1.9% as they approach Howard, providing for a more challenging braking environment, coupled with the horizontal curve at the bottom of the grade.



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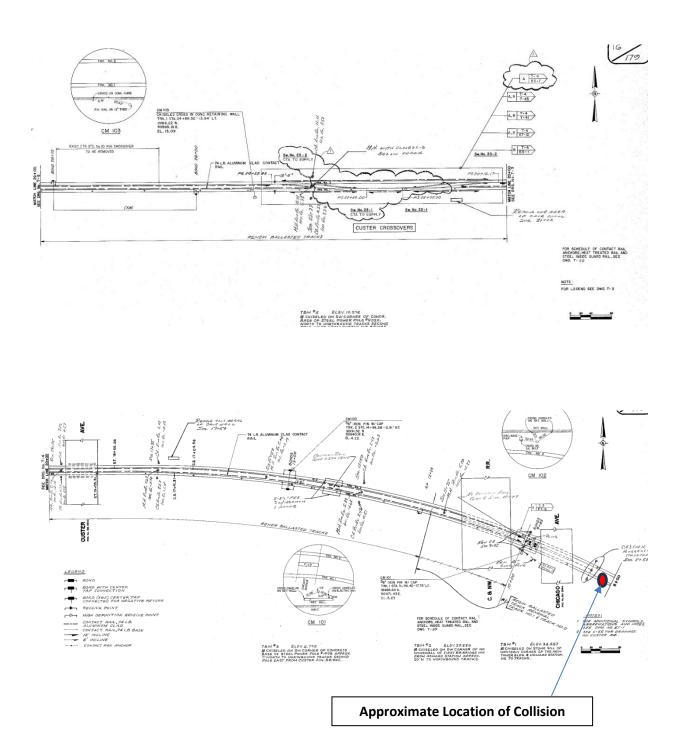


Figure #4: Track Plan of Yellow Line Approaching Howard Terminal



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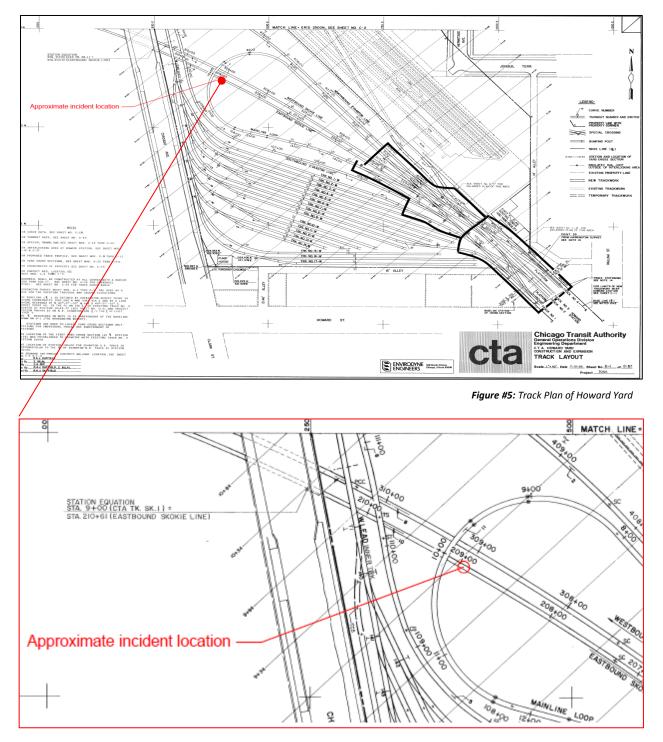


Figure #6: Expanded Track Plan of Howard Yard and Accident Site



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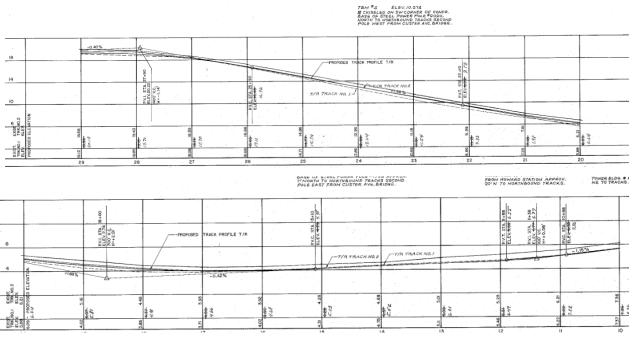


Figure #7: Profile of Track Approaching Accident Site



Figure #7A: Operator View of Downhill Grade Approaching Custer Avenue Bridge

Note horizontal curve at bottom of grade



Signal System

Figure 8 provides a single line description of the Yellow Line train control system approaching Howard Terminal. The Yellow Line Train Control system was installed in the 1970's and provides for train detection and cab signaling using a series of sequential audio frequency track circuits varying in length from 300 feet to 2,000 feet. The cab signaling system controls the speed of trains to ensure that they maintain safe spacing with trains ahead, and when approaching civil constraints such as horizontal curves and interlockings. All wayside train control electronics and relays for this section of track are located in the Asbury Signal House located directly under the Asbury Street Bridge.

Onboard the trains, a carborne automatic train control (ATC) package deciphers the speed commands transmitted through the rails and interfaces with train propulsion and braking systems, as well as with a display unit in the cab that provides the operator with information on allowable speed and actual speed. Audible and visual alerts are also present in the cab that indicate to the operator that the train speed must be adjusted. Failure to adhere to these alerts in a timely fashion results in an automatic command to brake the train to a full stop through the application of a maximum service brake (full dynamic braking with the addition of intermittent friction braking).

In the conditions leading up to the accident, the Snow Fighter was stopped in the SK1-9T track circuit waiting for a permissive signal to enter the Howard Interlocking complex. The Incident train was travelling southbound and was approaching the Howard Terminal at a speed of 55 mph. When the SK1-9T track circuit is occupied, the signal system is designed to support an approaching train travelling at 55 mph through the SK1-44T track circuit. Upon reaching the SK1-26T track circuit, the cab signal system removes a permissive cab signal speed, thus telling the train to begin braking to zero speed in order to stop before reaching the western limits of the SK1-9T track circuit (note that at the time of the accident, the Snow Fighter was located 250 feet beyond the western limit of the SK1-9T track circuit).

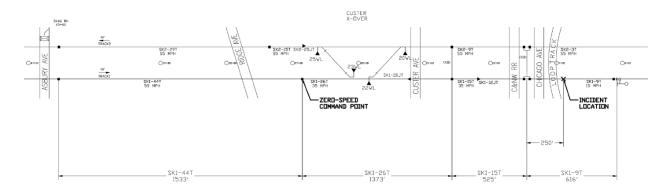


Figure #8: Train Control Blocks in Approach to Accident Location

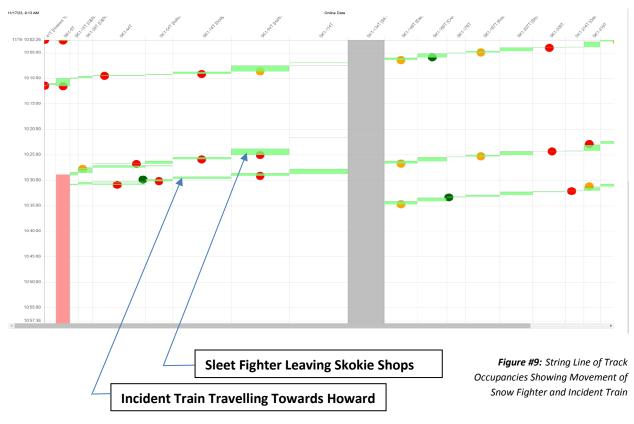
Engineering Analysis

Post Accident Investigation of the Signal System

One of the early tasks that was undertaken following the accident was to determine if the signal system had detected the presence of the Snow Fighter as it moved from its location at the Skokie Shops Heavy Overhaul Facility to the Howard Terminal. The CTA has a software system called QuicTrac that is housed at its Control Center to monitor the movement of all trains on the system. This system uses the train control track circuit occupancy information housed at over 100 wayside signal houses, and sends the occupancy information to the Control Center where it is assembled into a train tracking display tool that includes information on train headways.

The QuicTrac information is also shared with another tool called Track Circuit Monitoring (TCM) that presents train movements in a "string line" format and automatically analyzes the movements to detect failures in the signal system (e.g. failure to detect train occupancy).

Figure 9 provides a copy of the TCM tool display for the southbound Yellow Line tracks at the time of the incident. Trains movements are tracked for the southbound direction of travel from right to left in the Figure 9 diagram (e.g., trains leaving the Dempster Terminal Station are shown on the right side of the diagram, and trains approaching Howard Terminal are shown on the left side of the diagram). As the train progresses southbound, it enters and leaves successive track circuits that are labeled at the top of the display. Time is shown on the vertical ("Y") axis and progresses from top to bottom.



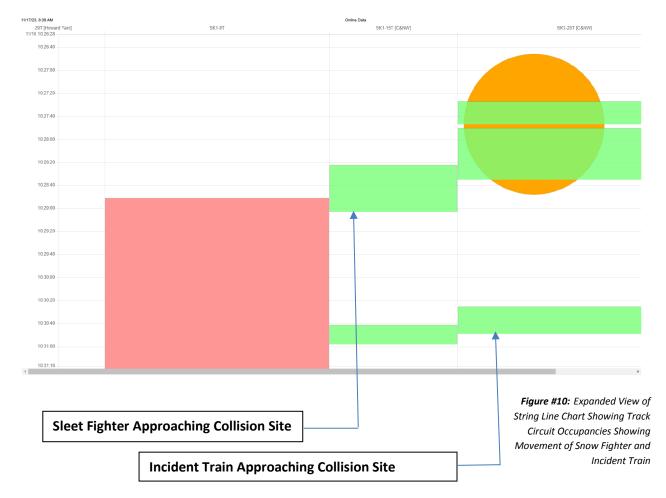


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Figure 9 confirms that the signal system detected the presence of the Snow Fighter train as it departed the Skokie Shops Heavy Overhaul Facility and began travelling east (southbound) towards Howard Terminal. Note that when the Snow Fighter arrived in the SK1-9T track circuit it stopped and waited for a permissive signal to enter the terminal facility. While it waited in this track circuit, it resulted in the display of a red vertical bar which is a feature of the TCM tool that highlights a track circuit occupancy that does not get released (due to the accident in this case). The red vertical bar in this case confirms that the Snow Fighter's presence in the SK1-9T track circuit was constantly detected by the train control system from the time it entered this track circuit.

Figure 9 also confirms that the incident train was properly detected by the signal system through its entire southbound trip from Dempster Terminal to the SK1-9T track circuit. Note that the incident train was following the Snow Fighter as it left the Skokie Shop facility, and over time, the headway appears to have shortened as the incident train was able to move faster than the Snow Fighter.

Figure 10 provides an expanded view of the TCM tool in the section of track involved in the collision. Note that the Snow Fighter train was occupying the SK1-9T track circuit for approximately two minutes prior to the arrival of the incident train into the same track circuit.





Data from the Incident Train

Figure 11 below provides a display of the incident train's Event Recorder Unit (ERU). Each of the 5000 Series cars has an onboard ERU that collects and stores data on a wide range of vehicle parameters. A laptop is used to download the data and software is used to present the data in a manner that summarizes the data critical to the event. Figure 11 has been annotated to provide additional information (shown in red text) that further explains the data presented and critical changes in the data. Time is shown progressing from left to right on the bottom ("X") axis of the display. Some key data to observe in Figure 11 is the ATC allowable speed, the actual speed of both cars (i.e., the "A" and the "B" car), the position of the Master Controller, and the presence of the various types of braking available (e.g., dynamic braking, friction braking and track brake).

Analysis of the data confirms that the train received an "ATC Allowable Speed" of 0 (zero) mph as it entered the SK1-26T track circuit travelling at 55 mph (as expected per the Signal System design). Within 1-2 seconds, the train operator responded to the zero speed command by transitioning the Master Controller from propulsion to the "Full-Service Brake" position (as noted in the top line of Figure 11). Almost immediately, the train began to experience slip slide conditions (as shown in the solid bars at the bottom of Figure 11) and the dynamic braking began to "oscillate" as required by the "slip/slide" feature equipped on the 5000 Series cars.

At this point, the train was travelling on the downhill grade and the train operator realized that the train was not slowing down fast enough. This understanding by the train operator was complicated by the fact that the train speed sensors were transitioning quickly to zero speed (due to the wheels locking up) while the train was moving much faster. At this point, the train operator moved the Master Controller to the "Emergency Brake" position (as shown in the top line of Figure 11) which resulted in the train applying both its friction brakes and its track brakes.

Note that at the same time the train operator applies the "Emergency Brakes", the dynamic braking system appears to "shut off" as speed sensors indicate a zero speed. After all axles came to low speeds, dynamic braking effort fades due to slow motor rotational speeds. The AC propulsion system has no means to regain the rotational electric fields needed for dynamic braking without previous motoring effort. As the dynamic braking system shuts down, the wheels on the "B" car begin to release, and the associated speed sensor on the "B" car begins to indicate speed again, up to approximately 38 mph. Note that the friction brake on the "A" car remained applied throughout the time the train was in "Emergency Brake" mode, however, the "B" car released some of the friction brake pressure for a period and then re-applied full pressure again. Also note that at some point, the train operator applied the "Emergency Brake Mushroom" button as evidenced by the solid bar in the second line of Figure 11 (this use of the mushroom was redundant with the use of the Emergency Brake position on the Master Controller, therefore had no additional effect on the train's braking at this point as the track brake was already applied).



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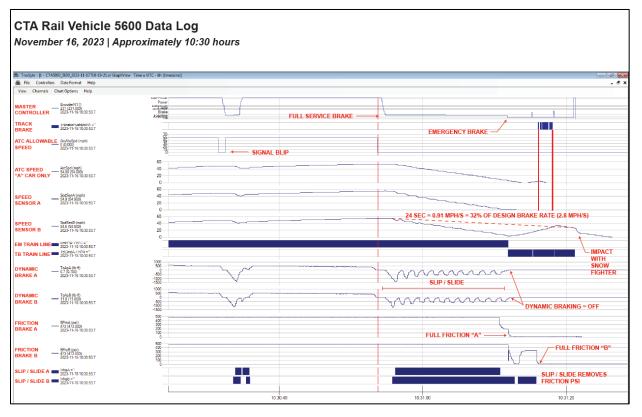


Figure #11: Event Recorder Data from Incident Train (see <u>Appendix I</u> for Larger Scale Version)

Figures 12a-12e provide data from the incident train's onboard ATC data logger. Similar to the ERU, the data logger monitors and stores critical data associated with onboard ATC functions. For this data, functions are described along the top ("X") axis and time progresses from bottom to top of each page along the bottom ("Y") axis (note that the time of the ATC data logger may not precisely coincide with the time of the ERU). Critical points in the incident train's movements are highlighted in red in the left margin, including "Loss of Cab", "Stop Code" and application of "Full Service Brake", all of which were recorded early in the train's recognition that the wayside cab signaling system had directed a "zero speed command".

Figure 12a highlights when CTA Infrastructure Division believes a "true" zero speed was reached, upon the point of collision at time 10:30:45. One column to focus on is the "DETENTS" column which is associated with the position of the Master Controller, with positions including FS = Full Service Braking and EM = Emergency Braking. One additional note is that the ATC data logger speed is associated with the "B" car only, and as shown on the ERU data, this speed sensor appears to somewhat comply with the ERU data that indicates the "B" car wheels began to rotate again shortly before impact. The last data point to note is the "Longitude g" (acceleration) data point at 10:30:49, when an aggressive -1.5 g followed by a +1.9 g force were measured, which is believed to be the time of impact.



CTA Post-Accident Engineering Report

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Figure #12a: Vehicle Onboard ATC Event Log (Page 1 of 5)



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<pre># 160004 2023-11-16 10:30:43 h B B C DS # 160003 2023-11-16 10:30:43</pre>						STOP	-		R			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
a 166663 2623-11-16 16:36:43 h B B C OS a 166662 2623-11-16 16:36:43						STOP			8			L Howard		YEL Howard	0.0 0.0 0.0 0.0	0.0 OX 00	
<pre># 160002 2023-11-16 10:30:43 h B B C OS # 160001 2023-11-16 10:30:43</pre>									R			L Howard	No Station ID		0.0 0.0 0.0 0.0	0.0 0X 00	
* 160001 2023-11-16 10:30:43 h B B C OS * 160000 2022-11-16 10:30:43						STOP			8			L Howard	No Station ID	YEL Howard			
A B B C OS									8				No Station ID		0.0 0.0		
# 159999 2023-11-16 10:30:43 h B B C OS						STOP			-			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	•
# 159998 2023-11-16 10:30:42 h B B C						STOP			8			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
<pre># 159997 2022-11-16 10:20:42 h B C # 159996 2022-11-16 10:20:41</pre>						STOP			8			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 DX 00	
h BCOS						STOP			_			I Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159995 2023-11-16 10:30:41 h B C OS						STOP			8			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159994 2023-11-16 10:30:41 h B C OS						STOP			0			EL Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159993 2023-11-16 10:30:41 h C DS						STOP			8			L Howard	No Station ID	YEL Howard		0.0 OX 00	
# 159992 2023-11-16 10:30:41 h C OS						STOP			0			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159991 2023-11-16 10:30:40 h C OS						STOP			8			EL Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159990 2023-11-16 10:30:40 b C DS						STOP			0			EL Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
# 159989 2023-11-16 10:30:40						STOP	-		0			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	on one
# 159988 2020-11-16 10:30:40						STOP			0			L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	-
* 159987 2023-11-16 10:30:40 b C 0S						STOP	Υ		8		19850 YE	EL Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	OX Ger
# 159986 2022-11-16 10:20:40						STOP	-		8			I Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	
h C OS # 159985 2023-11-16 10:30:40 h C OS	6 FS D					STOP	Y Y		0		19850 YE	L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	OX Ømp
# 159984 2023-11-16 10:30:40 h C OS	7 FS D					STOP	Y Y		8		19850 YE	L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	OX Omr
# 159983 2023-11-16 10:30:40	8 FS D					STOP	Y Y		8		19850 YE	L Howard	No Station ID	YEL Howard	0.0 0.0	0.0 OX 00	OX Gmp
h C 05																	

Figure #12b: Vehicle Onboard ATC Event Log (Page 2 of 5)



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R M B BQQD	E D	S N O	D	D	E	E	S R	R E	P	R	E	N	N	D	C C	С	A E	Α	D
159982 2023-11-16	(0.00.00 0)	FS D					TOP Y		Ø		1082E V	EL Howard	No Station ID	YEL Howard			OX 00	2.02	Bap
h C OS • 159981 2023-11-16		FS D					TOPY		R			EL Howard	No Station ID	YEL Howard	8.0 9.0				Bay
 199981 2023-11-16 b C DS ± 159980 2022-11-16 		FSD					TOP Y		0 0			EL Howard	No Station ID	YEL Howard	0.0 0.0		0 0 00		0mp Bmp
h C DS # 159979 2023-11-16							TOP Y		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 86		6mp
h COS	10:30:38 10 1						TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		6mp
b C.05	10:30:38 12 1						TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		0 0 00		Bap
h C 08 • 159976 2020-11-16						_	TOPY		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 88		enp Bar
h COS	10:30:38 13 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bar
 159975 2023-11-16 C DS 159974 2023-11-16 							TOP Y		R			EL Howard	No Station ID	YEL Howard	0.0 0.0 0 0 0 0		08 88		Bag
h C OS a 159973 2023-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0 0 0 0 0	0.0	OX 88		Bar
19973 2023-11-16 19972 2023-11-16 19972 2023-11-16							TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		0 8 88		0mp Bmp
h COS	10:30:37 15 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 88		6mp
+ 159970 2023-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		0mp
h C OS = 159969 2022-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		0 0		0mp
h COS	10:30:37 17 1						TOP T		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		08 08		Omp Omp
 155568 2823-11-16 h C OS 159967 2823-11-16 							TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bar
h C DS = 159966 2023-11-16							TOPY		R			EL Howard	No Station ID	YEL Howard	0.0 0.0		0 0 00		0mp
h COS	10:30:35 20 1						TOPY		R			EL Howard	No Station ID	YEL Howard	0.0 0.0 0 0 0 0				Bmp
h COS	10:20:25 21 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	8.8 8.6		0 8 88		Bap
h C OS = 159962 2022-11-16							TOP Y					EL Howard	No Station ID	YEL Howard	8.8 8.6		08.00		Bap
h COS	10:30:34 22 1						TOPY		я			FL Howard	No Station ID	YEL Howard			01 02		Bar
h C OS • 159961 2020-11-16							TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 00		Bmp
h COS	10:20:24 24 1						TOP Y		Я			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 86		Bap
h C OS + 159959 2823-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bar
h C OS ± 159958 2022-11-16	10:20:22 25 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	8.0 8.0		0 0 00		Bang
h COS	10:20:23 25 1						TOP Y		0			EL Howard	No Station ID	YEL Howard			OX 88		Bmp
h COS	10:30:33 26 1						TOP Y		0			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bar
h C DS = 159955 2022-11-16							TOP Y					EL Howard	No Station ID	YEL Howard	8.8 8.6		08.90		Bmp
h COS	10:30:33 27 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 88		Bar
h COS	10:30:33 28 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 00		Bmp
h C OS # 159952 2023-11-16							TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		08 88		8mp
h C OS • 159951 2020-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	8.8 8.8		OX 88		Bar
h COS	10:30:32 20 1						TOP Y		8			EL Howard	No Station ID	YEL Howard	8.0 8.0		01 02		Banp
h C 0S	10:20:21 30 1						TOP Y		R			EL Howard	No Station ID	YEL Howard			OX 88		Bag
h COS	10:30:31 31 3						TOP Y		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 00		Bar
h C OS # 159947 2023-11-16							TOP Y		0			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bap
h C OS • 159946 2020-11-16							TOPY		8			EL Howard	No Station ID	YEL Howard	0.0 0.0		OX 88		Bar
h C OS • 159945 2020-11-16							TOP Y		8			EL Howard	No Station ID	YEL Howard			OX 00		-
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Figure #12c: Vehicle Onboard ATC Event Log (Page 3 of 5)



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R	FEEE	E	D S N	O D	D	E	E	5	RR	E	р	R	Ε	N	N	D	C C	С	A E	A D
	BQQD																			
h	C 09 # 159944	2023-11-16 10:30:	30 34 FS D					9 TOP	Y		0		18725	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OX Ømp
	 159943 	2020-11-16 10:00:						STOP	Y		0		18725	YEL Howard	No Station 1	D YEL Howard	0.0 0.0	0.0	OX 00	OX Gmr
. h		2922-11-16 10:20:	30 36 FS D					STOP	Y		0		18725	YEL Howard	No Station	D YEL Howard	0.0 0.1	8.8	OX 80	OX Gmp
h		2020-11-16 10:00:	29 36 FS D					STOP	Y		8		19799	YEL Howard	No Station 1	D YEL Howard	0.0 0.0	8.8	OX 88	OX Omr
h		2023-11-16 10:30:	29 37 FS D					STOP	Y		0		18700	YEL Howard	No Station 1	D YEL Howard	0.0 0.0	0.0	OX 00	0X 0=r
h	c OS a 159939	2023-11-16 10:00:	29 38 FS D					STOP	Y		0		18790	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OX Ømp
h	C OS 159938	2020-11-16 10:00:	29 38 FS D					STOP	Y		8		19799	YEL Howard	No Station 1	D YEL Howard	0.0 0.1	0.0	OX 00	OX Omr
h		2922-11-16 18:28:	29 28 FS D					STOP	Y		0		18799	YEL Howard	No Station	D YEL Howard	0.0 0.0	8.8	OX 80	OX Gmp
	C 09 159936	2023-11-16 10:30:	29 39 FS D					STOP	Y		0		18700	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OK Omp
h	C 08 159935	2020-11-16 10:00:	28 39 FS D					STOP	Y		0		18625	YEL Howard	No Station 1	D YEL Howard	0.0 0.1	0.0	OX 88	OX Omr
h .	C 03	2023-11-16 10:30:						STOP	Y		0 0	8. 101	18625	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OK Omp
h.,	C 09 159933	2023-11-16 10:30:	28 48 FS D	275.8ms	36.2ms	218CPM	19%	STOP	Y		0 0	3. 101	18625	YEL Howard	No Station	D YEL Howard	0.0 0.0	8.8	OX 80	
h ,	C 0S 159932	2922-11-16 10:20:	28 41 FS D	275.8mc	26.2mm	218CPM	12×	STOP	Y		0 0	1. 101	18625	YEL Howard	No Station	D YEL Howard	0.0 0.0	8.8	OX 80	OX Gmp
h	C 0S # 159931	2023-11-16 10:30:	27 41 FS D	275.8ms	36.2es	218CPM	197	STOP	Y		0 0	8. 101	18575	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OK Omp
h	C 05 • 159930	2023-11-16 10:30:	27 42 FS D	275.8ms	36.2mm	218CPM	10%	STOP	Y		0 0	9. 101	18575	YEL Howard	No Station 1		0.0 0.0	0.0	OX 00	OX Omr
h	C 05 159929	2922-11-16 10:20:		87.5ms	67.5es	686CPM	77×	STOP	Y		0 0	8. 101	18575	YEL Howard	No Station	D YEL Howard	6.8 8.1		OX 80	-
h	C 09 159928	2020-11-16 10:00::	27 43 FS D	87.5ms	67.5ms	GBECPM	n_{i}	STOP	Y		8 8	3. 101	18575	YEL Howard	No Station 1	D YEL Howard	0.0 0.1	8.8	OX 80	
h	C 05 159927	2023-11-16 10:30:	27 44 FS D					STOP	Y		8		18575	YEL Howard			0.0 0.1	0.0	OX 00	
h	C OS 159926	2023-11-16 10:30:	27 45 FS D					STOP	Y		0		18575	YEL Howard	No Station	D YEL Howard	0.0 0.1	0.0	OX 00	OX Omp
հ	C 09 159925	2023-11-16 10:30:	26 45 FS D					STOP	Y		0		18588	YEL Howard	I No Station I		0.0 0.0	0.0	OX 00	
h	C 05 159924	2922-11-16 18:28:	26 46 FS D					STOP			0			YEL HOWAT			0.0 0.1		OX 80	-
h	C OS 159923	2023-11-16 10:30:	26 47 FS D					STOP			0			YEL Howard			0.0 0.1	0.0	OX 00	-
h	C 05 159922	2020-11-16 10:00:	26 48 FS D	OX	ОХ	OX	OX	STOP	Y		0	OX	18508	YEL Howard	No Station	D YEL Howard	0.0 0.0	0.0	OX 88	OX Gar
h	C 05 159921	2022-11-16 10:20:	26 49 FS D	OX	OX	OX	OX	STOP			0	OX		YEL Howard			0.0 0.0		OX 80	-
h	C 09	2023-11-16 10:30:		OX	OX	OX	OX	STOP	Y		0	OX		YEL Howard			0.0 0.0	8.8	OX 80	
h	C 05 159919	2023-11-16 10:30:		OX	OX	OX	ОХ	STOP				OX		YEL Howard			0.0 0.1	0.0	OX 00	OX Gar
h	C 0S = 159918	2023-11-16 10:30:		OK	OK	OX	OX	STOP	-		-	0X		YEL Howard			0.0 0.1		OX 80	-
ь	C 03	2023-11-16 10:00:		OK.	OX	OK.	ОХ	STOP	Y		8			YEL Howard					OX 80	-
h	C 05	2922-11-16 10:20:	25 51 FS D	OX	OX	OX	OX	STOP	-			OX		YEL Howard			0.0 0.1		OX 80	
h	C 03			OK	OX	OX	08	STOP			-	OX .		YEL Howard			8.8.9.1		OX 00	
h	0 05	2023-11-16 10:30:	24 52 59 5	OX	OX	OX	0 X	STOP				OX OX		YEL Howard			0.0 0.0		OX 88	
ь	0.05	2923-11-16 10:30:		0.0	OX	01	0.0	STOP	-		-	n¥.		YEL Howard						OX Gmp
հ	C 09	2023-11-16 10:30:		OX	OX	ax	OX	STOP			-	OX OX		YEL Howard			0.0 0.1			OX Omp
h	0 09	2023-11-16 10:30:	24 54 59 5	16.2ms	16.2mm	3692CPM	-				-	-		YEL Howard					OX 80	
h	C 0S 159910			16.2ms	16.2ms	3692CPM								YEL Howard			0.0 0.0 0 0 0 1		OX 80	
h	C 05	2023-11-16 10:30:		16.2ms	16.2ms	3692CPM								YEL Howard			0.0 0.1			
1.	0.09	2023-11-16 10:00:				3692CPM								YEL Howard YEL Howard			0.0 0.1			
h	C 05	2023-11-10 10.30.	24 54 15 1	10.285	10.285	3692020	100%	STOP	•			a. 078	10215	ILL HOWATS	no station .	IL HOWARD	0.0 8.1		UA 00	ow out

Figure #12d: Vehicle Onboard ATC Event Log (Page 4 of 5)



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F5 BRAKE 0 0 0 0 </th <th></th> <th>BQQD</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>		BQQD									
F5 BRAKE 0 0 0 0 </td <td></td>											
FS BRAKE		# 159907 2023-11-16 b 0.09	5 10:90:20 54 FS D		STOP 1	(8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 OK 00 OX 0mp
FS BRAKE E 10000 202-11-16 10 10:22 5 4 1.2 5 (100 Y) 6 1027 YL Baard 65 (100 Y) 100 B 0.0 B<		# 159986 2823-11-16	5 10:00:20 54 FS D		STOP 1	r	8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 OX 00 OX 0mr
1 (\$	FS BRAKE	± 159905 2022-11-16	S 10:20:22 54 FS D		STOP 1	6	8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 OX 00 OX 0mp
1 05900202-11-16202-2520		± 159984 2022-11-16	S 10:20:22 54 D		STOP 1	(8	18275 YEL Howard	No Station ID	YEL Howard	8.8 8.8 8.8 OX 88 OX 8 ₈₇
1 (592)202-11-45 (8)205 (8)3000<		4 159903 2023-11-16	5 10:00:20 54 mB D		STOP	r	8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 OX 00 OX 0 _{mp}
STOP CODE (Spin)		159902 2023-11-16	5 10:00:20 54 mB D		STOP 1	e i i i i i i i i i i i i i i i i i i i	8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 0X 00 0X 0mr
159/00 222-11-16 169/22 54 0 0 00007 0 16075771 Named No 0.0 <td></td> <td>± 159901 2022-11-16</td> <td>S 18:28:22 54 mP D</td> <td></td> <td>STOP 1</td> <td>e</td> <td>8</td> <td>18275 YEL Howard</td> <td>No Station ID</td> <td>YEL Howard</td> <td>0.0 0.0 0.0 OX 00 OX 0mp</td>		± 159901 2022-11-16	S 18:28:22 54 mP D		STOP 1	e	8	18275 YEL Howard	No Station ID	YEL Howard	0.0 0.0 0.0 OX 00 OX 0mp
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Figure #12e: Vehicle Onboard ATC Event Log (Page 5 of 5)



Post Accident Testing and Analysis

As a follow-up to the accident, CTA Infrastructure immediately began to perform a series of measurements, tests, and analysis. One of the first tasks was to measure the location of the collision site with respect to the signal system block boundaries. As shown in Figure 8 above, the accident location was estimated to be 250 feet beyond the western boundary of the SK1-9T track circuit. Additional measurements were made to verify the length of each track circuit in approach to the accident site, including for track circuits SK1-44T, SK1-26T, and SK1-15T. Note that field measurements actually revealed that the track circuit lengths in approach to SK1-9T were slightly longer than what was shown on the drawings.

Without having the benefit of the ERU or Carborne ATC data directly following the incident (these downloads were being postponed pending arrival of the National Transportation Safety Board [NTSB]), CTA Infrastructure attempted to verify that the signal system was functioning as designed. Early testing included simulating the movement of approaching trains using track relays inside the Asbury Signal House. All of these simulated tests confirmed proper operation of the house equipment. Subsequent testing focused on verifying that the speed commands being transmitted to the trains through the rails were in accordance with the design, including attempts to look for possible "rogue" signals emanating from the other track or further away on the same track. Using a "Track Sniffer" device, CTA Infrastructure was able to confirm that the speed commands transmitted through the rails were in accordance with the design.

At this point, the NTSB had arrived, and data logs were available, as were highlights of the interview with the train operator. The investigation focus began to move away from a signal system functional failure, and towards the actual braking distance allowed by the signal system design, and the assumptions made in the design regarding rail adhesion and brake rates. It became apparent that the assumptions used in the braking distance design from the 1970's did not match CTA's current braking distance criteria, in particular given the downhill grade of 1.9% and the degraded brake rate value of 1.9 mph/s.

Figure 13 below provides a sample of the braking distance calculations associated with "ideal" conditions (1,689 feet) versus the conservative braking distance associated with CTA's current braking criteria (2,625 feet). Calculations revealed that the maximum safe braking distance that was present would only have resulted in a "safe stop" (stopping prior to the SK1-9T track circuit) had the braking conditions been near perfect (e.g. no need for safety factor and a non-degraded brake rate of 2.8 mph/s). As shown in Figure 11 above, the incident train's brake rate was interpolated from the vehicle data recorder to be in the range of 0.91 mph/s (even lower than the conservative assumptions in CTA's design criteria).



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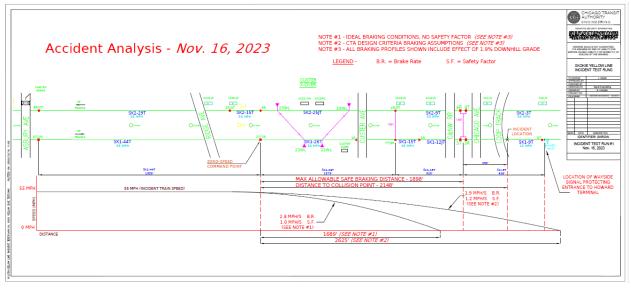


Figure #13: Calculations of Braking Distances Approaching Collision Site

The investigation team then began looking for reasons why the brake rate may not have met current CTA design criteria, and focused on the condition of the top of the rail on the downhill grade. Figure 14 provides an example of what appeared to be a residue of leaf materials that had been "crushed" into the rail head over the previous days leading up to the accident. Leaf residue is a known contributor to loss of adhesion between train wheels and the rails.



Figure #14: Leaf Residue on Top of Rail Approaching Accident Location



Conclusions and Recommendations from the Initial Investigation

The following conclusions were derived by CTA Infrastructure from the initial investigation into the accident:

- The incident train impacted the Snow Fighter vehicle at a reasonably high speed that resulted in severe damage to the lead car of the train.
- The train operator's ability to stop the train was affected by the presence of the downhill grade in approach to the accident site.
- The train operator's ability to visualize the hazard ahead posed by the presence of the Snow Fighter was impeded by the horizontal curve in the track just prior to the collision point. Similarly, the operator's line of sight was impeded by the presence of multiple bridges and associated embankments for vehicular and railroad traffic spanning over the Yellow Line tracks approaching the collision point.
- The signal system was confirmed (via a variety of post-accident tests) to be functioning as designed. This includes confirmation that the Snow Fighter vehicle was recognized by the signal system throughout its trip from the Skokie Shops facility to the scene of the collision (i.e. the Snow Fighter was continuously shunting track circuits as expected during its trip).
- The operator appeared to react to the signal system's zero speed command in a timely fashion and to put the train into Full Service Brake as they descended the downhill grade.
- At some point, the operator realized that the Full Service Brakes (i.e. Dynamic) were not performing as needed, and placed the Master Controller into Emergency Brake, triggering the immediate application of the friction brakes and the track brakes.
- The train's braking systems began to react to "false" zero speed conditions from the speed sensors that resulted from the wheels appearing to be in a slipping or sliding condition (speed sensors monitor axle rotation). The unexpected reaction of the brake systems to these "false" zero speed conditions needs further investigation.
- The signal system design for the track circuits in approach to the collision site does not provide for a safe braking distance in accordance with CTA current safe braking criteria (there was insufficient safe braking distances provided per current criteria).
- The rails on the downhill grade in approach to the collision site appear to have been compromised in their ability to provide a reasonable level of adhesion with the train wheels due to a contaminate believed to be associated with crushed leaves.



Recommendations for Further Investigation

The following recommendations for further investigation were derived by CTA Infrastructure from the initial investigation into the accident:

- Once the accident vehicles have been removed from the site, accident re-enactment tests should be performed with a live two-car train from the same fleet (5000 Series). Re-enactment tests should attempt to replicate the conditions leading up to the collision, including simulated occupancy of the SK1-9T track circuit, replication of operator reactions, and replication of the environmental conditions present at the time of the accident. Data logs from the onboard recorders and wayside recorders should be captured. Attempts should then be made to clean the top of rails of the contaminates and re-run the re-enactment tests.
- Further review of the logs taken at the time of the accident should be performed to better understand how the train was reacting to braking commands/conditions leading up to the collision. In particular, a review of the slip/slide logic present on the 5000 Series cars should be performed, and how this logic ultimately affects the train's braking system logic and performance.
- A review of all signal system installations on the CTA system should be performed to verify braking distances meet CTA's current braking criteria. Any installations that are found to have insufficient braking distances should be mitigated by implementing temporary slow zones until such time modifications can be made to bring the braking distances into compliance with current criteria.



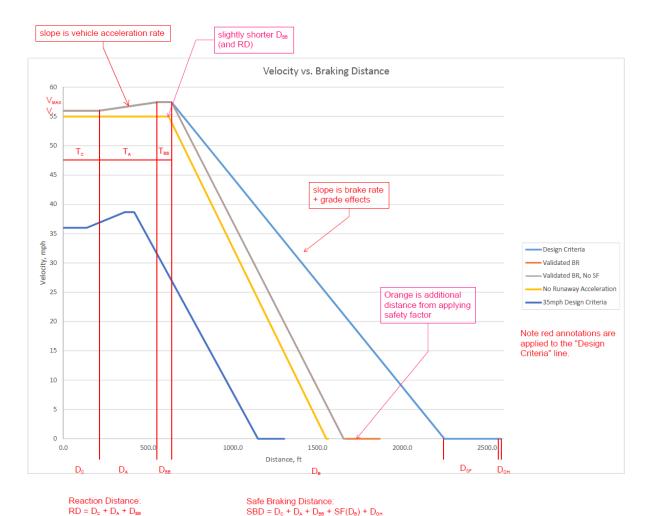
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Appendix I: Larger Scale View of ERU Log Data Shown in Figure 11



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Appendix II: Braking Profiles for Various Braking Criteria Assumptions





567 West Lake Street Chicago, Illinois 60661-1498 TEL 312 664-7200 www.transitchicago.com

MEMO:

DATE:	December 15, 2023
TO:	Nancy Ellen Zusman, CTA Chief Safety and Security Officer
FROM:	James Harper, Chief Engineer Infrastructure

RE: November 16, 2023, Collision on Yellow Line Between Revenue Train and Snow Fighter Results of Post-Incident Re-Enactment #1 and Mitigation Efforts Using Test Trains

Event Synopsis

On Thursday, November 16, 2023, at approximately 10:30 hours in the morning, Chicago Transit Authority (CTA) Yellow Line Run #593 was operating as a two-car consist (lead car 5600) on a normal round trip towards Howard Terminal. As the train approached Howard Terminal in the southbound direction, it struck maintenance vehicle S500, a non-revenue, diesel powered locomotive used to remove snow from the tracks (also known as a Snow Fighter). There were six CTA employees onboard the Snow Fighter and 31 passengers and crew onboard the revenue train.

Test Train Re-Enactments

Test Train Re-Enactment #1 (November 20, 2023)

This section provides a report on the efforts by CTA on November 20, 2023, to re-enact the collision conditions using a test train and using simulated occupancy of the section of track that the Snow Fighter was occupying at the time of the incident.

Re-Enactment #1 was performed for the following reasons:

- Attempt to demonstrate the effects of slippery rail on the overall braking capabilities of a two-car 5000 Series train.
- Attempt to demonstrate how the train reacts to a typical approach to Howard when there is no train ahead.
- Attempt to demonstrate how a two-car 5000 Series train reacts to the presence of a train ahead by simulating a vehicle in the section of track that the Snow Fighter was occupying.
- Attempt to understand how the slip/slide system on a two-car 5000 Series train can affect train braking performance.
- Attempt to understand how operators may react to a slip/slide conditions using available braking options, and how these differing reactions may affect overall braking performance.



- Attempt to demonstrate that a proposed slow zone approaching Howard will provide a larger safety buffer when a train or maintenance vehicle is ahead.

The test train (head car 5506) was staged at the Skokie-Dempster Station at approximately 1600 on Monday, November 20, 2023. The re-enactment was supported by staff from CTA Signal Maintenance and CTA Track Maintenance.

In addition, the following CTA participants participated in the review:

- Jim Harper, CTA Chief Engineer
- Carrie Wagener, CTA First Deputy Chief Engineer
- Cody Krezinski, CTA Engineer III Civil / Track
- Brent Frey, CTA Signal Engineer I
- Kevin Carney, CTA Transit System Safety Officer
- Grant Macey, CTA Chief Rail Equipment Engineer
- Chris Hegarty, CTA General Manager, Rail Engineering & Instruction
- Ivan Davis, Senior Manager, Transportation (CTA Test Train Operator)
- CTA Rail Operations Supervisor

The weather at the time of the test was approximately 45 degrees Fahrenheit and overcast. A light rain had just begun when the testing commenced, which the train operator noted to be most problematic from a slippery rail condition.

The signal system track circuits involved in the incident, and in the re-enactment test, are shown in Figure 1 below. The figure includes a note where the zero speed (stop code) command is received when a train occupies the SKI-9T track circuit, which was occupied by the Snow Fighter at the time of the incident. The figure also shows the length of each track circuit involved and the location of the accident relative to the start of the SK1-9T track circuit.

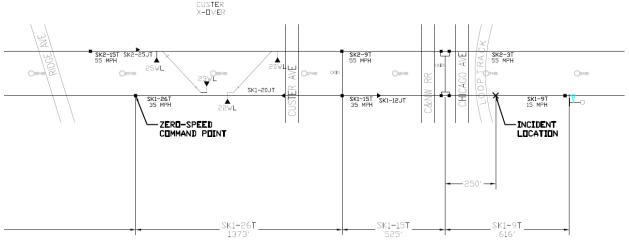
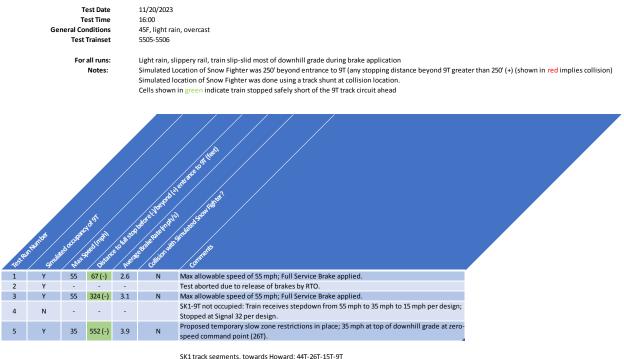


Figure #1: Track Circuits involved in the November 16, 2023 Accident



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All temporary slow zones implemented after the accident were removed at the start of the test to simulate the conditions under which the incident train operated. Later test runs re-instated a slow zone to demonstrate that a proposed mitigation plan of reducing train speeds would result in braking distances that maintain safe train spacing. A total of five test runs were conducted as part of Re-Enactment #1. Figure 2 below provides a tabulation of all five test run results.



"Zero-speed command point (26T bond)" is transition between 44T and 26T, before Custer x-over

> Figure #2: Results of November 20, 2023 Re-Enactment #1 Test Runs

Test Runs #1, #2, #3 and #5 were set up with a simulated train in the section of track that contained the Snow Fighter (SK1-9T track circuit). The simulation was performed by forcing the track circuit into an occupied state using control equipment in the signal bungalow. The expectation for the early test runs was that the train would reach a speed of approximately 55 mph and would react to a zero-speed (stop code) cab command at the start of the SK1-26T track circuit at the top of the downhill grade (as designed). Data from the test train for all test runs was recovered and analyzed as part of this review. ATC data and ERU logs for each run is found in <u>Appendices I – V</u>.



Test Runs #1 – 5 (November 20, 2023)

Test Run #1: Maximum Design Speed | SKI-9T Occupied

Test Run #1 was performed with a simulated train in the SK1-9T track circuit. The train approached Ridge Avenue Bridge with a green aspect, an allowable speed of 55 mph, and an actual speed of 55 mph on the cab's Aspect Display Unit (ADU) as seen in Figure 3 below.



Figure #3: Test Run #1 – Maximum speed approaching Dodge Bridge

Prior to entering the SK1-26R track circuit, the operator anticipated a stop code command condition and began to apply the Full Service Brake before reaching the command point. Figure 4 below shows the speed at the time the stop code command was detected by the train after entering the SK1-26T track circuit.



Figure #4: Test Run #1 – Speed when stop code command was received from signal system

Figure 5 below shows the position where the train stopped at the completion of Test Run #1, which was 67 feet ahead of the occupied SK1-9T track circuit. Note that the train received a 15 mph allowable speed at this location due to the method that was used to simulate the occupancy of the track circuit ahead (the track circuit was not shunted).



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Figure #5: Test Run #1 – Location where train came to a stop

Test Run #2: Maximum Design Speed | SKI-9T Occupied

Test Run #2 repeated the steps taken in Test Run #1. Again, the train did not achieve a speed of 55 mph before receiving the stop code command (actual train speed was 47 mph). In this case, the train never came to a full stop as the operator reacted to the 15 mph allowable speed when approaching the SK1-9T track circuit, and moved the train forward several hundred feet before stopping. As a result, this test run was deemed "invalid".



Figure 6: Test Run #2 – Speed when stop code command was received from signal system

Test Run #3: Maximum Design Speed | SKI-9T Occupied

Test Run #3 repeated the steps taken in Test Run #1. The train did not achieve a speed of 55 mph before receiving the stop code command, which was logged at 51 mph as seen in Figure 7 below.



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Figure 7: Test Run #3 – Speed when stop code command was received from signal system

The train operator reacted to the stop code command by applying a Full Service Brake. The train came to a complete stop 324 feet ahead of the occupied track circuit, which is a significant margin of safety. Figure 8 below shows the location of the train where it came to a complete stop.



Figure 8: Test Run #3 – Location where train came to a stop

Test Run #4: Designed Speed Downgrades | SKI-9T Unoccupied

Test Run #4 removed the simulated Snow Fighter in the SKI-9T track circuit and allowed the train to react to a downgrade in speed as it approached Howard Street Interlocking (as designed). Figures 9-11 below show the allowable speed downgrade from 55 mph to 35 mph to 15 mph. The test train experienced slip/slide conditions during this test run, but the operator maintained control of the train and stopped safely at the signal protecting the entrance to the interlocking (Signal 32).



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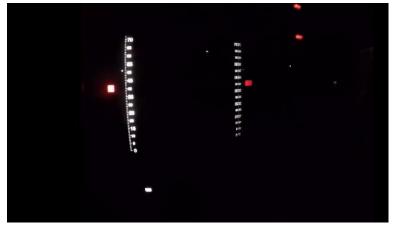


Figure 9: Test Run #4 – Allowable speed downgrade at 55 mph

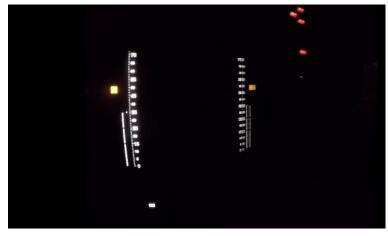


Figure 10: Test Run #4 – Allowable speed downgrade at 35 mph



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gure 11: Test Run #4 – Allowable speed downgrade at 15 mph



Test Run #5: 55 mph to 35 mph Downgrade | SKI-9T Occupied

Test Run #5 re-established the simulated Snow Fighter in the SK1-9T track circuit and reduced the maximum speed approaching the down grade to 35 mph (from 55 mph). Figure 12 below shows the actual speed of 35 mph at the point where the stop code command was received.

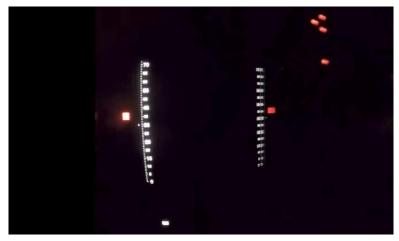


Figure 12: Test Run #5 – Speed when Zero Speed Command Received from Signal

The train operator reacted to the zero speed command by applying a Full Service Brake. The train experienced a slip/slide condition on this run and came to a complete stop 552 feet ahead of the occupied track circuit, which is a significant margin of safety. This test run was deemed "successful" and demonstrated that a 35 mph slow zone in advance of the down grade will provide a significant safety buffer in terms of stopping well in advance of a train or vehicle ahead.

Conclusions

The following conclusions can be derived from this series of re-enactments:

- The test train experienced slip/slide conditions on all test runs, similar to the conditions that were experienced by the train in the November 16 accident.
- With no train simulated ahead, the signal system functioned as designed and stepped the trains speed down to 15 mph as it approached the signal protecting the Howard Terminal Interlocking.
- With a train simulated ahead, the train operator was able to stop the train from 55 mph to a full stop well before the limits of the necessary safe braking distance (i.e. before entering the SK1-9T track circuit where the November 16 accident occurred).
- The slip/slide feature impacts the overall performance of the train's dynamic braking system.



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- When the maximum allowable speed in approach to the downhill grade in advance of Howard was reduced to 35 mph, the train safely stopped with a large safety margin using only the Full Service Brake (i.e. dynamic brakes with friction brakes only in last portion of braking curve).



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Appendices I - V

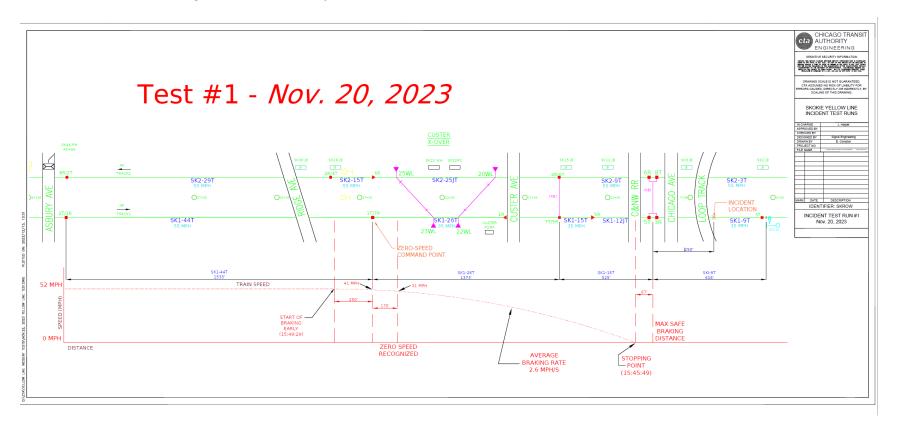
- Appendix I: Test Run #1 Analysis
- Appendix II: Test Run #2 Analysis
- Appendix III: Test Run #3 Analysis
- Appendix IV: Test Run #4 Analysis
- Appendix V: Test Run #5 Analysis



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Appendix I: Test Run #1 Analysis

Test Run #1 Track Circuit Diagram and Vehicle Response





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Test Run #1 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #1											
Criteria	Time	Distance									
FS Brake	15:49:29	36969									
Loss of Cab	15:49:34	37294									
Stop Code	15:49:36	37394									
Zero Speed	15:49:45	37519									

Rail vehicle speed at loss of cab: 37 mph

Total distance from brake application to stopping point: **550 feet**

Total time from brake application to stopping point: **16 seconds**



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Test Run #1 ATC Event Log Data Review	U S F T U A R E T I N E G T T G T T G N I E U M R M E Page 288 November 21, 2023	M E A C A C L D D P E P S T A E R R P E D R R R E T A O T O D S N D E D	U F T T O C C I Y R A A U C R R E C E Y D A B C C 0	X E X E X E X E X E X E X E X E	L L N E R E A G R D F X T I T B U M T E T I B R U C U R R E T R A D A Z R U G L E L E R I 1 - R F E D 7 4 C C C D B 0
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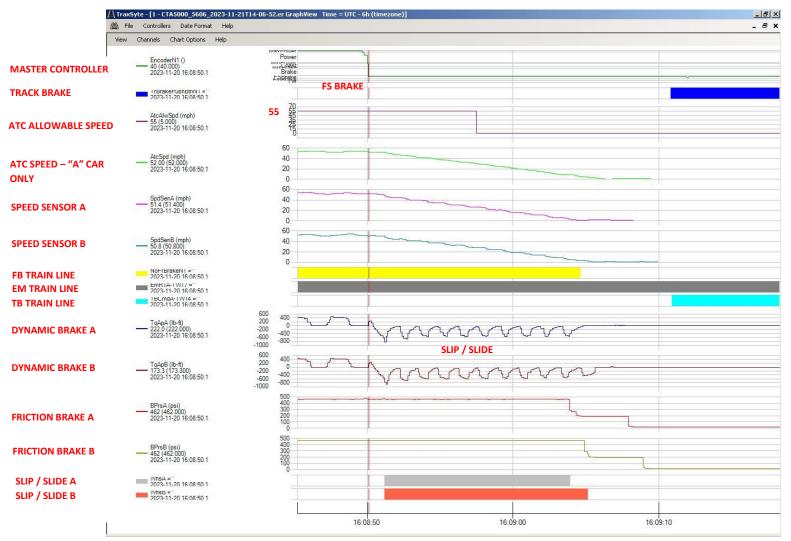


Test Run #1 ATC Event Log Data Review	U S F E D T U A T E T I N E G N I F M E	M E C B D D D D D D D D D D D D D D D D D D	H E A I X N A D N C E I T X L U F T N V R A D F T O C I F A D S T F T O C I F A A D S T F C C R E C I S T F C C R E C I S T F C C R E C I S T F C C R E C C A T F C C R R C C C A F C C T T O A O U N I F C C T T D A O U N I F C C T T D A O U N I D <th>L L U U U U U U U U U U U U U</th>	L L U U U U U U U U U U U U U
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December 2023

Test Run #1 ERU Event Log

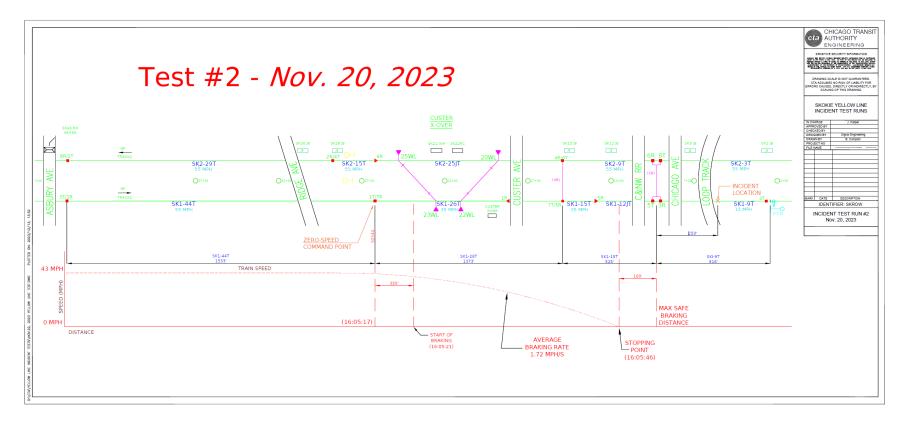




December 2023

Appendix II: Test Run #2 Analysis

Test Run #2 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #2 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #2					
Criteria	Time	Distance			
Loss of Cab	16:05:17	52344			
Stop Code	16:05:20	52544			
FS Brake	16:05:21	52669			
Zero Speed	16:05:46	52994			

Rail vehicle speed at loss of cab: 47 mph

Total distance from brake application to stopping point: **325 feet**

Total time from brake application to stopping point: **25 seconds**



Test Run #2 ATC Event Log Data Review	B E T E E P I N E S T A G T T P E D R G N I E N M I E U M E T A O R M E D S N D	H E A I X N A D N C E I T X C L U F T N U R T C L U F T N U R T X D F T O C I F A A D D F T O C T F A A D D Y R A U R C I S D Y R A U R C I S E P C R R C I S R R Y D A B C C C R R Y D A B C C C A R R Y D A B C C C T O L C T D S D R C T O L C T D <th>L L N E R E A G R D E X T I T N T E T I BR T R U C U RR T R D A ZR I 1. C C BR I 1. C C BR D 74 C C C BBO</th>	L L N E R E A G R D E X T I T N T E T I BR T R U C U RR T R D A ZR I 1. C C BR I 1. C C BR D 74 C C C BBO
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Test Run #2 ATC Event Log Data Review	U S E D T U A R E T I N E G T T C N T E U M R Page 175 November 21. 2023	DD D EEPE P STAE E PED R R R ENMIA I ETAO T O	E A N C U F T T O C C I Y R A A U C R R E C R R E C R Y D A B C C C T T D E S R R E	X E E E E E E T T F C C C C C C C C C C C C C C C C C	L U R E A G E X T T C C C C C C C C C C C C C
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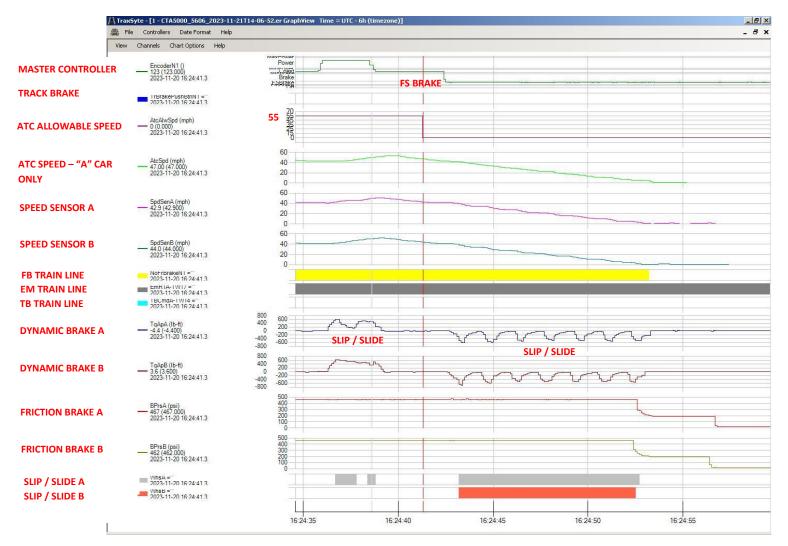


Test Run #2 ATC Event Log Data Review	U M E T A D N M E T A D N M E N M E N M M M M M M M M M M M M M	H D C L U O F T E P R R Y F C R R Y T O L E D E	E A I X N C E I T F C C I F A A C R R E C I K C R R E C C D A B C A O C T T D S D R S B R E P E R	N E T I S T A T A T E N D D D D D D D D D D D D D D D D D D	
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LOSS OF CAB	$\begin{array}{c} 3.33 & 2023-11-20 & 16.05.17 & 47 & 0 \\ 3.35 & 2023-11-20 & 16.05.17 & 47 & 0 \\ 3.35 & 2023-11-20 & 16.05.17 & 47 & 0 \\ 3.355 & 2023-11-20 & 16.05.16 & 45 & 0 \\ 3.355 & 2023-11-20 & 16.05.16 & 45 & 0 \\ 3.355 & 2023-11-20 & 16.05.16 & 45 & 0 \\ 3.354 & 2023-11-20 & 16.05.15 & 43 & 0 \\ 3.354 & 2023-11-20 & 16.05.15 & 43 & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.13 & 44 & CT & 0 \\ 3.354 & 2023-11-20 & 16.05.12 & 45 & CT & 0 \\ 3.358 & 2023-11-20 & 16.05.12 & 45 & CT & 0 \\ 3.358 & 2023-11-20 & 16.05.12 & 45 & CT & 0 \\ 3.358 & 2023-11-20 & 16.05.11 & 51 & CT & 0 \\ 3.353 & 2023-11-20 & 16.05.11 & 51 & CT & 0 \\ 3.353 & 2023-11-20 & 16.05.11 & 51 & CT & 0 \\ 3.353 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.353 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.353 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.11 & 53 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 54 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 54 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 54 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023-11-20 & 16.05.10 & 55 & CT & 0 \\ 3.352 & 2023$	OK OK OK OK OK OK OK<	(0555) Y 270 cpm 5 20 0X (0555)	J2234 No Station ID No Station J2344 No Station ID No Station J2344 No Station ID No Station J2344 No Station ID No Station J2244 No Station ID No Station J22244 No Station ID No Station J22494 No Station ID No Station J22249 No Station ID No Station J2219 No Station ID No Station J2214 No Station ID No Station J22494 No Station ID No Station J22494 No Station ID No Station J28044 No Station ID No Station J28044 No <	$\begin{array}{cccccccccccccccccccccccccccccccccccc$



December 2023

Test Run #2 ERU Event Log

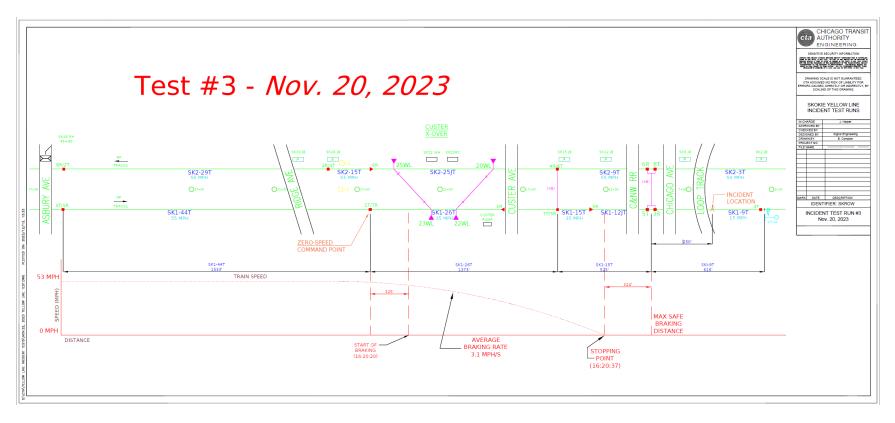




December 2023

Appendix III: Test Run #3 Analysis

Test Run #3 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #3 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #3					
Criteria	Time	Distance			
Loss of Cab	16:20:15	03183			
Stop Code	16:20:18	03383			
FS Brake	16:20:20	03508			
Zero Speed	16:20:37	03908			

Rail vehicle speed at loss of cab: **51 mph**

Total distance from brake application to stopping point: 400 feet

Total time from brake application to stopping point: **17 seconds**



Test Run #3 ATC Event Log Data Review	U S F T U A C T U A C T C T C C C C C C C C C C C C C C C	H E A D N C A D N C A D N C A D T T T T T T D C I T T D C I T D T T D T T D T T D T D T D T D T D T D T D T D T T D T D T D D T D D T D	X E I I I I I I I I I I I I I	L L L N L N L N C L N L L L L L L L L L L L L L
ZERO SPEED	# 12090 2023-11-20 15:20:27 24 FS b # 12069 2023-11-20 15:20:27 24 FS b # 12069 2023-11-20 15:20:27 24 FS b # 12069 2023-11-20 15:20:26 26 FS b # 12066 2023-11-20 15:20:26 26 FS b # 12065 2023-11-20 16:20:26 27 FS b # 12065 2023-11-20 16:20:26 27 FS b # 12062 2023-11-20 16:20:26 27 FS b # 12069 2023-11-20 16:20:25 28 FS b # 12069 2023-11-20 16:20:25 28 FS b	11.2mm 750CPH 395.0mm 49% STOP Y 36.2mm 750CPH 395.0mm 49% STOP Y 38.0mm 750CPH 395.0mm 49% STOP Y 32.5mm 760CPH 398.0mm 49% STOP Y 32.5mm 760CPH 398.0mm 49% STOP Y 32.5mm 760CPH 398.0mm 49% STOP Y 32.5mm 750CPH 398.0mm 49% STOP Y STOP Y	0 0	No Station D Y 0.0

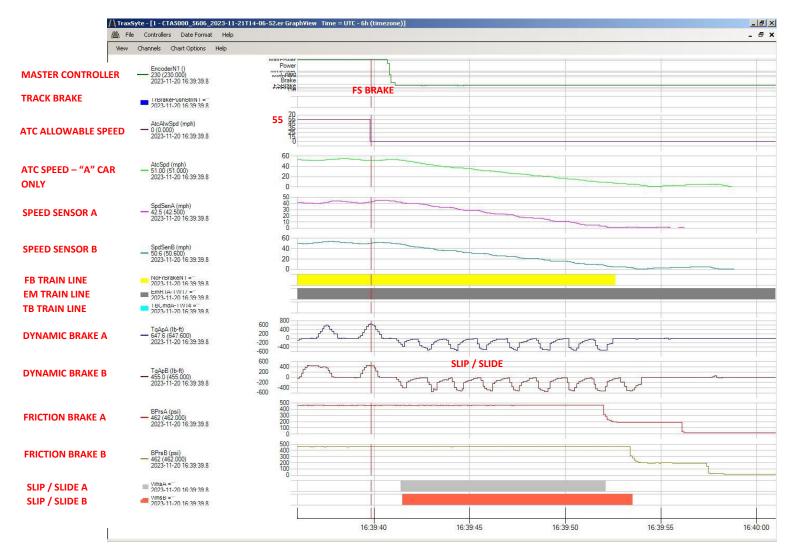


Test Run #3 ATC Event Log Data Review	U H S E B C A R E B D T U A B D R E T E E P G T T P E D R G N I E T A D R M E T A D R M E T A D R M E S N B	H E A A D N C C L U F T O F T O C C T D F T O A A U E P C C R R E E C C R R E R R Y D A B C A I C T T D T O L C T T D E D E S R R E	C X E E 1 T E N U R T F A A D C I S T C I N T A C U N T A C U N I S D R C O P E R E N	L U L N C N C C C C C C C C C C C C C
FS BRAKE	12073 2023-11-20 16:20:24 32 FS D 12072 2023-11-20 16:20:24 32 FS D 12072 2023-11-20 16:20:24 32 FS D 12070 2023-11-20 16:20:24 33 FS D 12070 2023-11-20 16:20:24 34 FS D N 12066 2023-11-20 16:20:24 35 FS D N 12066 2023-11-20 16:20:23 36 FS D N 12066 2023-11-20 16:20:23 37 FS D N 12066 2023-11-20 16:20:23 37 FS D N 12066 2023-11-20 16:20:23 37 FS D S 562.5 Sec 12069 2023-11-20 16:20:23 37 FS D S 562.5 Sec 12057 2023-11-20 16:20:22 47	107CPM 45.0ms 8× STOP Y 107CPM 45.0ms 8× STOP Y 107CPM 45.0ms 8× STOP Y 107CPM 45.0ms 8× STOP Y 107CPM 45.0ms 8× STOP Y STOP Y 000 0X 0X STOP Y 00 0X 0X STOP Y 00 0X 0X STOP Y 00 0X 0	0 00 03733 No Station ID 0 00 00 03733 No Station ID 0 00 00 03633 No Station ID 0 00 00 036633 No Station ID 0 00 01 00 03533 No Station ID 0 00 <th>No Station ID Y 0.8 0.5 0.0 No Station ID Y 0.8 0.6 0.0 No Station ID Y 0.8 0.8 0.0 No Station</th>	No Station ID Y 0.8 0.5 0.0 No Station ID Y 0.8 0.6 0.0 No Station ID Y 0.8 0.8 0.0 No Station
STOP CODE	12023 2023 11-20 16:20:10 51 MP D OK 12021 2023 12-20 16:20:10 51 MP D OK 12021 2023 12-20 16:20:11 53 MP D OK 12015 2023 11-20 16:20:11 53 MP D OK 12016 2023 11-20 16:20:10 53 MP D OK 12017 2023 11-20 16:20:11 54 MP D OK 12013 2023 11-20 16:20:17 54 MP D OK 12012 2023 11-20 16:20:17	OK OX OX STOP Y OK OX OX CS55 OK OX CS55 Y OK OX OX CS55 OK OX OX CS55 OK OX CS55 Y OK OX	5 20 0X 03183 No Station ID 5 20 0X 03183 No Station ID	No Station ID Y 0.8 0.0 0.8 No Station ID Y 0.8 0.8 0.8 No Station ID Y 0.8 0.8 0.8 No Station ID Y 0.8 0.8 0.8 No Station ID 0.8 0.8 0.8 0.8 No Station ID



December 2023

Test Run #3 ERU Event Log



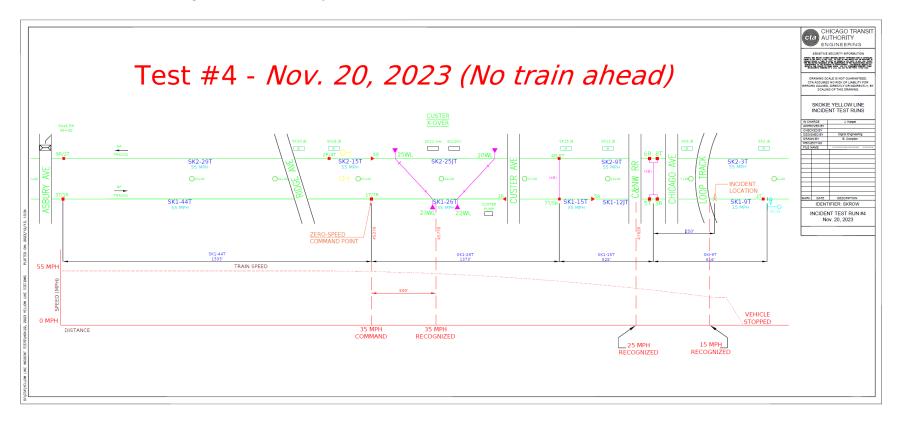
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December 2023

Appendix IV: Test Run #4 Analysis

Test Run #4 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #4 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #4						
Criteria	Allowable Speed	Time				
Enters SK1-26T	35 mph	16:35:13				
Enters SK1-15T	25 mph	16:35:39				
Enters SK1-9T	15 mph	16:35:44				
Reach 15 mph	15 mph	16:35:48				
Zero Speed	0 mph	16:36:21				

Total time from entering SK1-26T track circuit to reach SK1-9T track circuit: **35 seconds**



Test Run #4 ATC Event Log Data Review	U E P R E D T U A D R E T E I N E S T G T T P E G N I E N E U M E T R M E D S Page 118 November 21, 2023	H EAC D D E P E D R A A D T E A A D T E	X N L D N C E I T Z L D N C E I T X L N T O C E I T X L N T O C I F A A D R E A I N C N C I F A A D N C I F A A D N C I I A D I	UE RT BR C UR RE E RE E C D B O B O B O B O B O B O B O B C B R B R B R B R B R B R B R B R B R
ZERO SPEED	13940 2823-11-20 16:37:85 8 13947 2823-11-20 16:37:85 8 13947 2823-11-20 16:37:85 8 13945 2823-11-20 16:37:85 8 13945 2823-11-20 16:37:85 8 13945 2823-11-20 16:36:22 8 0F 13942 2823-11-20 16:36:22 0 0F 13942 2823-11-20 16:36:22 0 0F 13944 2823-11-20 16:36:21 0 0F 13930 2823-11-20 16:36:20 1 NS 13934 2823-11-20 16:36:19 3 PS 13934 2823-11-20 16:36:19 3 PS 13934 2823-11-20 16:36:19 3 PS 13932 2823-11-20 16:36:19 4 PS 13932 2823-11-20 16:36:19 4 PF 13932 2823-11-20 16:36:11 7 PF		STDP 0	



Test Run #4 ATC Event Log Data Review	U S E T C A T C A T C A T C A G T T G T T G N I F U M E Page 119 November 21, 2023	M E C A C C A C C A C C B D B D P D B C F F E C F E D F R R F E F E D F R R E T A C T T B S N D E C	F T O Y R P C E C E R Y D I C O L C	A I X C C I X T T N U C C I I C T N U I C T F A I I A B C C I I A B C C I I T T D A O I R E P Z I I	T A C A T U N I	R U T E I D	L U E A G R E X T I T B M R U C V R R T R U C V R R T R D A Z R U 6 L E L E R 1 6 L E R F 7 4 C C D B 0
ENTERS SK1-9T ENTERS SK1-15T	13873 2023-11-20 16: 55: 13874 2023-11-20 16: 55: 13874 2023-11-20 16: 55: 13874 2023-11-20 16: 55: 13874 2023-11-20 16: 55: 13869 2023-11-20 16: 55: 13869 2023-11-20 16: 55: 13865 2023-11-20 16: 55: 13865 2023-11-20 16: 55: 13865 2023-11-20 16: 55: 13864 2023-11-20 16: 55: 13864 2023-11-20 16: 55: 13864 2023-11-20 16: 55: 13869 2023-11-20 16: 55: 13956 2023-11-20 16: 55: 13955 2023-11-20 16: 55: 13955 2023-11-20 16: 55: 13955 2023-11-20 16: 55: 13950 2023-11-20 16: 55: 13951 2023-11-20 16: 55: 13940 2023-11-20 16: 55: 13944 2023		0X 0X<		47370 No Station ID 47380 No Station ID 47390 No Station ID 47392 No Station ID 47395 No Station ID 47295 No Station ID	No Station ID No	



Test Run #4 ATC Event Log Data Review	U S F E D T U A F E T G T T G N I F U M F M	S DD EEP STAE PEDR ENMI ETAO	H E A D N C L U F F T O D F Y R E P C E R R Y D A I C F O L C E D E S	AAURLO RRECI ABC CO OAOU	Т Е Е Я Т Т А D С I S Х S Т С А Т U N I Я С О Я Е N	א ט ד ב נ	L U U U U U U U U U U U U U
	Page 120 November 21. 2423 # 13796 2023-11-20 16:35:31 # 13796 2023-11-20 16:35:31 # 13796 2023-11-20 16:35:31 # 13795 2023-11-20 16:35:22 # 13794 2023-11-20 16:35:22 # 13794 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13796 2023-11-20 16:35:22 # 13776 2023-11-20 16:35:22 # 13776 2023-11-20 16:35:22 # 137776 2023-11-2		NK NK NK CS35 K NK<	Y 168 CPM 3 66 0.K Y 168 CPM 3 66 0.K </th <th>46670 No. Station ID 46628 No. Station ID 46628 No. Station ID 46570 No. Station ID 465770 No. Station ID 465770 No. Station ID 46575 No. Station ID 46545 No. Station ID 46545 No. Station ID 46495 No. Station ID 46495</th> <th>No Station ID No Station ID</th> <th></th>	46670 No. Station ID 46628 No. Station ID 46628 No. Station ID 46570 No. Station ID 465770 No. Station ID 465770 No. Station ID 46575 No. Station ID 46545 No. Station ID 46545 No. Station ID 46495 No. Station ID 46495	No Station ID No Station ID	



Test Run #4 ATC Event Log Data Review	U S E D T U A R E T I N E G T T G N I E U M F R M E Page 121 November 21, 2023	H E A S M C D P E R B E E A D M A D S P E E N T S N D E T S N	H E A D N C F T O F T C B P C E C E R R Y D A I C T O L C E D E S	X A I X C I I X T N U R C I F A A R B C I X A B C I X A B C C I X A B C C C U T T D S D R R R E P E R	N E X T T I S T A A I C D E N	Π U Σ Ι D	L D U U U U U U U U U U U U U
ENTERS SK1-26T	13723 2823-11-28 16:35:11 13722 2823-11-28 16:35:11 13721 2823-11-28 16:35:11 13721 2823-11-28 16:35:11 13720 2823-11-28 16:35:11 13712 2823-11-28 16:35:11 13719 2823-11-28 16:35:11 13716 2823-11-28 16:35:11 13717 2823-11-28 16:35:11 13716 2823-11-28 16:35:11 13717 2823-11-28 16:35:11 13718 2823-11-28 16:35:11 13799 2823-11-28 16:35:11 13799 2823-11-28 16:35:11 13796 2823-11-28 16:35:11 13796 2823-11-28 16:35:11 13796 2823-11-28 16:35:11 13796 2823-11-28 16:35:11 13790 2823-11-28 16:35:11 13790 2823-11-28 16:35:11 13697 2823-11-28 16:35:11 13699 </th <th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th> <th>DK DK DK DK CS35 DK DK DK CS35</th> <th>A 3 668 0.0 A 3 668<!--</th--><th>$\begin{array}{c} 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45992 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45924 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45929 & {\rm No} & {\rm Station} & {\rm ID} \\$</th><th>No Station ID No Station ID</th><th></th></th>	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	DK DK DK DK CS35 DK DK DK CS35	A 3 668 0.0 A 3 668 </th <th>$\begin{array}{c} 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45992 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45924 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45929 & {\rm No} & {\rm Station} & {\rm ID} \\$</th> <th>No Station ID No Station ID</th> <th></th>	$\begin{array}{c} 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45995 & {\rm No} & {\rm Station} & {\rm ID} \\ 45992 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45922 & {\rm No} & {\rm Station} & {\rm ID} \\ 45924 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45928 & {\rm No} & {\rm Station} & {\rm ID} \\ 45929 & {\rm No} & {\rm Station} & {\rm ID} \\$	No Station ID No Station ID	



December 2023

Test Run #4 ERU Event Log

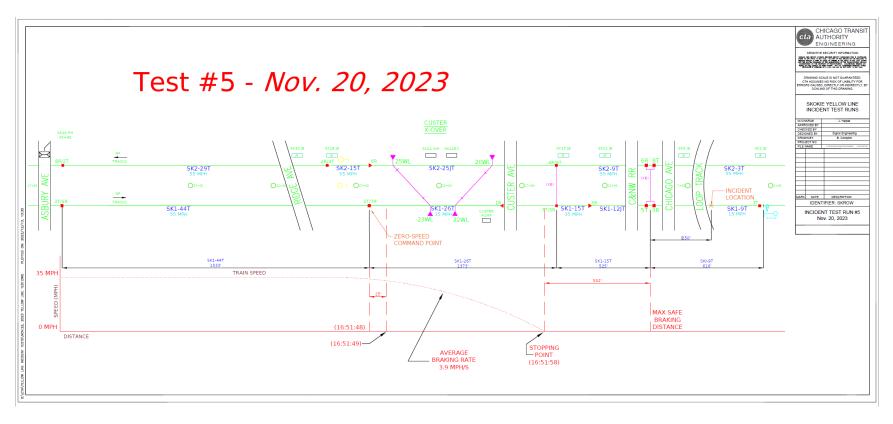




December 2023

Appendix V: Test Run #5 Analysis

Test Run #5 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #5 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #5				
Criteria	Time	Distance		
Loss of Cab	16:51:45	64745		
Stop Code	16:51:48	64920		
FS Brake	16:51:49	64945		
Zero Speed	16:52:27	65145		

Rail vehicle speed at loss of cab: **34 mph**

Total distance from brake application to stopping point: 200 feet

Total time from brake application to stopping point: **38 seconds**



Test Run #5 ATC Event Log Data Review	U S E T U A T U A T U A T U A T U C T T G T T G N I E U M F M F B3 November 21, 2023	M E C A D D P S T E A E F E D R F E D R E T A D D S N D	A D N C L U F O F T O C D Y N A E P C R E C E R R R Y D A A I C T T	X E E E E E E E E E E E E E E E E E E E	L L N E N C C C C C C C C C C C C C
ZERO SPEED	$ \begin{array}{c} 16572 & 2023-11-20 & \mathbf{16:57:25} \\ 1 & 16572 & 2023-11-20 & \mathbf{16:57:25} \\ 1 & 15772 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 15570 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 15570 & 2023-11-20 & \mathbf{16:57:23} \\ 1 & 15562 & 2023-11-20 & \mathbf{16:57:23} \\ 1 & 15562 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 155662 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 155662 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 156662 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 156662 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 156652 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 156562 & 2023-11-20 & \mathbf{16:57:24} \\ 1 & 156562 & 2023-11-20 & \mathbf{16:57:26} \\ 1 & 15557 & 2023-11-20 & \mathbf{16:57:26} \\ 1 & 15557 & 2023-11-20 & \mathbf{16:57:16} \\ 1 & 15555 & 2023-11-20 & \mathbf{16:57:16} \\ 1 & 15551 & 2023-11-20 & \mathbf{16:57:16} \\ 1 & 15552 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15545 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15545 & 2023-11-20 & \mathbf{16:57:17:13} \\ 1 & 15545 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15545 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15545 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15539 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15539 & 2023-11-20 & \mathbf{16:57:11} \\ 1 & 15539 & 2023-11-20 & \mathbf{16:57:10} \\ 1 & 15532 & 2023-11-20 & \mathbf{16:57:10} \\ 1 & 1$	7 mP D 796.2ms 7 mP D 881.2ms 7 mP D 881.2ms 7 mP D 881.2ms 7 mP D 797.3ms 7 mP D 797.3ms 7 mP D 798.0ms 7 mP D 798.0ms 7 mP D 798.0ms 7 mP D 798.0ms 7 mP D 895.0ms 7 mP D 895.0ms 7 mP D 895.0ms 6 mP D 893.0ms 6 mP D 893.0ms 7 mP D 893.0ms 8 mP D 893.0ms 8 mP D 893.0ms 9 mP 0 893.0ms	75CPM 396.2ms 49x STDP Y 73CPM 396.2ms 49x STDP Y 73CPM 396.2ms 49x STDP Y 73CPM 391.2ms 49x STDP Y 73CPM 391.2ms 49x STDP Y 76CPM 393.0ms 49x STDP Y 77CPM 393.0ms 49x STDP Y STDP Y	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	No Station ID Y 0.8 0.8 0.8 No Station
	$\begin{array}{c} \textbf{i} 16521 \ 2023-11-20 \ 16.^{\circ} 11.^{\circ} 20 \ 16.^{\circ} 11.^{\circ} 11.$	10 FS D 58.8ms 11 FS D 58.8ms 12 FS D 58.8ms 12 FS D 58.8ms 12 FS D 58.8ms 12 FS D 58.8ms 13 FS D	STOP Y 1821CPM 45.0ms 76x STOP 1821CPM 45.0ms 76x STOP 1821CPM 45.0ms 76x STOP 1821CPM 45.0ms STOP Y STOP Y STOP Y STOP<	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	No Station D Y 0.8 0.8 0.8 U No Station D Y 0.8 0.8 0.8 0.8 No Station D Y 0.8 0.8 0.8 0.8 No Station D Y 0.8 0.8 0.8 0.8



F5 BRAKE 1000 000010000000000000000000000000000
16478 2023_11_20 16:51:46 31 MP D DK DK DK DK DK C\$35 Y 3 08 DK 64820 No Station ID No Station ID 8 0 0 0 0 0

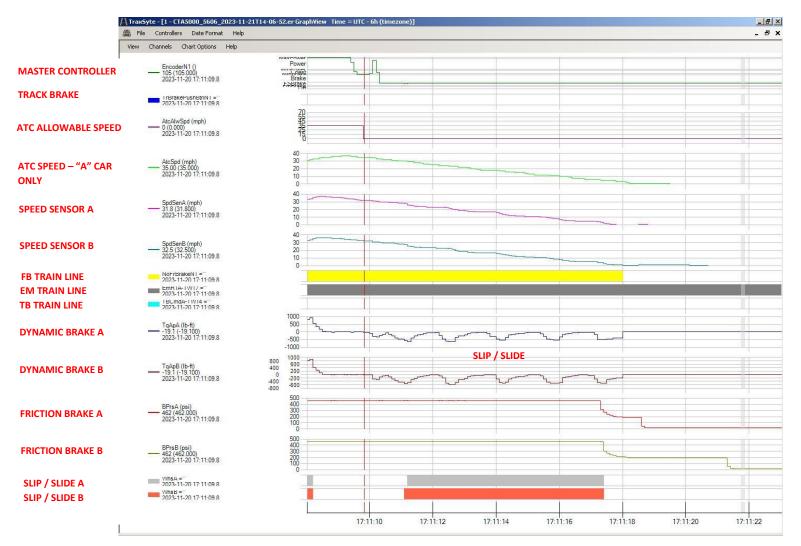


Test Run #5 ATC Event Log Data Review	U S F T U A T U A T S G T T G N I F G N I F S U M F F S November 21. 2023	M C C C C C C C C C C C C C C C C C C C	H E A I X A D N C E I T L U F T N V R F T R A A V R L C P C R R E C I X P C R R E C I X R Y D A B C C C C R Y D A B C C C U O L C T T D S D R D E S R R E F E R	I S O T U T A T A T E N I C O I	L L N F E A G R E X T I T B H T F U C U R R T R U C U R R T R A E L F H G G G D B Q
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Test Run #5 ERU Event Log





567 West Lake Street Chicago, Illinois 60661-1498 TEL 312 664-7200 www.transitchicago.com

MEMO:

DATE:	December 15, 2023
то:	Nancy-Ellen Zusman, CTA Chief Safety and Security Officer
FROM:	James Harper, Chief Engineer Infrastructure
RE:	November 16, 2023, Collision on Yellow Line Between Revenue Train and Snow Fighter

Results of Post-Incident Re-Enactment #2 and Mitigation Efforts Using Test Trains

Event Synopsis

On Thursday, November 16, 2023, at approximately 10:30 hours in the morning, Chicago Transit Authority (CTA) Yellow Line Run #593 was operating as a two-car consist (lead car 5600) on a normal round trip towards Howard Terminal. As the train approached Howard Terminal in the southbound direction, it struck maintenance vehicle S500, a non-revenue, diesel powered locomotive used to remove snow from the tracks (also known as a Snow Fighter). There were six CTA employees onboard the Snow Fighter and 31 passengers and crew onboard the revenue train.

Test Train Re-Enactments

Test Train Re-Enactment #2 (November 22, 2023)

This section provides a report on the efforts by CTA on November 22, 2023 to re-enact the collision conditions using a test train and using simulated occupancy of the section of track that the Snow Fighter was occupying at the time of the incident.

The re-enactment was performed for the following reasons:

- Attempt to demonstrate the effects of slippery rail on the overall braking capabilities of a two-car 5000 Series train (as noted on the day of the collision, the top of the rail on the downhill grade was still "contaminated" with a material that appeared to reduce the wheel-to-rail adhesion).
- Attempt to demonstrate how a two-car 5000 Series train reacts to the presence of a train ahead by simulating the presence of a train in the section of track that the Snow Fighter was occupying.
- Attempt to understand how the slip/slide system on a two-car 5000 Series train can affect train braking performance.
- Attempt to understand how a two-car 5000 Series train reacts to a slip/slide condition without operator intervention and how this may affect overall braking performance.



- Attempt to demonstrate that the proposed 35 mph slow zones approaching the downhill grade will provide for safer braking distances when a vehicle is located ahead.

The test train (head car 5505) was staged at the Skokie-Dempster Station at approximately 1030 hours on Wednesday, November 22, 2023. The re-enactment was supported by staff from CTA Signal Maintenance and CTA Track Maintenance.

In addition, the following CTA participants participated in the review:

- Jim Harper, CTA Chief Engineer
- Carrie Wagener, CTA First Deputy Chief Engineer
- Cody Krezinski, CTA Engineer III Civil / Track
- Brent Frey, CTA Signal Engineer I
- Kevin Carney, CTA Transit System Safety Officer
- Grant Macey, CTA Chief Rail Equipment Engineer
- Chris Hegarty, CTA General Manager, Rail Engineering & Instruction
- CTA Train Operator
- CTA Rail Operations Supervisor

The signal system track circuits involved in the incident, and in re-enactment tests, are shown in Figure 1 below. The figure includes a note where the zero speed command is received when a train occupies the track circuit that was occupied by the Snow Fighter at the time of the incident (SKI-9T track circuit). The figure also shows the length of each track circuit involved and the location of the accident relative to the start of the SK1-9T track circuit.

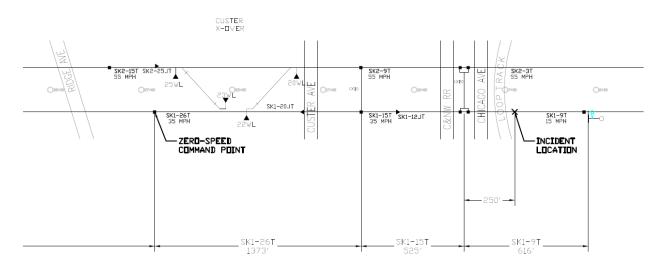
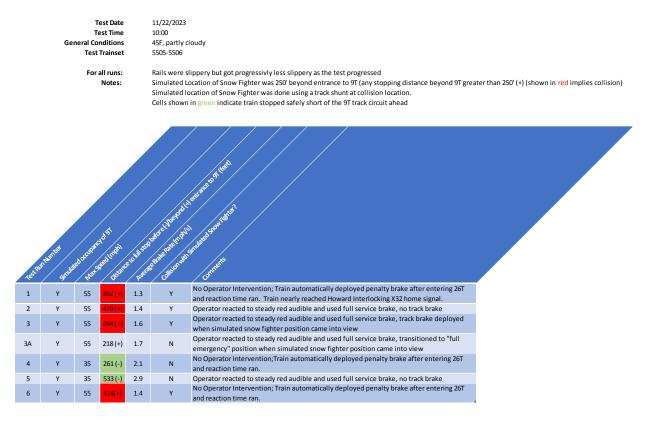


Figure #1: Track Circuits involved in the November 16, 2023 Accident



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All temporary slow zones implemented after the accident were removed at the start of the test to simulate the conditions under which the incident train operated. A total of six test runs were conducted. See Figure 2 below for a tabulation of the test results.



SK1 track segments, towards Howard: 44T-26T-15T-9T "Zero-speed command point (26T bond)" is transition between 44T and 26T, before Custer x-over

> Figure #2: Results of November 22, 2023 Re-Enactment #2 Test Runs

All test runs were set up with a simulated train in the section of track that contained the Snow Fighter (the SK1-9T track circuit). The simulation was performed by applying a shunt on the track at the spot where the Snow Fighter was located at the time of the collision. The expectation for the test runs was that the train would reach a speed of approximately 35 mph or 55 mph and would react to a zero-speed cab command at the start of the SK1-26T track circuit at the top of the downhill grade (as designed). Data from test train 5505 for all test runs was recovered and analyzed as part of this review. ATC data and ERU data for each run is found in <u>Appendices I – VI</u>.



Test Runs #1 – 6 (November 22, 2023)

Test Run #1: No Operator Intervention | 55 mph

Test Run #1 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 3 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and as a result the train received a penalty brake a few seconds after entering SK1-26T. The train came to a complete stop roughly 492 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. As seen in Figure 4 below, the Test Run #1 train nearly reached the Howard Interlocking X32 Home Signal (the allowable speed on the train's ADU was 15 mph because the test train had gone past the track shunt). The train experienced roughly 20 seconds of slip/slide conditions and the average brake rate was approximately 1.3 mph/s.



Figure #3: Test Run #1 – Speed when stop code command was received from signal system



Figure #4: Test Run #1 – Location where train came to a stop



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Test Run #2: Full Service Brake | 55 mph

Test Run #2 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 5 below. The operator of the train immediately applied the Full Service Brake. The train came to a complete stop (see Figure 6 below) roughly 479 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 15 seconds of slip/slide conditions and the average brake rate was approximately 1.4 mph/s.



Figure #5: Test Run #2 – Speed when stop code command was received from signal system



Figure #6: Test Run #2 – Location where train came to a stop



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Test Run #3: Full Service Brake & Track Brake | 55 mph

Test Run #3 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 7 below. The operator of the train immediately applied the Full Service Brake and deployed the Track Brake when the simulated Snow Fighter came into view. The train came to a complete stop (see Figure 8 below) roughly 264 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 18 seconds of slip/slide conditions and the average brake rate was approximately 1.6 mph/s.



Figure #7: Test Run #3 – Speed when stop code command was received from signal system



Figure #8: Test Run #3 – Location where train came to a stop



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Test Run #3A: Full Service Brake and Emergency | 55 mph

Test Run #3A was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 9 below. The operator of the train immediately applied the Full Service Brake and then moved the Master Controller to the Emergency Position when the simulated Snow Fighter came into view (the Emergency position causes the train's track and friction brakes to apply). The train came to a complete stop (see Figure 10 below) roughly 218 feet beyond the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter, but still violated the maximum safe braking distance assumed by the wayside signal system. The train experienced roughly 14 seconds of slip/slide conditions and the average brake rate was approximately 1.7 mph/s.



Figure #9: Test Run #3A – Speed when stop code command was received from signal system



Figure #10: Test Run #3A – Location where train came to a stop



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Test Run #4: No Operator Intervention | 35 mph

Test Run #4 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 35 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 11 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and as a result the train received a penalty brake shortly after entering SK1-26T. The train came to a complete stop (see Figure 12 below) roughly 261 feet before the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly eight (8) seconds of slip/slide conditions and an average brake rate of 2.1 mph/s.



Figure #11: Test Run #4 – Speed when stop code command was received from signal system



Figure #12: Test Run #4 – Location where train came to a stop



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Test Run #5: Full Service Brake | 35 mph

Test Run #5 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 35 mph, which it reached before receiving a red cab signal stop code as seen in Figure 13 below. The operator of the train immediately applied the Full Service Brake. The train came to a complete stop (see Figure 14 below) roughly 533 feet before the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly 10 seconds of slip/slide conditions and an average brake rate of 2.9 mph/s.



Figure #13: Test Run #5 – Speed when stop code command was received from signal system



Figure #14: Test Run #5 – Location where train came to a stop



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Test Run #6: No Operator Intervention | 55 mph (Repeat of Test Run #1)

Test Run #6 was performed with a simulated Snow Fighter in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 15 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake shortly after entering SK1-26T as a result. The train came to a complete stop (see Figure 16 below) roughly 414 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 15 seconds of slip/slide conditions and an average brake rate of 1.37 mph/s.



Figure #15: Test Run #6 – Speed when stop code command was received from signal system



Figure #16: Test Run #6 – Location where train came to a stop



Conclusions

The following conclusions can be derived from this series of re-enactments:

- When entering the SK1-26T track circuit (at the top of the downhill grade where they received a "zero speed" stop command due to a "train ahead" condition) at 55 mph, the test train was not able to brake to zero speed using the Full Service Brake (applied by either the operator [see Test Run #2] or by the signal system [see Test Run #1 and #6]) before entering the SK1-9T track circuit (the limit of the maximum safe braking distance assumed by the wayside signal system). Brake rates for these runs were: Test Run #1 1.3 mph/s; Test Run #2 1.4 mph/s; and Test Run #6 1.37 mph/s (this compares to CTA's standard braking model brake rate of 1.9 mph/s).
- When entering the SK1-26T track circuit at 55 mph, the test train was not able to stop short of the SK1-9T track circuit, when the Full Service Brake was applied by the operator, and when the Track Brake (or full Emergency Brake) was later applied by the operator as the train approached this safe braking distance limit (see Test Runs #3 and #3A). Brake rates for these runs were: Test Run #3 1.6 mph/s and Test Run #3A 1.7 mph/s.
- When operating at 55 mph, all test runs experienced a "slip/slide" condition for a portion of the run on the downhill grade. Dynamic braking under the slip/slide mode appeared to result in a "pulsation effect" where the braking torque cycled between zero and -1500 ft-lbs (see the below excerpt of the ERU from Test Run #1).

1000	
-500 -1000	mann
-1500	SLIP / SLIDE
0 -400 -800	Man Man

When operating at 55 mph, all of the test runs sensed a zero speed well before the train came to a full stop. This appeared to be caused by the train wheels being "locked up" and "sliding". When the zero speed was sensed, the dynamic braking ceased to function, and the friction brakes activated. One or both of the speed sensors sometimes transitioned back to sensing a higher speed, or disappeared altogether (see the below excerpt of the ERU from Test Run #2).



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60 40 20	 	
60 40 20 0	 	_
60 40 20 0	 MISSING	SPEED DATA

- The use of the Track Brake improved the train's braking distance, allowing the train to come to a stop nearly 215 feet (nearly half the distance) shorter than when only the Full Service Brake was used (see the below excerpt of the ERU from Test Run #3).

Power Inter 17490 Ersko		
2.46P#A	FS BRAKE	
70		TRACK BRAKE

- When the maximum allowable speed in approach to the downhill grade was reduced to 35 mph, the test train (see Test Run #4) was able to automatically brake without operator intervention with a large safety margin. The train experienced slip/slide conditions on the downhill grade for roughly 10 seconds when it entered the downhill grade at this reduced speed, and experienced an average brake rate of 2.1 mph/s. When the operator applied the Full Service Brake after receiving the zero speed stop command with a 35 mph approach speed (see Test Run #5), the train stopped with an even greater margin of safety (average brake rate = 2.9 mph/s).
- The test runs indicated that slip/slide conditions appear to significantly affect train braking
 performance when entering a downhill grade at 55 mph, to the point where brake rates are
 well below the degraded rate assumed in the signal system design. When entering speeds
 were reduced to 35 mph, the train was able to brake within the brake rate assumptions used
 in CTA's standard braking model.



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Appendices I – VI

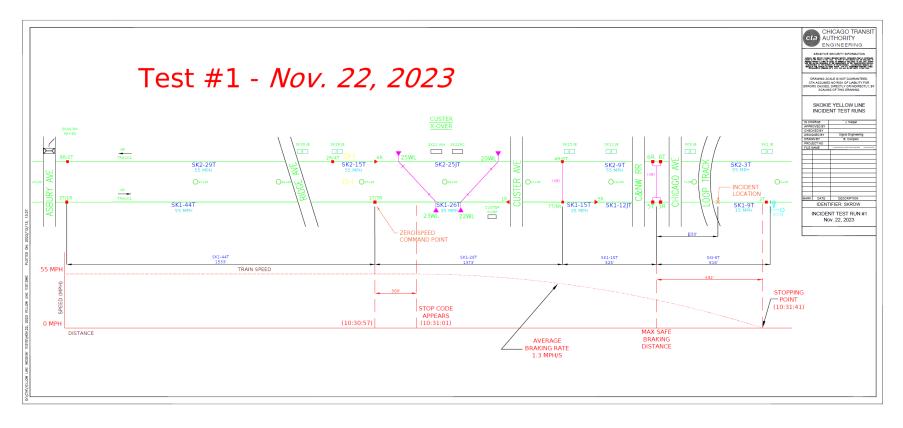
- Appendix I: Test Run #1 Analysis
- Appendix II: Test Run #2 Analysis
- Appendix III: Test Run #3 Analysis
- Appendix IIIA: Test Run #3A Analysis
- <u>Appendix IV: Test Run #4 Analysis</u>
- Appendix V: Test Run #5 Analysis
- Appendix VI: Test Run #6 Analysis



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Appendix I: Test Run #1 Analysis

Test Run #1Track Circuit Diagram and Vehicle Response





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Test Run #1 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #1						
Criteria Time Distance						
Loss of Cab	10:30:57	46871				
Stop Code	10:31:01	47171				
Zero Speed	10:31:41	48146				

Rail vehicle speed at loss of cab: 52 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A



Test Run #1 ATC Event Log Data Review	U E F T U A T C A T C T C C N C C N C C N C C N C C N C C N C	M E A C A C L D D D P P S T E P R R R E T E D N N A C T D E S C T E D E T S N D E D	E A ! X D N C E ! U F C C I F A Y R A A U R L C E A B C C C C C C T T D S D C C T T D S D E S R R E P E	T E R D C I S T A C I S T A T A T A T A T B C N C N C N C N C N C N C N C N	R O U T E I D	L L N E E A C R E X C R E X T I T B R T R U C URR T R U C URR T R A L E L E R H 6 L E L E R 7 4 C C 0 B Q
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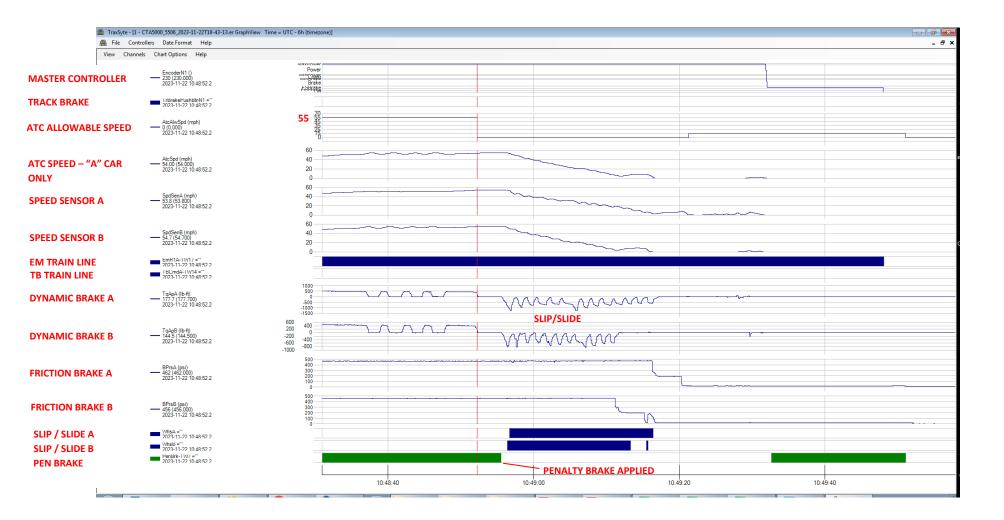


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Test Run #1 ERU Event Log

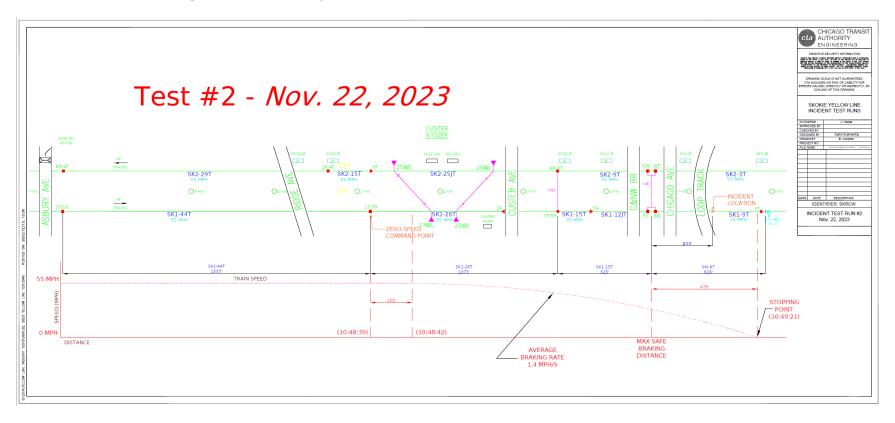




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Appendix II: Test Run #2 Analysis

Test Run #2 Track Circuit Diagram and Vehicle Response





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Test Run #2 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #2						
Criteria	Time	Distance				
Loss of Cab	10:48:39	63023				
Stop Code	10:48:42	63173				
FS Brake	10:48:43	63273				
Zero Speed	10:49:21	63998				

Rail vehicle speed at loss of cab: 53 mph

Total distance from brake application to stopping point: 725 feet

Total time from brake application to stopping point: **38 seconds**



Test Run #2 ATC Event Log Data Review	U S T T T T T T T T T T T T T T T T T T	PED ENM ETA DSN	M H E C L S O F P E P E E R R R I A I O T O D E D	UF TOC YRA CR CE YDA C	T N Ú C I F A A U R L R E C I E D B C C	N E R C C S C S C S C S C S C S C S C S C S	R U T E J	L L N E E A C R E X T I T M T E T I BR T R U C URR T R A D A URR W 6 L E L E FE 7 4 C C 0 BQ
ZERO SPEED	$\begin{array}{c} 25255 & 2023-11-22 & 10 \\ 25254 & 2023-11-22 & 10 \\ 25251 & 2023-11-22 & 10 \\ 25251 & 2023-11-22 & 10 \\ 25251 & 2023-11-22 & 10 \\ 25251 & 2023-11-22 & 10 \\ 25251 & 2023-11-22 & 10 \\ 25247 & 2023-11-22 & 10 \\ 25247 & 2023-11-22 & 10 \\ 25247 & 2023-11-22 & 10 \\ 25242 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25442 & 2023-11-22 & 10 \\ 25444 & 2023-11-22 & 10 \\ 25444 & 2023-11-22 & 10 \\ 25444 & 203$	32:55 12 CT D 32:55 12 CT D 32:55 12 CT D 32:55 11 CT D 32:55 10 CT D 32:55 10 CT D 32:55 10 CT D 32:55 10 CT D 32:52 10 CT D 32:52 10 CT D 32:55 8 B D D 32:55 8 8 D D 32:25 10 6 D D 32:24 6 D D D 32:24 10 D D D 32:24 10 D D <th></th> <th>OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y</th> <th></th> <th>63998 No Station ID 63998 No Station ID</th> <th>No Station ID No Station ID No</th> <th>Y 0.8 0.0 0.8 0.8 Y 0.8 0.9 0.8 0.8 Y 0.8 0.8 0.8 0.9 Y 0.8 0.8 0.8 0.9 Y 0.8 0.8 0.9 0.9 <td< th=""></td<></th>		OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y OK CS15 Y		63998 No Station ID 63998 No Station ID	No Station ID No	Y 0.8 0.0 0.8 0.8 Y 0.8 0.9 0.8 0.8 Y 0.8 0.8 0.8 0.9 Y 0.8 0.8 0.8 0.9 Y 0.8 0.8 0.9 0.9 <td< th=""></td<>



Test Run #2 ATC Event Log Data Review	U S E R E D T U A R E T I N E C N T C N T C N T E E U H F R M E Faqo 113 November 22, 2023	M H E C A C L U F C C L U D D D Y C D D D Y R S T A B C S T A B C P D R R C P T A B C E T A D C E T A D C E T A D C T D R R R	URLCIS ECIXS EDTA CCCA CCCA T OAOUNI DSDR C	L L R E A C C C C C C C C C C C C C
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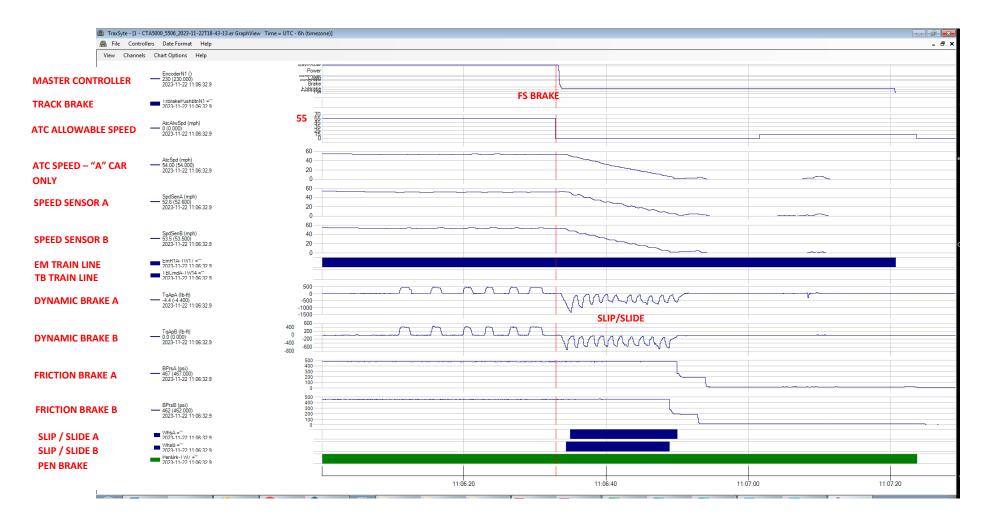


Test Run #2 ATC Event Log Data Review	U S R E T U A D C R E U A D C C C C C C C C C C C C C	M A C D D E A E D R R A A C T A A C T N D E	X N A D N C E I T X A D N C E I T X L U F T N V R T F T O C I F A A D P C C I F A A D S P T O C C I F A A D P C C R R C C I S T P C E R C C A T A A D I	L 0 U 0 0 0 0 0 0 0 0 0 0 0 0 0
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December 2023

Test Run #2 ERU Event Log

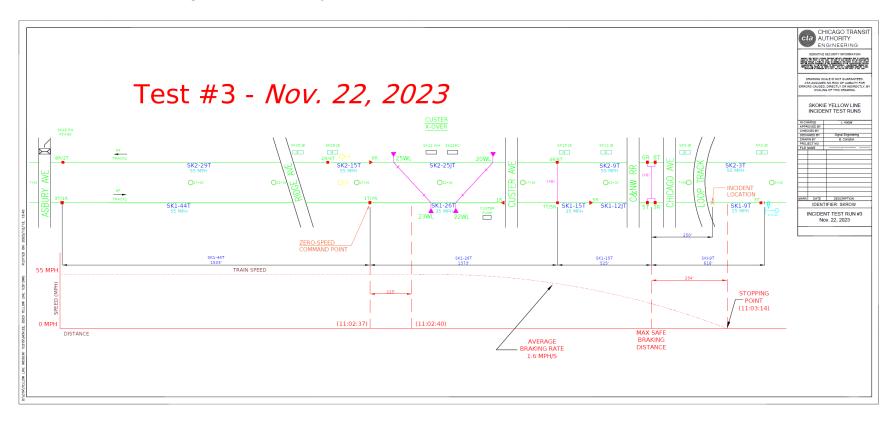




December 2023

Appendix III: Test Run #3 Analysis

Test Run #3 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #3 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #3						
Criteria	Time	Distance				
Loss of Cab	11:02:37	09162				
Stop Code	11:02:40	09387				
FS Brake	11:02:41	09462				
Zero Speed	11:03:14	10112				

Rail vehicle speed at loss of cab: 53 mph

Total distance from brake application to stopping point: 650 feet

Total time from brake application to stopping point: **33 seconds**



Test Run #3 ATC Event Log Data Review	U E R E D T U A R E D T U A T U A T U A T C T T C T T C N I E U M R M E Page 99 November 22, 2023	H H C D D E T E D F T E D F T E D S F E T S N D E T S N D E T S N D E T S D E T S S F T E A S S F T E A S S F S S S S S S S S S S S S S S S S	DYR EPCE RRYD AIC TOLC	A [] X C T N U C T N U C C I F A L C C A C T F A A R C C I C C A C T C A C C A C C A C C T T D S D T R R F	N E R D C I S K S A C A I U N C O R E N	L U U U U U U U U U U U U U
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Test Run #3 ATC Event Log Data Review	U E C C R E D C R E D D R E T E E I N E S T A C N T T P E N C N H E N M E N M E D S N Page 100 November 22, 2023	M E A S D P E R R R A T D E T D E	H E A I X A D N C E I T L U F T N U R L F T O C C I F A A F Y R A A U R L C F C R R E C I C F C R R E D R Y D A B C C C I C C T T D S D R D E S R R E P E R	STU TAT TE NI	L L N E E A C R E X T I T B R T R U C U R R N 6 L E L E R R 7 4 C C 0 B Q
FS BRAKE STOP CODE	26155 2020-11-22 11:02:55 10 FG D 26153 2020-11-22 11:02:54 11 FG D 26153 2020-11-22 11:02:55 14 FS D 26151 2020-11-22 11:02:50 14 FS D 26151 2020-11-22 11:02:50 14 FS D 26149 2020-11-22 11:02:50 15 FS D 26149 2020-11-22 11:02:52 17 FS D 26149 2020-11-22 11:02:52 17 FS D 26149 2020-11-22 11:02:52 17 FS D 26149 2020-11-22 11:02:51 27 FS D 26149 2020-11-22 11:02:51 27 FS D 26139 2020-11-22 11:02:50 27 FS D 26139 2020-11-22 11:02:50 27 FS D 26139 <th>OX OX</th> <th>STOP Y 0 00 STOP Y 0<</th> <th>18077 W. Station ID No. Station ID 18077 No. Station ID No. Station ID 18077 No. Station ID No. Station ID 18027 No. Station ID No. Station ID 18012 No. Station ID No. Station ID 99397 No. Station ID No. Station ID</th> <th></th>	OX OX	STOP Y 0 00 STOP Y 0<	18077 W. Station ID No. Station ID 18077 No. Station ID No. Station ID 18077 No. Station ID No. Station ID 18027 No. Station ID No. Station ID 18012 No. Station ID No. Station ID 99397 No. Station ID No. Station ID	

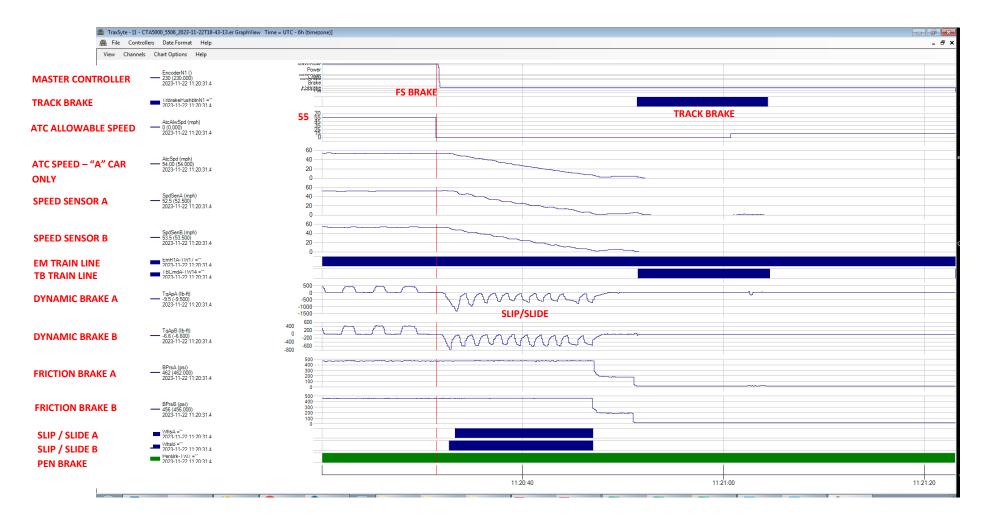


Test Run #3 ATC Event Log Data Review	U E E T U A D D D D D D D D D D D D D D D D D D	E E C R R R Y I A I C	E A L X F C L I X F C T N V R R A A U R L C C R R E C I C C R R E D D A B C C C C T T D S D U S R R E P E R	N E X T R I S S O I T A T E X T U T A T E N D I E N D D I D I D D D D D D D D D D D D D D	L U L N E E A C R E X T I T B R U C U R R N R U C U R R H G L E L E F E 7 4 C C 0 B Q
LOSS OF CAB	Page 101 November 22 2020 26669 2020-11-22 11.82:36 54 MP 1 26679 2020-11-22 11.82:36 54 MP 1 26677 2020-11-22 11.82:36 54 MP 1 26675 2020-11-22 11.82:37 50 MP 1 26675 2020-11-22 11.82:37 50 MP 1 26677 2020-11-22 11.82:37 50 MP 1 26678 2020-11-22 11.82:37 50 MP 1 26678 2020-11-22 11.82:37 50 MP 1 26668 2020-11-22 11.82:37 50 MP 1 26668 2020-11-22 11.82:35 50 MP 1 26668 2020-11-22 11.82:35 50 MP 1 26668 2020-11-22 11.82:35 50 MP 1 26666 2020-11-22		SS5 Y S Z 0 SS5 Y Z C S Z SS5 Y Z C	09227 No. Station ID No. Station II 09227 No. Station ID No. Station II 09227 No. Station II No. Station II 09227 No. Station II No. Station II 09227 No. Station II No. Station II 09162 No. Station II No. Station II 09162 No. Station II No. Station II 09162 No. Station II No. Station II 09067 No. Station II No. Station II 09037 No. Station II No. Station II 09037 No. Station II No. Station II 09037 No. Station II No. Station II 09037 <th></th>	



December 2023

Test Run #3 ERU Event Log

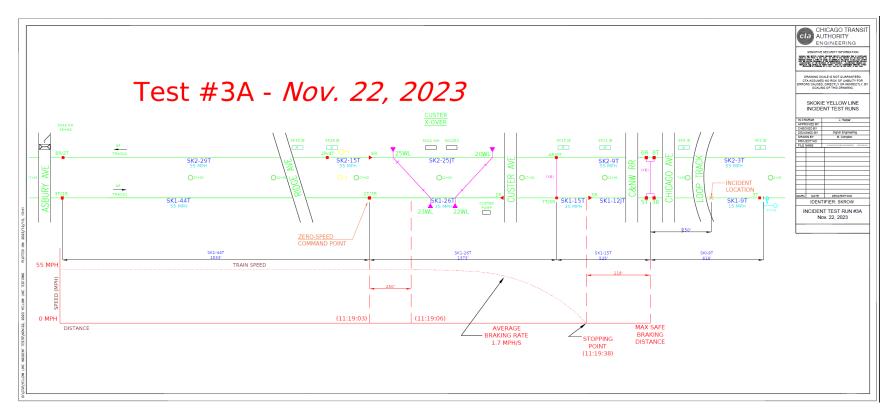




December 2023

Appendix IIIA: Test Run #3A Analysis

Test Run #3A Track Circuit Diagram and Vehicle Response





December 2023

Test Run #3A ATC Event Log Data Review

ATC Event Log Data Review – Test Run #3A							
Criteria	Time	Distance					
Loss of Cab	11:19:03	20587					
Stop Code	11:19:06	20837					
FS Brake	11:19:07	20912					
Zero Speed	11:19:38	21387					

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: 475 feet

Total time from brake application to stopping point: **31 seconds**



Test Run #3A ATC Event Log Data Review	U E E T T C T C T C T C T C T T C T T C T T T C T T T C T T T C T T T C T T T C T T C T C T C C C T C	M H E C A C L U C A C L U D D D F T D D D Y R S T A C C S T A F C S T A F C E N H R T C C T T C C T D S N D E	D A O U N I T D S D R C O	L L N E R E A C R O E X T I T B T T R U C VRR T R A D A 22R R H 6 L E L E R F E D 74 C C C 0 B Q
ZERO SPEED	27280 2823-11-22 11.15.42 27277 2823-11-22 11.15.41 27277 2823-11-22 11.15.41 27277 2823-11-22 11.15.41 27275 2823-11-22 11.15.41 27275 2823-11-22 11.15.40 27275 2823-11-22 11.15.40 27274 2823-11-22 11.15.40 27277 2823-11-22 11.15.40 27277 2823-11-22 11.15.40 27277 2823-11-22 11.15.40 27276 2823-11-22 11.15.40 27285 2823-11-22 11.15.40 27286 2823-11-22 11.15.40 27285 2823-11-22 11.15.40 27285 2823-11-22 11.15.30 27285 2823-11-22 11.15.30 27285 2823-11-22 11.15.30 27285 2823-11-22 11.15.37 27285 2823-11-22 11.15.37 27285 2823-11-22 11.15.37 27285 </th <th>0 FS 798. Bas 75CPH 333. Bas 49% C515 Y 0 FS 798. Bas 75CPH 333. Bas 49% C515 Y 0 FS 798. Bas 76CPH 333. Bas 49% C515 Y 0 FS 783. Bas 76CPH 333. Bas 49% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 49% C515 Y 0 0 FM 080. Bas<</th> <th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th> <th>No Station 1D B 0.8 0.6 0.8</th>	0 FS 798. Bas 75CPH 333. Bas 49% C515 Y 0 FS 798. Bas 75CPH 333. Bas 49% C515 Y 0 FS 798. Bas 76CPH 333. Bas 49% C515 Y 0 FS 783. Bas 76CPH 333. Bas 49% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 48% C515 Y 0 FS 1083. Bas 75CPH 333. Bas 49% C515 Y 0 0 FM 080. Bas<	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	No Station 1D B 0.8 0.6 0.8

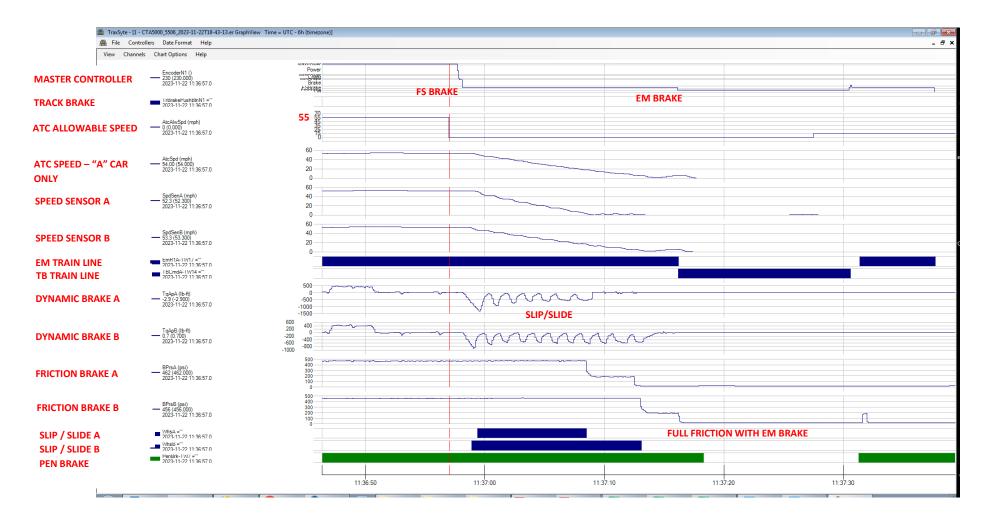


Test Run #3A ATC Event Log Data Review	U S E R T R V E N C C C C R M	E	M E A S M C D E A E E E D E E A E E E E D E E A E E E E E D E E E E E E E E E D E E E E	C D D R A T E	H E L U F C F Y R A P C R R Y C R R Y C A I D L C T D E S R	A V R E B C T D	X X F F L C C F L C C F L C C F C I K E C C C A O U F E F F F F F	Š T T A A T	R U U T E J	L L N E E A C R E X T I T B T R R U C V R T R R U C Z R H 6 L E L R F E 7 4 C C C 0 B Q
FS BRAKE	Page 66 Notember 3 27784 2020-11 27784 2020-11 27784 2020-11 27784 2020-11 27784 2020-11 27784 2020-11 27784 2020-11 27794 2020-11 27799 2020-11 27199 2020-11 27199 2020-11 27191 2020-11 27192 2020-11 27193 2020-11 27191 2020-11 27191 2020-11 27191 2020-11 27191 2020-11 27191 2020-11 27191 2020-11 27180 2020-11 27180 2020-11 27180 2020-11 27181 2020-11 27182 2020-11 27180 2020-11 27181 2020-11 27182 2020-11 27180 2020-11	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	25 FS D 26 FS D 27 FS D 20	2182CPM 2182CPM 2182CPM 2182CPM 2182CPM 162C	27.5mm 100c STOP Y 27.5mm 100c STOP Y 27.5mm 100c STOP Y 27.5mm 100c STOP Y 27.5mm 100c STOP Y 37.5mm 100c STOP Y 40.0mm 10c STOP Y 30.0mm 12c STOP Y 30.0mm 12c STOP Y 45.0mm 46c STOP Y 45.0mm 46c STOP Y 45.0mm 46c STOP Y 47.2 Stop STOP Y 47.2 Stop STOP Y 47.2 Stop STOP Y 47.2 Stop STOP Y			21237 No Station ID 21237 No Station ID 21237 No Station ID 21212 No Station ID 21222 No Station ID 21222 No Station ID 21222 No Station ID 21222 No Station ID 21227 No Station ID 21237 No Station ID 21242 No Station ID 21242 No Station ID 21242 No Station ID 22142 No Station ID 224962 No Station	No Station ID No	
STOP CODE LOSS OF CAB	27152 2822-11 27152 2822-11 27150 2822-11 27149 2822-14 27149 2822-14 27149 2822-14 27149 2822-14 27149 2822-14 27145 2822-14 27145 2822-14 27145 2822-14 27149 2822-14	$\begin{array}{c} 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 67 \\ 22 & 11 & 19 & 66 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 19 & 10 \\ 22 & 11 & 10 \\ 22 & 11 & 10 \\ 22 & 11 & 10 \\ 22 & 11 & 10 \\ 22 & 11 & 10 \\ 22 & 11 & 10 \\ 22 & 11 &$	54 D 522.5m 54 D 522.5m 54 MP D 54 MP D 55 M 55 M 56 MP D 56 MP D 56 MP D 56 MP D 56 MP D 56 MP D 57 MP D 56 MP D 5	5580PM 1150PM 1150PM 1150PM 1150PM 1150PM 0K 0K 0K 0K 0K 0K 0K 0K 0K	72.5ms 67* STOP Y STOP	<mark>270 сри 270 сри 270 сри 270 сри 270 сри 270 сри</mark>	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2 20912 No Station ID 2 20912 No Station ID 2 20912 No Station ID 2 20912 No Station ID 6 20912 No Station ID 5 20912 No Station ID 5 20917 No Station ID 20937 No Station ID 209327 No Station ID 209327 No Station ID 209327 No Station ID	No Station ID No Station ID	Y 8.6 8.8 8.6 Y 8.6 8.8 8.6 0.6 8.6 8.6



December 2023

Test Run #3A ERU Event Log

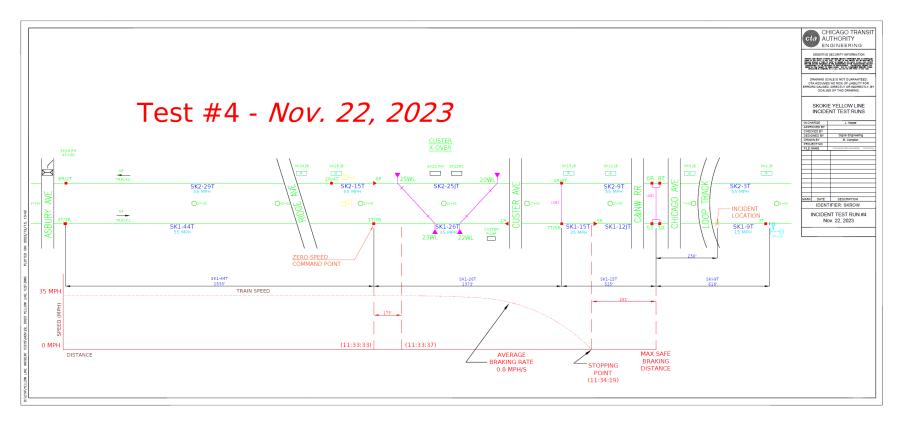




December 2023

Appendix IV: Test Run #4 Analysis

Test Run #4 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #4 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #4							
Criteria	Time	Distance					
Loss of Cab	11:33:33	31787					
Stop Code	11:33:37	31962					
Zero Speed	11:34:19	32362					

Rail vehicle speed at loss of cab: 33 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A



Test Run #4 ATC Event Log Data Review	U SF R E D A R E V A F E N T F C C C N U F M E M R M	M M C D D D D D D D D D D D D D D D D D	H E A A D N C C F T O C C I T F Y R A A U P C C R R E R Y C A R E R Y C A B C T C C T T O D E S R R E	X N I E I T E X T T X T F A A D S C I D S T C I D S T A C D A T A C I S C I D S T A C D S F E R E N P E R E N	L L N C L N L Z R - C C C C C O B Q
ZERO SPEED	Page 69 Wourselber 22 2023 # 294409 2922-11-22 11:30:52 # 294479 2922-11-22 11:30:52 # 294479 2922-11-22 11:30:51 # 294479 2922-11-22 11:30:51 # 294476 2022-11-22 11:30:51 # 294476 2022-11-22 11:30:51 # 294470 2022-11-22 11:30:50 # 294471 2022-11-22 11:30:50 # 294470 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:52 # 294469 2022-11-22 11:30:13 # 294459 2022-11-22 11:30:13 # 294450	0 0 0 0	M 15.0ms 180% STOP Y OK OX STOP Y M 42.5ms 17.x M 35.0ms 17.x M 35.0ms 8.x <stop td="" y<=""> M 35.0ms 8.x<stop td="" y<=""></stop></stop>	0 00 000000 No Station 10 0 00 0000000 Station 10 0 00 022002 No Station 10 0 00 22302 No Station 10 0 00 22302 No Station 10 0 00 22302 No Station 10 0 00 22302	No Station ID B 0.0 0.0 0.0 U B No Station ID B 0.0 0.0 0.0 U B No Station ID B 0.0 0.0 0.0 U B No Station ID B 0.0 0.0 0.0 U B No Station ID Y 0.0 0.0 0.0 U V No Station ID Y 0.0 0.0 0.0 U V No Station ID Y<

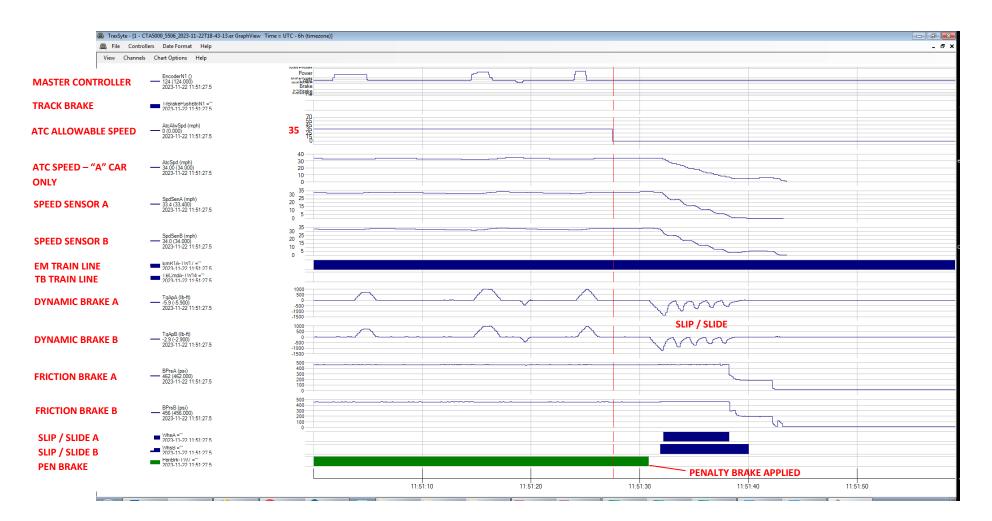


Test Run #4 ATC Event Log Data Review	U S F E D T U A R E T I N E G T T G T T G N I E U M F R W E Page 70 November 22, 2023	M E C D D D D D D D D D D D D D D D D D D	H E A L U F C C T F T O C A A U F Y R C A R E F Y C R R C E C Y D A B C C E A B C C E A B C C T T D C C T T D D E S R R	X X E E E I T T T E N F A A D F R L C K I S F R L C K S F R L C K S F R C E E C C C A N I F R C N F R	L U U U U U U U U U U U U U
STOP CODE LOSS OF CAB	28405 2822-11-22 11. 33. 41 28405 2822-11-22 11. 33. 41 28404 2822-11-22 11. 33. 41 28405 2822-11-22 11. 33. 41 28406 2822-11-22 11. 33. 41 28401 2822-11-22 11. 33. 41 28491 2822-11-22 11. 33. 41 28999 2822-11-22 11. 33. 44 28999 2822-11-22 11. 33. 44 28999 2822-11-22 11. 33. 44 28993 2822-11-22 11. 33. 44 28994 2822-11-22 11. 33. 49 28995 2822-11-22 11. 33. 79 28991 2822-11-22 11. 33. 77 28998 282-11-22 11. 33. 77 28998 282-11-22 11. 33. 77 28998 282-11-22 11. 33. 77 28998 282-11-22 11. 33. 77 28998 282-11-22 11. 33. 75 28919 282-11-22 11. 33. 75 28918 282-11-22 11. 33. 75	14 CT D 16.2 1297CPH 30 14 CT D 16.2 480CPH 48 34 CT D 150.8 480CPH 45 34 CT D 150.8 480CPH 45 34 CT D 156.2 440CPH 65 34 CT D 156.2 440CPH 65 34 CT D 156.2 440CPH 62 34 CT D 156.2 440CPH 62 34 CT D 156.2 440CPH 62 34 CT D 34 34 34 34 34 CT D 34 34 34 34 34 CT D 34 34 34 34 34 CT D 34 34 34 34 34 34 CT D 34 </th <th>STOP Y STOP Y STOP Y STOP Y C335 Y C335 Y C335 Y C335 Y C335 Y C335 Y OK 0X C335 Y C335 Y OK 0X C335 Y 180 cpm OX 0X C335 Y 180 cp</th> <th>0 00 0.095 22162 No Station 10 0 00 0.095 22162 No Station 10 0 00 0.095 22162 No Station 10 0 00 0.097 22162 No Station 10 0 00 0.074 22162 No Station 10 0 00 0.074 22162 No Station 10 0 00 0.047 22162 No Station 10 0 00 0.045 22167 No Station 10 0 00 0.2467 No Station 10 00 00 22662 No Station 10 0 00 0.1952 No Station 10 00 00 10152 No Station 10 0 00 0.1952 No Station 10</th> <th>No. Station ID Y 0.0 <t< th=""></t<></th>	STOP Y STOP Y C335 Y C335 Y C335 Y C335 Y C335 Y C335 Y OK 0X C335 Y C335 Y OK 0X C335 Y 180 cpm OX 0X C335 Y 180 cp	0 00 0.095 22162 No Station 10 0 00 0.095 22162 No Station 10 0 00 0.095 22162 No Station 10 0 00 0.097 22162 No Station 10 0 00 0.074 22162 No Station 10 0 00 0.074 22162 No Station 10 0 00 0.047 22162 No Station 10 0 00 0.045 22167 No Station 10 0 00 0.2467 No Station 10 00 00 22662 No Station 10 0 00 0.1952 No Station 10 00 00 10152 No Station 10 0 00 0.1952 No Station 10	No. Station ID Y 0.0 <t< th=""></t<>



December 2023

Test Run #4 ERU Event Log

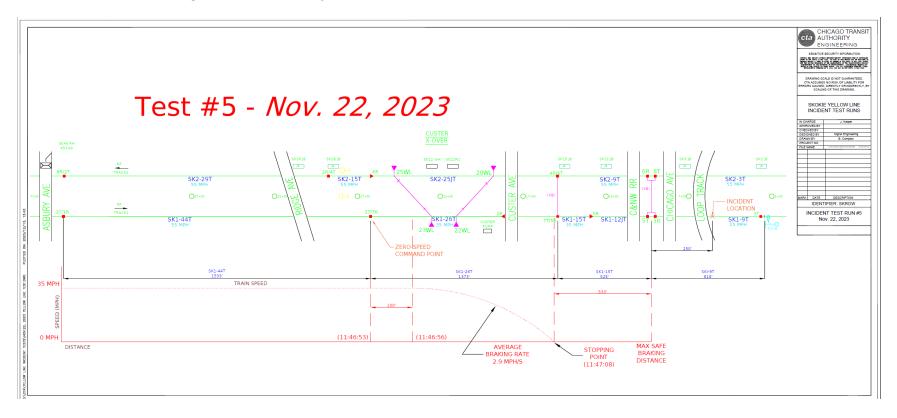




December 2023

Appendix V: Test Run #5 Analysis

Test Run #5 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #5 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #5							
Criteria	Time	Distance					
Loss of Cab	11:46:53	41612					
Stop Code	11:46:56	41712					
FS Brake	11:46:56	41737					
Zero Speed	11:47:08	42037					

Rail vehicle speed at loss of cab: 35 mph

Total distance from brake application to stopping point: **300 feet**

Total time from brake application to stopping point: **12 seconds**



Test Run #5 ATC Event Log Data Review	U E E D T U E T I C T T G C T T R M E M E	H E A S H C D P E F A D S P E F A D F E F H A O S P E E T S N D	H E A A D N C L U F T O C C I D F T O C C I D P C C R R E P C C R R E R C D A B C A I C T T D E D E S R R E	X X E X X E X X X X X X X X X X X X X X	L 0 U R E A C C C C C C C C C C C C C
ZERO SPEED FS BRAKE STOP CODE	Page 57 November 22. 2023 # 29360 2023-11-22 11:47:08 # 29377 2023-11-22 11:47:08 # 29377 2023-11-22 11:47:08 # 29377 2023-11-22 11:47:08 # 29377 2023-11-22 11:47:08 # 29377 2023-11-22 11:47:08 # 29374 2023-11-22 11:47:06 # 29374 2023-11-22 11:47:05 # 29366 2023-11-22 11:47:05 # 29366 2023-11-22 11:47:05 # 29366 2023-11-22 11:47:04 # 29366 2023-11-22 11:47:04 # 29366 2023-11-22 11:47:04 # 29366 2023-11-22 11:47:03 # 29366 2023-11-22 11:47:03 # 29366 2023-11-22 11:47:03 # 29357 2023-11-22<	7 FS D 227.5ms 2 8 FS D 227.5ms 2 8 9 FS D 27.5ms 2 9 75 D 27.5ms 2 7 7 75 D 27.5ms 2 7 7 7 7.5ms 2 7	STOP Y STOP Y	0 00 42037 No Station 10 0 00 62037 Station 10 00 <t< th=""><th>No Station D Y 0.0 0.0 0.0 U No Station D Y 0.0 0.0 0.0 0.0 No Station D Y 0.0 0.0 0.0 0.0 No Station D Y 0.0 0.0 0.0 0.0</th></t<>	No Station D Y 0.0 0.0 0.0 U No Station D Y 0.0 0.0 0.0 0.0 No Station D Y 0.0 0.0 0.0 0.0 No Station D Y 0.0 0.0 0.0 0.0

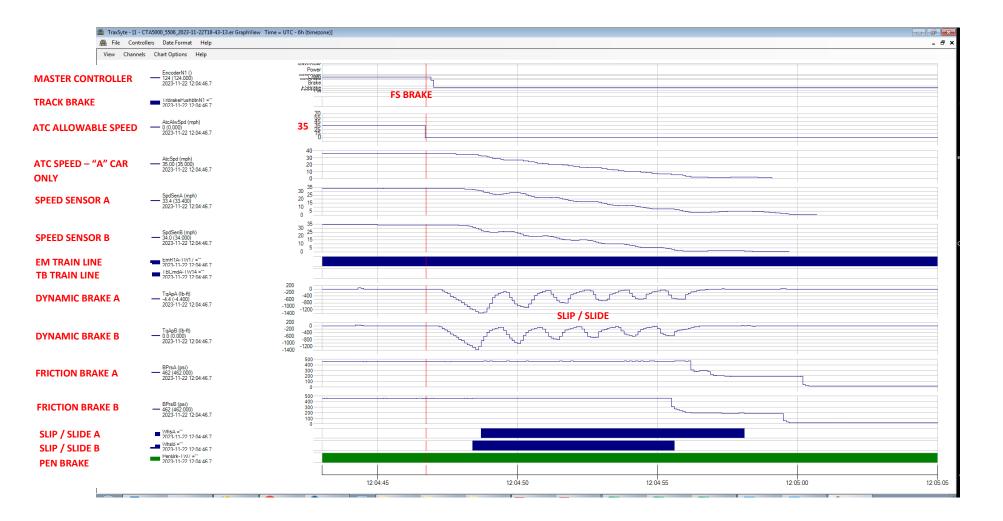


Test Run #5 ATC Event Log Data Review	U 5 7 E D 7 E A 7 E T 6 T T 6 T T 6 N E 7 E U M 7	M E C C C C C C C C C C C C C C C C C C	H E A CT L UF C CT F C A A B C F Y R A B C C C A C A C F Y C C A B C C C T T D C C C T T D C C C T T D	X E E E E E E E E E E E E E E E E E E E	L L R E A C C C C C C C C C C C C C
LOSS OF CAB		3 35 CT D 0K 0K 2 35 CT D 0K 0K 3 4 m ² D 0K 0K 34 m ² D 0K 0K 0K 34 m ² D 0K 0K 0K 33 m ² D 0K 0K 0K 4 31 m ² D 0K 0K 4 31 m ² D	OK OX C335 Y 188 cpm ON OX C335 Y 188 cpm ON OX C335 Y 188 cpm OX OX C355 Y 188 cpm	3 80 0.0	No. Station ID 0.0 0.0 0.0 0.0 No. Station ID 0.0 0.0 0.0 0.0 0.0 No. Station ID </th



December 2023

Test Run #5 ERU Event Log

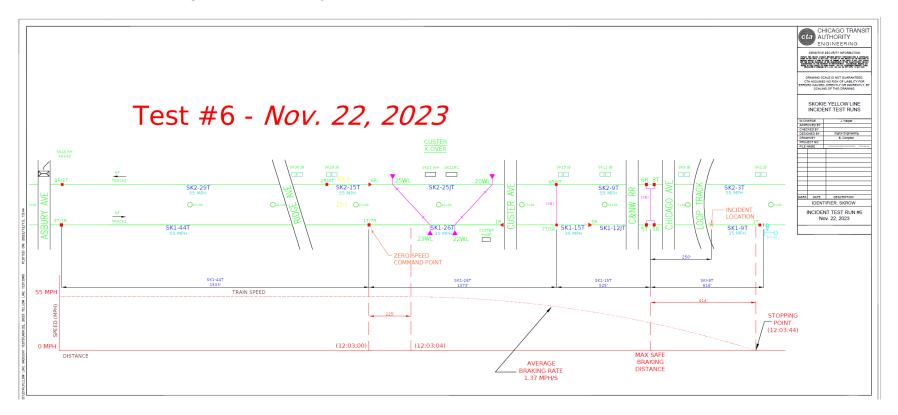




December 2023

Appendix VI: Test Run #6 Analysis

Test Run #6 Track Circuit Diagram and Vehicle Response





December 2023

Test Run #6 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #6						
Criteria	Time	Distance				
Loss of Cab	12:03:00	48585				
Stop Code	12:03:04	48810				
Zero Speed	12:03:44	49835				

Rail vehicle speed at loss of cab: 53 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A



Test Run #6 ATC Event Log Data Review	U E E D T U A R E T G T T C N E C N I E U M R M E Fage 44 November 22, 2023	H E C D D E E E E E E E N T A D E E E N T A D E E T E N T E S D E E T E N T E C D E E S D E S S D E S S D E S S D E S S D E S S S S	H E A I X A D N C E I T L U F C T N V R F T O C C I F A A Y R A A V R L C C R R E C I K R Y D A B C C C C I C C T T D S D R D E S R R E P E R	N EX T I S S U T A T C C C C C C C C C C C C C C C C C	L L N E E A C R E X T I T B R T R U C U R R H G L E L Z R R 1 G C C 0 B Q
ZERO SPEED	30355 2023-11-22 12:08:11 30354 2023-11-22 12:08:11 30355 2023-11-22 12:08:11 30355 2023-11-22 12:08:11 30355 2023-11-22 12:08:11 30355 2023-11-22 12:08:10 30359 2023-11-22 12:08:10 30349 2023-11-22 12:08:10 30344 2023-11-22 12:08:10 30344 2023-11-22 12:08:10 30344 2023-11-22 12:08:10 30344 2023-11-22 12:08:10 30343 2023-11-22 12:08:10 30344 2023-11-22 12:08:10 30347 2023-11-22 12:08:10 30337 2023-11-22 12:08:10 30337 2023-11-22 12:08:10 30337 2023-11-22 12:08:10 30337 2023-11-22 12:07:55 30338 2023-11-22 12:07:55 30338 2023-11-22 12:07:55 303327<	3 11. m ² D OK OK 1 10 m ² D OK OK 1 10 m ² D OK OK 1 10 m ² D OK OK 3 11. m ² D OK OK 3 11. m ² D OK OK 3 12. OK OK OK 4 7 7 D OK OK 5 8 CT D OK OK 6 9 CT D OK OK 2 9 CT D OK OK 2 9 CT D OK OK 10 10 CT D OK OK 11 10 CT D OK OK 11 10 CT D OK OK <	OK OK CS15 Y 75 CPM 1 82 0K OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK CS15 Y 75 CPM 1 82 OK OK OK<	58168 No Station ID No Station ID 58175 No Station ID No Station ID 58185 No Station ID No Station ID 58868 No Station ID No Station ID 58865 No Station ID No Station ID 58865 No Station ID No Station ID 58875 No Station ID No Station ID 59885 No Station ID No Station ID 49985 No Station ID No Station ID 49985 No Station ID No Station ID 49986 No Station ID No Station ID 49986 No Station ID No Station ID 49986 No Station ID	



Test Run #6 ATC Event Log Data Review	U S F T T T T T T T T T T T T T T T T T T	M E C D D D D D D D D D D D D D D D D D D	Y RAAU CRRE CE Y DABC C 0	X X E X X X X X X X X X X X X X X X X X	L L O U R E A C R C R C R C R C R C R C R C R
	30290 2023-11-22 12:03:44 30279 2023-11-22 12:03:44 30277 2023-11-22 12:03:44 30276 2023-11-22 12:03:44 30276 2023-11-22 12:03:44 30276 2023-11-22 12:03:44 30276 2023-11-22 12:03:44 30277 2023-11-22 12:03:44 30277 2023-11-22 12:03:44 30277 2023-11-22 12:03:44 30265 2023-11-22 12:03:44 30265 2023-11-22 12:03:33 30265 2023-11-22 12:03:33 30265 2023-11-22 12:03:33 30265 2023-11-22 12:03:33 30265 2023-11-22 12:03:23 30255 2023-11-22 12:03:23 30255 2023-11-22 12:03:23 30255 2023-11-22 12:03:23 30255 2023-11-22 12:03:23 30255 2023-11-22 12:03:23 30255<	0 PP D DX DX DX 0 PP D XX DX DX 0 PP D XX DX DX DX 0 MP D XX DX DX DX DX 0 MP D DX DX DX DX DX 1 MP D DX DX DX DX DX 0 MP D DX DX<	OK CS15 Y 75 cp OK STOP Y ME 83% STOP Y	em 1 02 UK 49835 No Station ID em 1 02 OK 49835 No Station ID em 1 02 OK 49835 No Station ID em 1 02 OK 49835 No Station ID	NoStationID000000000NoStationID00

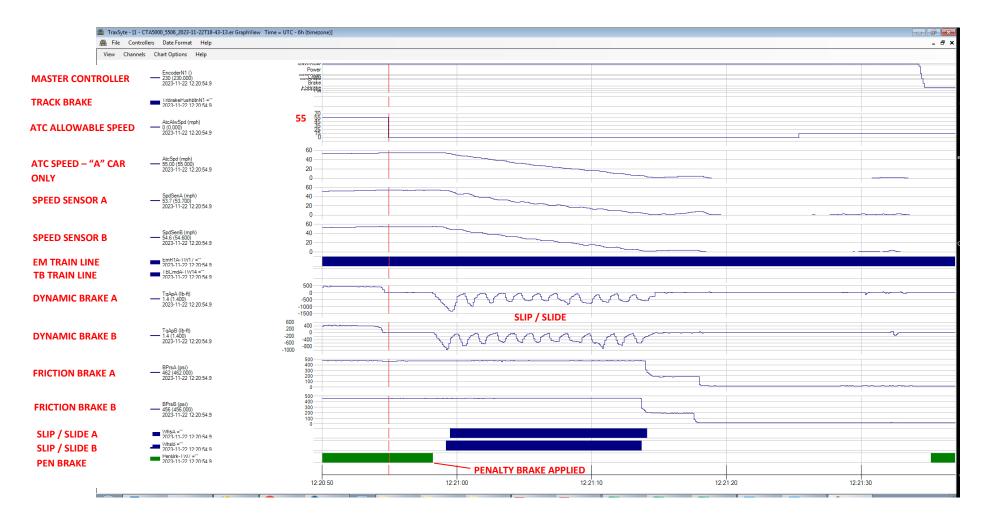


Test Run #6 ATC Event Log Data Review	U S E E D T V A I N E T G T T G N I R M E	M E A S M C B B P F B E F A B R S P F E M F A D S P F E N A D D S N D	DYRA EPCR ECE RRYDA AIC TOLCT	X X C E T C E I C I N U C I F A D C I F A D SA U R L C R E C I K AB C C C A AB C C C A T D S D R C T D S D R C T D S D R C T R E P R E	N E X S C U A A T E I C N D	L L N E E A G R B X T I T B R T R U C U R R N G L E L E R 1 6 L R R 7 4 C C G D B Q
STOP CODE LOSS OF CAB	Page 46 November 22. 2023 n 30205 2023-11-22 12:33:1 n 30204 2023-11-22 12:33:1 n 30205 2023-11-22 12:33:1 n 30205 2023-11-22 12:33:1 n 30205 2023-11-22 12:33:1 n 30209 2023-11-22 12:33:1 n 30190 2023-11-22 12:33:1 n 30190 2023-11-22 12:33:0 n 30193 2023-11-22 12:33:0 n 30119 2023-11-22 12:33:0 n 30119 2023-11-22 12:33:0 n 30119 2023-11-22 12:33:0 n 30119 2023-11-22 <td< th=""><th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th><th>es 132CPM 46.2ms 10% STOP Y es 112CPM 93.0ms 17% STOP Y es 112CPM 68.0ms 10% STOP Y es 112CPM 68.0ms 10% STOP Y STOP Y</th><th>0 00 0.05.1 43385 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43235 No Stat. 0 00 0.082 43135 No Stat. 0 00 0.0845 43135</th><th>on 1D No Station 1D on 1D No Station 1D</th><th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th></td<>	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	es 132CPM 46.2ms 10% STOP Y es 112CPM 93.0ms 17% STOP Y es 112CPM 68.0ms 10% STOP Y es 112CPM 68.0ms 10% STOP Y STOP Y	0 00 0.05.1 43385 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43310 No Stat. 0 00 0.082 43235 No Stat. 0 00 0.082 43135 No Stat. 0 00 0.0845 43135	on 1D No Station 1D on 1D No Station 1D	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$



December 2023

Test Run #6 ERU Event Log





567 West Lake Street Chicago, Illinois 60661-1498 TEL 312 664-7200 www.transitchicago.com

MEMO:

DATE:	December 15, 2023
то:	Nancy-Ellen Zusman, CTA Chief Safety and Security Officer
FROM:	James Harper, Chief Engineer Infrastructure
RE:	November 16, 2023 Collision on Yellow Line Between Revenue Train and Snow Fighter

Results of Post-Incident Re-Enactment #3 and Mitigation Efforts Using Test Trains

Event Synopsis

On Thursday, November 16, 2023, at approximately 10:30 hours in the morning, Chicago Transit Authority (CTA) Yellow Line Run #593 was operating as a two-car consist (lead car 5600) on a normal round trip towards Howard Terminal. As the train approached Howard Terminal in the southbound direction, it struck maintenance vehicle S500, a non-revenue, diesel powered locomotive used to remove snow from the tracks (also known as a Snow Fighter). There were six CTA employees onboard the Snow Fighter and 31 passengers and crew onboard the revenue train.

Test Train Re-Enactments

Test Train Re-Enactment #3 (November 27, 2023)

This section provides a report on the efforts by CTA on November 27, 2023 to re-enact the collision conditions using a test train and using simulated occupancy of the section of track that the Snow Fighter was occupying at the time of the incident.

Re-Enactment #3 was performed for the following reasons:

- Attempt to demonstrate the effects of slippery rail on the overall braking capabilities of the train.
- Attempt to demonstrate how the train reacts to the presence of a train ahead by simulating the presence of a train in the section of track that the Snow Fighter was occupying.
- Attempt to understand how the slip/slide system on the trains can affect train braking performance.
- Attempt to understand how the train reacts to a slip/slide condition without operator intervention and how this may affect overall braking performance.
- Attempt to understand if cleaning rails can affect train braking performance.



December 2023

The test train (head car 5505) was staged at the Skokie-Dempster Station at approximately 1000 hours on Monday, November 27, 2023. The re-enactment was supported by staff from CTA Signal Maintenance and CTA Track Maintenance.

In addition, the following CTA participants participated in the review:

- Jim Harper, CTA Chief Engineer
- Carrie Wagener, CTA First Deputy Chief Engineer
- Cody Krezinski, CTA Engineer III Civil / Track
- Brent Frey, CTA Signal Engineer I
- Kevin Carney, CTA Transit System Safety Officer
- Grant Macey, CTA Chief Rail Equipment Engineer
- Chris Hegarty, CTA General Manager, Rail Engineering & Instruction
- CTA Train Operator
- CTA Rail Operations Supervisor

The signal system track circuits involved in the incident, and in re-enactment tests, are shown in Figure 1 below. The figure includes a note where the zero speed command is received when a train occupies the track circuit that was occupied by the Snow Fighter at the time of the incident (SKI-9T track circuit). The figure also shows the length of each track circuit involved and the location of the accident relative to the start of the SK1-9T track circuit.

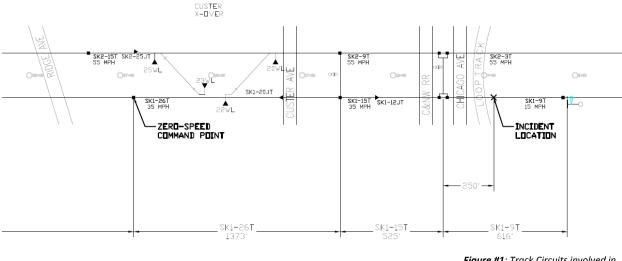


Figure #1: Track Circuits involved in the November 16, 2023 Accident

All temporary slow zones implemented after the accident were removed at the start of the test to simulate the conditions under which the incident train operated. A total of 13 test runs were conducted as part of Figure 2 below provides a tabulation of all 13 test run results.



December 2023

		Test Date Test Time		11/27/2023 10:00		
		Conditions st Trainset		25F, Clear 5505-5506		
	Fo	or all runs: Notes:		Simulated loo	cation of Sn ation of Sn	ails ow Fighter was 250' beyond entrance to 9T (any stopping distance beyond 9T greater than 250' ow Fighter was done using a track shunt at collision location. icate train stopped safely short of the 9T track circuit ahead
	J. S. Works	Just Colorest	y de St. Jogensterners Jogensterners	son Harder States	locardin entre	re ^{contresh}
Test	* / 4	Wax.	Distan	AVETOS	Collisit	Contra
1	Y	55	-236	1.8	N	No Operator Intervention; Train automatically deployed penalty brake after entering 26T and reaction time ran.
2	Y	55	168	1.6	N	Operator reacted to steady red audible and used full service brake, no track brake.
2, Take		55	293	1.6	Y	Operator reacted to steady red audible and used full service brake, no track brake.
3	Y	55	-454	2.3	N	Operator reacted to steady red audible and used full service brake, track brake deployed early in braking event prior to reaching point where simulated snow fighter could be seen.
3, Take	2 Y	55	50	1.5	N	Operator reacted to steady red audible and used full service brake, track brake deployed when simulated snow fighter position came into view.
3A	Y	55	53	1.8	Ν	Operator reacted to steady red audible and used full service brake, transitioned to "full emergency" position when simulated snow fighter position came into view.
4	Y	35	-518	1.8	N	No Operator Intervention; Train automatically deployed penalty brake after entering 26T and reaction time ran.
5	Y	35	-628	1.9	N	Operator reacted to steady red audible and used full service brake, no track brake
6	Y	55	257	1.4	Y	No Operator Intervention; Train automatically deployed penalty brake after entering 26T and reaction time ran (repeat of Run #1).
7	Y	55	532	1.0	Y	No Operator Intervention; Train automatically deployed penalty brake after entering 26T and reaction time ran. Vehicle Engineering modified the slip/slide software to introduce track brake during slip/slide condition.
8	Y	55	-81	1.4	N	No Operator Intervention; Train automatically deployed penalty brake after entering 26T and reaction time ran. Vehicle Engineering modified the slip/slide software to introduce track brake during slip/slide condition.
9	Y	55	453	0.9	Y	No Operator Intervention; train automatically deployed penalty brake after entering 26T and reaction time ran. Vehicle Engineering modified the slip/slide software to introduce track brake during slip/slide condition.
10	Y	55	-49	1.3	N	No Operator Intervention; train automatically deployed penalty brake after entering 26T and reaction time ran. Vehicle Engineering modified the slip/slide software to introduce

SK1 track segments, towards Howard: 44T-26T-15T-9T "Zero-speed command point (26T bond)" is transition between 44T and 26T, before Custer x-over

All test runs were set up with a simulated train in the section of track that contained the Snow Fighter (the SK1-9T track circuit). The simulation was performed by forcing the track circuit into an occupied state using control equipment in the signal bungalow. The expectation for the test runs was that the train would reach a speed of approximately 35 or 55 mph and would react to a zero-speed (stop code) cab command at the start of the SK1-26T track circuit at the top of the downhill grade (as designed). The rails were previously power washed to determine if cleaning would have an effect on brake rates. In addition, the Vehicle Engineering team modified the slip/slide software to introduce the Track Brake during slip/slide conditions for the final four test runs. Data from test train 5505 for all test runs was recovered and analyzed as part of this review. ATC data and ERU data for each run is found in <u>Appendices I – X</u>.

Figure #2: Results of November 27, 2023 Re-Enactment #3 Test Runs



Test Runs #1 – 10 (November 27, 2023)

Test Run #1: No Operator Intervention | 55 mph

Test Run #1 was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 3 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 4 below) roughly 236 feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly nine seconds of slip/slide conditions and the average brake rate was approximately 1.8 mph/s.



Figure #3: Test Run #1 – Speed when stop code command was received from signal system



Figure #4: Test Run #1 – Location where train came to a stop



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Test Run #2: Full Service Brake | 55 mph

Test Run #2 was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 5 below. The operator of the train immediately applied the Full Service Brake. The train came to a complete stop (see Figure 6 below) roughly 168 feet beyond the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter, but still violated the maximum safe braking distance assumed by the wayside signal system. The train experienced roughly 16 seconds of slip/slide conditions and the average brake rate was approximately 1.6 mph/s.



Figure #5: Test Run #2 – Speed when stop code command was received from signal system



Figure #6: Test Run #2 – Location where train came to a stop



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Test Run #2, Take 2: Full Service Brake | 55 mph

Test Run #2, Take 2, was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 7 below. The operator of the train immediately applied the Full Service Brake. The train came to a complete stop (see Figure 8 below) roughly 298 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 16 seconds of slip/slide conditions and the average brake rate was approximately 1.58 mph/s.



Figure #7: Test Run #2-Take 2 – Speed when stop code command was received from signal system



Figure #8: Test Run #2-Take 2 – Location where train came to a stop



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Test Run #3: Full Service Brake & Track Brake | 55 mph

Test Run #3 was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 9 below. The operator of the train immediately applied the Full Service Brake and deployed the Track Brake ahead of when the simulated Snow Fighter came into view. The train came to a complete stop (see Figure 10 below) roughly 454 feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly 10 seconds of slip/slide conditions and the average brake rate was approximately 2.3 mph/s.



Figure #9: Test Run #3 – Speed when stop code command was received from signal system



Figure #10: Test Run #3 – Location where train came to a stop



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Test Run #3, Take 2: Full Service Brake & Track Brake | 55 mph

Test Run #3, Take 2, was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 11 below. The operator of the train immediately applied the Full Service Brake and deployed the Track Brake when the simulated Snow Fighter came into view. The train came to a complete stop (see Figure 12 below) roughly 50 feet beyond the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter, but still violated the maximum safe braking distance assumed by the wayside signal system. The train experienced roughly 19 seconds of slip/slide conditions and the average brake rate was approximately 1.54 mph/s.



Figure #11: Test Run #3-Take 2 – Speed when stop code command was received from signal



Figure #12: Test Run #3-Take 2 – Location where train came to a stop



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Test Run #3A: Full Service Brake & Emergency | 55 mph

Test Run #3A was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 13 below. The operator of the train immediately applied the Full Service Brake and entered Emergency when the simulated Snow Fighter came into view. The train came to a complete stop (see Figure 14 below) roughly 53 feet beyond the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter, but still violated the maximum safe braking distance assumed by the wayside signal system. The train experienced roughly 17 seconds of slip/slide conditions and the average brake rate was approximately 1.83 mph/s.



Figure #13: Test Run #3A – Speed when stop code command was received from signal system



Figure #14: Test Run #3A – Location where train came to a stop



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Test Run #4: No Operator Intervention | 35 mph

Test Run #4 was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 35 mph, which it reached before receiving a red cab signal stop code as seen in Figure 15 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 16 below) roughly 518 feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly nine seconds of slip/slide conditions and the average brake rate was approximately 1.83 mph/s.



Figure #15: Test Run #4 – Speed when stop code command was received from signal system



Figure #16: Test Run #4 – Location where train came to a stop



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Test Run #5: Full Service Brake | 35 mph

Test Run #5 was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 35 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 17 below. The operator of the train immediately applied the Full Service Brake. The train came to a complete stop (see Figure 18 below) roughly 628 feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly eight seconds of slip/slide conditions and the average brake rate was approximately 1.94 mph/s.



Figure #17: Test Run #5 – Speed when stop code command was received from signal system



Figure #18: Test Run #5 – Location where train came to a stop



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Test Run #6: No Operator Intervention | 55 mph

Test Run #6 was a repeat of Test Run #1 and was performed with a simulated train in the SK1-9T track circuit. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 19 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 20 below) roughly 257 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 13 seconds of slip/slide conditions and the average brake rate was approximately 1.38 mph/s.



Figure #19: Test Run #6 – Speed when stop code command was received from signal system



Figure #20: Test Run #6 – Location where train came to a stop



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Test Run #7: No Operator Intervention and Modified Slip/Slide Software | 55 mph

Test Run #7 was performed with a simulated train in the SK1-9T track circuit. Vehicle Engineering modified the slip/slide software to introduce track braking during slip/slide detection. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 21 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 22 below) roughly 532 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 14 seconds of slip/slide conditions and the average brake rate was approximately 0.98 mph/s.



Figure #21: Test Run #7 – Speed when stop code command was received from signal system



Figure #22: Test Run #7 – Location where train came to a stop



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Test Run #8: No Operator Intervention and Modified Slip/Slide Software | 55 mph

Test Run #8 was performed with a simulated train in the SK1-9T track circuit. Vehicle Engineering modified the slip/slide software to introduce track braking during slip/slide detection. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 23 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 24 below) roughly 81feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly 11 seconds of slip/slide conditions and the average brake rate was approximately 1.37 mph/s.



Figure #23: Test Run #8 – Speed when stop code command was received from signal system



Figure #24: Test Run #8 – Location where train came to a stop



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Test Run #9: No Operator Intervention and Modified Slip/Slide Software | 55 mph

Test Run #9 was performed with a simulated train in the SK1-9T track circuit. Vehicle Engineering modified the slip/slide software to introduce track braking during slip/slide detection. The train was given a maximum allowable speed of 55 mph, which it nearly reached before receiving a red cab signal stop code as seen in Figure 25 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 26 below) roughly 453 feet beyond the entrance of SK1-9T, which would have resulted in a collision with the simulated Snow Fighter. The train experienced roughly 10 seconds of slip/slide conditions and the average brake rate was approximately 0.88 mph/s.



Figure #25: Test Run #9 – Speed when stop code command was received from signal system



Figure #26: Test Run #9 – Location where train came to a stop



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Test Run #10: No Operator Intervention and Modified Slip/Slide Software | 55 mph

Test Run #10 was performed with a simulated train in the SK1-9T track circuit. Vehicle Engineering modified the slip/slide software to introduce track braking during slip/slide detection. The train was given a maximum allowable speed of 55 mph, which it reached before receiving a red cab signal stop code as seen in Figure 27 below. The operator of the train did not apply a braking application (the operator retained the master controller in the propulsion position) and the train received a penalty brake after entering SK1-26T as a result. The train came to a complete stop (see Figure 28 below) roughly 49 feet ahead of the entrance of SK1-9T, which would have avoided a collision with the simulated Snow Fighter and met the maximum safe braking distance. The train experienced roughly 11 seconds of slip/slide conditions and the average brake rate was approximately 1.25 mph/s.



Figure #27: Test Run #10 – Speed when stop code command was received from signal system



Figure #28: Test Run #10 – Location where train came to a stop

Conclusions

The following conclusions can be derived from this series of re-enactments:

- When entering the SK1-26T track circuit (at the top of the downhill grade where they received a zero speed stop command due to a "train ahead" condition) at 55 mph, the test train was not able to consistently brake to zero speed using the Full Service Brake (see Test Run #2 and #2, Take 2) before entering the SK1-9T track circuit (the limit of the maximum safe braking distance assumed by the wayside signal system). Brake rates for these runs were: Test Run #2 1.6 mph/s; Test Run #2, Take 2 1.58 mph/s (compared to CTA's standard braking model brake rate of 1.9 mph/s).
- When the maximum allowable speed in approach to the downhill grade was reduced to 35 mph, the test train was able to automatically brake without operator intervention with a large safety margin (see Test Run #4). The train experienced slip/slide conditions on the downhill grade for roughly nine seconds when it entered the downhill grade at this reduced speed, and experienced an average brake rate of 1.83 mph/s. When the operator applied the Full Service Brake after receiving the zero speed stop command with a 35 mph approach speed (see Test Run #5), the train stopped with an even greater margin of safety, with average brake rate of 1.94 mph/s.
- The test runs indicated that slip/slide conditions appear to significantly affect train braking
 performance when entering a downhill grade at 55 mph, to the point where brake rates are well
 below the degraded rate assumed in the signal system design. When entering speeds were
 reduced to 35 mph, the train was able to brake within the brake rate assumptions used in CTA's
 standard braking model.
- Prior to running Day 3 of re-enactments, the running rails on the downhill grade were cleaned to remove foreign organic material (leaves) that appeared to have exacerbated the slip/slide conditions experienced by the November 16 incident train. The results of the Day 3 test runs indicated an improvement in average brake rates for the various runs (e.g., the November 22 Test Run #1 Brake Rate = 1.3 mph/s vs. the November 27 Run #1 Brake Rate = 1.8 mph/s).
- Test train braking performance continued to show wide swings in braking distances/rates under the same test parameters (e.g. Test Run #1 and Test Run #6 had same parameters but had nearly a 500 feet difference in braking distance).
- The train's braking systems (in particular dynamic braking and friction braking) responded to the slip/slide conditions in varying ways, especially if the speed sensors dropped to zero speed as a result of the wheels locking up and sliding. The dynamic brakes appear to "turn off" when zero speed is sensed, even if the train is still moving (sliding) at a high rate of speed. The friction brakes activate as zero speed is sensed, but then behave erratically as the sensed speed increases again.
- When Vehicle Engineering modified the vehicle slip/slide software to introduce the Track Brake during slip/slide conditions for the final four test runs, results varied that included the test train stopping short of the SK1-9T circuit, and also exceeding the circuit and location of the simulated Snow Fighter. In two cases, Test Run #7 and Test Run #9, the vehicle appears to lose all forms of braking.



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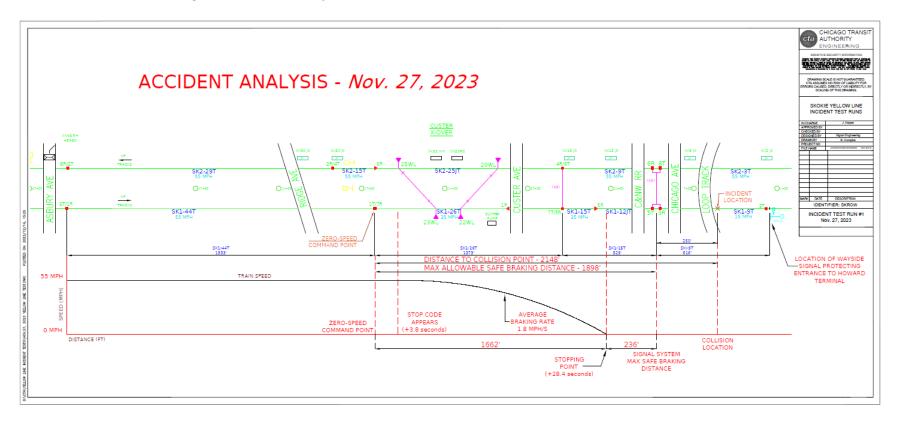
Appendices I – X

- Appendix I: Test Run #1 Analysis
- Appendix II: Test Run #2 Analysis
- <u>Appendix II –II: Test Run #2 Take 2 Analysis</u>
- Appendix III: Test Run #3 Analysis
- <u>Appendix III II: Test Run #3 Take 2 Analysis</u>
- <u>Appendix IIIA: Test Run #3A Analysis</u>
- Appendix IV: Test Run #4 Analysis
- Appendix V: Test Run #5 Analysis
- Appendix VI: Test Run #6 Analysis
- Appendix VII: Test Run #7 Analysis
- Appendix VIII: Test Run #8 Analysis
- Appendix IX: Test Run #9 Analysis
- Appendix X: Test Run #10 Analysis

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Appendix I: Test Run #1 Analysis

Test Run #1 Track Circuit Diagram and Vehicle Response



Test Run #1 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #1						
Criteria	Time	Distance				
Loss of Cab	10:42:31	46040				
Stop Code	10:42:35	46290				
Zero Speed	10:43:04	47765				

Rail vehicle speed at loss of cab: 53 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A

Test Run #1 ATC Event Log Data Review	S M E C A C A R U A D D D R U A D E P R N E S T A E G N T P E D R R G N I E N M I A C N I E N M J R M E D S N D E	E A I X D D N C E I T U F T N U R I I T T T C I F A D I C I	N L U U U U U U U U U U U U U U U U U U
ZERO SPEED	Page 186 Wovember 28. 2223 * 8147 2023-11-27 10:47:46 0 * 0145 2023-11-27 10:47:44 0 * 0145 2023-11-27 10:47:44 0 * 0143 2023-11-27 10:47:44 0 * 0143 2023-11-27 10:47:44 0 * 0143 2023-11-27 10:47:44 0 * 0149 2023-11-27 10:47:41 0 * 0149 2023-11-27 10:47:40 0 0F * 0149 2023-11-27 10:46:50 0 FS D * 0129 2023-11-27 10:46:40 0 FS D * 0129 2023-11-27 10:46:30 0 FS D * 0129 2023-11-27 10:46:30 0 FS D * 0129 2023-11-27 10:46:30 0 FS D * 0119 2023-11-27 10:46:30 0 FS D * 0119 2023-11-27 10:43:07 0 FS D * 0116	STOP 0 00 000000 No STOP 0 00 000000 No S STOP 0 00 000000 000000 No S STOP 0 00 0000000 No S	tation ID No Station ID D 0.0 <

Test Run #1 ATC Event Log Data Review	U H H E A I X N S M E A D N C E I T X E E C A C L U F T N V R T T E D C C L U F T N V R T U A D F X A D T T T U A D F T N V R T T U A D P Y R A U R C S R E S F E P C C R R C C C A D G T T S D R R Y D A B C C C A T G T T A D T C T D A D N D R M E T A D T	L L N E R E A C R O E X T I T B U M T E T I B T R A D C URR F R A D C URR N 6 L E L E R F E D 7 4 C C C 0 B 9
STOP CODE	= 8072 2023-11-27 10:42:53 23 MP D STOP Y 0 00 47515 No Station ID No Stat = 8071 2023-11-27 10:42:52 22 MP D STOP Y 0 00 47515 No Station ID No Stat	tion 1D Y 0.0<

Test Run #1 ATC Event Log Data Review	U E E D R E D R E T R E T G T T G T T G N I E U M R M E Page 188 November 28, 2023	M H M E A C A C L D S O F D D D D P D D D D P D D N R R D D N N R D N D T O D S N D D	Y RAAURLCI S CRRECIKS T CEREDTA Y DABC CCA T C OADUN I	L L U U U U U U U U U U U U U
LOSS OF CAB	7937 2823-11-27 18:42:34 5 7936 2823-11-27 18:42:34 5 7935 2823-11-27 18:42:34 5 7939 2823-11-27 18:42:34 5 7939 2823-11-27 18:42:34 5 7939 2823-11-27 18:42:32 5 7939 2823-11-27 18:42:31 5 7939 2823-11-27 18:42:31 5 7939 2823-11-27 18:42:31 5 7936 2823-11-27 18:42:31 5 7936 2823-11-27 18:42:31 5 7936 2823-11-27 18:42:30 5 7936 2823-11-27 18:42:30 5 7937 2823-11-27 18:42:30 5 7937 2823-11-27 18:42:30 5 7937 2823-11-27 18:42:28 5 7937 2823-11-27 18:42:28 5 7937 2823-11-27 18:42:28 5	MP D OK OK OK OK OK D OK OK OK OK OK OK MP D OK OK OK OK OK MP D OK OK OK OK OK MP D OK OK OK OK OK	DN CSS5 Y 5 20 0X 66199 No Station ID DK CSS5 Y 5 20 0.16 66199 No Station ID DK CSS5 Y 5 20 0.16 66199 No Station ID DK CSS5 Y 5 20 0.16 66040 No Station ID DK CSS5 Y 270 cpm 5 20 0.14 66040 No Station ID DK CSS5 Y 270 cpm 5 20 0.14 66040 No Station ID DK CSS5 Y 270 cpm 5 20 0.14 66040 No Station ID DK CSS5 Y 270 cpm 5 0.01 45915 No Station ID DK CSS5 Y 270 cpm 5 0.01 45915 No	No Station ID 8.8 0.0 8.8 No Station ID 0.0 0.0 0.0 No Station ID 0.0 0.0 0.0 </th

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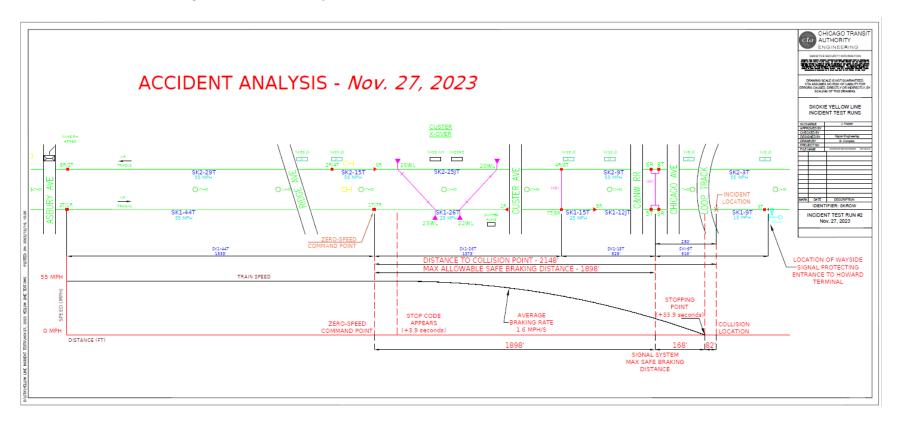
Test Run #1 ERU Event Log



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Appendix II: Test Run #2 Analysis

Test Run #2 Track Circuit Diagram and Vehicle Response



Test Run #2 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #2					
Criteria	Time	Distance			
Loss of Cab	10:55:45	58265			
Stop Code	10:55:48	58490			
FS Brake	10:55:49	58665			
Zero Speed	10:56:22	59790			

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: **1,125 feet**

Total time from brake application to stopping point: **38 seconds**

Test Run #2 ATC Event Log Data Review	U E E E D T U A R E E I N E C T E C T I E U M R M R M Page 170 November 28, 2023	M E C S D D P E E E P F E D R F N M I E T A O D S N D	H E A C L U F T O F T O C C I D Y R A A U F Y C R A C R R Y D A B C C T T O F O L C T T O E D E S R R E	X X E X X X X X X X X X X X X X X X X X	L L 0 U R E A C R B C R C R B C R B C R B C R B C R B C R B C R C R C R B C R C R C R C R C R C R C R C R
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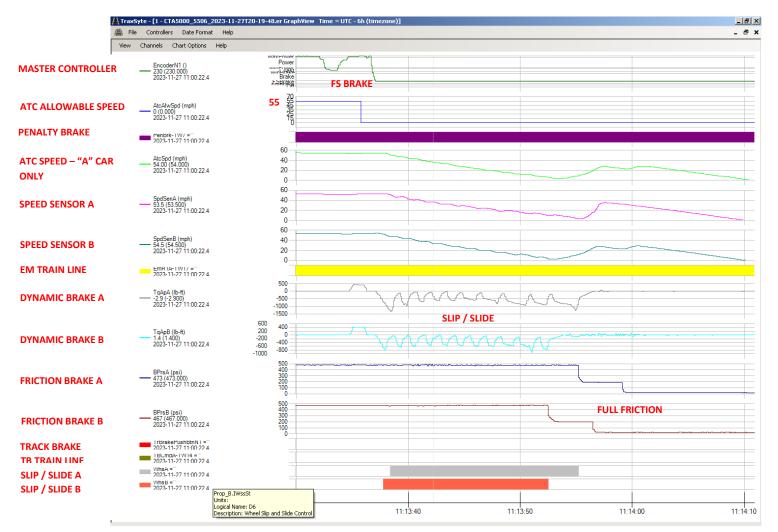
December 2023

Test Run #2 ATC Event Log Data Review	U E R E D T U A R E T I N E C T T C T T C N I E U M R M E Page 171 November 28, 2023	H C C D D D D D D D D D D D D D D D D D	H E A I A D F C T N F T O C C I F P Y R A A U R F Y R A A U R F C R R E C C R R E C I C C T T D A D E S R R E P	IÚŘ Ť FAAD FLCIS EDTAS EDTA AOUNI	L U E E C C C C C C C C C C C C C
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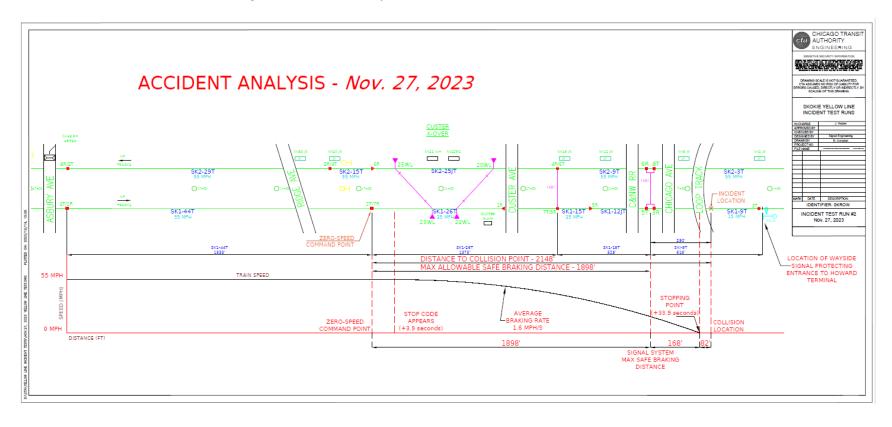
Test Run #2 ERU Event Log



December 2023

Appendix II-II: Test Run #2 – Take 2 Analysis

Test Run #2 – Take #2 Track Circuit Diagram and Vehicle Response



December 2023

Test Run #2 – Take 2 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #2 – Take 2								
Criteria	Time	Distance						
Loss of Cab	11:07:43	05379						
Stop Code	11:07:45	05529						
FS Brake	11:07:46	05604						
Zero Speed	11:08:20	06779						

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: **1,175feet**

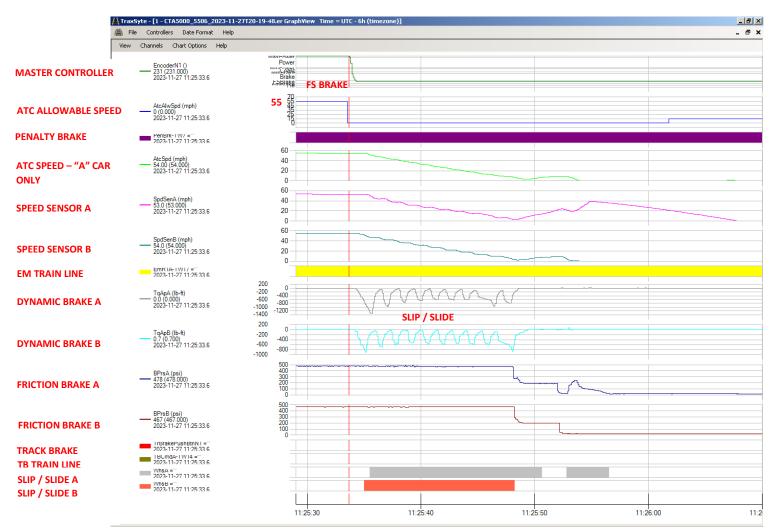
Total time from brake application to stopping point: **34 seconds**

Test Run #2 – Take 2 ATC Event Log Data	U S F T U A R E D T U A R E T T C T T C T T C T T C T T C N I E U M R M E Page 153 November 28, 2823	M E E S D D E E E S S T A E E P E D M I E T A D S N D	DYRA EPCR ECE RRYDA AIC TOLCT	A 1 N A 1 T X C E 1 T X C E F A D T C T N A D T C T N A D T N R E C I N S T A B C I N S T A A B C C I N S T A B C D C A T T T T D A D R C O T T D S D R C N T T D S D R C N T T D S D R C N	L U E A C R O E X T I T B T T T B C U C R T T R A U C U R R T T R A U C U R R T T A C C C O B Q J 7 4 C C O B Q
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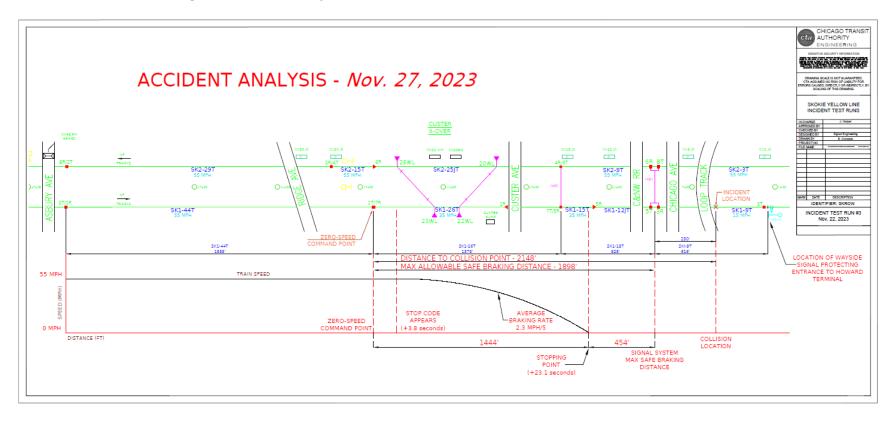
Test Run #2 – Take 2 ERU Event Log



December 2023

Appendix III: Test Run #3 Analysis

Test Run #3 Track Circuit Diagram and Vehicle Response



Test Run #3 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #3									
Criteria	Time	Distance							
Loss of Cab	11:20:06	16354							
Stop Code	11:20:09	16579							
FS Brake	11:20:30	18204							
Zero Speed	11:20:53	18929							

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: **725 feet**

Total time from brake application to stopping point: **23 seconds**

Test Run #3 ATC Event Log Data Review	U S E R E D T U A R E T I N E C T T C N T E U M R M E Page 135 November 28, 2023	H C C D D D D D D D D D D D D D D D D D	H E A I X A D N C E I L U F T N U F T O C C I F A Y R A A U R L P C R R E C I E C E A B C C I C T T O S O D E S R R E P E	N E R D F C I S O K S T U C A A T C A T E U AN I R C O I R E N D	L U U U U U U U U U U U U U
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December 2023

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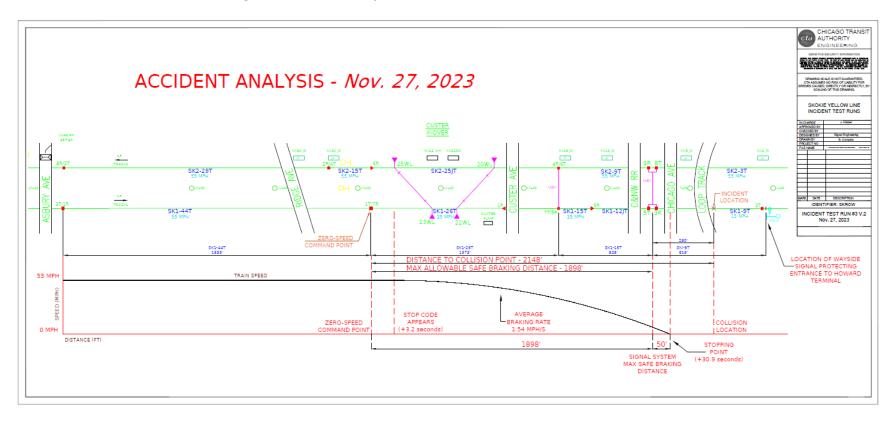
Test Run #3 ERU Event Log



December 2023

Appendix III-II: Test Run #3 – Take 2 Analysis

Test Run #3 – Take 2 Track Circuit Diagram and Vehicle Response



Test Run #3 – Take 2 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #3 – Take 2								
Criteria	Time	Distance						
Loss of Cab	11:38:21	28727						
Stop Code	11:38:25	28952						
FS Brake	11:38:26	29102						
Zero Speed	11:39:01	29802						

Rail vehicle speed at loss of cab: 54

Total distance from brake application to stopping point: 700 feet

Total time from brake application to stopping point: **35 seconds**

Test Run #3 – Take 2 ATC Event Log Data	U H E C A C R E D S D R E F F C T U A D D D R E F F C T F A E C T F A E C T F A E C T F A C	Y DABCCCA TETRA DA 2 COA OUNI HELELE LCTTDSDRCOIL.	BR VRR ZR RFE OBQ
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H A L F x O N G I T U D E M C A S C D D E Test Run #3 – Take 2 RTICAL E U F сс A C K A T E R 0 R I U E AL R O U T E D A ĂĂ R R S T A T ΕÑ BR URR ZR ERFE OBQ P р C Y NTNUM DCODF **ATC Event Log Data** A D M A N C U R R TR W6 R Ď AB Â A S P T T R R F C S 1. 74 C C G F Page 120 November 28, 2023 $\begin{array}{c} 13097 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13093 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13093 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13093 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13093 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13095 & 222 - 11 - 27 & 11 & 38 & 44 \\ 13096 & 222 - 11 - 27 & 11 & 38 & 49 \\ 13097 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 39 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13077 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13078 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13066 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13066 & 222 - 11 - 27 & 11 & 38 & 37 \\ 13066 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222 - 11 - 27 & 11 & 38 & 33 \\ 13065 & 222$ 8 FS D 7 FS D 6 FS D 5 FS D 4 FS D 4 FS D STOP Y STOP Y STOP Y STOP Y STOP Y STOP Y 29727 No Station ID 29702 No Station ID 29627 No Station ID 29777 No Station 1D 4 FS D 5 FS D 6 FS D 6 FS D 7 FS D 7 FS D 8 FS D 9 FS D 10 FS D 11 FS D 12 FS D 13 FS D 14 FS D STOP ' STOP 1 ST No Station 10 No Station ID

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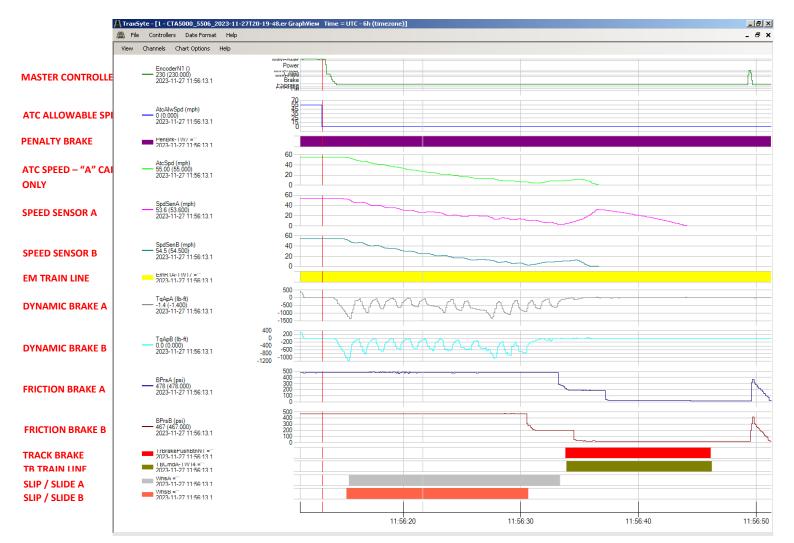
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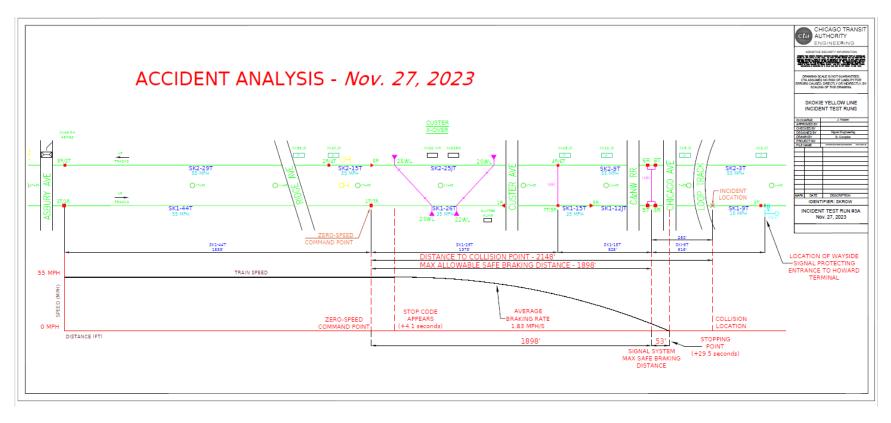
Test Run #3 – Take 2 ERU Event Log



December 2023

Appendix IIIA: Test Run #3A Analysis

Test Run #3A Track Circuit Diagram and Vehicle Response



Test Run #3A ATC Event Log Data

ATC Event Log Data Review – Test Run #3A									
Criteria	Time	Distance							
Loss of Cab	11:54:41	40852							
Stop Code	11:54:45	41077							
FS Brake	11:54:47	41252							
Zero Speed	11:55:16	42984							

Rail vehicle speed at loss of cab: 53 mph

Total distance from brake application to stopping point: **1,732 feet**

Total time from brake application to stopping point: **29 seconds**

14148 2023-11-27 11:55:04

L D N G I T U D E D U T M C N F O R C L F C D D E NFRCE L A T E R S C C A A R R Î U E Test Run #3A ATC Event R O U T E ΕX MT UENTNUM D D E S B B R V R R F E R F E R F E Q B Q P E P I C A L A D M D TRA W6L R AB CU Log Data Review R E N T A TTR I Π F C S 1. 74. C C G Page 105 November 28, 2023 a 185 November 28. 2823 14222 2823-11-27 12:01:10 14221 2823-11-27 12:01:03 14222 8823-11-27 12:01:03 1421 2823-11-27 12:01:04 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:06 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:01:05 1421 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1420 8823-11-27 12:00:51 1419 8823-11-27 12:00:51 1419 8823-11-27 12:00:51 1419 8823-11-27 12:00:51 1419 8823-11-27 12:00:51 1419 8823-11-27 12:00:51 1419 8823-11-27 12:00:41 < 2 CT D 2 mP D 2 D 1 D 0 D STOP STOP STOP STOP STOP STOP 0 00 0 00 0 00 0 00 0 00 0 00 41982 No. Station 10 41877 No. Station 11 08000 No. Station 12 No Station 10 No Y 0.0 0.0 0.0 0.0 U Y 0.0 0.0 0.0 U U Y 0.0 0.0 0.0 0.0 U B Y 0.0 0.0 0.0 U B Y 0.0 0.0 0.0 0.0 U B Y 0. 14188 2023-11-27 12:00:44 14188 2023-11-27 12:00:44 14187 2023-11-27 12:00:44 14186 2023-11-27 12:00:44 14185 2023-11-27 12:00:44 14184 2023-11-27 12:00:44 14184 2023-11-27 12:00:45 14183 2023-11-27 12:00:15 14182 2023-11-27 12:00:13 14181 2023-11-27 12:00:13 14180 2023-11-27 12:00:13 14179 2023-11-27 12:00:13 0 0 F D 0 0 F D 0</td $\begin{array}{c} \mathbf{141199}\\ \mathbf{14177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1177}\\ \mathbf{1202}\\ \mathbf{14177}\\ \mathbf{1177}\\ \mathbf{117$ No Station ID STOP Y 0 80 0</td STOP 1 STOP 1 STOP 1 STOP 1 STOP 1 STOP Y STOP STOP STOP STOP No Station ID STOP Y STOP STOP STOP **ZERO SPEED** STOP STOP STOP Y 14154 2023-11-27 11:55:05 14152 2023-11-27 11:55:05 14152 2023-11-27 11:55:05 14151 2023-11-27 11:55:05 14150 2023-11-27 11:55:05 14149 2023-11-27 11:55:04 STOP STOP STOP

December 2023

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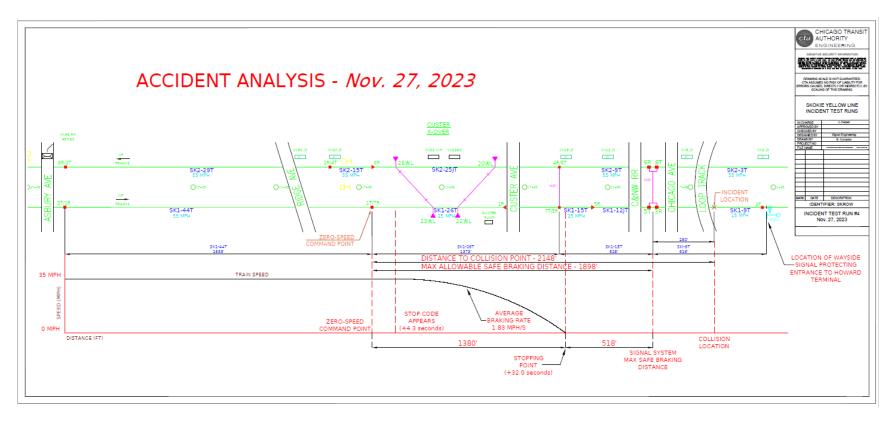
Test Run #3A ERU Event Log



December 2023

Appendix IV: Test Run #4 Analysis

Test Run #4 Track Circuit Diagram and Vehicle Response



Test Run #4 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #4						
Criteria Time Distance						
Loss of Cab	12:25:25	63977				
Stop Code	12:25:29	64127				
Zero Speed	12:25:47	64602				

Rail vehicle speed at loss of cab: 33 mph

Total distance from brake application to stopping point: **N/A**

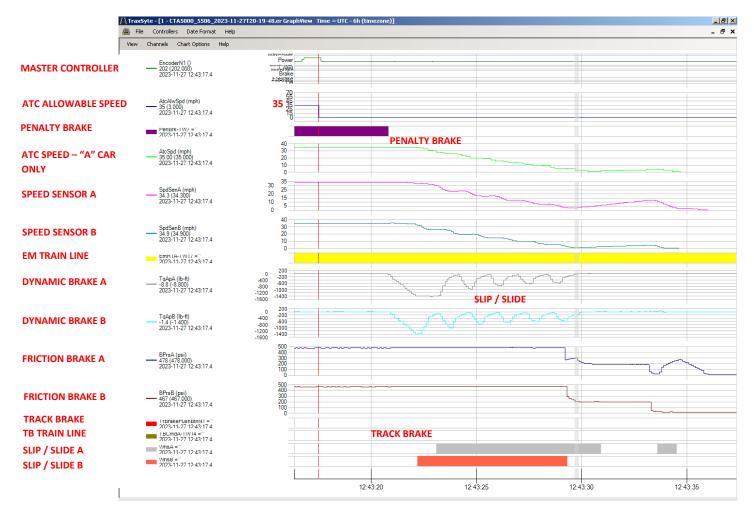
Total time from brake application to stopping point: N/A

Test Run #4 ATC Event Log Data Review	U S E E D T U A T U A T N T E T I N T T C C N E M E R M	M H E C A C L C A C L D D E P P S T E A D R R R E E N R A I E E N N D E D	E A ! ! X D N C I N UA T F C C C U U R L I Y C C A A B C A A D C C B A B C A A D C C C T T B S E C C C T T B S E	N E R A D C C S T A A C C S T A A T C C S T A C C S T A C C S T A C C S T A C C S T A C C S T A C C S T A C C S T C C S C C S C C C C S C C C C C	L L U U U U U U U U U C C C C C C C C C C C C C
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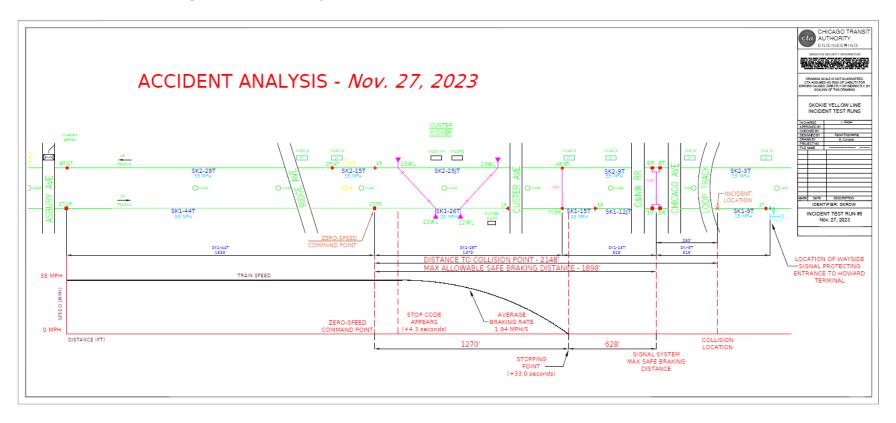
Test Run #4 ERU Event Log



December 2023

Appendix V: Test Run #5 Analysis

Test Run #5 Track Circuit Diagram and Vehicle Response



Test Run #5 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #5						
Criteria	Time	Distance				
Loss of Cab	12:10:04	52902				
Stop Code	12:10:07	53052				
FS Brake	12:10:09	53102				
Zero Speed	12:10:26	53477				

Rail vehicle speed at loss of cab: **33 mph**

Total distance from brake application to stopping point: **375 feet**

Total time from brake application to stopping point: **17 seconds**

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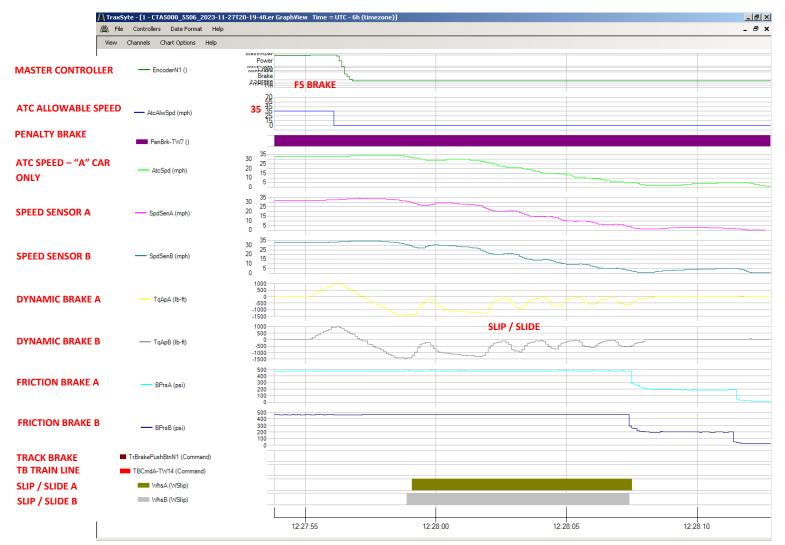
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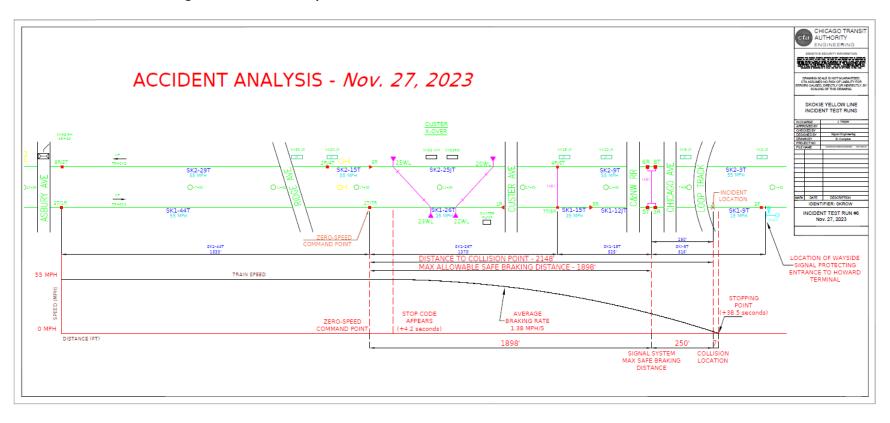
Test Run #5 ERU Event Log



December 2023

Appendix VI: Test Run #6 Analysis

Test Run #6 Track Circuit Diagram and Vehicle Response



Test Run #6 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #6							
Criteria Time Distance							
Loss of Cab	12:38:37	09541					
Stop Code	12:38:41	09766					
Zero Speed	12:39:20	11041					

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: N/A

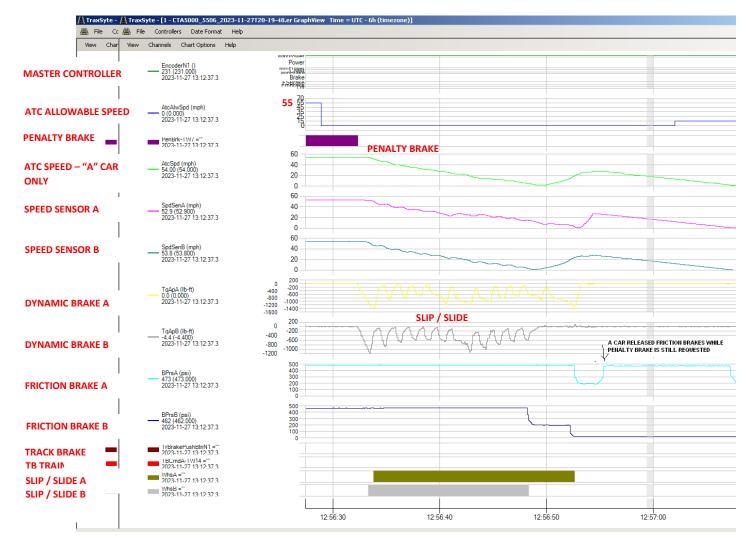
Total time from brake application to stopping point: N/A

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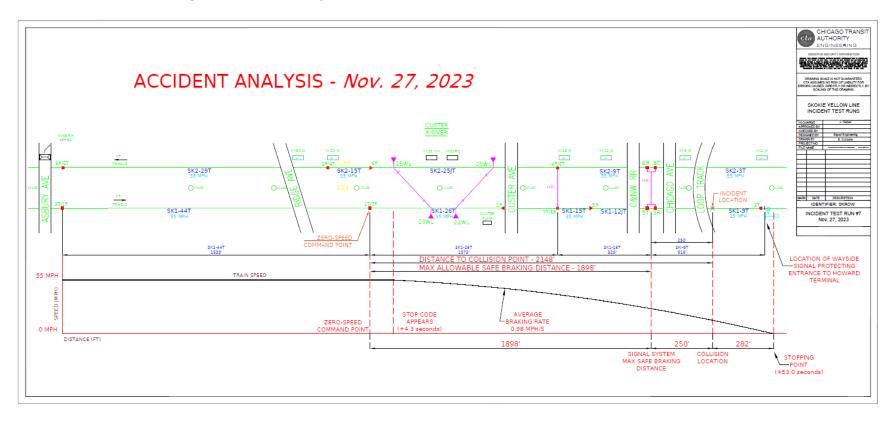
Test Run #6 ERU Event Log



December 2023

Appendix VII: Test Run #7 Analysis

Test Run #7 Track Circuit Diagram and Vehicle Response



Test Run #7 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #7							
Criteria	Distance						
Loss of Cab	12:54:47	22341					
Stop Code	12:54:49	22566					
Zero Speed	12:55:44	24466					

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A

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December 2023

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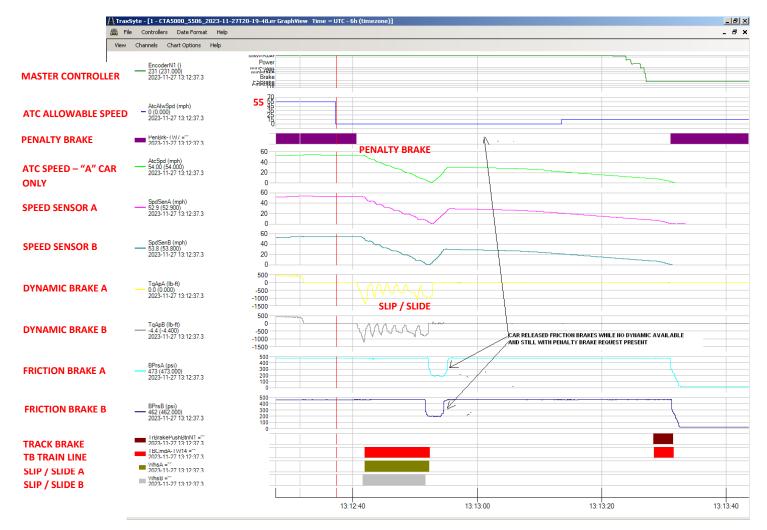
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December 2023

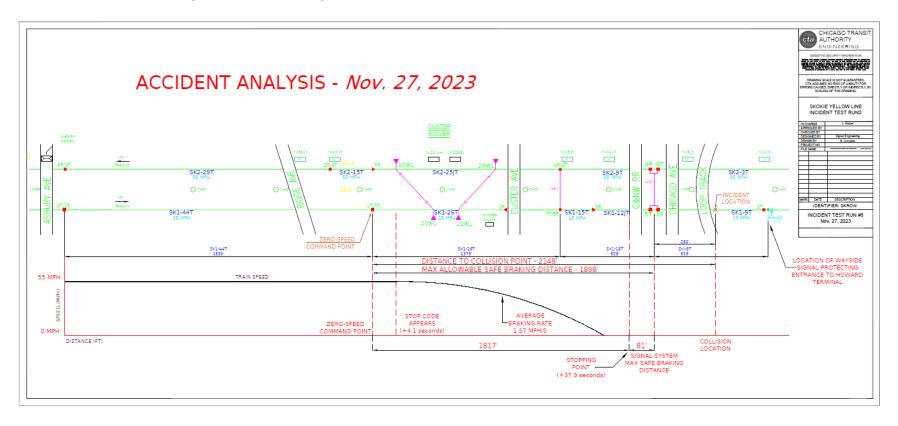
Test Run #7 ERU Event Log



December 2023

Appendix VIII: Test Run #8 Analysis

Test Run #8 Track Circuit Diagram and Vehicle Response



Test Run #8 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #8							
Criteria Time Distance							
Loss of Cab	13:17:33	36293					
Stop Code	13:17:36	36068					
Zero Speed	13:18:16	37643					

Rail vehicle speed at loss of cab: 55 mph

Total distance from brake application to stopping point: N/A

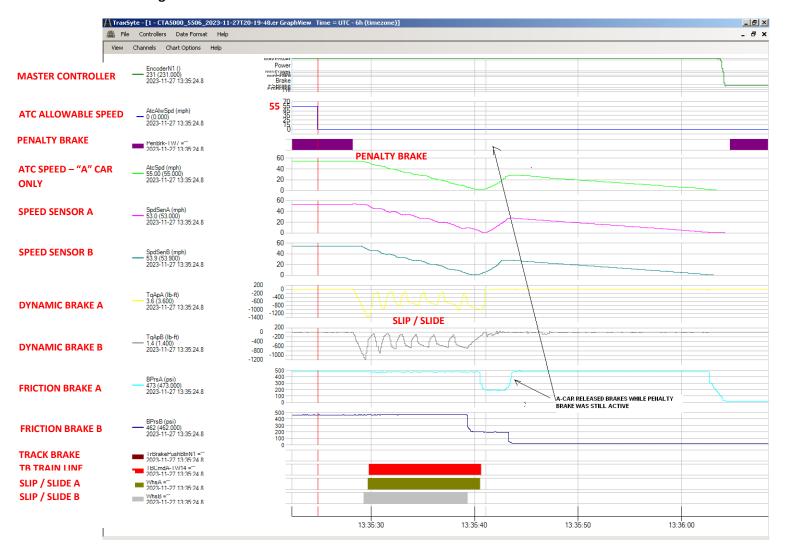
Total time from brake application to stopping point: N/A

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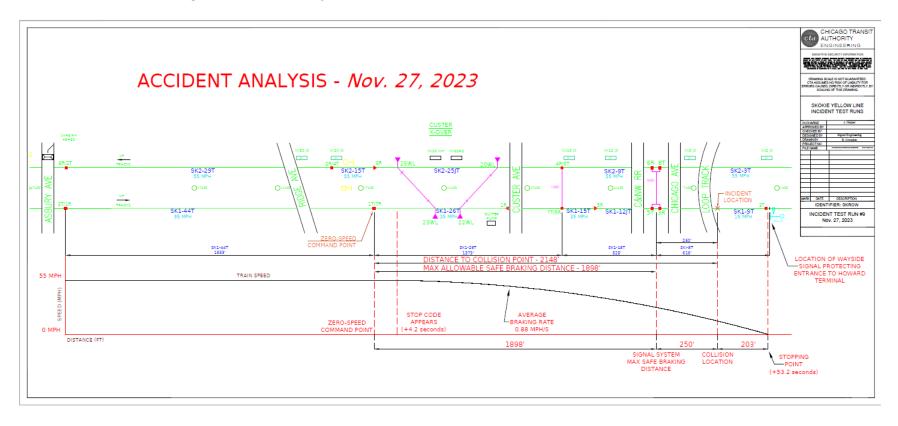
Test Run #8 ERU Event Log



December 2023

Appendix IX: Test Run #9 Analysis

Test Run #9 Track Circuit Diagram and Vehicle Response



Test Run #9 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #9							
Criteria Time Distance							
Loss of Cab	13:30:09	48318					
Stop Code	13:30:12	48568					
Zero Speed	13:31:14	50368					

Rail vehicle speed at loss of cab: 55 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A

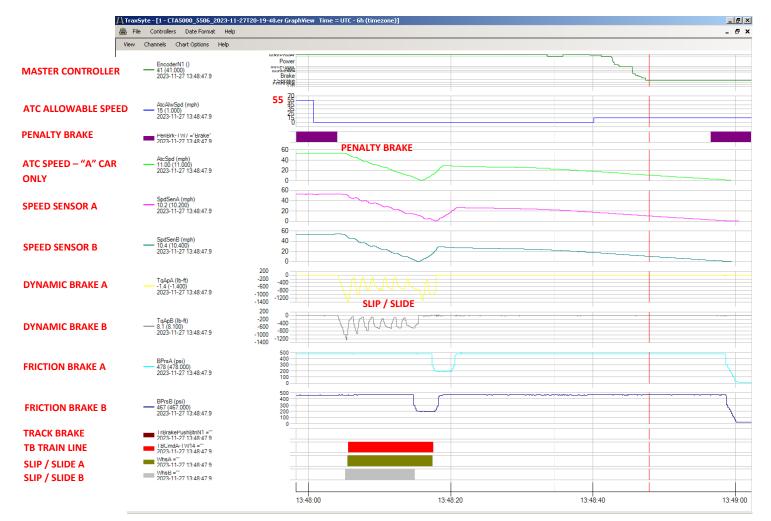
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December 2023

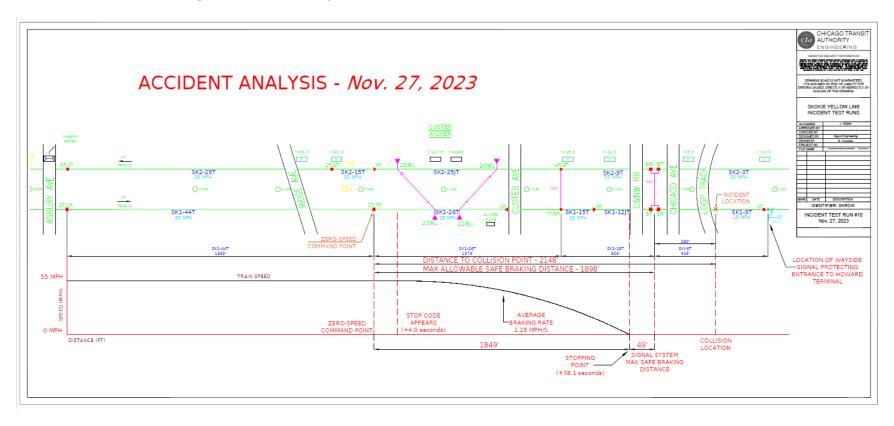
Test Run #9 ERU Event Log



December 2023

Appendix X: Test Run #10 Analysis

Test Run #10 Track Circuit Diagram and Vehicle Response



Test Run #10 ATC Event Log Data Review

ATC Event Log Data Review – Test Run #10								
Criteria	Time	Distance						
Loss of Cab	13:47:16	61518						
Stop Code	13:47:19	61793						
Zero Speed	13:48:02	63068						

Rail vehicle speed at loss of cab: 54 mph

Total distance from brake application to stopping point: N/A

Total time from brake application to stopping point: N/A

Test Run #10 ATC Event Log Data Review	U S F E D R E A R E A T I N E C C N T C C N I R M E	M H H M E C L D S O F E E P P P F E A P R R P F N M I A N E S N D E D	E A 1 X D N C I N U T C C N U T C C A U F A Y R C A U F A Y C R R E C I Y D A B C A O Y D A B C A O C R R E P E S R R E P E	N T X A D C I S K S T A C I S U S T A T U N N I N N I N N I N N I N N N N N N N	L L N C C C C C C C C C C C C C
ZERO SPEED	$\begin{array}{c} 2.1994 \ 2023-11-27 \ 13:49:22 \\ 2.1993 \ 2023-11-27 \ 13:40:20 \\ 2.1993 \ 2023-11-27 \ 13:40:10 \\ 2.1996 \ 2023-11-27 \ 13:40:10 \\ 2.1976 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:40:10 \\ 2.1977 \ 2023-11-27 \ 13:47:59 \\ 2.1975 \ 2023-11-27 \ 13:47:59 \\ 2.1975 \ 2023-11-27 \ 13:47:59 \\ 2.1972 \ 2023-11-27 \ 13:47:59 \\ 2.1972 \ 2023-11-27 \ 13:47:59 \\ 2.1972 \ 2023-11-27 \ 13:47:59 \\ 2.1972 \ 2023-11-27 \ 13:47:59 \\ 2.1967 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1962 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1955 \ 2023-11-27 \ 13:47:59 \\ 2.1954 \ 2023-11-27 \ 13:4$	0 FS 1 FS <tr ttbl=""><</tr>	STOP Y 0 00 STOP Y 0<	63868 No Station 10 63868 No Station 10 63818 No Station 10 639318 No Station 10 639318 No Station 10 62933 No Station 10 62933 No Station 10 62934 No Station 10 62934 No Station 10 62938 No Station 10 62938 No Station 10 62938 No Station 10 62958 N	No Station ID Y 0.0 0.0 0.0 U No Station ID Y 0.0 0.0 0.0 U No Station ID Y 0.0 0.0 0.0 0 0 No Station ID Y 0.0 0.0 0.0 0 No Station ID Y 0.0 0.0 0.0 0.0 0.0 No Station ID Y 0.0 </th

Test Run #10 ATC Event Log Data Review	U E E T U R E T U R N C T C T E U R M Page 2 November 28, 2	M E C A D D D P F S T E A D A T S T E A D A T E F N A O E D S N D	H C L D F E P R R R A I T C D	TOCC YRAA CRR CE YDAB C	A C E L L T N V I V F A L E C I D C O A O B S P E P E	T EX R D T C I S T C S T C A N T U N C O R E N	R U T E J	L U L N E E A C R E X T I T B T R U C URR H G L E L E R F E 7 4 C C 0 B 0
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Test Run #10 ATC Event Log Data Review	U E E E D T U A R E T I N E C T T E U M R M E Page 3 November 28, 2023	H E A C A C L D D D D P E P E P S T T A E R R R F T D R A R E T N N 1 A T 10 D S N D E D	Y RAAU CRRE CE Y DABC C O L CTT D	X E E E E E E E E E E E E E E E E E E E	L L L N E A C R E A C R E A C R E A C R B C R B C R B C R B C R B C R B C R B C R B C R B C R B C R B C R B C R C R B C C R C C R C C R C C R C C R C C R C C R C C C R C C C R C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C R R C C C C C R R C C C C C R R C C C C C C R C C C C C C C C C R C C C C C C C C C C C C C
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Test Run #10 ERU Event Log

