



Motor Carrier Attachment – AGL Safety Audit 5/14/2014

Louisville, NY

HWY23FH005

(12 pages)



USDOT#
2447319

Legal: AERO GLOBAL LOGISTICS

Operating (DBA):

MC/MX #: 844580

State #:

Federal Tax ID: [REDACTED]

Review Type: Safety Audit – New Entrant

Location of Review/Audit: Company Facility in the U.S.

Scope: Entire Operation

Territory:

Operation Types Interstate Intrastate

Carrier: Non-HM N/A
Shipper: N/A N/A
Cargo Tank: N/A

Business: Corporation
Gross Revenue: \$1877000 **for year ending:** 12/31/2013

Company Physical Address:

14 WOODCHUCK LANE
 EAST STROUDSBURG, PA 18301, UNITED STATES

Contact Name:

Phone numbers: (1) 2012596912 (2) Fax

E-Mail Address:

Company Mailing Address:

5 EMLEY RD
 PITTSTOWN, NJ 08867, UNITED STATES

Carrier Classification

Authorized for Hire

Cargo Classification

General Freight; Other - auto parts

Does carrier transport placardable quantities of HM? No

Is an HM Permit required? No

Driver Information

	Inter	Intra	Average trip leased drivers/month:	0
< 100 Miles:	0	0	Total Drivers:	60
>= 100 Miles:	60	0	CDL Drivers:	60

Equipment

	Owned	Term Leased	Trip Leased		Owned	Term Leased	Trip Leased
Truck Tractor	59	0	0	Trailer	65	0	0

Power units used in the U.S.: 59

Percentage of time used in the U.S.: 100





AERO GLOBAL LOGISTICS
USDOT#: 2447319

Review Date:
5/20/2014

Part A

Questions regarding this Safety Audit may be directed to New Entrant Coordinator
at the address below:

PA. Public Utility Commission Transportation and Safety Attn: New Entrant Coordinator
100 Lackawanna Ave, Room 320
Scranton, PA 18503 (570)614-0016

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed:

Name: CHRIS MITCHELL

Title: VICE PRESIDENT





Part B - Questions and Answers

An asterisk (*) beside an answer indicates an area of non-compliance by the motor carrier, and negatively affects the results of the audit.

<p>Question General # 1 Section # 387.7(a) Acute Does the carrier have the required minimum level of financial responsibility in effect (property carrier)? <i>Tiene el transportista los niveles mínimos requeridos de responsabilidad financiera en efecto?</i></p>	<p>Answer Yes</p>
<p>Question General # 2 Section # 387.7(d) Critical Does the carrier have required proof of financial responsibility (property carrier)? <i>¿Tiene el transportista prueba de responsabilidad financiera requerida?</i></p>	<p>Answer Yes</p>
<p>Question General # 3 Section # 387.31(a) Acute Does the carrier have the required minimum level of financial responsibility in effect (passenger carrier)? <i>Tiene el transportista los niveles mínimos requeridos de responsabilidad financiera en efecto?</i></p>	<p>Answer N/A</p>
<p>Question General # 4 Section # 387.31(d) Critical Does the carrier have required proof of financial responsibility (passenger carrier)? <i>¿Tiene el transportista prueba de responsabilidad financiera requerida?</i></p>	<p>Answer N/A</p>
<p>Question General # 5 Section # 13901 (392.9a(a)(1)) Is the motor carrier authorized to conduct interstate operations in the United States? <i>¿Esta autorizado el transportista a dirigir operaciones interestatales dentro de los Estados Unidos?</i></p>	<p>Answer Yes</p>
<p>Question General # 6 Section # 390.15(b)(1) Can the carrier provide a complete accident register of recordable accidents? <i>Puede el transportista proveer un registro completo de accidentes que requieran anotación?</i></p>	<p>Answer Yes</p>
<p>Question General # 7 Section # 390.15(b)(2) Critical Does the carrier have copies of all accident reports required by States or other government entities or insurers? <i>¿Tiene el transportista copias de todos los reportes de accidentes requeridos por el estado u otra entidad gubernamental o compañías de seguro?</i></p>	<p>Answer N/A</p>
<p>Comments ACCIDENT OCCURRED ON 4/10/14 AND IS STILL UNDER INVESTIGATION DRIVERS NAME-RAYMOND GAUMOND CARRIER DID APPLY FOR REPORT</p>	
<p>Question General # 8 Section # 390.3(e) Is the carrier knowledgeable of the FMCSRs/HMRs? <i>Puede el transportista conseguir una copia actualizada de la FMCSRs/HMRs?</i></p>	<p>Answer Yes</p>
<p>Question General # 9 Section # 390.21 Does the carrier know the commercial motor vehicles marking requirements? <i>Conoce el transportista los requerimientos de marcado de los vehículos comerciales motorizados?</i></p>	<p>Answer Yes</p>
<p>Question Driver # 1 Section # 391.51(a) Critical Does the carrier maintain driver qualification files? <i>Mantiene el transportista archivos de calificación del conductor?</i></p>	<p>Answer Yes</p>
<p>Question Driver # 2 Section # 391.11(b)(4) Acute Is the carrier using physically qualified drivers? <i>Esta el transportista usando conductores calificados físicamente?</i></p>	<p>Answer Yes</p>



Question Driver # 3 Section # 391.45(a), 391.45(b) Critical Is the carrier using a driver without a medical certificate or with an expired medical certificate? <i>Indicar si hay evidencia disponible de que el transportista ha usado un conductor sin certificado medico o con un certificado medico expirado?</i>	Answer No
Question Driver # 4 Section # 391.15(a) Acute Is the carrier using any disqualified drivers? <i>Esta el transportista usando algunos conductores descalificados?</i>	Answer No
Question Driver # 5 Section # 391.51(b)(2) Critical Does the carrier maintain driving inquiry data in driver qualification files? <i>Mantiene el transportista historial de datos de manejo del conductor en los archivos de calificación de conductores?</i>	Answer Yes
Question Driver # 6 Section # 382.115(a), 382.115(b) Acute Has the carrier implemented an alcohol and/or controlled substances testing program? <i>Tiene el transportista pruebas implementadas de alcohol y/o sustancias controladas?</i>	Answer Yes
Question Driver # 7 Section # 382.213(b) Acute Has the carrier used drivers who have used controlled substances? <i>Ha usado el transportista conductores que han usado sustancias controladas?</i>	Answer No
Question Driver # 8 Section # 382.215 Acute Has the carrier used a driver who has tested positive for a controlled substance? <i>Ha usado el transportista un conductor que ha resultado positivo para una sustancia controlada?</i>	Answer No
Question Driver # 9 Section # 382.201 Acute Has the carrier used a driver known to have an alcohol concentration of 0.04 or greater? <i>Ha usado el transportista un conductor conocido que tenga una concentración de alcohol de 0.04 o mas?</i>	Answer No
Question Driver # 10 Section # 382.505(a) Acute Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within 24 hours of being tested? <i>Ha usado un transportista un conductor que se le ha encontrado tener una concentración de alcohol de .02 o mayor pero menos que .04 dentro de las 24 horas de haber sido examinado?</i>	Answer No
Question Driver # 11 Section # 382.301(a) Critical Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a safety sensitive function? <i>¿Se ha asegurado el transportista que los conductores se hayan sometido a la prueba de sustancias controladas antes de realizar una acción delicada de seguridad?</i>	Answer Yes
Question Driver # 12 Section # 382.303(a) Critical Has the carrier conducted post accident testing on drivers for alcohol? <i>Ha, el transportista, realizado pruebas de alcohol y/o sustancias controladas a conductores después de un accidente?</i>	Answer Yes
Question Driver # 13 Section # 382.303(b) Critical Has the carrier conducted post accident testing on drivers for controlled substances? <i>Ha, el transportista, realizado pruebas de alcohol y/o sustancias controladas a conductores después de un accidente ?</i>	Answer Yes
Question Driver # 14 Section # 382.305 Acute Has the carrier implemented random testing program? <i>Ha implementado el transportista programas de pruebas al azar?</i>	Answer Yes



<p>Question Driver # 15 Section # 382.305(b)(1) Critical</p> <p>Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate or prorated rate of the average number of driver positions?</p> <p><i>Ha conducido el transportista pruebas de alcohol al azar a la tasa anual no menor que la tasa anual aplicable al número promedio de las posiciones de conductores?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Driver # 16 Section # 382.305(b)(2) Critical</p> <p>Has the carrier conducted controlled substance testing at the applicable prorated rate of not less than the applicable annual rate of the average number of driver positions?</p> <p><i>Ha conducido el transportista pruebas de sustancias controladas a la tasa anual no menor que la tasa anual aplicable del número promedio de las posiciones de conductores?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Driver # 17 Section # 40.305(a)</p> <p>Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive functions?</p> <p><i>Ha el transportista realizado las pruebas requeridas de retorno-al-trabajo en empleados retornando s sus funciones de alta seguridad?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Driver # 18 Section # 40.309(a)</p> <p>Is the carrier conducting follow-up testing as directed by the Substance Abuse Professional?</p> <p><i>Esta el transportista realizando seguimientos a las pruebas como lo indica el Profesional en Abuso de Sustancias?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Driver # 19 Section # 382.211 Acute</p> <p>Has the carrier used a driver who has refused to submit to an alcohol or controlled substances test required under Part 382?</p> <p><i>Ha usado el transportista a un conductor que ha rehusado someterse a una prueba de alcohol o sustancias controladas requeridas bajo la parte 382?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Driver # 20 Section # 382.503 Critical</p> <p>Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?</p> <p><i>Ha el transportista empleado un Profesional en Abuso de Sustancias como lo requiere el 49 CFR Parte 40 Subparte O?</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Driver # 21 Section # 383.23(a) Critical</p> <p>Has a driver operated a commercial motor vehicle without a current operating license, or a license, which hasn't been properly classed and endorsed?</p> <p><i>Ha operado un conductor un vehículo comercial motorizado sin una licencia actualizada para operar, o una licencia la cual no ha sido apropiadamente clasificada y endosada?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Driver # 22 Section # 383.37(a) Acute</p> <p>Has the motor carrier knowingly allowed it's drivers who's CDLs have been suspended, revoked or canceled by a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to operate a commercial motor vehicle?</p> <p><i>Ha permitido el autotransportista conducir a conductores que tienen CDL suspendida, revocada, o cancelada por un estado, o que han perdido el derecho de operar una CMV en un Estado, o han sido descalificados para operar un vehículo comercial motorizado?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Driver # 23 Section # 383.51(a) Acute</p> <p>Has the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is disqualified to drive a commercial motor vehicle?</p> <p><i>Ha dejado el autotransportista intencionalmente, requerido, permitido o autorizado a un conductor descalificado a manejar un vehiculo comercial motorizado?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 1 Section # 395.1(e)(1), 395.1(e)(2)</p> <p>Does the carrier have a system for recording hours of duty status on 100/150- mile radius drivers, and are they properly utilizing the 100/150 air-mile radius exemption?</p> <p><i>Tiene el transportista un sistema para registrar las horas del estado de trabajo en 100/150 millas radio y están ellas utilizando adecuadamente la excepción de las 100/150 aire-millas radio de los conductores?</i></p>	<p>Answer</p> <p>N/A</p>



<p>Question Operations # 2 Section # 395.8(a) Critical</p> <p>Does the carrier require drivers to make a record of duty status? <i>Exige el transportista a los conductores a hacer un registro del estado del trabajo?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Operations # 3 Section # 395.8(i) Critical</p> <p>Does the carrier require drivers to submit records of duty status within 13 days? <i>Exige el transportista a los conductores a someterse al registro del estado del trabajo dentro de 13 días?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Operations # 4 Section # 395.8(k)(1) Critical</p> <p>Can the carrier produce records of duty status and supporting documents for selected drivers? <i>Puede el transportista producir registros del estado del trabajo y documentos probatorios para conductores escogidos?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Operations # 5 Section # 395.3(a)(1) Critical</p> <p>Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property) <i>¿Ha permitido el transportista a los conductores exceder la regla de 11 horas? (Propiedad)</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 6 Section # 395.3(a)(2) Critical</p> <p>Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property) <i>¿Ha permitido el transportista a los conductores exceder la regla de 14 horas? (Propiedad)</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 7 Section # 395.3(b)(1) Critical</p> <p>Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Property) <i>Ha permitido el autotransportista conducir a conductores que tienen CDL suspendida, revocada, o cancelada por un estado, o que han perdido el derecho de operar una CMV en un Estado, o han sido descalificados para operar un vehículo comercial motorizado?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 8 Section # 395.3(b)(2) Critical</p> <p>Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Property) <i>¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 70 horas en 8 días consecutivos? (Propiedad)</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Operations # 9 Section # 395.5(a)(1) Critical</p> <p>Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger) <i>¿Ha permitido el transportista a los conductores exceder la regla de las 10 horas? (Pasajero)</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Operations # 10 Section # 395.5(a)(2) Critical</p> <p>Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger) <i>¿Ha permitido el transportista a los conductores exceder la regla de las 15 horas? (Pasajero)</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Operations # 11 Section # 395.5(b)(1) Critical</p> <p>Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Passenger) <i>¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 60 horas en 7 días consecutivos? (Pasajero)</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Operations # 12 Section # 395.5(b)(2) Critical</p> <p>Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Passenger) <i>¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 70 horas en 8 días consecutivos? (Pasajero)</i></p>	<p>Answer</p> <p>N/A</p>



<p>Question Operations # 13 Section # 395.8(e) Critical</p> <p>Does available evidence indicate a selected driver has prepared a false record of duty status?</p> <p><i>Tiene evidencia disponible que indique que un determinado conductor ha preparado un falso registro del estado de trabajo?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 14 Section # 392.2 Critical</p> <p>Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?</p> <p><i>¿Se asegura el transportista que los conductores operen vehículos de comercio de acuerdo con las leyes, ordenanzas y regulaciones de las jurisdicciones en las cuales ellos operan?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Operations # 15 Section # 392.9(a)(1) Critical</p> <p>Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?</p> <p><i>Se asegura el autotransportista que los conductores no están permitidos de manejar un vehículo sin la apropiada distribución de la carga y asegurada adecuadamente?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Operations # 16 Section # 392.4(b) Acute</p> <p>Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?</p> <p><i>¿Tiene algún conductor que haya operado un vehículo de comercio bajo la influencia de, o en posesión de, drogas narcóticas, anfetaminas, u otras sustancias capaces de provocar la incapacidad de operar vehículos con seguridad?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 17 Section # 392.5(b)(1) Acute</p> <p>Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?</p> <p><i>¿Tiene algún conductor que haya operado un vehículo de comercio bajo la influencia de, o en posesión de bebidas intoxicantes?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Operations # 18 Section # 392.5(b)(2) Acute</p> <p>Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?</p> <p><i>¿Tiene algún conductor que haya operado un vehículo de comercio dentro de las 4 horas de haber consumido bebidas intoxicantes?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Maintenance # 1 Section # 396.3(b) Critical</p> <p>Can the carrier produce maintenance files for requested vehicle(s)?</p> <p><i>Puede el transportista mostrar archivos de mantenimiento para requeridos vehículos ?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Maintenance # 2 Section # 396.17(a) Critical</p> <p>Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?</p> <p><i>Puede el transportista mostrar evidencias de inspecciones periódicas (anual) para ciertos vehículos?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Maintenance # 3 Section # 396.11(a) Critical</p> <p>Does the motor carrier require drivers to complete vehicle inspection reports daily?</p> <p><i>El autotransportista requiere a los conductores completar los reportes de las inspecciones de vehículos diariamente?</i></p>	<p>Answer</p> <p>Yes</p>
<p>Question Maintenance # 4 Section # 396.11(c) Acute</p> <p>Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?</p> <p><i>Se asegura el transportista que la lista de defectos hechas por el conductor de los fuera de servicio en el reporte de inspecciones sean corregidos antes que sea nuevamente operado el vehículo?</i></p>	<p>Answer</p> <p>Yes</p>



Question Maintenance # 5 Section # 396.9(c)(2) Acute Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made? <i>Se asegura el transportista que los vehículos que han sido declarados fuera de servicio no sean operados antes de que las reparaciones hayan sido hechas?</i>	Answer Yes
Question Maintenance # 6 Section # 396.19 Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications? <i>Esta el transportista usando inspectores calificados (mecánicos) y manteniendo evidencia de las calificaciones hechas por el inspector?</i>	Answer Yes
Question Maintenance # 7 Section # 396.3 Can the carrier explain its systematic, periodic maintenance program? <i>Puede el transportista explicar sistemáticamente el programa de mantenimiento periódico ?</i>	Answer Yes
Question Other # 1 Section # 375.211 Does the carrier participate in an Arbitration Program? <i>El transportista participa en un Programa de Arbitraje?</i>	Answer N/A
Question Other # 2 Section # 13702.0 Does the carrier assess shipper freight charges based upon published tariffs? <i>El transportista fija los cargos por embarque basados en tarifas publicadas?</i>	Answer N/A
Question Other # 3 Section # 375.401(c) Does the carrier provide reasonably accurate estimates of moving charges? <i>El transportista provee estimados razonables y precisos de la carga de mudanzas?</i>	Answer N/A
Question Other # 4 Section # 375.407(a), 375.703(b) Has the carrier avoided "hostage freight" or other predatory practices? <i>El transportista ha evitado "retener carga" ú otras practicas depredadoras?</i>	Answer N/A
Question Other # 5 Section # 387.301(a), 387.301(b) Does the HHG carrier have sufficient levels of public liability and cargo insurance? <i>Tiene el transportista de Bienes Domésticos los suficientes niveles de responsabilidad pública y de seguridad de carga?</i>	Answer N/A
Question Other # 6 Section # 375.215 Does the carrier have a published tariff and is the motor carrier changing the applicable rate (375.215). <i>¿Tiene el transportista una tarifa publicada y está el transportista cobrando la tarifa aplicable? (375.215)</i>	Answer N/A
Question Other # 7 Section # 375.213 Can the motor carrier identify the five documents required to be given to a prospective individual shipper prior to executing an order for service? <i>Puede el portador del motor identificar a los cinco documentos requeridos que se deben dar a un prospectivo individual cargador antes de ejecutar una orden para servicio.</i>	Answer N/A
Question Other # 8 Section # 49 CFR 37 subpart H Does the carrier have the means to provide accessible over-the-road bus (OTRB) service on a 48-hour advance notice basis by its owned or leased OTRBs? <i>¿Cuenta el transportista con los medios para proporcionar un servicio accesible de autobuses de transporte colectivo por carretera (OTRB, por sus siglas en inglés) con sus autobuses propios o arrendados, con un aviso anticipado de 48 horas?</i>	Answer N/A



<p>Question Other # 9 Section # 49 CFR 37 subpart H</p> <p>If the carrier does not have the means then does the carrier have an arrangement with another carrier that operates accessible OTRBs?</p> <p><i>Si el transportista no cuenta con dichos medios, entonces, ¿tiene el transportista un acuerdo con otro transportista que opere un servicio accesible de autobuses de transporte colectivo por carretera?</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Other # 10 Section #</p> <p>Does the over-the-road bus company own or lease a facility for inspection, repair, and maintenance of its vehicles?</p> <p><i>¿La compañía de autobuses en carretera-poseer o alquilar una instalación para su inspección, reparación y mantenimiento de sus vehículos?</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Other # 11 Section #</p> <p>Does the over-the-road bus company have an arrangement or contract for systematic inspection, repair, and maintenance of its vehicles?</p> <p><i>¿La compañía de autobuses en carretera-poseer o alquilar una instalación para su inspección, reparación y mantenimiento de sus vehículos?</i></p>	<p>Answer</p> <p>N/A</p>
<p>Question Other # 12 Section #</p> <p>Does the carrier have any formal agreements with any other motor carrier operations?</p> <p><i>¿Tiene el transportista acuerdos formales con las operaciones de cualquier otro autotransportista?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Other # 13 Section #</p> <p>During your review of the carriers paperwork did any of the documents display a business name or DBA name that did not match the carrier being audited?</p> <p><i>Durante su revisión de la documentación del transportista, ¿alguno de los documentos mostraba el nombre de una empresa o un nombre comercial que no correspondía con el transportista que se estaba auditando?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Other # 14 Section #</p> <p>Has the carrier or corporate officers for the carrier ever been affiliated or had any relationship with any former or current carrier regulated by the FMCSA?</p> <p><i>¿El transportista o los funcionarios corporativos del transportista han sido empleados o han tenido alguna vez una relación con un transportista que anteriormente o actualmente esté regulado por la FMCSA?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Other # 15 Section #</p> <p>Is the company using the same phone number, e-mail address, vehicles, location, or any other assets of any former or current FMCSA regulated entity?</p> <p><i>¿La empresa está usando el mismo número de teléfono, dirección de correo electrónico, vehículos, instalaciones o cualquier otro activo de cualquier persona física o moral que anteriormente o actualmente esté regulada por la FMCSA?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Other # 16 Section #</p> <p>Is the company using documentation from another carrier other than one being audited to demonstrate compliance with the regulations?</p> <p><i>¿La empresa está usando documentación de un transportista diferente al que se está auditando para demostrar que cumple con las regulaciones?</i></p>	<p>Answer</p> <p>No</p>
<p>Question Other # 17 Section #</p> <p>Did any of the documents reviewed or information provided by the carrier during the audit lead the investigator to believe the carrier is the continued operations of another motor carrier?</p> <p><i>¿Alguno de los documentos revisados o la información proporcionada por el transportista durante la auditoría llevó al investigador a creer que el transportista continúa llevando las operaciones de otro autotransportista?</i></p>	<p>Answer</p> <p>No</p>

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.





Part B

Your Proposed Safety Audit Result is: **PASS**

Explanation of Scoring Methodology

Factor	Failed Questions		Performance Test Status	Total Points	Factor Status
	Critical	Acute			
1. General	0	0	—	0	PASS
2. Driver	0	0	—	0	PASS
3. Operations	0	0	—	0	PASS
4. Maintenance	0	0	PASS — 20.00 %	0	PASS
5. Hazardous Materials	—	—	—	—	—
6. Accidents	—	—	FAIL — 2.53	—	FAIL
SUM	0	0		0	PASS

Result: Carrier has adequate basic safety management controls in place.

NOTE: Carrier has the right to request a review of this determination if there are factual or procedural disputes.

HOW THE SA IS SCORED

FACTORS - The Federal Motor Carrier Safety and Federal Hazardous Material Regulations are categorized into six factors. Multiple questions address the various factors. The Part B Question & Answer Report lists the CFR section numbers related to each question.

CRITICAL/ACUTE - Questions are also defined as CRITICAL, ACUTE or neither depending on the significance of the underlying regulation. Questions are assigned a point value if they are incorrectly answered. Critical = 1 and Acute = 1.5. The point values are summed for each factor. Any factor with a point value of 3 or more is marked "FAILED".

OUT OF SERVICE (OOS) RATE - The Driver/Vehicle OOS rate is used in factor #4 as another question. If there have been more than three level 1, 2, or 5 North American Standard Inspections conducted over the past year, they will be summarized. If the summed OOS rate is over 34%, one additional point is assigned to that factor.

CRASH FACTOR - Carriers are defined as urban or non-urban in order to compensate for the higher crash risk of urban operations. Urban carriers are defined as those that operate within a 100 air-mile radius. The crash rate for a carrier is calculated as accidents per million miles traveled. Factor #6 is "FAILED" if the urban carrier crash rate exceeds 1.7 or the non-urban carrier crash rate exceeds 1.5.

OVERALL STATUS DETERMINATION - Any carrier with 3 or more "FAILED" factors is deemed to have failed the Safety Audit by having inadequate safety management controls in place to operate in the U.S.





Part B Requirements and/or Recommendations

1. PLEASE NOTE: The violations discovered during this Safety Audit may affect the civil penalty proposed in any subsequent Notice of Claim. In addition, your history of prior violations of the Federal Motor Carrier Safety Regulation, Federal hazardous Regulation or the Federal Motor Carrier Carrier Commercial Regulations may affect the civil penalty proposed in any subsequent Notice of Claim.
2. For questions about DOT numbers or biennial updates: 800-832-5660 or 703-280-4001 For questions about licensing, authority or MC numbers: 202-366-9805 For questions about insurance: 202-385-2423 For household goods complaints: 888-DOT-SAFT (888-368-7238)
3. A complete Educational and Technical Assistance package entitled " A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: www.fmcsa.dot.gov/factsfigs/eta/index.html.
4. Copies of the regulations, forms, interpretations, and manuals are available from a variety of sources. Check the FMCSA website for a current list of suppliers. www.fmcsa.dot.gov/safety-security/eta/index.htm
5. As a Motor Carrier you may be subject to registering with and paying a UCR (Unified Carrier Registration) fee. If your business has any interstate aspects you are subject to this fee. More information may be obtained by accessing the UCR website @ UCR.IN.GOV or by calling 717-783-3846

