UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

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Investigation of:

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COLLISION OF AMTRAK TRAIN 280

WITH MAINTENANCE-OF-WAY EMPLOYEE *
ON OCTOBER 29, 2014 IN *
COLUMBIA COUNTY, NEW YORK *

* Docket No.: DCA-15-FR-003

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Interview of: PATRICK COLLIERE

Courtyard Marriott Kingston, New York

Friday, October 31, 2014

The above-captioned matter convened, pursuant to notice.

BEFORE: RICHARD HIPSKIND

Investigator-in-Charge



PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE
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APPEARANCES:

RICHARD HIPSKIND, Investigator-in-Charge Railroad Accident Investigator National Transportation Safety Board

DR. STEPHEN JENNER, Human Performance Investigator National Transportation Safety Board

TOM HEBERT, Safety Task Force Brotherhood of Locomotive Engineers and Trainmen

JIM FINNEGAN, Grand Lodge Representative Brotherhood of Railroad Signalmen

MATTHEW PORTO, Director of Safety Amtrak

LONNIE McMILLAN, Safety Officer Amtrak, Atlanta Division

WILLIAM COLLINS, Signal and Train Control Inspector Inspector-in-Charge Federal Railroad Administration

PAUL HRASKA, Operating Practices Inspector Federal Railroad Administration

ROBERT WINSTEL, Signal and Train Control Specialist Federal Railroad Administration

DAN TOTH, Operating Practices Inspector New York State Department of Transportation

WILLIAM FOSTER, Senior Investigator Columbia County Sheriff's Office

GEORGE GERNON, Investigator Amtrak Police Department

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1 INTERVIEW

- 2 MR. HIPSKIND: My name is Richard Hipskind, and I am a
- 3 railroad accident investigator, and I am the Investigator-in-
- 4 Charge for NTSB for this accident.
- We are here today, on October 31, 2014, at the Courtyard
- 6 Marriott in Kingston, New York, to conduct an interview with
- 7 Mr. Patrick Colliere --
- 8 MR. COLLIERE: Colliere.
- 9 MR. HIPSKIND: -- Colliere.
- 10 MR. COLLIERE: Forget the E. Forget the E.
- 11 MR. HIPSKIND: Colliere, who works for the National
- 12 Railroad Passenger Corporation, or Amtrak.
- 13 This interview is in conjunction with NTSB's
- 14 investigation of a collision of Amtrak Train 280 with a
- 15 maintenance-of-way employee on October 29, 2014, at milepost 100.9
- 16 on Amtrak's Hudson Line near Clermont, New York, in Columbia
- 17 County. The NTSB accident reference number is DCA-15-FR-003.
- 18 Before we begin our interview and questions, let's go
- 19 around the table and introduce ourselves. Please spell your last
- 20 name and please identify who you are representing and your title.
- 21 I would remind everybody to speak clearly so we can get an
- 22 accurate recording. I'll lead off and then pass off to my right.
- Again, my name is Richard Hipskind. The spelling of my
- 24 last name is H-i-p-s-k-i-n-d. I'm a railroad accident
- 25 investigator, and I'm the Investigator-in-Charge for NTSB on this

- 1 accident.
- DR. JENNER: Stephen Jenner, S-t-e-p-h-e-n, J-e-n-n-e-r.
- 3 I'm a human performance investigator with the NTSB.
- 4 MR. HEBERT: Tom Hebert, H-e-b-e-r-t, BLET Safety
- 5 Taskforce.
- 6 Mr. FINNEGAN: Jim Finnegan, F-i-n-n-e-g-a-n, Grand
- 7 Lodge Representative, Brotherhood of Railroad Signalmen.
- 8 MR. PORTO: Matt Porto, P-o-r-t-o, Director of Safety,
- 9 Amtrak.
- 10 MR. McMILAN: Lonnie McMillan, last name spelled M-c-M-
- 11 i-l-l-a-n, Safety Officer, Mid-Atlantic Division, speaking for
- 12 Matthew Porto today.
- MR. COLLINS: William Collins, C-o-l-l-i-n-s, Federal
- 14 Railroad Administration, Signal and Train Control Inspector,
- 15 Investigator-in-Charge of the accident.
- 16 MR. HRASKA: Paul Hraska, H-r-a-s-k-a, Operating
- 17 Practices Inspector, Federal Railroad Administration.
- 18 Mr. WINSTEL: Robert Winstel, W-i-n-s-t-e-l, Signal and
- 19 Train Control Specialist, Federal Railroad Administration.
- MR. TOTH: Dan Toth, T-o-t-h, New York State Department
- 21 of Transportation, Operating Practices Inspector.
- 22 MR. FOSTER: William Foster, F-o-s-t-e-r, Senior
- 23 Investigator, Columbia County Sheriff's Office.
- MR. GERNON: George Gernon, G-e-r-n-o-n, Investigator
- 25 with the Amtrak Police Department.

- 1 MR. HIPSKIND: And, Patrick, will you go ahead and
- 2 introduce yourself as well.
- 3 MR. COLLIERE: Patrick Colliere, C-o-l-l-i-e-r-e, Safety
- 4 Officer, New York Division.
- 5 MR. HIPSKIND: Okay. And do you mind if we just are on
- 6 a first name basis, and do you prefer Pat or Patrick?
- 7 MR. COLLIERE: Pat works.
- 8 MR. HIPSKIND: Pat, okay. Pat, do we have your
- 9 permission to record our discussion, our interview with you today?
- 10 MR. COLLIERE: Yes, sir.
- MR. HIPSKIND: Okay. Second question, and do you wish
- 12 to have a representative with you in this interview?
- MR. COLLIERE: No, sir.
- MR. HIPSKIND: Okay. Let's proceed.
- 15 INTERVIEW OF PATRICK COLLIERE
- BY MR. HIPSKIND:
- 17 Q. Pat, give us kind of a synopsis of your work experience
- 18 when you hired out and just kind of hit the high points up to your
- 19 position that you hold currently.
- 20 A. Okay. I was hired on for Amtrak in August of 1984. I
- 21 was hired on as a trackman position. I worked as a trackman for
- 22 two years down in Washington, D.C., and I bid and was awarded a
- 23 job with our B&B Department. That was in 1986. I worked in
- 24 Washington, D.C. as a B&B mechanic for five years, and was awarded
- 25 a B&B foreman's position which I worked until 1996. At that time

- 1 I got involved with the high speed rail project for the company,
- 2 and went to New York as a safety coordinator, again an agreement
- 3 position from the end of '96 to April of 2000, and at that time, I
- 4 bid and was awarded a safety engineer's position for the New York
- 5 Division. I've been working that position since. It's changed
- 6 titles but the same job, engineer to start with and then a safety
- 7 officer. It just changed titles.
- 8 Q. So, Pat, for about how many years in the safety position
- 9 then?
- 10 A. Safety manager for 14 years, safety coordinator which
- 11 was an agreement position for 4 years.
- 12 Q. Okay. Fair to say you're pretty knowledgeable about the
- 13 rules?
- 14 A. Yes, sir.
- 15 Q. And in some of your duties and responsibilities in your
- 16 current position, do you ever get involved in the kind of train
- 17 the trainer aspect of rules and training?
- 18 A. Not regulatory rules. The ad hoc courses, if you will,
- 19 I do PowerPoint presentations for training camps, where they do
- 20 the regulatory stuff, the subject is safety. Same thing at the
- 21 new hire camps or classes, they come and give an hour in their 10
- 22 day training to talk strictly about safety, going over some of the
- 23 regulatory stuff but not -- it's not a qualification course. To
- 24 answer your question, I've been trained the trainer but I'm not a
- 25 trainer by trade. I'm a safety offer.

- 1 Q. Okay. Well, some of our interests has been in this
- 2 investigation, the -- your knowledge and understanding on kind of
- 3 roadway worker rules and procedures. So in some of your duties,
- 4 do you ever get engaged with groups of maintenance-of-way
- 5 employees and talk to them about that subject?
- A. Usually for the past eight years, I've been partnering
- 7 with the FRA doing RWP compliance audits on the New York Division.
- 8 Then with other management and supervision, same type of audits.
- 9 They're not just strictly RWP compliance. There's also safety
- 10 audits.
- 11 Q. Okay. And should I know that type of work that you're
- 12 talking about is kind of synonymous with efficiency testing? Do
- 13 you look at it that way?
- 14 A. Well, not in our 1872 program because of my position but
- 15 I do document reports on my audits.
- 16 Q. Okay.
- 17 A. There is a documented report that we -- I do the 1872 or
- 18 efficiency program, I'm not in that program. Our positions don't
- 19 do that. We do our own independent efficiency test if you will.
- Q. Okay. Well, let me ask you just a little bit different
- 21 and see if I can get to the same place I wanted to be. It would
- 22 not be uncommon for Amtrak managers or supervisors to conduct
- 23 efficiency tests relative to RWP type procedures and rules.
- A. There are people that do do that, yes.
- 25 Q. Okay.

- 1 A. Managers and supervision.
- Q. Okay. Do you see any of that data coming through the
- 3 system? Do you monitor that or crunch the numbers from time to
- 4 time?
- 5 A. I don't monitor it, but what I do with it, the test
- 6 system, with my position, if there is an incident or accident,
- 7 injury, I will go to the system and EER system, it's an EER
- 8 system, it's the engineering employee review system, I don't know
- 9 if anybody told you about that.
- 10 Q. No.
- 11 A. It's where we keep all the vital information about each
- 12 employee, and their 1872, efficiency tests, will be documented in
- 13 that program.
- Q. And when you say --
- 15 A. Yes, I review. If there's a -- we always look at an
- 16 employee's history. From that, we can evaluate, myself, after
- 17 looking at it, some type of accident or injury where an employee
- 18 got hurt or there was damage to a property or equipment, you might
- 19 see a trend where somebody might need some help in a certain area.
- 20 Maybe an employee has got a bunch of noncompliants for PPE. We
- 21 would get them some additional training in that area or whatever
- 22 the area is. So I use it that way.
- Q. Okay. Well, we're halfway down the road.
- 24 A. Okay.
- 25 Q. So I need to ask you this. In this particular incident,

- 1 accident, the employee fatality, did you run such a package on the
- 2 deceased?
- 3 A. Yes.
- 4 Q. Is that anything that you care to comment on?
- 5 A. I, I briefly reviewed it and I have it with me today,
- 6 and I'm sure it will be in the documents, the employee history
- 7 that I've been helping Mr. Porto get the package together to get
- 8 to you guys and the profiles which we call the whole packet will
- 9 be in the thing. Did I notice any noncompliants for the deceased?
- 10 No.
- 11 Q. Okay.
- 12 A. She didn't have any noncompliants in 1872s.
- Q. So the other side of that coin is did she get looked at,
- 14 efficiency tested from time to time? Does the document talk about
- 15 that?
- 16 A. Yes.
- 17 Q. And so how would you characterize that?
- 18 A. Very well. Very -- like I said, she has no
- 19 noncompliants of these tests and I can tell you how many she had
- 20 if you want to know.
- 21 Q. Yeah, I -- because I'm trying to get a feel for how many
- 22 times she may have been tested and if you can just generally
- 23 characterize over what time period?
- A. Okay. There's 30 efficiency tests on Ms. Kline (ph.).
- 25 It looks like the first one was on November 12, 2013, and her

- 1 latest one was on 10/21/14.
- Q. Okay. So another way of saying that is 30 times since
- 3 she's been hired?
- 4 A. Yes.
- 5 Q. Okay. All right. I want to jump back and see what your
- 6 thoughts are on how you might characterize when a new hire hits
- 7 the property. Are they -- do they go through some training before
- 8 they ever go out there? Do they go out and then you schedule the
- 9 training? Kind of give me how all that's supposed to work out.
- 10 A. Okay. Here's the training records. As you know, Ms.
- 11 Kline was hired on 9/3/13. The first 10 days after they're hired,
- 12 they go -- a new employee goes into a classroom environment. I
- 13 believe the first day, the employee finds out about Amtrak and
- 14 their benefits, the union they're going into and all that stuff.
- 15 The representatives come in and talk to the employee, the human
- 16 resources people.
- 17 And then I guess for the next nine days, there's
- 18 different training courses. I have her training record on her 10
- 19 days and new hire training and I guess her first day looks like it
- 20 was -- she was hired on the 3rd. Her first day of training was on
- 21 the 3rd, and you'll have the list of what was trained on those
- 22 day. I won't go over -- just quickly. It's DNA suspicion, tells
- 23 about our drug and alcohol policy, diversity training, PPE
- 24 training, noise and hearing training, respiratory training, CPR,
- 25 first aid, asbestos awareness, hazmat training, and here's the one

- 1 you want to talk about is RWP training.
- 2 Q. Exactly.
- A. RWP training is a full eight hour course for a new hire,
- 4 and it spills over to the second day. They have about an hour for
- 5 a review of what they learned and hopefully grasped the day
- 6 before, and then they're tested, and they have to score a score of
- 7 85 to move forward and be qualified to work on the railroad,
- 8 everything but a non-watchman's capacity. You want me to talk
- 9 about what happens with RWP?
- 10 O. Sure.
- 11 A. All right. So the first 90 days, when an employee is
- 12 hired, like I said, the first day, the second day they pass the
- 13 test, and then between -- and then they're not in the non-watchman
- 14 capacity for the first 70 days. They work out on the railroad
- 15 under their EIC's protection, employee-in-charge. Between their
- 16 70th and 90th day, they can get qualified as a watchman.
- 17 What that mentoring program entails is three consecutive
- 18 days with a qualified watchman standing right next to them. Our
- 19 rules say you can't talk to a watchman while he's performing his
- 20 duties. This is the only situation that you can because you're
- 21 mentoring the person. So he's with the employee for three
- 22 consecutive days and he's mentored. There's a form that's filled
- 23 out and sent to his supervisor once this mentoring process is
- 24 complete. It's sent to his supervisor, sent to his manager and
- 25 sent to a safety officer or equal for signoff, that this employee

- 1 has been mentored.
- 2 After the mentoring process is complete, they go back to
- 3 our training department and take the watchman's qualification
- 4 test. If they pass that, they'll get a card that says they're
- 5 fully qualified in RWP.
- 6 In Ms. Kline's case, she was -- she went to RWP on
- 7 9/10/13, and she became a qualified watchman on 5/15/14. So it
- 8 was nine months before she finally got her qualifications on what
- 9 I said. I don't know why it took that long, but she didn't have
- 10 to report -- perform any watchman duties before 5/15/14. Did that
- 11 kind of explain it?
- 12 Q. Yeah, that was a great explanation. Well, why don't you
- 13 continue how that progression may go past watchman lookout? Are
- 14 there -- is there that next level to like EIC or -- and how should
- 15 we understand that? Is it just a matter of more training, takes
- 16 longer?
- 17 A. Okay. So you want to know how the person becomes an
- 18 EIC --
- 19 Q. Yeah.
- 20 A. -- or a qualified employee?
- 21 O. Yes.
- 22 A. Well, in the case of her craft, it's NORAC C. We have
- 23 three different types of NORAC. NORAC A is for transportation
- 24 employees with past specific rules and regulations. NORAC B is
- 25 engineering department, M&W employees, with their safety rules and

- 1 regulations and task specific rules. Ms. Kline's an engineering
- 2 department employee, and I'll tell you the difference between B
- 3 and C, and she's a NORAC qualified C employee, NORAC C.
- A Class B Amtrak employee, engineering deployment, they
- 5 get qualifications to move on-track equipment, along the tracks.
- 6 Class C wouldn't. They don't move any equipment across tracks.
- 7 They're not qualified to give traveling orders. Class B is.
- 8 Class C isn't. Both classes are qualified to take tracks out of
- 9 service and get foul time. Now which task to be able to do that,
- 10 you have to have either NORAC B or C. You have to have physical
- 11 characteristics on the piece of railroad you're working and you
- 12 must be qualified in RWP.
- 13 O. That sounds like a lot. Is it?
- 14 A. It is. I mean it takes some knowledge. It takes some
- 15 training. It takes some study. It is a lot.
- 16 Q. Okay. Well, now we're a better understanding of the
- 17 sequence. Tell me about is there a frequency or a pattern to
- 18 recurring training? So do they do it annually or every 10 years
- 19 or what?
- 20 A. Yes. No, RWP, NORAC and physical characteristics are
- 21 yearly qualifications.
- 22 Q. And --
- 23 A. Annual.
- Q. Okay. And let's, let's separate out that initial
- 25 roadway worker training and such. I think we said it was eight

- 1 hours and maybe even more.
- 2 A. It is. It's 8 hours the first day, and I don't know
- 3 which day in the 10 they do it, I don't know if it's the same day.
- 4 Eight hours the whole day the first day. They come in on the very
- 5 next morning after they're told to go home and study because there
- 6 will be a test and they do a review. It might take them an hour.
- 7 It might take them two hours depending on what people's questions
- 8 are and what they grasp, but it's usually about an hour, and then
- 9 they take the test.
- 10 Q. Okay. And when I think about the annual, the
- 11 reoccurring training, is that more of kind of like a refresher
- 12 course?
- 13 A. Yes.
- 14 Q. Shorter in duration?
- 15 A. Yeah, the refresher, the refresher for RWPs is a four
- 16 hour course again with a much shorter test.
- 17 Q. Okay. But again, I want to be clear. You're not the
- 18 person who goes in and trains on that refresher course but you're
- 19 -- but I think you're very familiar with the rules and the topics
- 20 and all that kind of stuff?
- 21 A. Yes, sir. I am qualified myself in NORAC and RWP.
- 22 Don't have physical characteristics.
- 23 Q. All right. And you are certainly familiar with the
- 24 Albany to New York area, are you?
- 25 A. It's an area of my responsibility.

- 1 Q. Okay. And in some of the work that you do, do you
- 2 happen to get out and ride on trains?
- 3 A. Yes.
- 4 Q. How, how should I understand that? Like --
- 5 A. I do --
- 6 O. -- once a week or --
- 7 A. No, okay. I try to get out at least once a month and
- 8 they're all document. We call them head end rides. My purpose
- 9 would be on the head end ride is for RWP observation.
- 10 Q. Well, I'm not surprised.
- 11 A. Yeah.
- 12 Q. Okay.
- 13 A. Yeah, we're looking for gangs to clear, proper amount of
- 14 time, proper PPE. You go by, that's probably all you can really
- 15 see. Make sure barricades are up.
- 16 Q. But you're whizzing by on the train, and we know the
- 17 trains go pretty fast out there. So if, you know, if you observe
- 18 people and everybody's doing the right thing and getting in the
- 19 clear and all that kind of stuff, you're just whizzing by and you
- 20 see them and there's really not much to -- for you to follow up
- 21 on, right?
- 22 A. Well, if I was to observe an employee in my opinion that
- 23 did not move fast enough or be not in the clear standing for 15
- 24 seconds, I mean if we're getting closer and that guy's still
- 25 moving off, he's not in the clear 15 seconds. I certainly don't

- 1 know the employee, but I recorded it at the milepost, what color
- 2 hat he's got on. We get in touch with the ADE, assistant division
- 3 engineer, try to get in touch with that employee, and mentor him
- 4 about what I seen out there.
- 5 Q. Okay. So there is a follow up on exceptions that you
- 6 observe?
- 7 A. Yes.
- 8 Q. Okay. And if somebody comes out and has that
- 9 communication with him, is that documented?
- 10 A. It could be documented in a formal counseling session if
- 11 we're sure that it's -- we know the employee. Like you said it.
- 12 I'm whizzing down it fast and usually you can't -- you just know
- 13 it's a white guy or black guy with an orange hat. So to identify
- 14 him going at 125 miles an hour is tough. So usually you don't
- 15 know who it is. You see the gang, but you can pick out something
- 16 odd, like a person not wearing a hardhat and everybody else is.
- 17 So it's that kind of stuff that I observe for the most part,
- 18 either people clearing too slow or the other part of it is or
- 19 gangs that continue to work as we pass which is against the rules.
- 20 You know, a passing train, stop, get in the clear and watch the
- 21 train. That's the other observation that we note.
- 22 Q. Okay. Now we -- our discussion thus far has been about
- 23 what you do and what you know, and this question has to do with
- 24 how many people are out there doing train rides and maybe doing
- 25 similar things like what you're doing? Are you the only one or

- 1 are there others?
- 2 A. No, sir. There's many people. I've got three safety
- 3 liaisons that are great employees who work for me, and they do --
- 4 they're told to do one documents ride a month. It's that way for
- 5 every division on Amtrak. I've got counterparts in four other
- 6 divisions.
- 7 Q. Okay. And, Patrick, earlier we talked about you have
- 8 been with people who were supervisors, managers and they were kind
- 9 of doing that efficiency testing, and the point I want to make
- 10 there is --
- 11 A. I don't think I said I was with them. I said that they
- 12 do it.
- 13 Q. Oh, they. Okay.
- 14 A. Yeah, I'm not with them. I'm not with them when they do
- 15 them.
- 16 Q. Okay.
- 17 A. Like I said, I monitor and I review, but I'm not with
- 18 them when they do these efficiency tests.
- 19 Q. Okay. Well, the only distinction I want to make is not
- 20 all of it's by train. Some are just actual visits to the gang.
- 21 A. The efficiency test program is supposed to be done in
- 22 the field, not at a desk, in the field, observation of employees.
- Q. All right. Now watch this. So with all these things
- 24 we're talking about, train rides, you see people and people,
- 25 supervisors, managers, so is there some database that is

- 1 collecting all this stuff and is being coded up and kept up to
- 2 date and all that kind of --
- 3 A. Yes.
- 4 Q. Yes.
- 5 A. Yes.
- Q. Okay. And from that, you can run queries, right?
- 7 A. Yes.
- 8 Q. On a gang or a location or a person and all that?
- 9 A. Yes.
- 10 Q. And you've already given us that you were able to run it
- 11 for the deceased, right?
- 12 A. Yes, sir.
- Q. Okay. And same for anybody else that you would want to
- 14 do that?
- 15 A. Yes, sir.
- Q. All right. And so -- and again, I'm not trying to trick
- 17 you here, but with such a big database, does anybody do that kind
- 18 of deep dive and see what the trends are doing?
- 19 A. Yes.
- 20 Q. Well, tell me a little bit about that.
- 21 A. Okay. Director of Safety, Matt Porto, I know he does
- 22 trends on injuries, accidents, rule violations. I'm not sure he
- 23 does a query on 1872s. I don't think so. But you're talking
- 24 about where the data goes?
- Q. Where it goes and how it's used, how often it's used and

- 1 the --
- 2 A. Okay. I think -- I believe -- I know it's a regulatory
- 3 program for the Federal Railroad Administration, and all that data
- 4 is sent to our central reporting and communicated to the Federal
- 5 Railroad Administration.
- 6 Q. Okay. Do they ask for that stuff from to time?
- 7 A. They don't ask me.
- 8 Q. Well, what do you think?
- 9 A. I think they do, yes.
- 10 Q. Okay. All right.
- 11 A. I think they have to send reports but I --
- 12 Q. All right. Okay. Anything else that you want to tell
- 13 me about observations, efficiency testing, databases, trend lines,
- 14 anything like that?
- 15 A. No, sir.
- 16 Q. Okay. Let's double back. Let's go back to the
- 17 beginning. When I'm a new hire and I'm being taught, I'm going to
- 18 the classes and I got hired about six, seven months ago, and over
- 19 the course of my work, I've ascended to where I'm capable of
- 20 getting foul time or a watchman lookout, and maybe I'm even coming
- 21 up on being an EIC, okay, and maybe I've been really sharp and now
- 22 I'm a foreman. So I can do that EIC work and all the other kinds
- 23 of stuff. Tell me, if you can, what is it, what is it that's been
- 24 emphasized to me about these practices and procedures, I mean
- 25 getting track time, doing the job briefing, and how I monitor

- 1 people that are working for me. I know that was a lot but talk to
- 2 me about what do you think Amtrak tries to impart to these
- 3 individuals that are going to take on that responsibility, you
- 4 know, as a regular kind of day-to-day operation.
- 5 A. Okay. I told you about the RWP. I guess we'll go into,
- 6 in this case, NORAC C. It's a four-day class, very extensive,
- 7 going over all the rules and regulations, safety being the most
- 8 important aspect of your job and getting qualified. I believe the
- 9 first test is a 100 question test and then once you pass your
- 10 original NORAC C, it's annual like I said, and then it comes down
- 11 to a shorter, a half a day course with a 50 question test, on all
- 12 aspects of responsibility as far as rules and regulations are in
- 13 NORAC. It tells you how to take -- how to fill out a Form D, and
- 14 you do it in the class. How to take foul time, you do it in the
- 15 class. How to add a recorded, and it tells you about the rules
- 16 and regulations of the railroad laws I'll call them, as far as
- 17 your responsibility to protect people in the movement of trains
- 18 and/or track equipment. That's what NORAC does. I quess you want
- 19 to know about the physical characteristics.
- Q. Sure, let's get all of it.
- 21 A. Okay. Physical characteristics, and everybody,
- 22 hopefully you know what that is. That's the actual infrastructure
- 23 that are broken up in mileposts, interlockings, spaces of tracks
- 24 and employees that want to either get foul time or track out of
- 25 service must be qualified in physical characteristics in the

- 1 section of track they're looking to either take out of service or
- 2 get foul time on. That is -- we have a program online for
- 3 physical characteristics but how people learn physical
- 4 characteristics is go out and walk the infrastructure, identify
- 5 things on the infrastructure such as signals, switches, hump
- 6 signals, that sort of stuff because when you go in to qualify on
- 7 that piece of territory, you have to know all this stuff. It's on
- 8 a test. You qualify in different territories. That's physical
- 9 characteristics. You've got to know the lay of the land when
- 10 you're going to protect people. That's part of the qualification
- 11 for taking track out or foul time, along with RWP. So I explained
- 12 those three qualifications. Any questions?
- 13 Q. Yes, I've got more questions.
- 14 A. Okay. I thought somebody --
- 15 Q. You and I, you and I are just beginning to talk.
- 16 A. Okay. All right.
- 17 Q. Okay.
- 18 A. Just beginning.
- 19 Q. So when you use the term territory --
- 20 A. Yes.
- 21 O. -- how should I think of that as a chunk of miles of
- 22 railroad? Is it 5 miles, 10 miles, 20 miles? Is it different?
- 23 A. Yeah, call it a segment of track.
- 24 Q. Okay.
- 25 A. You'll get physical characteristics from CP La-la-la to

- 1 CP La-la-la, and you're qualified in that second. And if you're
- 2 going to get qualified in the next section of railroad, same
- 3 thing. Some people are or most people here appear to be qualified
- 4 across the division. People on the Hudson Line get qualified on
- 5 the whole Hudson Line.
- 6 Q. Is that one segment or is it broken up?
- 7 A. I'm not sure.
- 8 Q. Okay.
- 9 A. I'm not sure. You want me to guess, which I won't do,
- 10 right. I think it's one section, where you get the Hudson Line.
- 11 Q. And the Hudson Line goes from New York to Albany?
- 12 A. No, it doesn't go all the way down. You've got to get
- 13 to Metro North Territory, you know, before you get to --
- 14 Q. Is that Poughkeepsie then?
- 15 A. Yeah.
- 16 Q. So Poughkeepsie to Albany and just rough it out. How
- 17 many miles is that?
- 18 A. I don't think the track goes all the way up to -- yes,
- 19 it does go all the way -- 100 miles maybe.
- Q. Okay. All right. I'm good with that. Well, that's a
- 21 pretty good chunk of -- there would be a lot of things in 100
- 22 miles, wouldn't there?
- 23 A. Yes, not as bad up here as it down south though.
- 24 Believe me, there's not as many turnouts and there's a lot of
- 25 signals, but there's not the turnouts and switches that --

- 1 switching from track to track like you've got. So the -- in my
- 2 opinion, the PCs up here, physical characteristics, are much
- 3 easier than they are say down in Penn Station, New York where
- 4 you've got 35 tracks and a 4 track railroad. So this is a two
- 5 track railroad which makes it much easier to obtain your
- 6 characteristics.
- 7 Q. Okay. Now tell me if there -- tell me where I would
- 8 look to find this kind of training, and I want to go back to the
- 9 new hire scenario, and so I'm a new hire, and I've gone through
- 10 the 10 days and I've gone through all that kind of RWP stuff, and
- 11 I've been out here for a few months and now I'm watchman lookout
- 12 and just like the example before. I just keep ascending in my
- 13 responsibilities. In all that time, and in all that kind of
- 14 training, did anybody at Amtrak show me, tell me, how to problem
- 15 solve the environment, the circumstances when I go to apply all
- 16 that training? And if you're not clear about that, I'll take a
- 17 second and explain it.
- 18 A. I think I know what you're asking.
- 19 Q. Okay. Go ahead then.
- 20 A. All right. In NORAC, there's situational things like
- 21 you're talking about right in NORAC where they tell you what to
- 22 do, how to do it, the only safe way to do it --
- 23 Q. Um-hum.
- A. -- proper procedures and the rules. As far as we're
- 25 talking about just a qualified employee, we're not talking about a

- 1 foreman or above.
- 2 Q. Oh, no. I meant to include that in this kind of
- 3 hypothetical that we're talking about.
- 4 A. You want to include the foreman?
- 5 Q. Yeah, and I'm going to tell you why. Because we're
- 6 going to stop beating around the bush a little bit here. A lot of
- 7 what we've heard in the investigation is that an EIC can be in
- 8 charge of 25, 26 people. Trust me, that number was thrown out,
- 9 and I'm sure sometimes it's a lot less. Don't know if it's a lot
- 10 more, but let's just deal with that because that is something that
- 11 we've learned. And so to me, I'm interested in understanding how
- 12 in all this training coming along through Amtrak's training,
- 13 whether somebody told me how to problem solve and how to manage
- 14 multiple gangs in a broad area. That's what I would like to talk
- 15 about a little bit.
- 16 A. Okay. To be an EIC, foreman in charge, you go to --
- 17 well, let me back up. BMWE employees go to a 20 day foreman's
- 18 school. C&S employees, NORAC C, when you became a C&S foreman,
- 19 there's an online course that you take and you have to pass that
- 20 to become a foreman. And there's a study guide, study process.
- 21 You just don't get online and pass it. It takes a person a bit of
- 22 time. The majority of that foreman's training, foreman's
- 23 qualification is exactly what you said. It's situational. That's
- 24 the training that it is. He already knows the rules and
- 25 regulations. Now he's learning situational, problem solving in

- 1 the foreman's qualifications. That's what that course is all
- 2 about.
- 3 Q. Are you familiar with the content of some of this that
- 4 you're describing?
- 5 A. Yes.
- 6 Q. You are?
- 7 A. Yes.
- Q. Okay.
- 9 A. I can give you an overview.
- 10 Q. Give me an overview then.
- 11 A. All right. Yeah, it's situational. I haven't -- I've
- 12 been told about the test. First of all, the test for the C&S,
- 13 like I said, is taken online and no two tests are the same. So
- 14 you can't tell your buddy that's getting ready to take the test
- 15 next week --
- 16 Q. The pattern of the answers.
- 17 A. -- the pattern of the answers. They change. It's a 100
- 18 question test, all -- 100 question test, yeah, also online, and
- 19 they change up the content. They might have 300 questions and
- 20 throw 100 of them at you, and a lot of the content, like you say,
- 21 it's situational. Where you would place watchmen, what is
- 22 necessary to take a track out of service, where should your
- 23 whistle boards be placed, if you've got 10 -- there will be a
- 24 diagram where there's a group of work or multiple group work
- 25 gangs, what do you have to take into consideration to place

- 1 watchmen, noise, environment, weather, those sort of things. And
- 2 you have to answer the questions. So it's very situational.
- 3 Q. Okay. In other words, they're not given true/false
- 4 questions or maybe multiple choice.
- 5 A. No, sir.
- 6 Q. They're asking them to look at the paragraph, the
- 7 narrative that's being laid out and I'm going to ask you. Do you
- 8 consider that problem solving?
- 9 A. Yes.
- 10 Q. Okay.
- 11 A. Very much so.
- 12 Q. All right. And again, I'm not trying to trick you here.
- 13 Do you think the level of testing in this method of testing that
- 14 you're talking about, do you think it matches up well with the
- 15 real world?
- 16 A. Yes.
- 17 O. Okay. All right. So --
- 18 A. Let me elaborate, please.
- 19 Q. Okay. Sure.
- 20 A. They'll put you in an exact situation that you might
- 21 come on out there on a job, and you have to tell them, well, I
- 22 would place four watchmen or a watchman is not enough, you know.
- 23 They'll say it's snowing out there and visibility is down. If you
- 24 can't see a sufficient distance to get people in the clear 15
- 25 seconds, what should you do? Well, two options is the foul time

- 1 or track out of service, those type of situational deals.
- Q. Okay. And what, what would you think the right answer
- 3 would be if the question on the test said here's the layout,
- 4 you're in some curve track territory and the operational speed is
- 5 maybe somewhere between 100 and 90 miles an hour, and we all know
- 6 that you need increased site distances to protect work that may be
- 7 in and around that area. And then here's the twist on this
- 8 particular question. It says to the employee, you've got to have
- 9 three guys working and you've only got two guys left over to do
- 10 the watchman lookout, and the question ends, what do you do?
- 11 A. I'm sure -- I'm not sure, but I would think there would
- 12 be something in there that if you don't have enough protection,
- 13 you don't do the job.
- 14 Q. Okay. Do you --
- 15 A. If you can't protect your people, have people in the
- 16 clear 15 seconds before the train reaches your work location, you
- 17 don't do the job.
- 18 Q. Okay. And kind of what you just said is what I was
- 19 driving at on the problem solving aspect, you know.
- 20 A. Um-hum.
- 21 Q. How many do I need and where do I put them and am I
- 22 aware of the train speed, et cetera, and I think the train speed
- 23 and all that kind of comes back through physical characteristics.
- 24 A. Yeah, and your timetable in your NORAC book which is
- 25 required to have, you know, for an EIC to have on the job. He has

- 1 to have his books, NORAC. He has to have his bulletin orders,
- 2 TRBs for the area you're in and he has to have his qualification
- 3 cards, in case the FRA comes out and wants to audit or anybody
- 4 else. These are things you have to have. It's not -- I'm making
- 5 it sound like it's because of an audit, but it's not. It's so the
- 6 employee can check the things that you're talking about, speed,
- 7 distances, also taking in consideration noise, weather and other
- 8 unforeseen things that you might find on a jobsite. So they're
- 9 given all the tools that they need and they should have them on
- 10 their person on the jobsite. That's required just for that
- 11 reason, to reference in case you need it to solve those problems.
- 12 Q. Okay. Pat, we've had a pretty good discussion. I
- 13 appreciate the candor and the back and forth. That's all I've got
- 14 for right now. We've got a lot of good investigators here. I'm
- 15 sure that they have some curiosity, too.
- 16 DR. JENNER: Can I suggest we take a break first?
- 17 MR. HIPSKIND: Do you mind if we take a break?
- 18 MR. COLLIERE: No, let's do it.
- MR. HIPSKIND: All right. I'm a smoker anyway.
- 20 (Off the record.)
- 21 (On the record.)
- DR. JENNER: Okay. We're back on the record. This
- 23 Steve Jenner.
- BY DR. JENNER:
- 25 Q. Thanks for your very thorough answers so far. That's

- 1 very helpful. I just want to go back and get a little more
- 2 details on some things or just get your opinion on some things.
- 3 For a new employee who has never been in the railroad before, what
- 4 do you think is, in terms of personal safety, what's the hardest
- 5 thing for them to grasp or something that they overlook?
- A. I think the main thing for a railroad, if you're working
- 7 on or about the right-of-way is, you know, the engineering
- 8 department is a construction department with the added hazards of
- 9 trains and the electrical systems. So to get used to working
- 10 around the equipment and the electrical system is a challenge. We
- 11 have every type of construction on the railroad as you would any
- 12 other things. We build things. We make things. We fix things,
- 13 and then you've got to worry about protection against trains.
- Q. Okay. So is there specific behavior? Are they not --
- 15 are they walking in areas that they shouldn't be? Are they
- 16 handling equipment that they're not supposed to? What in
- 17 particular do you think?
- 18 A. New hire employees are never on the railroad by
- 19 theirself. They're being mentored so to speak. There's no school
- 20 or college you go to, to learn the tasks of working on a railroad
- 21 or driving a spike or fixing a switch. It's classroom training
- 22 with on-the-job training. So I believe we take special
- 23 consideration, and when we get new hires, mentor them along if you
- 24 will.
- 25 Q. Um-hum.

- 1 A. They certainly do have to get used to working around the
- 2 railroad and the trains and the equipment. I think that's their
- 3 biggest challenge, and I'm sure that they don't feel safe
- 4 theirself, you know, in their first 60 or 90 days until they get
- 5 used to how to really grasp what's around you and learn what's
- 6 going on.
- 7 Q. Um-hum. Now we've talked a lot about personal safety.
- 8 Is there a part of that process where you're sort of tasked with
- 9 looking out for the safety of others?
- 10 A. When you get into a protection role, yes. Anybody
- 11 that's qualified and is an EIC, that's their main responsibility,
- 12 the safety of your work crew and the infrastructure. So when
- 13 you're getting into that type of role, there's specialized
- 14 training in that, and that's your foreman's school --
- 15 Q. Um-hum.
- 16 A. -- for the EICs.
- 17 Q. Okay. Again we also talked about the efficiency
- 18 testing. If you could just summarize the process, how was that
- 19 done. We heard about 30 efficiency tests that occurred for -- in
- 20 this occasion. On a day of efficiency testing --
- 21 A. Okay.
- 22 Q. -- just walk through that.
- 23 A. Okay. They're usually done, depending on the person
- 24 that's qualified. First of all, the employees that do efficiency
- 25 testing are trained and are in the program if you will. They've

- 1 been trained how to perform a test. The program is online now.
- 2 They used to be by hand where you write them and send them in but
- 3 now it's online and they're trained on what to look for. They're
- 4 trained on the rules and regulations of the railroad, to be able
- 5 to perform the test and spot unsafe or safe practices, that type
- 6 of stuff.
- 7 Certainly as part of the efficiency test, 1870 (sic)
- 8 program, is compliance. There's rules listed in the program, in
- 9 the efficiency test. So they'll do NORAC compliance, RWP
- 10 compliance, safety compliance, all aspects of it, and if they
- 11 find, like I said, you find a person doing and following the
- 12 rules, you write a compliant test. You find problems out there,
- 13 where things aren't right, you write a noncompliant and you, as a
- 14 supervisor or manager, would counsel the employee on the spot.
- 15 Q. Um-hum.
- 16 A. And then enter your findings in the program. So there
- 17 is where the test works. It's a program. It's non-disciplinary.
- 18 It's a program where you want to mentor and fix things that are
- 19 not right out there and give credit for good things. If the guy
- 20 does a good thing, and you're going to write an 1872 on him, you
- 21 tell him, I'm going to write a compliant, great job. So it's
- 22 twofold that way.
- Q. Great. Thank you. Let me just bring up some details of
- 24 what we learned about this incident and a little history there.
- 25 From interviews and from video tapes, we know that the person was

- 1 struck while walking between the rails there. When we were out
- 2 doing our -- visiting the accident site, you and I and everyone
- 3 else was walking between the rails and when is -- I assume that
- 4 when we were out there, that was okay to do that.
- 5 A. Yeah, the EIC, when they gave us an on-track briefing,
- 6 was very specific as where he wanted it, told us what to do and
- 7 when to do it. The pre-determined place of safety, our day out
- 8 there, was on number 1 track because it was out of service.
- 9 Q. Okay.
- 10 A. You know what out of service means, right?
- 11 Q. Um-hum.
- 12 A. Blocked up on each, on either end --
- 13 Q. Um-hum.
- 14 A. -- of the interlocking space, taken out of service. You
- 15 know what blocking up means, right? And throwing down a signal so
- 16 trains can't come into your out of service.
- 17 Q. Okay. And I think you're about to answer my second
- 18 question. In cases where the track is out of service, it is okay
- 19 for an employee to walk between the rails.
- 20 A. Yes.
- 21 Q. Okay.
- 22 A. If determined by the EIC. I mean, yes, I mean it's
- 23 safe. It's blocked up and in the NR safety rulebook, it states
- 24 clear all main tracks. Now we were safe. We all, we all agreed
- 25 that we were safe the other day. I don't think there was any

- 1 possible way a train could come down that track. So the pre-
- 2 determined safety was between the gauge of the rails.
- 3 Q. Okay. So if during an efficiency test, someone came up
- 4 and was looking for a safe, you know, procedures and employees
- 5 were there, they said this track here, track 2 is out of service,
- 6 and you see them walking around the track, that would be okay if
- 7 you had assurance that it was out of service.
- 8 A. Yes.
- 9 Q. Okay. And on the converse side, if it's not out of
- 10 service, they should not be walking within a certain number of
- 11 feet of the track?
- 12 A. You should only foul a track in the performance of your
- 13 duties period.
- Q. Okay. And that's something that they're trained on and
- 15 tested on?
- 16 A. Yes.
- 17 Q. Okay. All right. I think that's all the questions I
- 18 have now. Thank you.
- 19 A. Thanks.
- 20 MR. HIPSKIND: Tom, do you have anything?
- MR. HEBERT: I just actually had a couple of questions.
- BY MR. HEBERT:
- Q. When you do your 1872s and you find a noncompliant
- 24 condition, when the supervisor counsels the employee, is there any
- 25 documentation that the employee has been counseled?

- 1 A. The documentation is that they'll write a
- 2 noncompliant --
- 3 Q. Um-hum.
- A. -- on the test, on that test, and like I say, on the
- 5 spot. I don't want to say counsel. I think I would call it
- 6 mentor.
- 7 Q. Okay.
- 8 A. Mentor the employee so it doesn't happen again.
- 9 Q. And last one was the employees, you had just mentioned
- 10 that, you know, you're not to foul the track unless it's in the
- 11 course of your duties. Am I safe to assume though you don't
- 12 follow the track or they're trained or they're educated not to
- 13 follow the track without proper protection while engaged in their
- 14 duties?
- 15 A. Yes.
- MR. HEBERT: That's all I have.
- 17 MR. HIPSKIND: Thank you, Tom. Jim.
- 18 MR. FINNEGAN: Yeah, I've got several questions
- 19 actually.
- 20 BY MR. FINNEGAN:
- 21 Q. You're a new hire and you go through the 10 day course
- 22 and you said you have 8 hours classroom education. What day does
- 23 that occur on?
- A. I'm not sure what day in the 10.
- 25 Q. Okay.

- 1 A. I don't believe it's early on. It's probably the second
- 2 or third day.
- 3 Q. Second or third day.
- 4 A. Fourth day. I believe it's, you know.
- 5 Q. And you come in and take the test. Is that 100 multiple
- 6 choice questions? Is that on a computer for each student or it's
- 7 a written test?
- 8 A. Written test.
- 9 Q. So it's a proctored test and you have to get an 85 score
- 10 to pass that test.
- 11 A. Or better, yes.
- 12 Q. Or better, and so what are you qualified to do after you
- 13 pass that test?
- 14 A. It makes you a roadway worker. It gives you -- you can
- 15 go work on a track under supervision --
- 16 Q. Okay.
- 17 A. -- not performing any sort of watchman duties.
- 18 Somebody's always going to be protecting you.
- 19 Q. So you're qualified to let other people protect you
- 20 after --
- 21 A. You're qualified to work on the railroad, on or about
- 22 the tracks.
- Q. Okay. And then -- so you're a non-watchman. You can't
- 24 really do anything but be protected by another employee for the
- 25 first 70 days after you pass that test.

- 1 A. No, that's when, that's when the rule says you can start
- 2 the mentoring process. We want the employee to be working in the
- 3 railroad environment --
- 4 Q. Got you.
- 5 A. -- for a period of time before they start protecting
- 6 other people, and just from knowing myself, it's -- 85 percent of
- 7 the time, they go through the process well after 90 days as she
- 8 did.
- 9 Q. Okay.
- 10 A. We want to give our employees time to work. If you
- 11 pulled up any of these profiles of the employees, it's usually two
- or three months after they're working on the railroad before they
- 13 start the mentoring process.
- Q. Okay. And then -- so the mentoring process consists of
- 15 three days with the watchman, and then you -- they mark off, you
- 16 know, that they feel that you learned what was necessary.
- 17 A. Yeah.
- 18 Q. And then that goes -- is disbursed to supervision and
- 19 then you take another test, a watchman's test after that?
- 20 A. Yeah, you mentoring. This guy's job, you mentor.
- 21 That's his three days' responsibility. That's all he's going to
- 22 do is stand behind you.
- Q. Right.
- A. First he'll show you, instruct you and then stand behind
- 25 you and watch you perform your duties. And after that, this is

- 1 the rule between 70 and 90 days that can happen but usually it's a
- 2 little later.
- 3 Q. Okay.
- A. In her case, it was nine months later. You have the
- 5 mentor sign off on it, your supervisor, and a safety official sign
- 6 off this form that's sent down to our training department. That
- 7 gives them notification that this employee can be -- can go to
- 8 class to take his test to be qualified as a watchman.
- 9 Q. Okay. So the person that does the mentoring, what are
- 10 their qualifications? Do they only have to have a watchman's
- 11 qualification or can they -- is it somebody that has additional
- 12 qualifications, such as an EIC that's NORAC qualified?
- 13 A. It's usually a gang member that's qualified in RWP.
- Q. Okay. So it's another --
- 15 A. He's a qualified --
- 16 Q. -- person that's taken a watchman's test?
- 17 A. He is a qualified watchman, RWP. He's qualified in RWP.
- 18 When you're in the non-qualified role, up until the 90th day, it
- 19 says right on your card, you're not qualified to watch for trains.
- 20 Q. Right. But the person mentoring you at that phase is
- 21 qualified as a watchman.
- 22 A. Is a qualified roadway worker with all the
- 23 responsibilities as such.
- O. I'm confused.
- 25 UNIDENTIFIED SPEAKER: He's a qualified watchman.

- 1 BY MR. FINNEGAN:
- 2 Q. He's a qualified watchman.
- 3 A. Yes.
- 4 Q. Okay.
- 5 A. Can --
- 6 Q. He can have additional qualifications?
- 7 A. Yeah, he sure can.
- 8 Q. Okay.
- 9 A. But if he's just qualified in RWP, you can't take foul
- 10 time, you can't track, you can't do any of that.
- 11 Q. Okay.
- 12 A. You're just qualified to watch and work on the railroad.
- 13 Q. Is the watchman's test -- before you take that test, is
- 14 there an eight hour course for that or is that a computer test?
- 15 Is it proctored or --
- 16 A. It's proctored. They take, I think it's, I believe it's
- 17 supposed to take four hours. They have a two hour refresher, talk
- 18 about some of the things that they experienced while they were out
- 19 there being mentored, answer any questions or concerns, that sort
- 20 of thing. They show them a video and then they kind of review the
- 21 rules and regulations and they take the test.
- Q. Okay. And then specific to Vicky, she qualified as a
- 23 watchman nine months later on May 15 of 2014. Is that correct?
- 24 A. Yes.
- 25 Q. And when you hired for this project, the general idea

- 1 was you were hiring a five man gang to provide protection for the
- 2 contracting employees. What did she do for nine months? Can you
- 3 fill in that gap, the nine month gap from when she was hired up
- 4 until May because she wasn't qualified to be a watchman and she
- 5 wasn't qualified for NORAC until -- what was the date on that?
- 6 UNIDENTIFIED SPEAKER: May 15th.
- 7 BY MR. FINNEGAN:
- 8 Q. -- May 15th.
- 9 A. I'm not sure what she did.
- 10 Q. Okay.
- 11 A. She didn't perform watchman's functions, I know that.
- 12 Q. And she didn't perform foul time, give foul time or --
- 13 A. She could work on or about the railroad.
- Q. By herself or --
- 15 A. No, no, with a qualified person. You have to have
- 16 protection if you're working on or about the railroad.
- 17 Q. Okay.
- 18 MR. FINNEGAN: I'll pass off to Matt. If I think of
- 19 some other things, I'll --
- MR. PORTO: I'm good for now.
- MR. HIPSKIND: Bill, have you got anything?
- MR. COLLINS: I don't have any questions. Oh, yes, I
- 23 do.
- BY MR. COLLINS:
- 25 Q. What's considered in the clear, the distance?

- 1 A. Four feet outside of the live, any live track.
- 2 Q. The nearest rail, four feet from the nearest rail?
- 3 A. Four foot outside of any track. You have to be a
- 4 minimum of four feet outside of a live track.
- 5 Q. And outside is -- but the reference point is the closest
- 6 rail?
- 7 A. The closest rail.
- 8 Q. Just four feet.
- 9 A. Four feet. FRA regulations. Your regulations.
- 10 Q. I don't (indiscernible).
- 11 A. No, that's a minimum. Bill, that's a minimum. That's a
- 12 minimum. Believe me, they stand a whole lot further than that.
- 13 Q. Um-hum.
- 14 A. But that's the rule of thumb. That's the law.
- 15 Q. Well, Amtrak can have much more stringent distance. Do
- 16 they?
- 17 A. Yes, we do. In a lot of cases, we go well above the FRA
- 18 RWP regulations. Our rulebook says clear all main tracks on the
- 19 approach of a train, and in most cases we do unless situations are
- 20 that you can't do that and then it's up to the EIC to provide a
- 21 pre-determined place of safety. Hence, Penn Station, New York, 24
- 22 tracks, you can't clear all main tracks. There's no where against
- 23 the wall for you to clear really, if you've ever been there, but
- 24 you've got to foul time or track out of service, what's going to
- 25 be your pre-determined place of safety. So the rulebook says

- 1 clear all main tracks, but in some situations, you certainly
- 2 cannot, hence what I just told you. So -- but you're in a place
- 3 of safety.
- Q. Well, speed cessations, like restricted speed anyway --
- 5 A. Definitely.
- 6 Q. -- but we're talking main line, on a mainline like the
- 7 Hudson Line.
- 8 A. Yes.
- 9 Q. So if an employee fails a test, is there a record of
- 10 that, if they go through the 100 question test and they fail it?
- 11 A. Yes.
- 12 Q. There's a record made of that?
- 13 A. Yes.
- Q. And then if they go and they take it again, and they
- 15 pass, then they're all set, but that record, that first failure,
- 16 that's in the employee history?
- 17 A. Yes.
- 18 Q. So we can check to see if there was any failures?
- 19 A. Yes.
- Q. When are they no longer a new hire?
- 21 A. They're no longer a new hire when they come out of new
- 22 hire training I would think. You're an employee then and you've
- 23 got certain qualifications. It depends on your definition of new
- 24 hire. Once you've worked one day or two days, I don't think
- 25 you're a new hire any more.

- 1 Q. Well, training takes almost a week, right?
- 2 A. It takes two weeks.
- 3 Q. Two weeks, okay. So post two weeks, then they're no
- 4 longer considered a new hire. They could be -- I know some
- 5 railroads have different colored hats or hardhats or they have
- 6 different colored stickers on the hardhat to designate a non-
- 7 qualified, a new employee.
- 8 A. Yes.
- 9 Q. Does Amtrak have anything like that to determine where
- 10 someone walks out to a group and they can see where somebody is a
- 11 new hire, not a new hire, but a non-qualified person?
- 12 A. Yes, you've got a qualification. One of the rules and
- 13 regulations is you carry your qualification card on your person
- 14 when you're on or about the tracks. The EIC should inspect those
- 15 cards to ensure you're qualified.
- 16 Q. At the -- I know they often do that at the job briefing.
- 17 A. At the job briefing, at the on-track briefing.
- 18 Q. But other than that, there's no physical -- they're all
- 19 orange hardhats and orange hardhats.
- 20 A. Yes.
- Q. Okay. And I know it's written someplace, but it's like
- 22 you only should be on the tracks for the necessary performance of
- 23 your duties. You're not -- if it's not actually necessary to be
- 24 within the gauge, you don't -- you shouldn't be there.
- 25 A. If you're not performing a task or a function, you

- 1 shouldn't be on the tracks.
- Q. Right. I mean even if you're own the track, you
- 3 should --
- 4 A. Even if you own the track, right.
- 5 Q. You should not be up there because you could be doing
- 6 something immediately adjacent but even if you own it, because
- 7 I've had this come up, where guys are doing stuff. Well, we own
- 8 it. I said I don't care if you own the track or not. You're not
- 9 properly situational awareness. You're not watching out. Cars
- 10 roll down, cars get kicked, cars roll down, locomotives run away,
- 11 all sorts of stuff happen. You cannot depend on the dispatcher
- 12 like even blocking devices applied. I always assume the track is
- 13 live even if it's owned, proper authority is granted and
- 14 everything else. I don't care. It's still dangerous.
- 15 A. You're only to foul a track in the performance of your
- 16 duties.
- 17 Q. Right, when absolutely necessary.
- 18 A. That's it.
- 19 Q. And the 1872 is the name of the form that they fill out
- 20 and report an efficiency. 1872, is that the number?
- 21 A. We call it the 1872. What's it say on the document
- 22 here? Yep, 1872 observations. That's what it is.
- Q. And is there a spare copy of that? Is it like a booklet
- 24 that the EIC or somebody, or whoever goes out and does the test,
- 25 they can carry a booklet and there's a form and they fill it out

- 1 as they encounter each group or is it like a spare copy in the
- 2 timetable or something or --
- 3 A. Yeah, they have a little sheet that they write on the
- 4 observation, the time and date, and then they record it in the
- 5 computer.
- Q. Right. And then he goes back to headquarters and enters
- 7 all that stuff.
- 8 A. Right.
- 9 Q. So it all becomes a big document.
- 10 A. Yep.
- 11 Q. Part of the big database. Okay.
- 12 A. If you want, I'll pass this around so you can check it
- 13 out. You're going to get copies of all that but I don't know if
- 14 everybody's ever seen that.
- 15 Q. Okay. That's all the questions I have. Thank you.
- 16 A. Thank you, Bill.
- 17 MR. HIPSKIND: Paul.
- 18 MR. HRASKA: Paul Hraska.
- 19 BY MR. HRASKA:
- Q. A question about the 1872s and you said they were -- if
- 21 a person is observed and they're observed doing something right,
- 22 they get spoken to and say congratulations, you did the right
- 23 thing. If they do something wrong, they get counseled. Is there
- 24 any situation where somebody does something wrong enough where
- 25 they're taken out of service and that gets recorded on the 1872 as

- 1 such where they need disciplinary action?
- 2 A. Okay. First of all, we keep saying counseled. I prefer
- 3 to say mentored.
- 4 Q. Okay.
- 5 A. Because if we counsel an employee, you do have to
- 6 document it.
- 7 Q. Okay.
- A. So it's a mentoring, training. If you counsel, you've
- 9 got to document it.
- 10 UNIDENTIFIED SPEAKER: Retraining maybe.
- MR. COLLIERE: Yeah, yeah, whatever. There you go. So,
- 12 yes, there's been situations --
- 13 UNIDENTIFIED SPEAKER: Coaching.
- MR. COLLIERE: -- where you go out and you observe an
- 15 employee breaking a major rule or violation, you're not going to
- 16 write an 1872 for that. You're going to take the appropriate
- 17 action.
- 18 MR. HRASKA:
- 19 Q. So essentially they would be taken out of service.
- 20 A. Taken out of service and that's it.
- Q. Okay. One other questions on PCs. The PCs, when your
- 22 employee takes a PC test, physical characteristics test, most of
- 23 them are written tests. Is that correct?
- 24 A. Yes.
- Q. Are there follow ups with verbal tests also? In other

- 1 words, they take a 100 question physical characteristics test, and
- 2 then is that person later questioned on, verbally as to knowledge?
- 3 A. I'm sorry to say no. It used to be that way.
- 4 Q. Yeah.
- 5 A. And you didn't take a test. You sat down with a rules
- 6 examiner --
- 7 Q. Right.
- 8 A. -- and he went dah, dah, dah, and you made sure you knew
- 9 where you are.
- 10 O. Yeah.
- 11 A. Now with this consent degrees and everything else, it's
- 12 structured and it's up to you to go out there and get yourself
- 13 qualified, know the infrastructure, come in and take your test.
- 14 Q. Thank you.
- 15 A. Um-hum.
- 16 MR. HRASKA: I have no further questions.
- 17 MR. WINSTEL: Bob Winstel.
- 18 BY MR. WINSTEL:
- 19 Q. I want to take you back to the 1872 program. The form
- 20 is the 1872. The people that perform the 1872 observations. The
- 21 first question is do they receive specific training in how to
- 22 carry out an 1872 observation?
- 23 A. Yes.
- Q. Is there a manual or instruction booklet --
- 25 A. Yes.

- 1 Q. -- issued to them?
- 2 A. It's online.
- 3 Q. Okay.
- 4 A. The whole program is online.
- 5 Q. Is the 1872 program parallel to any particular set of
- 6 documents? And I'll give you some examples. Safety rulebook,
- 7 operating rulebook, timetable special instructions, roadway worker
- 8 rules, that would be, you know, I'm kind of leading you a little
- 9 bit here.
- 10 A. All that you mentioned.
- 11 Q. Okay.
- 12 A. With the exception of physical characteristics, and you
- 13 didn't mention that. But, yes, it's geared -- there's, there's --
- 14 Matt could probably answer it, but I think there's, I'm going to
- 15 say 228 tests, and a lot of those tests are for certain rules.
- 16 Q. So is it fair to say that the tests that you just
- 17 mentioned are actually a standard instruction and they must comply
- 18 with the series of elements in those instructions in order to be
- 19 properly observed or be properly in compliance?
- 20 A. I'm -- the --
- 21 MR. WINSTEL: Can we go off the record here for a
- 22 second?
- MR. HIPSKIND: Yes.
- (Off the record.)
- 25 (On the record.)

- 1 BY MR. WINSTEL:
- 2 Q. When an employee is observed in the 1872 program, is
- 3 there a list of standards he must be in compliance with in order
- 4 to receive a compliance on the 1872?
- 5 A. Yeah, the test program is set up with regulatory and
- 6 compliance components if you will, and if -- I'll just use this as
- 7 a demonstration. A conductor throwing a switch, there's four or
- 8 five components to that on throwing a switch, checking your
- 9 points, make sure it's locked and whatever it is, and he can -- he
- 10 will -- there's a test number for that task that he could be
- 11 compliant or noncompliant on.
- 12 Q. Thank you.
- 13 MR. WINSTEL: That's all I have.
- 14 MR. TOTH: Dan Toth.
- 15 BY MR. TOTH:
- 16 Q. I have something I want to get clear on. It takes off
- 17 on what Steve was talking about and Bill. We'll take this
- 18 situation. I'm a roadway worker. You've come down to do some
- 19 efficiency testing on me. I'm on track 1. Track 1 is out of
- 20 service and a line full, say on line 4. I need to go probably a
- 21 quarter of a mile up the track to talk to another roadway worker.
- 22 You observe me walking, straddling the rail and then maybe in the
- 23 gauge and then back out. Would you take exception that?
- 24 A. Depending -- like I said, if the -- if it's the pre-
- 25 determined place of safety for the EIC, that the EIC tells you,

- 1 you may walk down the gauge of that track.
- Q. Okay. Even though I'm not going to do anything in the
- 3 gauge. None of my work that I have to do has anything to do with
- 4 me being in the gauge.
- 5 A. You're performing -- you just told me, you were going
- 6 down the track to see --
- 7 Q. I'm going down to talk --
- 8 A. -- to talk --
- 9 Q. -- just to talk to another roadway worker employee.
- 10 A. About railroad business.
- 11 Q. Right.
- 12 A. You're in a pre-determined place of safety. It's out of
- 13 service. You can walk down the gauge of the track. You're
- 14 performing a railroad function. Your function is to go down there
- 15 and get some instructions or whatever you've got to do.
- 16 Q. Okay.
- 17 MR. TOTH: No further questions.
- 18 MR. FOSTER: William Foster.
- 19 BY MR. FOSTER:
- Q. If there is a railroad worker employee, whether it's a
- 21 track worker, and pardon me for not knowing all the different
- 22 terminologies, but right down from the laborer on up to a
- 23 supervisor, that observes a safety issue or feels there is more --
- 24 need for more personnel, is there a procedure, and what is that
- 25 procedure in place for addressing those concerns?

- 1 A. Are you talking about a protection issue?
- 2 Q. Any safety issue including protection or workplace
- 3 safety issues.
- A. If I understand you correctly, if something is needed in
- 5 the area of safety, and something's not safe, you have to address
- 6 it immediately. Is that sort of what you asked? Whether it be
- 7 protection or in the case of you're out there and, and conditions
- 8 changed, it started snowing, visibility's wrong, gather your gang
- 9 up, get the proper protection so you can protect your gang safely.
- 10 UNIDENTIFIED SPEAKER: Workplace general issue. I think
- 11 that's what he may be alluding to that for RWP challenge.
- MR. COLLIERE: RWP challenge is only in the area of on-
- 13 track protection or RWP, not safety. Different animal. And good
- 14 faith -- do you want me to talk about the good faith challenge?
- 15 BY MR. FOSTER:
- 16 Q. Well, I'm not familiar with it.
- 17 A. Well, if you don't agree --
- 18 Q. Safety and protection I quess are two separate --
- 19 A. Yes.
- 20 Q. -- issues. So basically if we just talk about
- 21 protection, I guess my questions would be if a worker feels
- 22 there's not enough protection, what is that procedure?
- A. There you go. Now you're talking about the good faith
- 24 challenge. We call it the dispute resolution clause of RWP. And
- 25 this is all roadway workers, if they don't feel protected and

- 1 confident in the protection being provided, they need to challenge
- 2 that at the on-track briefing. That employee's concerns have to
- 3 be addressed before the work group starts to work, and there's a
- 4 flowchart to how it gets resolved. Ninety-nine out of a hundred
- 5 cases, if an employee raises a concern about his or her
- 6 protection, it's handled right at the gang level. I've been a
- 7 safety officer, doing safety for 20 years, and I've only been
- 8 involved in one dispute resolution. Like I said, usually it's
- 9 resolved at the gang level. They'll have the extra watchmen.
- If somebody's not safe, they don't feel safe, they
- 11 address it, but there is a step that goes from the gang level to
- 12 address it, that's DIC, and if it's still not resolved, an
- 13 employee doesn't feel safe, the supervisor is called in. He gets
- 14 into the conversation, in the mix, and makes recommendations or
- 15 not and if the employee still isn't safe, the safety department's
- 16 called and they get involved. That's how it goes.
- 17 MR. FOSTER: Thank you.
- 18 MR. HIPSKIND: Okay. Thanks, William. George.
- 19 BY MR. GERNON:
- 20 O. I've got a couple of questions, and this is not in
- 21 regards to a lot of the things that you spoke about. Safety
- 22 briefing, before you start, who is in charge of doing that safety
- 23 briefing?
- A. Well, there's two briefings. First, it's the safety
- 25 briefing.

- 1 Q. Okay.
- 2 A. And that's any gang member. Any member of the gang can
- 3 give a safety briefing.
- 4 Q. Okay.
- 5 A. And that's a great practice, if people do that because
- 6 it gets people involved, and that's the first. Anybody can do a
- 7 safety briefing.
- 8 Q. Okay.
- 9 A. The on-track pre-briefing is the employee-in-charge of
- 10 providing your on-track protection.
- 11 Q. Okay. Next question. The employee-in-charge, how close
- 12 are they supposed to be with their gang? Are they -- should they
- 13 -- I mean if you have from CP 94 to CP 103 out of service, can the
- 14 EIC be at CP 94 and have employees up at, you know, 102, you know,
- 15 103, in that area? Do you consider that a safety practice or not
- 16 a safe practice?
- 17 A. It's a safe practice. We do it all the time.
- 18 Q. Okay. Well, that's --
- 19 A. All the time. We have rail gangs, tie gangs, different
- 20 gangs that could be stretched out 10 miles across the railroad.
- 21 We have got one employee-in-charge that takes the track out of
- 22 service, Form D. You know what that is, right? He also provides
- 23 the on-track protection for many, many different locations on the
- 24 right-of-way. He places the watchmen or whatever protection he's
- 25 going to use and the instruction to the employee is, if it's a

- 1 watchman, I'll just use a situation where you've got a gang down
- 2 here making -- and you use watchman protection. You've got a gang
- 3 down there a mile away from where the EIC is, and they're
- 4 protecting that employee or contractor with a watchman, he goes
- 5 down and he places the watchman, places the watchman off the track
- 6 by the way. You're never supposed to stand on the track, if you
- 7 have to, and places the watchman and he performs his function.
- 8 He's qualified to do so. He goes back and does his duties.
- 9 If there's a situation up here or situations change, his
- 10 instructions, and he knows to clear the track, get in touch with
- 11 the EIC, things changed, we need another watchman, we can't do it
- 12 watching, we need foul time, but the instruction to that gang, if
- 13 you will, working up the line is exactly that.
- Q. Okay. One other question. When they do safety
- 15 briefings, everybody's required to sign the safety briefing and
- 16 the work briefing. What happens if they don't sign? I mean on a
- 17 safety sample.
- 18 A. If they don't sign the briefing, that means they're not
- 19 satisfied with the protection. They can't go to work. It's
- 20 required to sign the document, and the reason is that you know and
- 21 understand your protection being provided and you're happy with
- 22 it.
- Q. Okay. Thank you.
- MR. GERNON: No more questions.
- 25 MR. HIPSKIND: Pat, you still good to go?

- 1 MR. COLLIERE: Good to go.
- 2 MR. HIPSKIND: I'm going to try to make the second round
- 3 a little quicker.
- 4 BY MR. HIPSKIND:
- 5 Q. I just want to come back -- we've used -- you used the
- 6 term and we've talked about, all of us have used the term,
- 7 mentoring. And in my mind, there's a couple of different ways we
- 8 can think about that concept, that process, and so I want to know
- 9 how we mean that and when we use that mentoring term.
- If I'm a new employee and I go through some of the
- 11 training and I get out in the real world, the expression has been,
- 12 he'd be mentored. Is that a one-on-one relationship that I have
- 13 with a specific employee or should I think about it, that all the
- 14 senior, older guys, whoever they are, recognize that I'm a new guy
- 15 and so they shepherd or mentor me?
- 16 A. Yeah, usually in --
- 17 Q. So it's the latter?
- 18 A. It's the latter.
- 19 Q. Okay.
- 20 A. Yeah, you're mentored within your gang.
- Q. Okay. And it could be by different people.
- 22 A. Yes.
- Q. All right. And how long has that been something that's
- 24 been prevalent or --
- 25 A. For my 30 years on the railroad.

- 1 Q. So a long time, decades.
- 2 A. For my 30 years, that's what I know. I've been mentored
- 3 since I first started the railroad.
- Q. Okay. And so is that supported? Is that talked about
- 5 in the training like you will be mentored?
- 6 A. Yes.
- 7 Q. There are people out there who are going to mentor you?
- 8 A. Yes.
- 9 Q. Okay. And you think that's accepted by the workforce,
- 10 they're going to take that on?
- 11 A. Yes.
- 12 Q. Okay. Or at least that's been your experience, right?
- 13 A. Yes.
- 14 Q. Okay. I want to -- I kind of like some of the things
- 15 that George was saying, and it got to me to thinking. When
- 16 anybody who's qualified and who's got a group of people, whether
- 17 it's 1, 5, 10, 25, in front of them, and they're doing that safety
- 18 briefing and that job briefing, you know, one side of the form is
- 19 job briefing and the other side of the form is safety briefing,
- 20 and I know when we went out there, we had to sign both sides and
- 21 all that kind of stuff.
- 22 So here's my point. For that entire group, when they
- 23 scatter and back to George's example, different places within
- 24 maybe a seven, eight mile thing, there's pretty much just that one
- original form that was signed by everybody and I'm going to guess

- 1 that's supposed to be in whose possession? The EIC.
- 2 A. The EIC.
- 3 Q. Okay. But how many times have you seen where that one
- 4 form was copied so that all the different gangs in that broader
- 5 span of track that's out of service, like on a Form D or whatever,
- 6 that they have that as a reference because somebody made more than
- 7 one document? Is there something to prohibit that, making a copy
- 8 of that thing that all the multiple gangs signed?
- 9 A. In my 20 years of safety, I've never seen anybody make a
- 10 duplicate copy of an on track or job briefing.
- 11 Q. Okay.
- 12 A. They've held -- things change. They've held additional
- 13 briefings but not, not copying a job, an on-track briefing or a
- 14 job briefing.
- 15 Q. Is that -- is it just as simple as there's not copiers
- 16 out on most of the right-of-way? So you just don't have the
- 17 access to do it.
- 18 A. There's no reason to copy it.
- 19 Q. Because?
- 20 A. Because situations are the same. Things haven't
- 21 changed. The direction and the instruction on those briefings are
- 22 correct, and everyone's happy with it.
- Q. Okay. Well, let me come at it a little bit different
- 24 way. In the training, when I go through the training, you know,
- 25 you guys are going to be out there, you're going to need to know

- 1 how to do this, and the rules of the road is that when you are
- 2 attending a job briefing, and the EIC is telling you all the
- 3 hazards and telling you about the safety stuff, in the training,
- 4 tell me, do you tell them to do a certain thing when that's being
- 5 done? And I'll tell you what the certain thing is. Are they told
- 6 you're to write down what this guy's telling you?
- 7 A. No, that's not our, that's not our rule or regulation.
- 8 It's verbally. It's verbally communicated and that's it. It's
- 9 not written down, the protection that he gives you. Now I think I
- 10 know where you're going here, but anyway --
- 11 Q. I don't know if you know where I'm going.
- 12 A. -- we've got qualified people beside the EIC that might
- 13 be on a different location. Let me use the tie unit. Four mile
- 14 operation. You could have multiple gangs, multiple tasks, a B&B
- 15 gang working here, a track gang working here, another B&B gang
- 16 working here and another one there in this four mile stretch. The
- 17 EIC places the watch protection for the adjacent tracks for the
- 18 entire job, for the entire job if he chooses to. And they need
- 19 the protection because they're not, you know, the rules and
- 20 regulations. But you're not performing the same tasks as the
- 21 track gang which is the EIC is a part of. You're a B&B gang. All
- 22 of a sudden a crane rolls up, and you've got to use the crane on
- 23 the track. There is a foreman-in-charge of the B&B gang that has
- 24 to put out additional protection for that unit, for that task.
- 25 With that said, he has to communicate with the EIC, let him know

- 1 we're going from watch protection and I'm going to get foul time
- 2 because there's a possibility this equipment fouling the adjacent
- 3 track while I'm offloading this material.
- 4 Q. But the fundamental thing is, when that burrow crane
- 5 came into your example, things changed.
- 6 A. Things changed.
- 7 Q. And when -- just in a category, when things change,
- 8 communication is expected?
- 9 A. Right.
- 10 Q. To be in compliance with the whole concept of --
- 11 A. Of more --
- 12 Q. -- a job briefing.
- 13 A. Right. More protection added, if needed, and certainly
- 14 there's another briefing either done by this foreman who is
- 15 qualified to do it or he has the EIC come down and do an
- 16 additional briefing. Usually he's a qualified employee, things
- 17 change, he's working on this guy's Form D, he can have his own on-
- 18 track briefing and provide his own protection. This guy ain't
- 19 protecting him any more. He's got his own protection.
- 20 Q. Can a --
- 21 A. Because the situation changed.
- Q. Can an EIC do a job briefing and, we'll just use the
- 23 example you gave us, a stretch of railroad, multiple gangs, all
- 24 kinds of activity, but when I do that job briefing, can I go over
- 25 and like it was on 1 track main, can I go over and job brief them

- 1 on 2 track main as well?
- 2 A. You've got work going on --
- 3 Q. Going on, on both tracks.
- 4 A. -- on both tracks.
- 5 Q. On both tracks.
- 6 A. Yeah, well, you would do that your briefing.
- 7 Q. Okay.
- 8 A. You would do that at your original briefing. You would
- 9 be doing work on one track, two track. We're going to use out of
- 10 service or foul time, whatever protection he chooses to use to
- 11 protect his gang.
- 12 Q. The main thing is that an EIC that I correctly calculate
- 13 where everybody's going to be, certain gangs are going to be over
- on 2, whatever they're going to do on 2 main track, and 1 track
- 15 may be simpler. I've just got it out of service, but you can see
- 16 how complex this is, right?
- 17 A. Yes, yes, yes.
- 18 Q. So I want to go back and visit in the training, is there
- 19 any emphasis, instruction, direction, expectation that if I'm
- 20 going to be standing, receiving instruction from an EIC in one of
- 21 these more complex, quite a few people, a couple dozen people are
- 22 there, that I am to write down what he is telling me?
- 23 A. No.
- O. There is not.
- 25 A. There is not.

- 1 Q. Okay. You know where we're going. I mean if I go out
- 2 and do whatever I'm doing, if I forget, if I get mixed up, if I
- 3 think it was 1 track today and 2 track tomorrow.
- 4 A. Yeah.
- 5 Q. I just want to bring that up as something people can
- 6 consider or -- and that's why I brought up the --
- 7 A. Yeah. If you ever feel --
- 8 Q. -- whole thing.
- 9 A. Okay. If you ever feel that your protection is
- 10 compromised, where you can't be in the clear, 15 --
- 11 Q. Hold on. Let's don't deal with compromise. Let's deal
- 12 with confused.
- 13 A. Confused. You should stop all work and address the
- 14 protection issues to ensure that everybody can be in the clear 15
- 15 seconds before a train reaches your work location.
- Q. Well, you know, in an ideal world I agree with you.
- 17 A. That's fact. You need to be in the clear 15 seconds or
- 18 your -- that's the ideal world, and if you don't have your
- 19 protection set up that way, you're not safe on the railroad.
- Q. Okay. Last best thing, and I'll shut up here pretty
- 21 quick. You and Bill were talking about the 4 foot envelope and
- 22 you referenced FRA and a couple of times we've heard also a yard
- 23 stick measurement like a 15 foot. And I just want to be clear.
- 24 Which is it? On that 15 foot, how do you understand that
- 25 definition? If I'm 15 foot away from that nearest rail, I just

- 1 need what? Lesser protection.
- 2 A. All right. I believe you're probably talking about a
- 3 contract. In their rules and regulations, in their training,
- 4 they're trained and taught, first of all, they can't protect
- 5 theirselves, and if you get between -- within 15 foot of live
- 6 track or a track, Amtrak has to protect you. Now that protects
- 7 you. It will be determined by the person providing the
- 8 protection, the qualified person, and their rules and regulations,
- 9 our rules and regulations is four foot. To answer your question,
- 10 if a contract is working, nine foot from the outside of the rail,
- 11 the EIC or the person protecting them, the qualified person
- 12 protecting them, might not put out any protection at all. He's
- 13 not in the four foot fouling envelope period. That 15 foot rule
- 14 speaks about contractors, and it's not anything to do with an
- 15 Amtrak employee.
- 16 Q. Okay. That clears that up. Pat, thanks.
- 17 MR. HIPSKIND: That's all I've got, and let's see what
- 18 the second round brings.
- DR. JENNER: No questions from me.
- MR. HEBERT: No questions.
- MR. FINNEGAN: I've got a couple of questions.
- BY MR. FINNEGAN:
- 23 Q. The person that does the qualified efficiency test, the
- 24 supervisor that performs those, you said that was a computer
- 25 course that they take, self-directed?

- 1 A. Yes.
- 2 Q. And there's a multiple choice quiz afterwards and
- 3 they're qualified or how does that work? Is there a test that
- 4 they take that --
- 5 A. I'm not sure about that. I can't answer that question.
- 6 Q. Okay.
- 7 A. I know they're trained on it. Whether there's a test
- 8 involved, I'm not sure.
- 9 Q. Okay. And then the contractor, you sounded like you're
- 10 familiar with their training. That is a computer test that they
- 11 take. They watch a video I guess and then take a multiple choice
- 12 test or -- and pass/fail with a certain percentage of the
- 13 questions answered --
- 14 A. Yes.
- 15 Q. -- correctly? Okay. Is part of that test a good faith
- 16 challenge? Does that -- does -- is he -- who does he challenge I
- 17 mean if he doesn't think he's protected?
- 18 A. There's not a dispute resolution clause in the
- 19 contractor protection, but there is a safety clause in the
- 20 contractor protection clause.
- Q. So he's aware that if he feels that the Amtrak employee
- 22 that's supposed to be protecting him --
- 23 A. Yeah.
- Q. -- he can challenge but --
- 25 A. He can refuse to go to work if he's got a safety issue

- 1 and isn't happy with his protection. He doesn't feel safe, there
- 2 would be many people to get that rectified real quick.
- 3 Q. Okay. Thank you.
- 4 MR. HIPSKIND: Thanks, Jim.
- 5 MR. PROTO: No questions.
- BY MR. COLLINS:
- 7 Q. Is there anything -- this is Bill Collins. Is there
- 8 anything that could be done that would have, in your opinion, that
- 9 would have prevented the incident? In other words, is there
- 10 something that could have been done to enhance the employee's
- 11 awareness or situational awareness? At this point, it seems like
- 12 there's a certain thing that was violated, but I'm just wondering
- 13 if you could think -- come up with anything that would have made
- 14 -- prevented that from happening?
- 15 A. You're talking about Ms. Kline.
- 16 Q. Yes.
- 17 A. Yeah, I've gave it a whole lot of thought. This ain't,
- 18 this ain't my first one that I've been on. I know that the task
- 19 that was begin performed was outside the fouling envelope and
- 20 there was no reason for her to be on that track. Is that what
- 21 you're asking me?
- 22 Q. Well, we're agreeing to that, right, but is there
- 23 anything that -- we agree that that's the situation but is there
- 24 you can conceive that would have precluded her from doing that,
- 25 from performing that? Some way that could have been strictly

- 1 enforced to the point where, I mean she had a perfect compliance
- 2 record. So why was she noncompliant that day? Is there anything
- 3 that you could think of that would reinforce the on track, only on
- 4 track necessary in the performance of your duty?
- 5 A. I'm sure all of our employees are trained and it's kind
- 6 of drilled into them that you don't get on the track unless you
- 7 have to, unless you're performing a function, and I don't know
- 8 what else could have done. She made a choice, if we're talking
- 9 about her, and I don't know what that choice was and what she was
- 10 doing, but certainly the task --
- 11 MR. HIPSKIND: Gentlemen, I don't want to get into a
- 12 real deep analysis in assigning the blame. That's not why we're
- 13 here, and today's goal was just an education on some of the things
- 14 that we thought we needed to understand better about RWP in
- 15 general. So if you could just start over again.
- 16 MR. COLLIERE: I think that all of our employees get
- 17 ample amount of training and know the rules and regulations to
- 18 keep themselves safe out there, and if they're not safe, if they
- 19 don't feel safe, they're to challenge, and there's never been
- 20 anybody reprimanded or disciplined for challenge. So I don't
- 21 think there's a fear of that there. We mentor them all the time
- 22 especially the new employees.
- MR. COLLINS: No further questions.
- MR. HIPSKIND: Thank you, Bill.
- MR. HRASKA: This is Paul Hraska. One follow-up

- 1 clarification.
- 2 BY MR. HRASKA:
- 3 Q. Talking about the ability to do a challenge, to
- 4 challenge, and I think I heard you explain that it's done -- a
- 5 challenge can be issued at the safety briefing. Can a challenge
- 6 be issued after the safety briefing? Say a crew gets out and they
- 7 see that they're on a curve and they don't feel that protected?
- 8 Can they issue a challenge after a safety briefing?
- 9 A. Yes, and it's trained.
- 10 Q. Okay. Okay. Thank you.
- 11 A. You stop all work at that point. The second somebody
- 12 raises a concern, you stop all work, get off the railroad and
- 13 adjust what you have to adjust.
- 14 Q. Thank you.
- MR. HRASKA: No further questions.
- MR. HIPSKIND: Bob?
- 17 MR. WINSTEL: No further questions.
- 18 MR. HIPSKIND: Dan?
- 19 MR. TOTH: This is Dan Toth. No further questions.
- MR. HIPSKIND: William?
- 21 MR. FOSTER: Nothing further.
- MR. HIPSKIND: George?
- MR. GERNON: No further questions.
- MR. HIPSKIND: Pat --
- MR. FINNEGAN: I have one more question, one more

- 1 question.
- 2 MR. HIPSKIND: Okay. Hurry up, Jim.
- 3 BY MR. FINNEGAN:
- Q. Okay. The mentoring that we've been discussing, does
- 5 anybody keep a record, any written documentation about the
- 6 mentoring program? Like if I mentored you, is there a checkoff
- 7 that, you know, he was qualified, we worked on this today? Is
- 8 there any kind of formal record kept?
- 9 A. For some types of mentoring. We're in the midst of a
- 10 New York Division foreman's mentoring program --
- 11 Q. Okay.
- 12 A. -- that I'm doing for all the foremen, you know what I
- 13 mean, to help them in -- especially we've got a lot of new
- 14 foremen --
- 15 Q. Sure.
- 16 A. -- to help them and the records are kept by me for that.
- 17 Q. But not necessarily for a gang member --
- 18 A. No, not --
- 19 Q. -- as they're progressing through their --
- 20 A. No, not for a gang member.
- 21 Q. Okay. All right. Thank you.
- 22 MR. HIPSKIND: I'm going to have to hurry here because
- 23 there's some things that I know on our devices. Thank you very
- 24 much, not only for being here today and dealing with a lot of --
- 25 we parsed out an awful lot of stuff on RWP and I think you handled

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it really well, and the reason I think that's important is all
1
2
    this dialogue here in this interview has added value in my humble
 3
    opinion to our investigation as we can bring people along and
 4
    parse this stuff out. It answers a lot of questions about we
 5
    wonder about this and wonder about that. So you did a great job.
 6
              And, gentlemen, if you don't have any other questions,
7
    thanks again, Pat, and we'll end the interview.
8
               (Whereupon, the interview was concluded.)
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: COLLISION OF AMTRAK TRAIN 280

WITH MAINTENANCE-OF-WAY EMPLOYEE

ON OCTOBER 29, 2014 IN COLUMBIA COUNTY, NEW YORK Interview of Patrick Colliere

DOCKET NUMBER: DCA-15-FR-003

PLACE: Kingston, New York

DATE: October 31, 2014

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

Kathryn A. Mirfin

Transcriber