UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

Telephonic Interview of: DAN SWEENY

Albany Amtrak Station Kingston, New York

Friday, October 31, 2014

The above-captioned matter convened, pursuant to notice.

BEFORE: RICHARD HIPSKIND Investigator-in-Charge



I, <u>Daniel J. Seney Jr.</u>, have read the foregoing pages of a copy of my testimony given during an interview in the accident investigation of the collision of National Railroad Passenger Corporation (Amtrak) train A280 with an employee on Amtrak's Hudson Line on October 29, 2014, at about 10:56 a.m. near Clermont, New York and these pages constitute a true and accurate transcription of same with the exception of the following amendments, additions, deletions or corrections:

PAGE NO:	LINE NO:	CHANGE AND REASON FOR CHANGE
/		My name is misspelled; "SENEY" not "SWEENY" Nome misspelled again: "SENEY" not "SWEENY"
3		Nome misspelled again: "SENEY" not SWEENY"
*		ON all pages my last have is spelled incorrectly
5	G	Matt Houser, Not "Dan" Houser
.5	6	"Jack Bedcham"? It was chad Harmon
7	7	setting our out of sprvice on track 2 (not her)
7	19-20	"Et took me - full year to realized & I don't remainder Souther this and I
		don't know what it is supposed to mean.

I declare that I have read my statements and that it is true and correct subject to any changes in the form or substance entered here.

Date: 11/20/14 Witness: \mathcal{O}^{-}

APPEARANCES:

RICHARD HIPSKIND, Investigator-in-Charge Railroad Accident Investigator National Transportation Safety Board Washington, D.C.

DAVE INGERSOLL (Representative on behalf Mr. Sweeny)

ITEM

Telephonic Interview of Dan Sweeny:

By Mr. Hipskind

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PAGE

1	<u>INTERVIEW</u>
2	MR. HIPSKIND: Dan, I am the Investigator-in-Charge for
3	NTSB. Okay? Do we have your permission to record our short
4	telephone conversation with you?
5	MR. SWEENY: Yes, you have my permission.
6	MR. HIPSKIND: Okay. And do you wish to have a
7	representative via cell phone as part of your conversation with
8	me?
9	MR. SWEENY: A what?
10	MR. HIPSKIND: Dan or Dave Ingersoll (ph.) is on the
11	other cell phone. Do you wish to
12	MR. SWEENY: Oh, yeah, that's fine.
13	MR. HIPSKIND: Okay. And, Dave, you're okay with that?
14	MR. INGERSOLL: Yes, sir.
15	MR. HIPSKIND: Okay. Just give me a little time to talk
16	with Dan and we'll get this thing kind of knocked out pretty
17	quick.
18	First of all, for the record, this is Dick Hipskind.
19	This is a follow-up telephone call with Mr. Dan Sweeny, and he is
20	an Amtrak employee and will establish he was out there on the day
21	of the accident.
22	INTERVIEW OF DAN SWEENEY
23	BY MR. HIPSKIND:
24	Q. And I really just want to Dan, for you, I just really
25	want to talk about a little job briefing element. So

- 1 A. Okay.

2	Q	were you working on October 29th and did you attend a	
3	job briefir	ng in the morning and tell me who that was with, please?	
4	A. 3	Yes, we had it the morning that day and it included most	
5	of the cont	cractors and then all of us Amtrak employees except for	
6	Dan Houser	(ph.) and Jack Beckham (ph.), they were still coming	
7	(indiscerni	ible). And Nikki was, was off (indiscernible). I had	
8	her (indiscernible)		
9	Q. 3	You're coming in	
10	A	(indiscernible)	
11	Q. I	Dan, you're coming in a little bit broken up on my end,	
12	so let me }	kind of repeat the key things here.	
13	A. B	Roger.	
14	Q. Y	You were working on the 29th and you did attend a large	
15	job briefing that was		
16	A. I	Right.	
17	Q	conducted by James Novak early in the day, right?	
18	A. 3	Yes, correct.	
19	Q. (Okay. And you mentioned that there was one person that	
20	wasn't the	re, the one I'm concerned about was that Nikki was not	
21	present at	the job briefing that you attended with James Novak,	
22	right? Is	that correct?	
23	A. 3	Yeah, she wasn't present for the big job brief meeting.	
24	Q. (Okay. Did James ask you to go find Nikki and to job	
25	brief her?		

1 Q. Yes. Yes.

2 A. And you were agreeable to do that?

3 Q. Yes.

4 Q. Okay.

A. Yeah, usually we try to get everybody at once, but sometimes, you know, with too many things going on, so you try to get as many as you can at once, and then other people are at different locations, so you basically -- you know, as you get in touch.

10 Q. Okay. Did --

11 A. And (indiscernible).

12 Q. Did you know and understand the job briefing that James 13 Novak gave you?

14 A. Yes, he was very clear.

15 Q. Okay. And where did you go, and who did you meet, and 16 what did you tell them?

17 A. What? For the briefing?

18 Q. No, no, after you completed your job briefing with James 19 Novak --

20 A. Oh.

21 Q. -- and he asked you --

22 A. Yes.

Q. -- who did he ask you to go find? Where did you find them? And then what did you say to them?

25 Q. He asked me to brief Nikki because she had just arrived

1 and he was in the middle of getting his Form D for Track 2. So, 2 you know, he (indiscernible) somebody, so I said, yeah, I'll go 3 brief her, and I briefed her.

4 Q. Okay. And --

5 A. I made it a point --

6 Q. And --

A. I made it a special point to tell her that we were getting her out of service on Track 2, because she (indiscernible) weeks we had been on Track 1. So, you know, I made it a point to tell her that. And she understood and she saw the work train.

Q. And so help me out, where did you have this conversation with her, and how was she able to see the work train?

A. Because we were maybe 20 feet north of the crossing, of Tivoli Crossing. And the work train was just south of the crossing on Track 2.

Q. Okay. And we're talking about the crossing at the railroad location out there on the east side of the Hudson River, right?

A. Yes, it's on Tivoli Bay, I think it's called, Tivoli
 Crossing. It took me a full year to realize.

Q. I can actually say I know where you're talking about.
A. Okay. Yeah, 99, mile marker 99.

Q. Okay. And just -- we're almost done, Dan, so just be patient. So how long of a time did you talk to Nikki to convey everything in the job briefing?

A. It only takes about three or four minutes to go over it
 all.

3 Q. All right. And go over just one more time slowly and 4 fairly loudly --

5 A. Yes.

Q. -- what did you tell her about each of the main tracks? A. That we -- that today we'd be working on Track 2, we would have an out-of-service on Track 2 and that we'd get foul time on Track 1 when it was necessary, you know. She was actually on the radio getting foul time on Track 1. That's why I don't know how she -- you know, I don't know what happened.

12 Q. Well, that she went and got the foul time, but that was 13 later on in the day?

14 A. Yes, yeah.

15 Q. That's after you two job briefed?

- 16 A. Right.
- 17 Q. Okay.
- 18 A. Yes.

19 Q. Dan, do you have any questions for me?

- 20 A. No, I mean --
- 21 Q. Okay.
- 22 A. (Indiscernible).

23 MR. HIPSKIND: And, Dave, do you have any questions for 24 me?

25 MR. INGERSOLL: No, I do not.

1

BY MR. HIPSKIND:

2 And, Dan, in closing, is -- did Nikki acknowledge Q. Okay. 3 the information that you gave her about what tracks were out of service and what were in service? 4 5 Yes. Yes, and she signed both sides of it. Α. 6 Ο. And you say she signed what? 7 Both sides of the job briefing. Α. Okay. So I thought that was going to be my last 8 Q. 9 question, the other question, but --10 That's okay. Α. 11 -- so you got the job briefing form from James, and you Q. 12 carried it out and you and Nikki went over that, right? Α. 13 Correct. 14 And we should find her signature on it, as well as Ο. 15 yours, as well as James? 16 Α. Absolutely. 17 Q. Okay. Thank you very much late on a Friday afternoon 18 for making yourself available. That's all I have. And I'm the 19 only one asking questions on behalf of the investigative team. And I just want to tell you that you've added a lot of value to 20 21 our investigation in that there was this one loose thread, and we 22 really do appreciate getting it chased down and understanding how 23 all that went. 24 Α. Okay, yep.

25 MR. HIPSKIND: Okay, Dave, that's all I've got. And,

1 Dan, Dave, thanks so much for calling in, and I'm going to hang up 2 now. That's the end of the interview.

3	MR. SWEENY: Okay, thank you.
4	MR. INGERSOLL: Roger.
5	MR. HIPSKIND: All right. Have a safe weekend, guys.
6	MR. INGERSOLL: All right.
7	MR. HIPSKIND: Bye-bye.
8	MR. SWEENY: You too.
9	MR. INGERSOLL: Bye.
10	(Whereupon, the interview was concluded.)
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: COLLISION OF AMTRAK TRAIN 280 WITH MAINTENANCE-OF-WAY EMPLOYEE ON OCTOBER 29, 2014 IN COLUMBIA COUNTY, NEW YORK Telephonic Interview of Dan Sweeny

DOCKET NUMBER: DCA-15-FR-003

PLACE: Kingston, New York

DATE: October 31, 2014

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

> Shari K. Doyle Transcriber