DOCKET NO.: SA-517 EXHIBIT NO. 9A

NATIONAL TRANSPORTATION SAFETY BOARD WASHINGTON, D.C.

SYSTEMS GROUP CHAIRMAN''S FACTUAL REPORT

By: Gregory Phillips (14 pages)

NATIONAL TRANSPORTATION SAFETY BOARD

Office of Aviation Safety Washington, D.C. 20594 February 26, 1998

SYSTEMS GROUP CHAIRMAN''S FACTUAL REPORT

A. ACCIDENT DCA-97-MA-058

Location:	Agana, Guam
Date:	August 6, 1997
Time:	0142 Guam Local Time
Aircraft:	Boeing 747-3B5, HL-7468

B. <u>SYSTEMS GROUP</u>

Chairman:	Gregory Phillips National Transportation Safety Board Washington, DC
Member:	Ki Joo Song Korean Air Seoul, Korea
Member:	Kevin Darcy Boeing Commercial Airplane Group Seattle, WA

C. <u>SUMMARY</u>

On August 6, 1997, at approximately 0142 Guam Local Time, a Boeing 747-300 (3B5B), operated by Korean Air Co. Ltd. as Korean Air flight 801, en route from Seoul, Korea (RKSS) to Agana Guam, crashed on approach to Runway 6 Left at the Guam International Airport (PGUM).

At the time of the accident, the glide slope associated with the instrument landing system (ILS) to Runway 6L was out of service and the crew was conducting a "localizer only" approach to the runway when the airplane contacted high terrain approximately 3 nautical miles southwest of the airport.

The 0132 reported weather at Guam International indicated that the wind was from 090° at 6 knots; visibility was 7 statute miles with showers and there was a scattered layer of clouds at 1,600 feet, a broken layer at 2,500 feet and an overcast cloud layer at 5,000 feet.

The flight was operated as a scheduled 14 Code of Federal Regulations (CFR) Part 129 passenger flight. There were two pilots, one flight engineer, one purser, nineteen flight attendants (includes six deadheading flight attendants), and 231 passengers on board the airplane at the time

of the accident. The airplane was destroyed by impact forces and a post-accident fire. Of the 254 occupants on board, 225 were killed; and 25 passengers and 4 flight attendants survived the accident with minor to serious injuries. However, during the 30 days following the accident, two passengers and one deadheading flight attendant succumbed to their injuries.

D. DETAILS OF THE INVESTIGATION

The systems group was formed at an organizational meeting on August 7, 1997. The group worked at the accident site on August 8-11, 1997. August 8 was dedicated to overall site examination and technical assistance to search and recovery personnel and to preserve evidence in the cockpit. August 9 was dedicated to the documentation of the first officer's instrument panel and center pedestal. After moving the cockpit wreckage, August 10 was dedicated to documentation of the captain's and flight engineer panels. On August 11, the group returned to the accident site and, with the assistance of a Korean Air B-747 captain and flight engineer, re-examined cockpit instrumentation.

The airplane impacted terrain on a hill along the approach path to runway 6L. The impact point was approximately 3.3 miles short of the runway along the extended centerline of runway 6L. The airplane's wreckage was oriented generally along a track of 063°.

The aircraft center section was consumed by fire. The cockpit was not burned but was destroyed by impact forces. The electronic equipment (EE) bay of the airplane was destroyed by the impact and several of the EE bay components were found along the wreckage debris path.

The empennage was impact-damaged but remained intact. The upper and lower rudder surfaces were displaced in different directions. The landing gear was extended.

The cockpit was found separated from the main fuselage and was broken open and inverted. There was no indication of fire in the cockpit area. Access to the instrument panels was gained by removing the captain's and first officer's (F/O) cockpit seats.

1.0 Cockpit Documentation

<u>General</u>

F/O control column could be moved fore and aft

F/O control wheel rotated left 3 units (ref. aileron trim scale- top of control wheel)

1.1 First Officer's Flight Panel

Glare Shield panel

EPR: Not selected (pressed) MACH: Not selected (pressed) SPEED: Selected (pressed) VOR-UP/RT-DN/LT: Bent in-between DME-STBY: Frequency not readable RADIO/INS: RADIO selected

Electronic clock Elapsed time control: RUN GMT selector: RUN Digital display: Blank SAT Indicator Yellow Bar: visible Gross Weight Total/Fuel Weight Indicator Gross weight: 4730 Total fuel: 0380 Attitude Direction Indicator Face glass cracked GYRO and RNWY flags in view SLOW/FAST needle: SLOW (down) Glide slope needle: 0.8 dot up Roll: 0° Pitch: 5° aircraft nose up Horizontal Situation Indicator Face glass cracked Digital distance displays: Blank Glide slope flag: visible Glide slope Needle: 0.3 dot up Course deviation needle: centered (aligned) Course Bearing: 062° Airspeed Indicator Needle at 0 Barber pole: 375 Orange index at 134 White bugs at 130, 148, 210 Altimeter Glass cracked Left knob: bent Right knob: missing Standby flag: visible Needle: Bent at 640 Digital altitude: 0600 **QNH**: 1016 Baro: 30.00 Radio Magnetic Indicator ADF/VOR selector: #1VOR selected, #2 ADF selected #1 Indicator: 110° #2 Indicator: 155° DME Indicator DME 1 and DME 2 Digital displays: Blank

Radar Altimeter Flag: visible Needle: 10 feet Decision height: 305 TCAS Indicator Displays: Blank Flight Data Computer: B selected Flight Control Position Indicator Right elevator: 2-3° up Left elevator: 20° up Upper rudder: 20° left (beyond maximum limit) Lower rudder: 7° left Left Aileron: 1° up Right Aileron: 15° up Left spoiler: almost 0° Right spoiler: almost 20° Flight Data/Autopilot/Autothrottle: all not selected (pressed) 1.2 Lower Pedestal <u>#1 VHF COM</u> Left Frequency: 121.50 Right Frequency: 128,95 Selector Switch: OFF Transport: left frequency active #2 VHF COM Left Frequency: 118.10 Right Frequency: 121.90 Selector Switch: ON Transport: switch missing #3 VHF COM Left Frequency: 119.00 Right Frequency: 131.90 Selector Switch: ON Transport: Right frequency active Aft Electronics Panel (Pedestal) SELCAL 1: HF 1 selected SELCAL 2: HF 2 selected Selector: Low marker Weather Radar Panel Function Switch: Normal Radar number 2 selected Tilt: 4° up

<u>TCAS</u>

Range: 6 miles TCAS Display Control: Right Above-Normal-Below: Normal Selector knob: RA/TA

Audio Selector panel

VHF 1 selected ADF selected Range selected Amp 2 selected Boom selected

<u>ADF</u>

Left Frequency: 385 **Right Frequency: 320** Selector Switch: ADF Tone Switch: OFF Transport: left frequency active Gain: 11 o'clock position Horizontal stabilizer trim Left side: 8.3 units a/c nose up Right side: 8.2 units a/c nose up Green band select switch: Mid-position and bent Throttle levers All 4 throttles: full forward #3 and #4 levers bent towards the first officer Fuel cutoff #1: RICH #2, #3, #4: IDLE Speed Brake Handle: Down Split STAB TRIM Handle STAB Loose TRIM: Nose down Rudder Trim: Full left rudder (probably pulled on impact) Flap Lever Flap lever 0° position Lever jammed into #4 throttle lever Latch pin out of race

1.3 Autopilot control panel

Autopilot Channel A, B, and C: OFF (Note: Switches require electrical power to remain engaged-loss of power will release solenoids and move switches to OFF) Autothrottle: OFF Course: 063 (both windows) Heading: 049

Source Selector switch: cracked Vertical speed select: -2500 Altitude select: 02500 Altitude select switch: OFF Mode switch: OFF Flight director switch right side: ON 1.4 Center pedestal instruments EPR (all with flags visible) Orange command bugs: #1-1.3, #2-1.0, #3-1.4, #4-1.4 Needles: #1-offscale, #2-off scale, #3-1.0, #4-off scale <u>N1</u> (all with flags visible) All at 0 EGT (all with flags visible) max. limit pointer 650-all gages Needles: #1-off scale, #2-530, #3-440, #4-0 Fuel Flow (x1000, all with flags visible) #1-5.0, #2-2.4, #3-1.0, #4-4.2 Flap position indicator Glass cracked Needle fully rotated Landing gear selector handle Gear down 1.5 Overhead panel All Landing Light Switches: ON Logo Light Switch: OFF Flight control hydraulic power: switches missing Auto-brake switch: OFF (switch jammed) Anti-skid switch: closed #1 engine fire handle: missing #2, #3, #4 engine fire handles: normal #1 engine ignition knob: missing #2 engine ignition knob: mid position #1, #2, #3 INS Mode selector switches: NAV Compass Switch: SLAVE 1.6 HF Control Panel #1 Switches: OFF, Freq. 8.703 squelch/volume: MAX #2 Switches: OFF, Freq. 6.422 squelch/volume: MAX 1.7 Nacelle anti-icing panel Switch off 1.8 Wing anti-icing panel Switch: OFF Probe heater: Left TAT: ON

Probe heater: Right TAT: ON Window Heat: All switches down (ON) Storm Switch: OFF Main panel background light: 30° ON Overhead Light: 20° ON 1.9 WSHLD panel Windshield wiper switch-left: LOW Windshield wiper switch-right: LOW 1.10 Captain's Flight Instrument Panel Attitude Direction Indicator GYRO, RNWY, G/S, COMPUTER Flags: visible FAST/SLOW yellow bar: visible Roll: 5° right wing down Pitch: 2.5° A/C nose up Glide slope needle: 0 Horizontal situation indicator DME 1-DME 2 digital indicator: Blank G/S, HDG flags: visible Course deviation indicator: aligned Course: 063 Course Bug: 063 Glide slope needle: 0.1 Dot below Captain's Airspeed Indicator Barber pole: 379 Needle: 0 White Bugs: 130 Orange Bug: 135 Yellow mach flag: visible Captain's Altimeter (upper) Digital altitude: 0550 Needle altitude: 560 Baro: 29.89 **QNH: 1012** Captain's Altimeter (lower) Digital altitude: 0100 Needle altitude: 110 Baro: 29.81 **QNH: 1010** Radar Altimeter Flag: visible Needle: 10 feet Decision height: 304

DME 1-DME 2 Digital display Blank Radio Magnetic Indicator ADF/VOR selector: #1 VOR selected, #2 VOR selected #1 Indicator: 075° #2 Indicator: 223° Aux. Fuel Switch: NORMAL Flight Director: A channel Flight Director Computer: A 1.11 Flight Engineer's Station Aux. engine panel N2: #1-0, #2-73, #3-35, #4-0 all yellow flags visible all four red needles at red max. Oil Qty: all off-scale Oil Temp: all -40 Oil Pressure: #1-over 100, #2-53, #3-22, #4-22 Cabin pressurization control panel Cabin altimeter altitude: 0 Cabin vertical speed: 0 (Baro: 29.86) Cabin Pressure controller mode: AUTO Cabin Pressure Rate: DECREASE Compartment temps: Bottom scale (60°) Duct temps: Bottom scale Fuel Panel Gross weight: 4700 Total fuel: 0385 Fuel used: 20180, 21200, 20270, 20210 #1 reserve 00.1, #2 reserve 00.1, #3 reserve 00.1, #4 reserve 00.1 Main's #1-09.5, #2-08.7, #3-08.8, #4-09.7 Center wing tank: 01 Scavenge pump: OFF Fuel Heat: OFF, AUTO, OFF, Broken Spar valve: open, open, open. Open Boost pumps: Both ON (all 4 tanks) CTR Boost (OVRD/JETT Pumps): Both OFF Cross-feed: #1-closed, #2-open, #3-closed, #4-closed Reserve valve:#1 open, #4 closed and damaged #2 Reserve transfer valve: closed, guard damaged #3 Reserve transfer valve: closed, guard damaged TAT: -15.5°C, OFF flag visible

The airplane's GPWS computer, and VHF control panels (Captain's and F/O's) were removed from the accident site for additional testing

Additional notes

The systems group found aircraft dispatch, maintenance, and navigation documents in the cockpit wreckage. The aircraft maintenance log indicated no entries for the accident flight. These items were copied and turned over to the maintenance records and operations group.

All flight control surfaces appeared to be attached to the airplane. The elevators and rudders appeared normal other than impact-related damage. The ailerons, spoilers, leading and trailing edge lift devices were damaged by post-impact fire. Documentation of these items are contained in the Structures Group Chairman's factual report.

Measurement of the horizontal stabilizer trim jack screw indicated that the horizontal stabilizer was set at 7.5 units.

A review of Korean Air maintenance records indicated that the Rockwell Collins model 51RV-5B navigation units were installed in all 3 positions in the airplane. During discussions with the systems group, Boeing asked Korean Air for documentation of the installation certification basis for the 51RV-5B units. Korean Air stated that they would provide details of the certification approvals for the installation on the accident aircraft. This information will be added to the accident data files when if becomes available.

There were no deferred or open maintenance action items related to the airplane's instrument landing system or navigation equipment at the time of the accident. The following information was obtained regarding the navigation units.

installe	ed position	<u>part number</u>	<u>serial</u>	installation date	
#1		822-0761-001	1668	24Nov96	
mod status 1,2,3,4,5,6,8,9,10,11,13,14,				16,18,19,20,23,25,33,L	
#2		822-0761-005	7548	01Feb97	
	mod status 1,	2,3,4,5,6,7,8,9,10,1	1,12,13,14,15,	16,18,19,20,21,22,25,33,L	
#3		822-0761-001	2372	17May97	
mod status		1,2,3,4,5,6,8,9,10,	11,13,14,18,1	9,20,23,25,33,L	

2.0 PHASE II: EXAMINATION OF VHF CONTROL PANELS AND GPWS COMPUTER

Chairman:	Gregory Phillips
	National Transportation Safety Board
	Washington, DC

Member: K. J. Song Korean Air Seoul, Korea

Observers:	H. M. Kwon and Y.W. Chung Korean Air Seoul, Korea
Observers:	B.T. Yoo and D. Y. Yoon Ministry Of Construction and Transport Seoul, Korea
Observer:	David Pickering (Pacific Aero Tech only) Pacific Aero Tech Kent, WA
Member:	Pamela Rosnik (delegated by Kevin Darcy) Boeing Commercial Airplane Group Seattle, WA
Observer:	Jessie Turner (Pacific Aero Tech only) Boeing Commercial Airplane Group Seattle, WA
Observer:	Matt Larson (AlliedSignal only) Boeing Commercial Airplane Group Seattle, WA
Observer:	Larry Epstein (AlliedSignal only) AlliedSignal Redmond, WA

2.1 Pacific Aero Tech-VHF Control Panel Examinations

On October 2, 1997, the team met to examine the captain's and first officer's VHF Control Panels removed from the accident wreckage in an effort to determine the frequency selected on each unit. The examinations were conducted at Pacific Aero Tech Inc, Kent, Washington, an FAA authorized repair station for the control panel. Gables (the control panel manufacturer) coordinated Pacific Aero Tech's support.

It was determined that the captain's selector was tuned to 110.30 MHz (the Agana, Guam ILS frequency). The first officer's selector was tuned to 116.60 MHz. The Captain's control panel was damaged by impact forces and the selected frequency was locked into position by the impact and could not be changed by turning the frequency selector knob. The first officer's control panel selector knobs turned easily and were subject to change as a result of post-impact cockpit movements.

2.1.1 First Officer's VHF Control Panel (p/n. G-5699A, s/n 26)

The control panel case was removed to examine the interior for damage. No remarkable damage other than minor corrosion on interior structural components was noted.

A test "break-out" box was connected to the unit to read the "2 of 5" code table values. This box allowed determination of the frequency selected by comparing lights on the test box to a look-up table on the Gables Engineering, D-26, 210A-Model G-5699A VHF Nav Control Panel Outline and Wiring Diagram, engineering drawing. This test indicated that the frequency selected on the s/n 26 control panel was 116.60 MHz.

2.1.2. Captain's VHF Control Panel (p/n. G-5699A, s/n 17)

The unit was damaged by impact forces. The case was dented into the unit. The case was removed and the internal mechanisms were examined and found damaged by impact forces. The impact damage prevented turning the frequency selector switches. The frequency display module was removed to gain access to the selector switches.

The selected frequency was determined by comparing the position of: the stop collar pin, shaft flat, the internal switch rotor contactors, and the MHz and Fractional switch selectors with the s/n 26 unit and the engineering drawings. This comparison resulted in the determination that the unit was tuned to 110.30 MHz.

2.2 AlliedSignal Ground Proximity Warning System (GPWS) warning computer tests

On October 3, 1997, the examination team met at AlliedSignal facilities in Redmond, Washington. The purpose of the examination was to read the non-volatile memory from the computer to document any warnings that had been generated by the computer. Although the computer was slightly damaged by the accident impact, the 64-flight history was successfully read out and an automated test procedure was accomplished.

The GPWS computer (p/n 965-0876-030-B05-B08, s/n 1949, mfgr date 9318,) was taken to the test bench and connected to the test controller. Prior to testing, the side panels were opened and separated from the circuit boards. There was minor impact damaged but the internal components appeared to be functional. The first attempt to initialize and begin the tests failed. The electrical connector to the test system was removed and reconnected. The two main circuit boards were moved and the ribbon connectors were examined. On the second attempt, the unit successfully began the test program.

The first test performed was a query of the 64-flight history recorded in the unit's non-volatile memory. The following were the only events noted:

Sink rate warning-Flight 1* Glideslope caution-Flights 15, 47 Bank angle warning-Flight 1* Ground History: Pitch #1 Fault, Roll #1 Fault

Note:

*Flight 1 is the accident flight. There were no fault messages for any LRU and no Glideslope warning for flight 1.

2.2.1 GPWS Product Specification Definitions for SINK RATE and BANK ANGLE Warnings

The AlliedSignal Product Specification for the Commercial MKVII Warning Computer, drawing 965-0876-602, revision T, was used for definitions of the flight history warnings.

The SINK RATE alerts (Mode 1) are generated based on altitude rate and radio altitude. The alerts and warnings are active for all phases of flight whenever the aircraft is between 10 and 2,450 feet AGL. The SINK RATE warning becomes a PULL UP warning when the rate of descent increases and/or radio altitude decreases beyond the SINK RATE warning envelope. The mode envelope for the warnings is described on sheet 27 of the reference document.

The BANK ANGLE alerts (Mode 6) are generated based on aircraft roll angle and radio altitude; neither roll rate nor descent rate affect the warning curve.

The GLIDESLOPE (Mode 5) alert provides an alert when deviation below glideslope becomes excessive on front-course ILS approaches. Mode 5 alerts are based on radio altitude and glideslope deviation. Mode 5 alerts provide different alert volumes and variable delay between messages depending on the severity of the glideslope deviation.

The Mode 5 alerts are provided when the following conditions are met:

- a valid glide slope signal is selected on the connected ILS system
- the MK VII is in the approach mode or flaps are in the landing range
- Glideslope has not been canceled with the Glideslope alert cancel button
- gear are down
- radio altitude is greater than 30 feet
- Mode 5 is not inhibited by a back course select or other inhibit signal

The Ground History (added with the B05 program module) stores any faults that are present whenever the MKVII self test is performed on the ground. The fault messages are retained in memory until the aircraft goes through an air-to-ground transition. Such a transition is defined by airspeed ≤ 80 kts. And radio altitude ≤ 5 feet. There is a one second delay after these conditions are met before Ground History is erased.

The two faults that appear in the Ground History readout, Pitch #1 Fault and Roll #1 Fault, indicate that this data from the inertial reference system was not valid when the MKVII self test was performed prior to takeoff.

Following the flight history report, an automated test was performed to verify the unit's operational characteristics. All unit failures during the automated test program were attributed to impact-related damage. Excerpts of the automated test including a test failure log are attached to this report.

3.0 Systems Group Meeting Action Items from October 2 and 3, 1997 and Boeing responses

Following a discussion among the Systems group members relative to glideslope operation on the Boeing 747-300 aircraft, the following action items were assigned:

1. Boeing will verify that cruise mode will be maintained if no valid glideslope signal is received. Boeing response B-B600-16277-ASI, October 23, 1997, states:

Without a glideslope signal, no pitchover will occur and the aircraft will remain in cruise mode.

When the ILS Mode is selected and the glideslope beam error is greater than 30 microamps (1/3 Dot), the glideslope arm is annunciated. The pitch control remains in the Pitch Computer (Cruise Computer) and operates in any of the selectable modes; Altitude Hold, Altitude Select, IAS Hold, or Vertical Speed. When the glideslope beam error decreases to 30 microamps, the Vertical Beam Sensor (VBS) trips and switches the Landing Computer (LRCU) to take over pitch axis elevator control. Without the glideslope signal, the switch to the LRCU will not occur and therefore, no pitchover command will be given.

2. Boeing will verify autopilot logic for flag times for engage and disengage of autopilot. Boeing response B-B600-16277-ASI, states:

The glideslope valid signal is used to arm the glideslope engage logic and the VBS logic. The valid glideslope signal has a 10-second delay built into the logic which must initially be satisfied to get the Glideslope Engage Mode ("G/S ENG" green annunciation also appears on the Flight Mode Annunciators). Once the VBS trips and the glideslope superflag is good, then both the glideslope receiver "valid" and the VBS signal are latched to the "true" state. With a single channel autopilot and ILS engaged, a failed glideslope signal will be annunciated along with a steady red autopilot warning light. The pilot would disengage manually on seeing the red autopilot warning light.

3. Boeing will verify that warning flags on both ADI and HSI should come on when no valid G/S signal or false signal is received. Boeing response B-B600-16277-ASI, states:

The ADI and HSI display the flags per the output of the VHF Nav Receivers. Without a glideslope radio signal present from the ground, the internal monitoring function will set the superflag signal to "invalid" and the flags will be displayed.

In response to a discussion about the Boeing 747 automatic go-around mode during a Systems Group meeting in Seattle on 11 February, the following information regarding go-around and autothrottle response was provided by Boeing

The go-around mode is initiated by depressing the #2 or #3 palm switches located on the throttle levers. The accident airplane was equipped with a Full Flight Regime Autothrottle with an active go-around mode. This mode is armed via the "GA" arm signal from the autopilot whenever the AP or FD is "On". Otherwise, the selection of landing flaps 25-30 degrees will arm the autothrottle if the AP or FD are not engaged.

The autopilot only ARMS the go-around mode for the following:

1) ILS or LAND selected on the MSP

and

2) the Vertical Beam Sensor tripped (needs a valid glideslope signal with a deviation signal of less than 30 micro amps)

and

3) the airplane is in the air.

Gregory Phillips Systems Group Chairman

Art 2/17/98