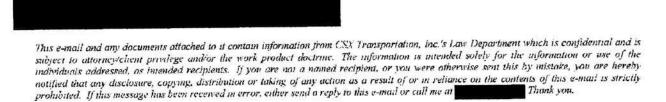
#### Mr. Page:

The attached letter is in response to your subpoena for records related to the above subject matter.

Should you have any questions, do not hesitate to contact me.

### Kind regards,

Lucy McCook Florida Registered Paralegal CSE Transportation, Inc.









LUCY W. MCCOOK FL Registered Paralegal TRANSACTIONS & INSURANCE

November 17, 2016

Via UPS

Ricky E. Page, Investigator NTSB Railroad Accident Investigator Office of Railroad, Pipeline & Hazardous Materials Investigations 490 L'Efant Plaza SW Washington, DC 20594

Re: Alberto Perez DCA16FR009

Dear Mr. Page:

After a reasonable and diligent search of CSX Transportation, Inc.'s records, enclosed please find a copy of document(s) responsive to your request. Please be advised that these records are being produced on behalf of CSX Transportation, Inc.

We trust this satisfies CSX Transportation, Inc.'s obligation with respect to the subpoena.

Yours truly,

Lucy W. McCook

Attachment(s)



## **National Transportation Safety Board**

490 L'Enfant Plaza East, S.W. Washington, D.C. 20594

NOV 16 20 m

BY ELECTRONIC MAIL TO: CSX Corporation 500 Water Street

Jacksonville, FL 32202 Attn: Jennifer Wiggins

Re:

Amtrak - vehicle collision, July 8, 2016, West Palm Beach, FL; National

Transportation Safety Board (NTSB) Accident No. DCA16FR009

Dear Ms. Wiggins:

Enclosed please find a subpoena issued by the National Transportation Safety Board (NTSB) for work history and training records for Alberto Perez, for the time period from March 1, 2013, to March 31, 2015.

#### NTSB Authority

The NTSB, an independent agency of the U.S. Government, is responsible for investigating and determining the probable causes of all civil aviation accidents, as well as certain railroad, highway, marine, and pipeline accidents. 49 U.S.C. § 1131(a). The NTSB makes recommendations for the improvement of safety, with the ultimate goal of preventing future accidents, and is authorized to "conduct hearings ... administer oaths, and require, by subpoena or otherwise, necessary witnesses and evidence." See 49 U.S.C. § 1113(a)(1). The agency is authorized to "do anything necessary to conduct an investigation" and may "inspect any record, process, control, or facility related to an accident investigation..." See 49 U.S.C. § 1134(a) and (f).

Please forward written records within 30 days to:

Ricky F. Page
NTSB Railroad Accident Investigator
Office of Railroad, Pipeline and Hazardous Materials Investigations
490 L'Enfant Plaza SW
Washington DC 20594

Should you have any questions about this matter of a legal nature, you may contact me at

James F-Rodriguez
Assistant General Counsel

Enclosure



# UNITED STATES OF AMERICA NATIONAL TRANSPORTATION SAFETY BOARD

# SUBPOENA DUCES TECUM

To: CSX Corporation 500 Water Street Jacksonville, FL 32202 Attn: Jennifer Wiggins Subpoena Number: 4.42690.94682

Pursuant to the authority granted to the National Transportation Safety Board (NTSB) under Title 49 United States Code Section 1113, and at the instance of Chairman Christopher A. Hart in the matter of the Amtrak - vehicle collision that occured on or about Friday, July 8, 2016 in West Palm Beach, FL (NTSB Accident No. DCA16FR009):

YOU ARE HEREBY COMMANDED to provide work history and training records for Alberto Perez, SSN for the time period from March 1, 2013, to March 31, 2015.

Legible copies of the requested information shall be forwarded within 30 days to:

Ricky E. Page NTSB Railroad Accident Investigator Office of Railroad, Pipeline and Hazardous Materials Investigations 490 L'Enfant Plaza SW Washington DC 20594

#### Fail not at your peril.

IN TESTIMONY WHEREOF, the undersigned, Chairman of the National Transportation Safety Board, or a duly authorized official, has hereto set his/her hand.

Signature

Date 11-16-2016

David K. Tochen General Counsel NATIONAL TRANSPORTATION SAFETY BOARD 490 L'ENFANT PLAZA EAST, SW WASHINGTON, DC 20594

# Alberto Perez Amtrak – vehicle collision, July 8, 2016 West Palm Beach, Florida

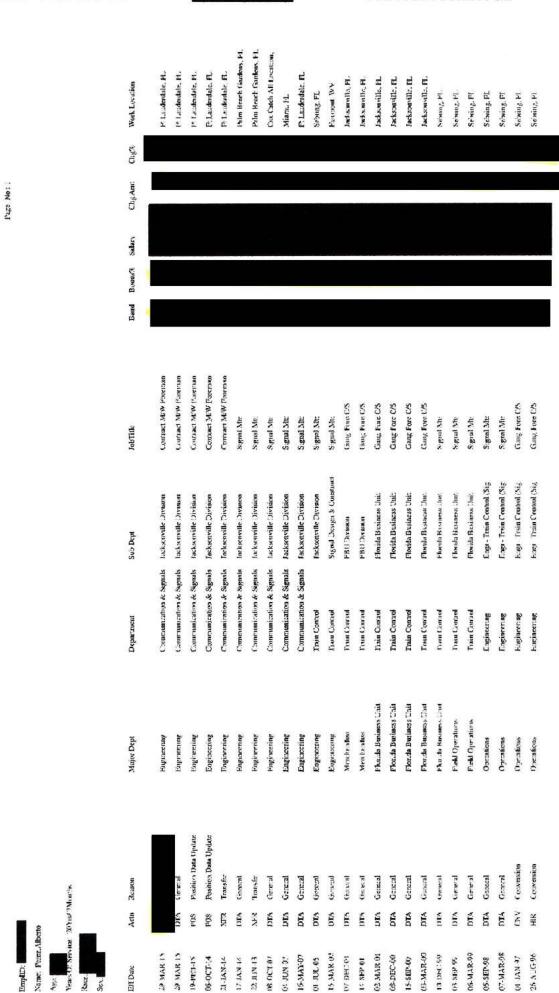
NTSB Accident No. DCA 16FR009

Work History

Run Date: 10 NOV 2015 02:3 y-10\_PM

John and Resume Job & Heavy

Report of CAMPRIES



## Alberto Perez Amtrak – vehicle collision, July 8, 2016 West Palm Beach, Florida

NTSB Accident No. DCA 16FR009

Training History

## Employee Transcript: Alberto Perez

Content Title	Content Type	Start Date	Complete Date	Content Status	Score
C&S - PTC WIU Overview	Classroom	1/13/2015	1/13/2015	Completed	
C&S - PTC Communications Overview	Classroom	1/13/2015	1/13/2015	Completed	
C&S - Introduction to PTC Wayside	Classroom	1/13/2015	1/13/2015	Completed	
On-Track Worker Training 2015	Classroom	1/12/2015	1/12/2015	Completed	88.00
Engineering - Safety Certification 2015	Classroom	1/12/2015	1/12/2015	Completed	100.00
Signals - M-23 Switch Machine	Classroom	11/19/2014	11/19/2014	Completed	
Broken Rail - First Responder	Classroom	10/2/2014	10/2/2014	Completed	
Ethics for Contract Employees 2014 (ILT)	Classroom	10/2/2014	10/2/2014	Completed	
Engineering - Signals Hours of Service Web Based Application and Refresher Training 2014	Classroom	9/12/2014	9/12/2014	Completed	
Remembering Katie	Classroom	6/27/2014	6/27/2014	Completed	
Lifting and Rigging	Classroom	6/26/2014	6/26/2014	Completed	
Adjacent Controlled Track-On-Track Safety (49 CFR 214.336)	Classroom	6/26/2014	6/26/2014	Completed	
Fall Protection: Working on Bridges	Classroom	6/26/2014	6/26/2014	Completed	
Engineering - Lock Out/Tag Out	Classroom	6/26/2014	6/26/2014	Completed	
Engineering - PTC Overview	Classroom	6/26/2014	6/26/2014	Completed	
Broken Rail-First Responder Department of Engineering	AICC	5/30/2014	5/30/2014	Completed	
Jumper Policy - TCR 1525-01	Classroom	3/5/2014	3/5/2014	Completed	
Engineering - Environmental Certification 2014	Classroom	2/27/2014	2/27/2014	Completed	100.00
Engineering - Signals - 2014 Operational Safety Training	Classroom	1/23/2014	1/23/2014	Completed	
Professional Railroader Focus: 1st Quarter 2014	Classroom	1/16/2014	1/16/2014	Completed	
Rail Security Awareness 2014 (ILT)	Classroom	1/14/2014	1/14/2014	Completed	
On-Track Worker Rules 2014	Classroom	1/14/2014	1/14/2014	Completed	89.00
Engineering - Safety Certification 2014	Classroom	1/14/2014	1/14/2014	Completed	90.00
Daily Vehicle Walk Around Inspection	AICC	1/7/2014	1/7/2014	Completed	
Electrical Safety / Arc Flash Hazard Training	Classroom	1/6/2014	1/6/2014	Completed	92.00
Engineering - Broken Rail Training	Classroom		11/14/2013	Completed	
CSX Fall Protection Review - November 2013	Classroom		11/6/2013	Completed	
Checking Grounds	SCORM 1.2	10/21/2013	10/21/2013	Completed	
Professional Railroader Focus: Fourth Quarter 2013	Classroom	10/9/2013	10/9/2013	Completed	
Using a Fire Extinguisher	SCORM 1.2	8/16/2013	8/16/2013	Completed	
IH - OSHA - Know These Hazards 2011	Classroom	7/31/2013	7/31/2013	Completed	
HazCom: Behind the Scenes and Herbicides	Classroom	7/31/2013	7/31/2013	Completed	
Respirator: Employee Training	Classroom	7/31/2013	7/31/2013	Completed	
Safe And Sound: Hearing Safety	Classroom	7/31/2013	7/31/2013	Completed	
Professional Railroader Focus: Third Quarter 2013	3 Classroom	7/31/2013	7/31/2013	Completed	
Engineering - Backhoe Loading And Unloading	Classroom	7/31/2013	7/31/2013	Completed	
Courage to ACT - v1.0	Classroom	6/27/2013	6/27/2013	Completed	
Testing Insulated Joints	Classroom	5/23/2013	5/23/2013	Completed	

Engineering - Safety Certification 2013	Classroom	1/8/2013	1/8/2013	Completed	98.00
Rail Security Awareness 2013	Ciassroom	1/8/2013	1/8/2013	Completed	
On-Track Worker Safety 2013	Classroom	1/8/2013	1/8/2013	Completed	91.00
Engineering - Environmental Certification 2013	Classroom	1/8/2013	1/8/2013	Completed	90.00
Engineering - Environmental Certification 2013	Classroom	1/8/2013	1/8/2013	Completed	
Engineering - Signals Hours of Service Refresher Training 2012	Classroom	7/27/2012	7/27/2012	Completed	100.00
Engineering - Chain Saw Safety	Classroom	4/19/2012	4/19/2012	Completed	
Engineering - April 2012 Safety Focus: Emergency Broadcast	Classroom	4/19/2012	4/19/2012	Completed	
OPTS - ST12ER1 - 2012 Operating Rules	General Course	4/19/2012	4/19/2012	Completed	100.00
Engineering - Environmental Certification 2012	Classroom	2/2/2012	2/2/2012	Completed	96.00
Rail Security Awareness 2012	Classroom	2/2/2012	2/2/2012	Completed	100.00
Engineering - Job Briefing Booklets	Classroom	2/2/2012	2/2/2012	Completed	
On-Track Worker Safety 2012	Classroom	1/16/2012	1/16/2012	Completed	97.00
PTC System Overview	Classroom	1/16/2012	1/16/2012	Completed	
Engineering - Safety Certification 2012	Classroom	1/16/2012	1/16/2012	Completed	100.00
Broken Rail 2010, v1.0	SCORM 1.2	11/9/2010	11/4/2011	Completed	100.00
Safety Practices DVD	Classroom	9/1/2011	9/1/2011	Completed	
Engineering - August 2011 Safety Focus: Stopping Vehicle and Equipment Collisions	Classroom	9/1/2011	9/1/2011	Completed	
Ethics - Make the Right Choice 2011 (DVD)	Classroom	9/1/2011	9/1/2011	Completed	
Inclusion Training (DVD)	Classroom	9/1/2011	9/1/2011	Completed	
Engineering - July 2011 Safety Focus: Summertime Safety Update	Classroom	7/27/2011	7/27/2011	Completed	
Review Chicago Beltline Incident 2011	Classroom	7/27/2011	7/27/2011	Completed	
Engineering - July 2011 Safety Focus: Summertime Safety Update	Classroom	7/19/2011	7/19/2011	Completed	
Ethics - Do the Right Thing - Office DVD	Classroom	4/20/2011	4/20/2011	Completed	
Engineering - Safety Focus - April 2011	Classroom	4/8/2011	4/8/2011	Completed	
Engineering - Signals - 2011 Operational Safety Training	Classroom	1/26/2011	1/26/2011	Completed	
Engineering - Environmental Certification 2011	Classroom	1/11/2011	1/11/2011	Completed	100.00
Engineering - Safety Focus - January 2011	Classroom	1/11/2011	1/11/2011	Completed	
Engineering - Safety Certification 2011	Classroom	1/11/2011	1/11/2011	Completed	98.00
Rail Security Awareness 2011	Classroom	1/11/2011	1/11/2011	Completed	100.00
Engineering - Backhoe Operator Qualification	Classroom	10/12/2010	10/12/2010	Completed	
Engineering - Backhoe Operation	Classroom	10/12/2010	10/12/2010	Completed	
Defensive Driving - Street Smart (Video)	Classroom	7/27/2010	7/27/2010	Completed	
Review Chicago, IL Incident April 2010	Classroom	4/26/2010	4/26/2010	Completed	
Rail Security Awareness 2010, v1.0	Classroom	1/7/2010	1/7/2010	Completed	98.00
On-Track Worker Safety 2010, v1.0	Classroom	1/7/2010	1/7/2010	Completed	97.00
Engineering - Safety Certification 2010, v1.0	Classroom	1/7/2010	1/7/2010	Completed	94.00
Environmental Certification 2010, v1.0	Classroom	1/7/2010	1/7/2010	Completed	96.00

Engineering - Safety Focus - January 2010, v1.0	Classroom	1/7/2010	1/7/2010	Completed	
Communication and Signals - Operational Safety Training, v1.0	Classroom	1/7/2010	1/7/2010	Completed	
Broken Rail Inspection	Classroom	12/10/2009	12/10/2009	Completed	96.00
Engineering - Safety Focus - December 2009	Classroom	12/10/2009	12/10/2009	Completed	
Lifting and Rigging 2009	Classroom	12/10/2009	12/10/2009	Completed	96.00
Monthly Safety Focus	Classroom	8/26/2009	8/26/2009	Completed	
Inclusion - Join the Tm DVD/CD	General Course	8/26/2009	8/26/2009	Completed	
Ethics Field Contract DVD/CD	General Course	8/26/2009	8/26/2009	Completed	
Monthly Safety Focus	Classroom	5/13/2009	5/13/2009	Completed	
Crossing Activation Failure	Classroom	5/13/2009	5/13/2009	Completed	
Lock Out/Tag Out 2009	Classroom	5/13/2009	5/13/2009	Completed	100.00
On-Track Worker Safety 2009	Classroom	2/12/2009	2/12/2009	Completed	100.00
Environmental Certification 09 - Engineering	Classroom	2/12/2009	2/12/2009	Completed	95.00
Rail Security Awareness	Classroom	2/12/2009	2/12/2009	Completed	95.00
2009 Eng Safety Certification	Classroom	2/12/2009	2/12/2009	Completed	100.00
ARC Flash Training	Classroom	1/15/2009	1/15/2009	Completed	
Before You Turn the Key	General Course	1/13/2009	1/13/2009	Completed	
Operational Safety Trng. 2008	Classroom	12/10/2008	12/10/2008	Complated	
HazMat for Eng Dpt who drive	Classroom	9/4/2008	9/4/2008	Completed	98.00
Eng Switching Safety SD 0408	Classroom	4/22/2008	4/22/2008	Completed	
Ethics Video 2008	Classroom	3/13/2008	3/20/2008	Completed	
Rail Security Awareness	Classroom	3/13/2008	3/20/2008	Completed	100.00
On Track Worker Safety 2008	Classroom	3/13/2008	3/20/2008	Completed	96.00
2008 Eng Safety Certification	Classroom	3/13/2008	3/20/2008	Completed	94.00
Monthly Safety Focus	Classroom	3/13/2008	3/20/2008	Completed	
Environmental Certification 08	Classroom	3/13/2008	3/20/2008	Completed	88.00
Ethics Video 2008	Classroom	3/20/2008	3/20/2008	Completed	
2008 Eng Safety Certification	Classroom	3/13/2008	3/13/2008	Completed	94.00
Rail Security Awareness	Classroom	3/13/2008	3/13/2008	Completed	100.00
Environmental Certification 08	Classroom	3/13/2008	3/13/2008	Completed	88.00
Monthly Safety Focus	Classroom	3/13/2008	3/13/2008	Completed	
On Track Worker Safety 2008	Classroom	3/13/2008	3/13/2008	Completed	96.00
Ethics Video 2008	Classroom	3/13/2008	3/13/2008	Completed	
Eng. Personal Safety Review	Classroom	2/28/2007	2/28/2007	Completed	
Environmental Certification 07	Classroom	2/15/2007	2/15/2007	Completed	100.00
2007 Eng Safety Certification	Classroom	2/15/2007	2/15/2007	Completed	98.00
Rail Security Awareness	Classroom	2/15/2007	2/15/2007	Completed	95.00
On Track Worker Safety 2007	Classroom	2/15/2007	2/15/2007	Completed	92.00
Monthly Safety Focus 2006	General Course	9/1/2006	9/30/2006	Completed	
Ethics CD for Contract Workers	Classroom	9/20/2006	9/20/2006	Completed	

	General				
DefenDvg:Most Common Collision	Course	1/4/2006	1/4/2006	Completed	
Safety Certification 2006 Engr	Classroom	1/4/2006	1/4/2006	Completed	98.00
On Track Worker Safety 2006	Classroom	1/4/2006	1/4/2006	Completed	96.00
Defensive Drvg:Street Smarts	General Course	1/4/2006	1/4/2006	Completed	
Fall Protection 2006	Classroom	1/3/2006	1/3/2006	Completed	100.00
Lock Out/Tag Out 2006	Classroom	1/3/2006	1/3/2006	Completed	100.00
Environmental Certification 06	Classroom	1/3/2006	1/3/2006	Completed	94.00
Rail Security Awareness	Classroom	1/3/2006	1/3/2006	Completed	96.00
Mounting/Dismounting Veh & Eq.	Classroom	7/25/2005	7/25/2005	Completed	
Operational Safety Trng. 2005	Classroom	7/25/2005	7/25/2005	Completed	
On Track Worker Training 2005	Classroom	1/4/2005	1/4/2005	Completed	100.00
Lockout/Tagout 2005	Classroom	1/4/2005	1/4/2005	Completed	100.00
Def.Drvg:Most Common Collision	General Course	1/3/2005	1/3/2005	Completed	92.00
Defensive Drvg: Street Smart	General Course	1/3/2005	1/3/2005	Completed	92.00
Rail Security Awareness Trg.	Classroom	1/3/2005	1/3/2005	Completed	90.00
Environmental Cert.(Mech)	Classroom	1/3/2005	1/3/2005	Completed	98.00
Engineering Safety Cert. 2005	Classroom	1/3/2005	1/3/2005	Completed	100.00
Broken Rail Inspection	Classroom	11/17/2004	11/17/2004	Completed	100.00
Engr.Safety Certification 2004	Classroom	2/5/2004	2/5/2004	Completed	96.00
2004 On Track Worker Safety	Classroom	2/5/2004	2/5/2004	Completed	92.00
HXP Motion Predictor	Classroom	5/1/2003	5/1/2003	Completed	90.00
Safety Leadership for Non Sups	Classroom	2/4/2003	2/4/2003	Completed	
Safety Leadership for Non Sups	Classroom	2/4/2003	2/4/2003	Completed	
2003 Engr. Safety Certificatio	Classroom	2/3/2003	2/3/2003	Completed	100.00
Environmental Safety Certifica	Classroom	2/3/2003	2/3/2003	Completed	100.00
2003 On Track Worker Safety	Classroom	2/3/2003	2/3/2003	Completed	96.00
Fire Safety 2002	Classroom	6/11/2002	6/18/2002	Completed	100.00
Lockout/Tagout Review 2002	Classroom	6/11/2002	6/18/2002	Completed	100.00
T-21 Switch Machine	Classroom	5/21/2002	5/21/2002	Completed	100.00
Signal Maintenance Class 1	Classroom	5/13/2002	5/17/2002	Completed	100.00
Mechanical Environmental	General Course	1/1/2002	1/9/2002	Completed	100.00
On Track Worker 2002	Classroom	1/9/2002	1/9/2002	Completed	100.00
Safety Certification Engr.2002	Classroom	1/8/2002	1/8/2002	Completed	100.00
Lifting and Rigging 2001	Classroom	12/19/2001	12/19/2001	Completed	100.00
HazMat for Eng Dpt who drive	Classroom	12/19/2001	12/19/2001	Completed	100.00
Defensive Driving 2001- Engr.	Classroom		12/19/2001	Completed	92.00
Fall Protection 2001	Classroom	8/28/2001	8/28/2001	Completed	100.00
Lock / Out 2001	Classroom	8/28/2001	8/28/2001	Completed	100.00
Drug and Alcohol Review 2001	Classroom	8/28/2001	8/28/2001	Completed	100.00
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Boundaries	General Course	8/15/2001	8/15/2001	Completed	100.00
Fair Employment Laws and Pract	General Course	8/15/2001	8/15/2001	Completed	100.00
Backhoe Review 2001	Classroom	6/27/2001	6/27/2001	Completed	
Summer Spike 2001	Classroom	6/27/2001	6/27/2001	Completed	
CMV Driver & Vehicle Complianc	Classroom	2/21/2001	2/21/2001	Completed	
On-Track Worker 2001	Classroom	1/11/2001	1/11/2001	Completed	100.00
Mechanical Environmental	General Course	1/11/2001	1/11/2001	Completed	100.00
Engr Safety Certification	Classroom	1/11/2001	1/11/2001	Completed	100.00
Drug and Alcohol Training	Classroom	12/21/2000	12/21/2000	Completed	
Rigging / Lifting	Classroom	12/21/2000	12/21/2000	Completed	100.00
Lockout / Tagout	Classroom	12/21/2000	12/21/2000	Completed	100.00
Mechanical Environmental	General Course	8/30/2000	8/30/2000	Completed	100 00
Fire Extinguisher Safety	Classroom	8/28/2000	8/28/2000	Completed	
Batteries & Chargers	Classroom	7/26/2000	7/26/2000	Completed	100.00
Defensive Driving 2000	Classroom	6/19/2000	6/19/2000	Completed	
Summer Spike 2000	Classroom	6/19/2000	6/19/2000	Completed	
Engr Safety Certification	Classroom	1/13/2000	1/13/2000	Completed	
On-Track Worker 2000	Classroom	1/13/2000	1/13/2000	Completed	
Alcohol/Drug Educ (Csxt)	General Course	11/23/1999	11/23/1999	Completed	
Engineering Lockout Tagou	Classroom	11/23/1999	11/23/1999	Completed	
3000 Safetran Predictor	Classroom	10/6/1999	10/6/1999	Completed	
Haz-Mat Training Program	Classroom	9/22/1999	9/22/1999	Completed	
Defensive Driving Engr.	Classroom	9/21/1999	9/21/1999	Completed	
Eng. Summer Spike Trainin	Classroom	6/23/1999	6/23/1999	Completed	
Mechanical Environmental	General Course	1/11/1999	1/11/1999	Completed	98.00
1999 Fra On-Track Worker	Classroom	1/11/1999	1/11/1999	Completed	85.00
Engr Safety Certification	Classroom	1/11/1999	1/11/1999	Completed	96.00
Broken Rail Inspection	Classroom	12/9/1998	12/9/1998	Completed	90.00
Engineering Fall Awarenes	Classroom	10/8/1998	10/8/1998	Completed	
Mm Electro Code	General Course	7/1/1998	7/1/1998	Completed	100.00
Engr Safety Certification	Classroom	5/13/1998	5/13/1998	Completed	
1998 Summer Spike Class	Classroom	5/13/1998	5/13/1998	Completed	
Nsc Defensive Driving	Classroom	5/12/1998	5/12/1998	Completed	100.00
Crossing Gate Mechanisms	Classroom	4/28/1998	4/28/1998	Completed	100.00
Session 4 ASM	Classroom	3/16/1998	3/27/1998	Completed	100.00
No Injury No Accident	Classroom	1/19/1998	1/20/1998	Completed	
Engr Safety Certification	Classroom	1/19/1998	1/20/1998	Completed	94.00
On-Track Workers Training	Classroom	1/19/1998	1/20/1998	Completed	85.00

Mechanical Environmental	General Course	1/19/1998	1/20/1998	Completed	90.00
Session 3 ASM	Ciassroom	11/10/1997	11/21/1997	Completed	98.00
T-21 Switch Machine	Classroom	9/22/1997	9/22/1997	Completed	100.00
Uss Power Switch Module	Classroom	8/26/1997	8/26/1997	Completed	87.00
Session 2 ASM	Ciassroom	7/7/1997	7/18/1997	Completed	93.00
Haz-Mat Training Program	Classroom	4/8/1997	4/8/1997	Completed	100.00
Session 1 ASM	Classroom	3/10/1997	3/21/1997	Completed	98.00
Mechanical Environmental	General Course	3/10/1997	3/11/1997	Completed	100.00
On Track Workers Trg	Classroom	3/10/1997	3/11/1997	Completed	80.00
Engr Safety Certification	Classroom	2/10/1997	2/28/1997	Completed	98.00
F.R.A. Hours Of Service	Classroom	2/26/1997	2/26/1997	Completed	
Hiring and Managing Contractors Simulation	AICC	11/8/2010		Started	
Jumper Policy - TCR 1525-01	AICC	3/5/2014		Started	