# DCA-08-MR-009

Head-on Collision Between

Metrolink Commuter Train No. 111 & Union Pacific Freight Train No. LOF65-12

#### UNITED STATES OF AMERICA

#### NATIONAL TRANSPORTATION SAFETY BOARD

#### OFFICE OF ADMINISTRATIVE LAW JUDGES

Investigation of:

TRAIN COLLISION \*

CHATSWORTH, CALIFORNIA \* Docket No.: DCA-08-MR-009

\*

Interview of: ROBERT HELDENBRAND

Portland Hills, California

Wednesday,

September 17, 2008

The above-captioned matter convened, pursuant to notice.

BEFORE: TED TURPIN

#### APPEARANCES:

TED TURPIN, Investigator National Transportation Safety Board Washington, D.C. (202) 314-6439

RICK NARVELL

JIM REMINES, Inspector National Transportation Safety Board

TED FRITZ, Transportation Manager Connex

RAMON GARCIA

## I N D E X

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### 1 <u>INTERVIEW</u>

- MR. TURPIN: We're interviewing the conductor of a
- 3 northbound Metrolink train in connection with the accident that
- 4 happened September 12th, 2008, at Chatsworth, California, ID DCA-
- 5 08-MR-009. And we're having to conduct the interview at the
- 6 hospital with Rick Narvell, Jim Remines, Ted Fritz, and myself,
- 7 Ted Turpin.
- 8 INTERVIEW OF ROBERT HELDENBRAND
- 9 BY MR. TURPIN:
- 10 Q. And if you don't mind, Mr. Heldenbrand, do you realize
- 11 this conversation will be recorded?
- 12 A. Right.
- 13 Q. All right. Thank you. Tell us what happened.
- 14 A. Departed, departed Los Angeles on Metrolink train number
- 15 111, 3:35 p.m., stopping in Glendale and downtown Burbank, Burbank
- 16 Airport, Van Nuys. And, after we left Van Nuys, we stopped at CP
- 17 Ramer (ph.), waited at CP Ramer for about seven minutes for Amtrak
- 18 train number 784.
- 19 And after 784 passed, we got a green signal, proceeded
- 20 to Northridge, unloaded the passengers, got a green out of
- 21 Northridge and proceeded to Chatsworth, unloaded the passengers at
- 22 Chatsworth and high-balled out of Chatsworth. The last thing I
- 23 did was I was looking out my crew door window as we're required to
- 24 do for Metrolink, to check the platform to see if there's any
- 25 passengers that are trying to get to the train at the last second.

- 1 And the last thing I did before I closed my crew door
- 2 was I waved to this little old man that's there every afternoon.
- 3 He's kind of a rail buff. I turned around. I went back to my
- 4 desk, which is kind of like this little desk here that I work on,
- 5 I did my report on. And I was marking down my departure time from
- 6 Chatsworth, because we were about seven minutes late. And
- 7 normally we would be at Chatsworth at 4:16.
- 8 So it was about 4:22, I believe -- 4:22, I think that's
- 9 the last time I looked at my watch. And, as we were leaving
- 10 Chatsworth, I was standing facing the window, facing my desk, so
- 11 that the front of the train would be to my right, just like I'm
- 12 standing right here. And the next thing I knew, the train stopped
- 13 and everybody lurched forward and that was the end of it.
- Q. On the -- out of Northridge, do you -- do you recall, do
- 15 you recall hearing the radio at all?
- 16 A. I remember him calling out at Northridge. He called a
- 17 green at the intermediate.
- 18 Q. Okay. Any, any more radio conversation?
- 19 A. No.
- 20 Q. There, there was a report -- I don't know if it was
- 21 right after (indiscernible), but there was a report that there was
- 22 possibly a green signal from Chatsworth station. Do you recall
- 23 the Chatsworth station?
- A. When I looked up the platform -- and it's real easy to
- 25 see. When you leave Chatsworth, as you're closing the door, I

- 1 looked to my left and I saw a green signal at CP Topanga. And
- 2 that's what I saw. I saw a green signal at CP Topanga because
- 3 it's, it's, it's almost in a direct straight line right up the,
- 4 right up the line. In fact, you can stand there at the cab car at
- 5 the handicapped ramp and look straight to your left and you can
- 6 see it, and especially in the evening time.
- 7 Q. So you had your back towards the train?
- 8 A. So if I -- if this were, this were the crew door right
- 9 here, and that's the station, here's the track, this is the car, I
- 10 looked 90 degrees to my left.
- 11 O. Yeah.
- 12 A. I can look straight up the track and see CP Topanga.
- 13 Q. Okay.
- 14 A. And that's the last thing I saw. We left Chatsworth.
- 15 Q. Do you know where that little guy, the old guy was that
- 16 you mentioned, where was he located at that time?
- 17 A. We were sitting on a -- we were sitting at the --
- 18 sitting in his little stone bench seat there. It's right in front
- 19 of the TVM machines.
- 20 Q. Okay.
- 21 A. He sits there every day and he always waves to us and
- 22 says hi.
- Q. Now -- we already have that (indiscernible). We know he
- 24 was mentioned. We've done everything --
- 25 A. Right.

- 1 O. -- in our power to check the (indiscernible).
- 2 A. Right.
- 3 Q. As you know, you shouldn't have a green on an occupied
- 4 line, not southbound. He was already (indiscernible). He was
- 5 already through the tunnel (indiscernible). That's why I
- 6 (indiscernible) ask --
- 7 A. Right.
- 8 Q. -- (indiscernible) Topanga. So we're doing everything
- 9 in our power to try to confirm that it was green. And it's just
- 10 -- it's hard.
- 11 A. Right.
- 12 O. Difficult because the signal method is (indiscernible)
- 13 and, you know, my -- I know we have to put these two together to
- 14 make them work.
- 15 SPEAKER: Did you see any passengers or anything that
- 16 could see what you saw when you looked up toward the signal
- 17 (indiscernible), someone that might have been standing very quiet
- 18 next to the track?
- 19 SPEAKER: Could the gentleman with the -- that you
- 20 mentioned earlier, the guy that's there everyday, could he have
- 21 possibly seen that signal also?
- MR. HELDENBRAND: He may have, but he's an older quy.
- 23 He's probably in his 80s, and he's always there in the afternoon,
- 24 and he always, he always says hello and then he always waits til
- 25 we roll by and then he always waves and says, hi, Bob, and have a

- 1 good day, or something like that.
- 2 SPEAKER: Okay.
- 3 MR. HELDENBRAND: He's there every afternoon.
- 4 SPEAKER: Bob, what was your last face-to-face
- 5 (indiscernible)? Do you remember when that was, what time and
- 6 then what was his demeanor like?
- 7 MR. HELDENBRAND: Let me see. Oh, my last face-to-face,
- 8 actually, face-to-face would have been leaving -- I guess, leaving
- 9 Los Angeles. We did our, we did our air test. Yeah, we did our
- 10 air test at -- for train 111 at Union Station.
- 11 SPEAKER: Was there anything unusual, different?
- MR. HELDENBRAND: No.
- SPEAKER: Did he say anything was bugging him, sick, not
- 14 feeling good?
- MR. HELDENBRAND: No, uh-huh.
- 16 SPEAKER: Anything out of the ordinary?
- 17 MR. HELDENBRAND: No. No.
- 18 SPEAKER: How long had you worked together?
- MR. HELDENBRAND: Well, on this past job, we've been
- 20 together since October, because, you know, every, every six
- 21 months, October and April, we -- the rotation comes through. So
- 22 we've been on this job since like April 15th, about six months.
- SPEAKER: Okay. You said October, but you meant April,
- 24 right?
- MR. HELDENBRAND: Yes.

- 1 SPEAKER: Okay.
- 2 MR. HELDENBRAND: Yes.
- 3 SPEAKER: April. Yeah, April (indiscernible). April,
- 4 yeah.
- 5 MR. HELDENBRAND: So it would be, what, May, June,
- 6 July --
- 7 SPEAKER: You said October (indiscernible).
- 8 MR. HELDENBRAND: -- August, September, October -- yeah,
- 9 about five, roughly, five months.
- 10 SPEAKER: You worked with him before?
- 11 MR. HELDENBRAND: Yes. Yeah, we worked on and off,
- 12 because of the rotations.
- 13 SPEAKER: Right.
- MR. HELDENBRAND: Because there's actually three jobs
- 15 out of Montalvo, so we rotated around a couple of times over the
- 16 last three and a half years.
- 17 SPEAKER: I don't want go on in too much detail. I have
- 18 some of this on record. What time did you mark up during the day,
- 19 like, right before 6 a.m.?
- MR. HELDENBRAND: 5:54.
- 21 SPEAKER: Okay. And you work until?
- MR. HELDENBRAND: 9:26.
- 23 SPEAKER: And then what happens?
- MR. HELDENBRAND: Then we're off from 9:26 til 2 p.m.
- 25 SPEAKER: And then?

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- 1 MR. HELDENBRAND: And then we come back on duty at 2,
- 2 and then at 3:05, we bring a three-car set from, from Taylor over
- 3 to Union Station at 3:05, and then we depart at 3:35, and Metro
- 4 train 111 service to Montalvo.
- 5 SPEAKER: And then what about the time, something like
- 6 9:00?
- 7 MR. HELDENBRAND: Yeah, we go up, we go up to Moorpark,
- 8 turn right around and come back at 1:18. Then we come back at
- 9 1:18, and then we flip over at 1:19, and then we go home to
- 10 Montalvo -- or we're due in at 8:35 and off duty at 9:05. That's,
- 11 that's the official job time.
- 12 SPEAKER: And this is five days a week?
- MR. HELDENBRAND: Yes, sir, Monday through Friday.
- 14 SPEAKER: Okay. What -- I don't -- and maybe you don't
- 15 know, there's time off during the -- what I call mid-day from,
- 16 like, 9:30 to 2. Do you know what, what he was doing? Does he
- 17 rest? Does he -- what does he do, do you know?
- 18 MR. HELDENBRAND: Yeah, normally, he just goes straight
- 19 home.
- 20 SPEAKER: He goes back home and then comes back again?
- 21 MR. HELDENBRAND: Yeah. Normally, what he does is --
- 22 because he has a house in La Cresenta.
- 23 SPEAKER: Right.
- MR. HELDENBRAND: He's only like five miles away. And
- 25 up until January, that's what I used to do, too, because I lived

- 1 in Glendale. So I would normally -- we have our choice. We can
- 2 either go to a hotel, hang out at the lounge, and some guys just
- 3 go home if they live close by.
- 4 SPEAKER: Do you recall on Friday if he did go home?
- 5 MR. HELDENBRAND: Yeah, he went home and took a nap for
- 6 like two hours.
- 7 SPEAKER: That's -- well, I'm not familiar with the area
- 8 here. I'm sorry.
- 9 MR. HELDENBRAND: Oh.
- 10 SPEAKER: From his house to where he would get off at,
- 11 how many miles is that, do you know? You said he's off at 9:30
- 12 and then he goes home.
- MR. HELDENBRAND: Well --
- 14 SPEAKER: How many miles away? Like I said, I don't --
- 15 (indiscernible) -- about eight or nine miles?
- 16 SPEAKER: Real, real close.
- 17 SPEAKER: Right up (indiscernible) --
- 18 MR. HELDENBRAND: Oh, yeah. Probably -- I don't even
- 19 know if it's that far, maybe eight miles.
- 20 SPEAKER: Eight or nine miles.
- MR. HELDENBRAND: Yeah.
- 22 SPEAKER: Real close.
- MR. HELDENBRAND: Yeah. Not even 10 --
- 24 SPEAKER: Not (indiscernible) 60 miles?
- 25 MR. HELDENBRAND: Yeah. Oh, no. No, it's not even 10

- 1 minutes, because I know from Taylor Yard to my house in Glendale
- 2 is six miles, and he only lives like two miles up the freeway.
- 3 SPEAKER: You said he did take a two-hour nap, as far
- 4 as --
- 5 MR. HELDENBRAND: Yes.
- 6 SPEAKER: And then he came back to work?
- 7 MR. HELDENBRAND: Yeah. Yeah. No, he told me that,
- 8 because he had gone home and, and he told me that. And when he
- 9 came back on duty, he had told me that he, he had a good rest. He
- 10 had a good two-hour nap.
- 11 SPEAKER: Did he describe any health conditions when he
- 12 was doing that?
- MR. HELDENBRAND: No.
- 14 SPEAKER: Any headaches or anything like that?
- MR. HELDENBRAND: No.
- 16 SPEAKER: Okay. I'd like to go back to the trip from
- 17 Northbridge to Chatsworth. Do you remember what you were doing at
- 18 the train at that time?
- MR. HELDENBRAND: Yeah. Actually, what I was doing is I
- 20 -- after we left Northridge, I had a gal in a wheelchair that I
- 21 was attending to. She had gotten on in Los Angeles with her
- 22 mother, and they had come down on 106 train in the morning. And
- 23 there was a gal, and she was in one of these special wheelchairs.
- 24 It wasn't an electric wheelchair, but it was one of those, like,
- 25 super framework, had a heavy-duty framework.

- 1 And I had -- I was, I was in the cab car at that time
- 2 because normally on, on the weekdays we have a guy that rides on,
- 3 on L.A. to Chatsworth every day, but on Friday he wasn't there.
- 4 But I was in the cab car out of force of habit because, normally,
- 5 when we come into Chatsworth, we drop Scotty off. So I was in
- 6 the, I was in the, I was in the cab car coming in from Chatsworth.
- 7 SPEAKER: We, we did get a report in dispatch
- 8 (indiscernible) they just had been calling a flashing yellow. You
- 9 don't recall hearing that?
- MR. HELDENBRAND: No. No.
- 11 SPEAKER: What about this green signal, was there any
- 12 communications at that point between (indiscernible)? Did he call
- 13 out the green (indiscernible) from Chatsworth?
- MR. HELDENBRAND: No. No.
- 15 SPEAKER: Would, would that be unusual or would you
- 16 (indiscernible) you expect him to respond to you or --
- 17 MR. HELDENBRAND: Normally, normally, he calls -- when
- 18 we leave Chatsworth, I would close the doors and say, hi, Bob, and
- 19 I would say, you know, we came in on a green and, and then I would
- 20 -- and he would call me back and say, came in on a green, got a
- 21 green, see if you can (indiscernible). And that's -- 99 percent
- 22 of the time that's what we had.
- 23 SPEAKER: But you don't recall that conversation?
- MR. HELDENBRAND: No.
- 25 SPEAKER: So there was no call, no green --

- 1 MR. HELDENBRAND: No.
- 2 SPEAKER: -- (indiscernible). Your words, that's, you
- 3 said, high-ball (indiscernible).
- 4 MR. HELDENBRAND: Right.
- 5 SPEAKER: And then (indiscernible)?
- 6 MR. HELDENBRAND: Right.
- 7 SPEAKER: You never had trouble communicating by radio
- 8 in there with the dispatcher or --
- 9 MR. HELDENBRAND: You mean as far as?
- 10 SPEAKER: In his transmissions.
- MR. HELDENBRAND: The only time we would ever have any
- 12 trouble like that would be, like, going through the, going through
- 13 the hills, going through the tunnels. But, I mean, that area
- 14 right there is pretty good.
- 15 SPEAKER: But him not calling out the signals
- 16 (indiscernible) was that not unusual to you (indiscernible) point
- 17 of Topanga, Topanga, as far as him not calling it out? I just
- 18 want to know --
- 19 MR. HELDENBRAND: Oh.
- 20 SPEAKER: -- if you took any exception to that or the
- 21 intermediate, him not calling it out on the radio or, you know,
- 22 or --
- MR. HELDENBRAND: No, I didn't take an exception to
- 24 that, no.
- 25 SPEAKER: Had he been calling the signals previously

- 1 during the day?
- 2 MR. HELDENBRAND: Yes.
- 3 SPEAKER: Okay. And the radios were working --
- 4 MR. HELDENBRAND: Yes.
- 5 SPEAKER: -- working properly? Okay.
- 6 MR. HELDENBRAND: Because normally what happens, we --if
- 7 we meet -- we normally meet 784, at Ramer, and then we -- and they
- 8 come through. Then we usually get a green, take off. Then we'll
- 9 get an intermediate up there by, by Balboa, and then we -- and
- 10 then, and then we'll get a green coming out of, coming out of --
- 11 at 4:51 there out of Northridge.
- 12 SPEAKER: (indiscernible).
- MR. HELDENBRAND: And normally, normally, we get greens
- 14 all the way out from there. We would normally get greens all the
- 15 way til, til we get to Moorpark because, normally, we would, we
- 16 would just scoot on up to Moorpark and turn around and come back
- 17 at 1:18.
- 18 SPEAKER: You were seven minutes off schedule. Did you
- 19 lose it on a meet that you had earlier? You said you were seven
- 20 minutes late arriving at Chatsworth.
- 21 MR. HELDENBRAND: Yes. Yeah, because we, we waited at
- 22 Ramer for Amtrak 784.
- SPEAKER: Do you often meet the, the Leesdale local, the
- 24 UP local?
- MR. HELDENBRAND: Every once in a while, but, normally,

- 1 if we meet them, we'd meet them up at Davis. Most of the times,
- 2 we'd meet them at Davis.
- 3 SPEAKER: Most of the time?
- 4 MR. HELDENBRAND: He'll be waiting for us at Davis.
- 5 SPEAKER: So the dispatcher ran him through and it was,
- 6 it was really kind of unusual then?
- 7 MR. HELDENBRAND: Yeah. Yeah, normally, if we see them,
- 8 we would see them at Davis.
- 9 SPEAKER: Any other unusual conditions? The area --
- 10 that area is (indiscernible) or complaints or, you know, unusual
- 11 conditions that you might think you'd have trouble dispatching
- 12 something or just something that would not meet your
- 13 expectations --
- MR. HELDENBRAND: No.
- 15 SPEAKER: -- in that area?
- MR. HELDENBRAND: No, nothing that I can think of right
- 17 off the bat.
- 18 SPEAKER: Yeah. Do you ever see these little young --
- 19 there's been reports of these young teenage kids hanging around or
- 20 close by? Do you ever see them or just this old gentleman you
- 21 mentioned about the Chatsworth area?
- 22 MR. HELDENBRAND: Most of the time I've seen, I've seen
- 23 the, the old man. Because he's kind of like a -- it's --
- 24 SPEAKER: He's consistent?
- MR. HELDENBRAND: Yeah. It's like having a cup of

- 1 coffee every morning at 6 a.m.
- 2 SPEAKER: He's right there.
- 3 MR. HELDENBRAND: He's there every day. And he's -- I
- 4 think he's retired. And he just comes down there to the train
- 5 station and watches trains.
- 6 SPEAKER: Do you -- have you seen him look at the
- 7 signals while you're there? I mean, was he that involved in what
- 8 you were doing to actually look at signals?
- 9 MR. HELDENBRAND: Only to the extent that, that he would
- 10 make a comment every once in a while. Like, if Amtrak was really
- 11 late or something like that, he would say -- he'd say something to
- 12 the effect as we were leaving, because when we pull in, I'd be at
- 13 the handicapped ramp --
- 14 SPEAKER: Right.
- 15 MR. HELDENBRAND: -- the, the P&A (ph.) ramp, because
- 16 Monday through Thursday, we always have Scotty, who has cerebral
- 17 palsy.
- 18 SPEAKER: Um-hum.
- 19 MR. HELDENBRAND: He has one of those electric carts.
- 20 So Monday through Thursday, we always drop Scotty off at
- 21 Chatsworth.
- 22 SPEAKER: Um-hum.
- MR. HELDENBRAND: So I have to be in the cab car to open
- 24 the door for Scotty and put that one (indiscernible). So, Monday
- 25 through Thursdays -- the only day Scotty's not there is on a

- 1 Friday, because Scotty works a four-day work week. So I'm always,
- 2 I'm always at, at the Chatsworth P&A ramp at the east end, which
- 3 is the railroad east of the station for, for Chatsworth.
- 4 SPEAKER: Why I'm asking that is he -- I spoke with him
- 5 on the station platform.
- 6 MR. HELDENBRAND: Uh-huh.
- 7 SPEAKER: And he said he had a conversation with the
- 8 engineer, and he said he looked to the signal and saw it was
- 9 green. That's one thing he said. And so I just want to know if
- 10 he could be a credible witness for our purposes.
- 11 MR. HELDENBRAND: I think he could be because he seems
- 12 to be -- he seems to -- I mean, even though he's an older man -- I
- 13 mean, I'm 51, and he's probably got to be in his 70s. I'm just
- 14 guessing. I didn't really know how old is.
- 15 SPEAKER: Sixty-five.
- 16 MR. HELDENBRAND: Huh?
- 17 SPEAKER: He's 65.
- 18 MR. HELDENBRAND: Sixty-five?
- 19 SPEAKER: High (indiscernible).
- MR. HELDENBRAND: Wow. Yeah, I thought he was older.
- 21 SPEAKER: We did too.
- MR. HELDENBRAND: But he seems to, you know, he seems to
- 23 be very cognizant.
- 24 SPEAKER: Right.
- 25 MR. HELDENBRAND: And he seems to be aware of what's

- 1 going on, you know, as far as what's going on around him.
- 2 SPEAKER: Okay.
- 3 MR. HELDENBRAND: I mean, he's not -- in other words,
- 4 not some sort of, of like --
- 5 SPEAKER: (indiscernible)?
- 6 MR. HELDENBRAND: Well, what I mean is --
- 7 SPEAKER: (indiscernible).
- 8 MR. HELDENBRAND: -- educationally backwards or
- 9 something, educationally retarded. Maybe that's not the right
- 10 word to use.
- 11 SPEAKER: Right.
- 12 SPEAKER: But I know, like, I just wasn't -- you said
- 13 you (indiscernible) that you saw him. That's why I asked if he
- 14 got up from the bench and then he walked over to the engineer
- 15 while he was stopped and you might have seen that.
- 16 MR. HELDENBRAND: The only thing, I saw him was when we
- 17 left. He was sitting, he was sitting at his -- on his, on his
- 18 little bench there. He always sits on that little bench
- 19 underneath the shelter, which is directly almost, like, 15 feet
- 20 away from the TVM machines that sit there at the west end of the
- 21 station.
- 22 SPEAKER: Well, here's hard stuff, you know, you know
- 23 what I'm saying. Not only was the signal red by (indiscernible)
- 24 recorder in terms of (indiscernible) switch, the switch installed
- 25 in the siding, and, you know, Metrolink (indiscernible) red. So

- 1 we're trying to piece together this and we're going to run more
- 2 tests.
- 3 MR. HELDENBRAND: Right.
- 4 SPEAKER: Because the other part of this picture is how
- 5 we got -- and I'm sure you've seen them (indiscernible)
- 6 communicating with these kids (indiscernible). Okay. We've got
- 7 subpoenas out there and (indiscernible) trying to figure out what
- 8 happened with the cell phone.
- 9 MR. HELDENBRAND: Right.
- 10 SPEAKER: And verifying whether it was (indiscernible).
- 11 Do you recall Robert using his cell phone much when he was
- 12 operating -- or do you know he had it?
- MR. HELDENBRAND: Well, I know he had this thing and I
- 14 know it was -- it wasn't a phone like mine. It was one of those
- 15 things with a keypad.
- 16 SPEAKER: Uh-huh.
- 17 MR. HELDENBRAND: That's the only thing I know.
- 18 SPEAKER: Like that?
- 19 MR. HELDENBRAND: Exactly. Like a --
- 20 SPEAKER: BlackBerry.
- 21 MR. HELDENBRAND: Well, I call it a BlackBerry, but some
- 22 people call it a keyboard.
- 23 SPEAKER: But it had a whole keyboard on it?
- MR. HELDENBRAND: Yeah.
- 25 SPEAKER: Did you guys ever use your cell phones once in

- 1 a while if you couldn't use the radio?
- MR. HELDENBRAND: Every once in a while. But, but very
- 3 often -- but very, very rarely.
- 4 SPEAKER: Did you actually call Robert or talk with him
- 5 on the cell phone, if you couldn't get him by radio?
- 6 MR. HELDENBRAND: Not usually. Normally -- in fact, I'm
- 7 trying to remember if I, if I -- the only time I ever called him
- 8 on the cell phone was if it was time to go to work, like, you know
- 9 -- or, like, he would have called me from home. Like, he called
- 10 me a few days ago because he was on his way down the hill and he
- 11 had a flat tire on his Honda Accord. And the only reason I knew
- 12 that is because he called me on my home phone. Well, I shouldn't
- 13 say my home -- my personal cell phone.
- 14 SPEAKER: Do you have a second phone, personal cell
- 15 phone?
- 16 MR. HELDENBRAND: No.
- 17 SPEAKER: Okay.
- 18 MR. HELDENBRAND: (indiscernible) company phone.
- 19 SPEAKER: Oh, they issue -- Metrolink gives you a --
- MR. HELDENBRAND: The, the company issues a cell phone
- 21 and that's a cell phone that the company issues.
- 22 SPEAKER: Okay. Just for conductors?
- MR. HELDENBRAND: Yes.
- 24 SPEAKER: Every conductor has a cell phone?
- MR. HELDENBRAND: Yes.

- 1 SPEAKER: Okay. Yeah.
- 2 SPEAKER: If he were at the controls and the train were
- 3 moving, and he'd be on his cell phone, would you pay attention it?
- 4 MR. HELDENBRAND: Yeah.
- 5 SPEAKER: Okay.
- 6 MR. HELDENBRAND: Yeah.
- 7 SPEAKER: (indiscernible)?
- $MR.\ HELDENBRAND:\ I\ have\ --\ I\ had\ one\ time.$
- 9 SPEAKER: How long ago was that?
- 10 MR. HELDENBRAND: Several months back.
- 11 SPEAKER: And how -- what was his response to you?
- MR. HELDENBRAND: I, I, I was, I was -- we were leaving,
- 13 we were leaving Moorpark and I just said, hey, you know, you can't
- 14 be doing this on the, on the train.
- 15 SPEAKER: What, was he in the cab car?
- 16 MR. HELDENBRAND: Cab car.
- 17 SPEAKER: So you were right there --
- MR. HELDENBRAND: Yeah.
- 19 SPEAKER: -- at the time?
- 20 MR. HELDENBRAND: I happened to be right there. In
- 21 fact, the reason I was up there is because I had walked up just to
- 22 mention to him that, that we had a wheelchair coming up.
- 23 SPEAKER: Was the train moving then or was it
- 24 stationary?
- MR. HELDENBRAND: The train, the train was stationary.

- 1 SPEAKER: Okay.
- 2 SPEAKER: I guess maybe just clarify one thing.
- 3 MR. HELDENBRAND: Okay.
- 4 SPEAKER: When we were asking about did he converse on
- 5 his cell phone, he didn't have any cell phone conversations at
- 6 work, I mean, while you guys were actually out on the train
- 7 working? He never had to use his cell phone to call anyone or
- 8 whatever?
- 9 MR. HELDENBRAND: No. They --
- 10 SPEAKER: (indiscernible)?
- MR. HELDENBRAND: No.
- 12 SPEAKER: And you normally would communicate, then, by a
- 13 hand-held radio on your part --
- MR. HELDENBRAND: Right.
- 15 SPEAKER: -- a (indiscernible)?
- MR. HELDENBRAND: Right.
- 17 SPEAKER: Would he -- and (indiscernible), would he
- 18 normally call out signals to you or --
- MR. HELDENBRAND: Absolutely.
- 20 SPEAKER: Okay.
- MR. HELDENBRAND: Absolutely.
- 22 SPEAKER: Was it unusual he didn't call the intermediate
- 23 that day?
- MR. HELDENBRAND: I think so, yes. Yeah. Yeah, he's
- 25 very good at calling, you know, everything, green -- everything.

- 1 SPEAKER: How would you rate his operational
- 2 capabilities? One to 10, was he a great engineer, a 10, or a 5, a
- 3 mediocre engineer? If you could, give us a (indiscernible)?
- 4 MR. HELDENBRAND: Well, I would say he's like about a
- 5 9.5, 9.8. And the reason I say that is because very cognizant of
- 6 what's going on. In fact, it's kind of interesting, whenever we
- 7 had a Form B or a Form A, he would always make sure that -- he
- 8 would remind me of it before I even had a chance to remind him of
- 9 it. Yeah. So like, if we had a Form B at milepost 10 --
- 10 SPEAKER: Um-hum.
- MR. HELDENBRAND: -- and you're supposed to call it, you
- 12 know, two, two miles before or beyond the last station, he would,
- 13 he would remind me at like milepost 14 and just say, oh, by the
- 14 way, you know, we got Foreman, Foreman Mendoza coming up. And I'd
- 15 say, yes, we do, and then I'd call him again on it.
- 16 So he was, he was ahead -- I guess, what -- I guess,
- 17 what I'm trying to say is he'd be ahead of the game in that
- 18 respect. He would call it even ahead of time just as a -- like an
- 19 additional reminder.
- 20 SPEAKER: Did you take any exception to the way he came
- 21 out of the tunnel or anything? You know, proceed on the green and
- 22 accelerated out of the tunnel. Was there anything to you as far
- 23 as his operating the train that would have been unusual up to the
- 24 point of accident?
- MR. HELDENBRAND: No. No.

- 1 SPEAKER: You didn't -- weren't alarmed that something
- 2 was going on with him?
- 3 MR. HELDENBRAND: No. No, nothing, nothing out of the
- 4 ordinary.
- 5 SPEAKER: I don't have any more right now, Bob. I have
- 6 a number of questions, but I'm not going to answer them here
- 7 because you're still on the mend and these could take a long time.
- 8 But what I'd like to do at some point is to get -- do
- 9 you have e-mail?
- MR. HELDENBRAND: Right now my computer's dead.
- 11 SPEAKER: Okay.
- MR. HELDENBRAND: So the only thing I really have -- I
- 13 was in the process of moving.
- 14 SPEAKER: Okay.
- MR. HELDENBRAND: And so right now the only thing I
- 16 really have is my, my home phone and my cell phone.
- 17 SPEAKER: Okay.
- MR. HELDENBRAND: Yeah, my, my computer's dead right
- 19 now.
- 20 SPEAKER: There will come a time after you're done with
- 21 your recuperation we'll have a bigger group of people and that
- 22 wanted -- that should -- that we held out because just what's
- 23 happened and condition (indiscernible). And we will set up
- 24 another interview later on and it probably will be after you're
- 25 released from the hospital.

- 1 MR. HELDENBRAND: Right.
- 2 SPEAKER: What's your prognosis right now? Have they
- 3 given you time line of --
- 4 MR. HELDENBRAND: No. No, in fact, on Saturday morning
- 5 they took me downstairs for a possible surgery because they had
- 6 noticed after doing a CAT scan, they noticed that they thought
- 7 that I might have a tear on my aorta. But they went in Saturday
- 8 morning through here. They did a, a chest tube, put in a chest
- 9 tube to drain my chest cavity. And they went in and looked
- 10 around, kind of an exploratory surgery, and determined that there
- 11 actually was not a tear in the aorta.
- 12 SPEAKER: That's good.
- MR. HELDENBRAND: What I do have right now is a pretty
- 14 good Charlie horse right here, and I think when I sat down --
- 15 because I was, I was literally like this at my desk, like this.
- 16 SPEAKER: Uh-huh.
- 17 MR. HELDENBRAND: And I think at the time it would have
- 18 been going this way and I think I went backwards this way because
- 19 I remember sitting down on my butt.
- 20 SPEAKER: Uh-huh.
- 21 MR. HELDENBRAND: And then I, I remember the wind being
- 22 knocked out of me.
- 23 SPEAKER: What did you strike? Was it just the ground
- 24 or another seat or --
- MR. HELDENBRAND: The floor.

- 1 SPEAKER: The floor?
- 2 MR. HELDENBRAND: Floor.
- 3 SPEAKER: The floor.
- 4 MR. HELDENBRAND: Yeah, because right next to the desk
- 5 is the hallway.
- 6 SPEAKER: Right.
- 7 MR. HELDENBRAND: And behind the desk on either side is
- 8 those two flip-up bench seats --
- 9 SPEAKER: Um-hum.
- 10 MR. HELDENBRAND: -- where they put wheelchairs. So
- 11 those were both up. And so I remember going kind of this
- 12 direction and then sort of like going back like this. And, and I
- 13 think that's why my right arm might have hit -- there's a
- 14 stanchion right here next to that little desk, because the desk is
- 15 actually up higher like this, where I put my delay report. And I
- 16 remember going this direction.
- 17 But I think I must have landed butt first on my left
- 18 side and then I think the pressure kind of pushed my rib cage
- 19 because my rib cage was hurting pretty good. And you know how
- 20 when you're in school and like some kid comes up to you and
- 21 punches you in the stomach? That's what it felt like. It
- 22 literally felt like I got the wind knocked out of me for a few
- 23 seconds.
- 24 And the next thing I remember -- I didn't black out, I
- 25 was, I was conscious and awake the whole time, but I was gasping

- 1 for breath. And then the next thing I remember is I grabbed my
- 2 radio and I was calling, "Emergency, emergency, emergency." And
- 3 one of the passengers -- I was also trying to dial the dispatcher.
- 4 And I dialed the dispatcher, and I think one of the passengers,
- 5 named Jeremy, who was riding with another passenger, I think he
- 6 picked up the phone, my cell phone company phone, and called
- 7 (indiscernible).
- 8 SPEAKER: Do you -- we heard a recording of you talking
- 9 "emergency, emergency, emergency." Was that on a radio or cell
- 10 phone at that point on the dispatch (indiscernible)?
- 11 MR. HELDENBRAND: I might have had the phone on at the
- 12 same time.
- SPEAKER: There was a sheriff that came into the picture
- 14 somewhere there. It sounded like you were off the train. Were
- 15 you off the train at that point? At that time you were off the
- 16 train or were you still on the train?
- 17 MR. HELDENBRAND: I was still on the train.
- 18 SPEAKER: Okay. Because I --
- 19 MR. HELDENBRAND: There was a sheriff -- there's a
- 20 sheriff that rides home everyday. He actually -- he's not with
- 21 Metrolink, but he's with the Sheriff's Division.
- 22 SPEAKER: Uh-huh.
- MR. HELDENBRAND: And his name is John Ebert (ph.).
- 24 SPEAKER: Okay.
- 25 MR. HELDENBRAND: And he and another Amtrak employee

- 1 were the ones who actually helped me off the train. But that was
- 2 long after the train was stopped. And it was Jeremy, a passenger,
- 3 who actually came to the car and said, "Come on, everybody, you
- 4 got to get out now."
- 5 SPEAKER: Okay.
- 6 MR. HELDENBRAND: And, and actually it was Jeremy --
- 7 because I was still, I was still trying to get my wind back.
- 8 SPEAKER: It sounded like (indiscernible) on the phone
- 9 conversation that you were just out of wind because you're gasping
- 10 and yelling, "Emergency, emergency, fire."
- 11 MR. HELDENBRAND: Right.
- 12 SPEAKER: Yeah.
- MR. HELDENBRAND: Right. And I remember saying,
- 14 "Emergency, emergency, emergency. We're west of the signal." And
- 15 I heard the dispatcher call -- I think it was Mark -- say, "Are
- 16 you west of the signal?" And I said, "Yes, we're west of the
- 17 signal." And I said we have a fire. I said something like that.
- 18 And then, after that, I think, that's when most of the
- 19 passengers were helping other passengers, trying to get out the
- 20 door, because someone had already snapped the little glass thing,
- 21 pulled the red cord, and opened the crew door, single crew door.
- 22 SPEAKER: Anything with respect to Mr. Sanchez that you
- 23 saw that may have been a distraction to him, preoccupation when
- 24 you started the trip out of L.A.? Anything bothering him or did
- 25 he mention anything (indiscernible)?

- 1 MR. HELDENBRAND: Yeah -- no, nothing that I'm aware of.
- 2 The only, the only -- in fact, in fact, we didn't have the
- 3 wheelchair until we got to Moorpark. And we didn't have Scotty,
- 4 because Scotty wasn't there on Friday, as far as stopping at
- 5 Chatsworth.
- 6 SPEAKER: When you (indiscernible), was he tired? Did
- 7 he look tired or anything or --
- 8 SPEAKER: Because he took a good nap that day or was
- 9 that just --
- 10 MR. HELDENBRAND: No. No. I think he -- I think that
- 11 for him a nap like that is a normal thing.
- 12 SPEAKER: Did he, did he mention that before taking it?
- MR. HELDENBRAND: Yeah.
- 14 SPEAKER: Go home and get a couple hours sleep --
- MR. HELDENBRAND: Yes.
- 16 SPEAKER: -- and then come back in for the evening?
- MR. HELDENBRAND: Yes.
- 18 SPEAKER: Did he ever tell you what he slept at night?
- 19 Did he go straight to bed when he got home or do you know if he --
- 20 MR. HELDENBRAND: Oh, yeah. Yeah. No, he -- I don't
- 21 think he was a person who would -- I personally feel he was not
- 22 one to stay up late, because, because he -- I think he would go
- 23 straight home and just go straight to bed.
- 24 SPEAKER: Did he ever complain about not getting enough
- 25 sleep or --

- 1 MR. HELDENBRAND: No. No. I don't remember --
- 2 SPEAKER: Did he say anything about what (indiscernible)
- 3 stuff and all that? You know, they have the (indiscernible).
- 4 MR. HELDENBRAND: Oh, the different -- no, huh-huh.
- 5 SPEAKER: Did he talk about any of that?
- 6 MR. HELDENBRAND: No. No.
- 7 SPEAKER: Did you know him to take any medications at
- 8 all?
- 9 MR. HELDENBRAND: No.
- 10 SPEAKER: And he (indiscernible).
- MR. HELDENBRAND: Yeah.
- 12 SPEAKER: Just thinking (indiscernible) about an hour's
- 13 drive home from, from, what, (indiscernible).
- 14 SPEAKER: Bob, you said leaving Northridge you were
- 15 attending to a lady in a wheelchair?
- 16 MR. HELDENBRAND: Yeah. Yeah. The woman, the woman
- 17 that has her child, they were -- we get quite a few -- we pick up
- 18 quite a few kids at, at Northridge, because Cal State's back in
- 19 session. So we pick up a lot of kids at Cal State.
- 20 So, you know, the -- leaving Northridge, the only thing
- 21 I was doing was, was basically opening and closing the doors. And
- 22 I remember the woman -- in fact, there was a couple other women
- 23 that had gotten on the train. They were settling in in that
- 24 little four-seater right there, talking with the, with the woman
- 25 and her daughter. And that was about it, just a little

- 1 interaction.
- 2 SPEAKER: You didn't have any conversation with her or
- 3 anything?
- 4 MR. HELDENBRAND: Not that I, I -- I don't remember
- 5 having a conversation with the daughter, but I remember just
- 6 saying hello or something to the two women that got on at
- 7 Northridge that were there.
- 8 SPEAKER: Okay. (indiscernible)?
- 9 SPEAKER: You have my card here, too.
- MR. HELDENBRAND: Okay.
- 11 SPEAKER: What I'd like to do and, again, I don't want
- 12 to go into this today. I just want to give you an idea of some of
- 13 the things you'll probably get in the future.
- MR. HELDENBRAND: Okay.
- SPEAKER: Before we turn the tape recorder off, I'd like
- 16 everyone in the room to state their name, spell it,
- 17 (indiscernible).
- 18 MR. FRITZ: Ted Fritz, T-e-d, F-r-i-t-z.
- 19 MR. TURPIN: Ted Turpin, T-e-d, T-u-r-p-i-n.
- MR. REMINES: Jim Remines, R-e-m-i-n-e-s.
- 21 MR. NARVELL: Rick Narvell, N-a-r-v-e-l-l.
- 22 MR. GARCIA: Ramon Garcia, G-a-r-c-i-a.
- MR. HELDENBRAND: Robert Heldenbrand, H-e-l-d-e-n-b-r-a-
- 24 n-d.
- 25 SPEAKER: What time is it?

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1
              SPEAKER: It's 3:15.
 2
              SPEAKER:
                        3:15.
 3
                        I got some things on here, but I'll have some
              SPEAKER:
    more and I'll mail them to you and then you can mail them back at
 4
 5
    your leisure. Maybe by then you'll (indiscernible) and everything
 6
    is (indiscernible).
 7
              MR. HELDENBRAND: Okay.
 8
              SPEAKER: Okay? (indiscernible). There will be some
9
           I just want to mention just some things in the back
10
    (indiscernible).
11
              MR. HELDENBRAND: Very good.
12
              SPEAKER:
                        Okay.
13
               (Whereupon, the interview in the above-entitled matter
14
    was concluded.)
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#### CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: TRAIN COLLISION

CHATSWORTH, CALIFORNIA

Interview of Robert Heldenbrand

DOCKET NUMBER: DCA-08-MR-009

PLACE: Portland Hills, California

DATE: September 17, 2008

was held according to the record, and that this is the original, complete, true and accurate transcript which has been compared to the recording accomplished at the hearing.

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Kay Maurer Transcriber