UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

OFFICE OF ADMINISTRATIVE LAW JUDGES

In the matter of:

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METROLINK TRAIN NO. 111

COLLISION WITH UNION PACIFIC

RAILROAD LEESDALE LOCAL, * Docket No.: DCA-08-MR-009

September 12, 2008,

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Los Angeles, California

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Interview of: CHRIS LEO

National Transportation Safety Board 1515 West 190th Street, Suite 555 Gardena, California

Wednesday, January 21, 2009

The above-entitled matter came on for interview pursuant to notice, at 10:52 a.m.

BEFORE: C. WAYNE WORKMAN

APPEARANCES:

C. WAYNE WORKMAN, Investigator in Charge National Transportation Safety Board

JIM REMINES, Operations Group Chairman National Transportation Safety Board

JAY J. ELLIS, Operating Practices Inspector, Public Utilities Commission

TED FRITZ, Transportation Manager, Metrolink Commuter Operation

JOHN R. ENGLAND, General Chairman BNSF - LAJ United Transportation Union

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- 1 INTERVIEW
- MR. REMINES: I talked to you on the phone last week, I
- 3 guess, and when we get started, I'll tell you what we're planning
- 4 on doing is I'll start off with introductions. We need to put our
- 5 names on the record. I think that's -- do you need our names put
- 6 on the record? So we can start off.
- 7 COURT REPORTER: (No audible response.)
- 8 MR. REMINES: If you do ask a question after I'm
- 9 finished, please introduce yourself and your name so the court
- 10 reporter can recognize who's here and who's asking the question;
- 11 and I'd like to start off with having each of us -- we'll all
- 12 introduce ourselves.
- So I'll start off. Jim Remines is my name. I'm an
- 14 investigator with the NTSB, and we're here today concerning the
- 15 Chatsworth accident.
- 16 INTERVIEW OF CHRIS LEO
- 17 BY MR. REMINES:
- 18 Q. And I'd like to go back to last week and questions we
- 19 asked you. Please tell us your position with the Metrolink and
- 20 how long you've been there and kind of give us a background.
- 21 A. I'm a conductor with Connex Railroad. I've been with
- 22 them since they took over the Metrolink contract in July 2005.
- 23 O. Okay. Were you with AMTRAK before that?
- A. I was with AMTRAK before that as a conductor in 1996,
- 25 and I started with AMTRAK back in 1985 doing various things.

- Q. What's your current position with them now? What job do
- 2 you now hold, and what do you?
- 3 A. I'm a conductor on the job Silver CLCS II. It's a run
- 4 on the Antelope Valley line.
- 5 Q. Okay. And were you on duty the day of the accident at
- 6 the Chatsworth accident the 12th of September?
- 7 A. Yes.
- 8 Q. I'd like to ask you to tell us about your day that day
- 9 and if you have any information regarding this accident?
- 10 A. Um -- that day, it was a regular day for me. When I --
- 11 I was on my break at the time of the accident -- well, prior to
- 12 that on my break. I was in the town of Burbank, and I got a call
- 13 from Bob Hildebrand, and he said, you know, he said that he had --
- 14 well, he called me.
- 15 He had some concerns about Rob Sanchez and the fact that
- 16 he had been using his cell phone a lot, texting a lot, and that he
- 17 had -- his primary concern was that he had brought this to the
- 18 attention to Rick Dahl, and he didn't feel that Rick was following
- 19 up on it and he wanted to know what I thought, you know, what he
- 20 should do or if he should pursue it or just looking for advice
- 21 really.
- Q. Okay. How did he contact you?
- A. He called me on my work cell phone.
- Q. On your work cell phone? Do you know if he was on a
- 25 cell phone?

- 1 A. I believe he was on his work cell phone.
- Q. What time was that? Do you remember exactly?
- 3 A. It was about 2:25 in the afternoon.
- 4 Q. 2:25. Was he already on duty then?
- 5 A. Um -- I think he comes on duty about 2:40. I think it
- 6 was just prior to him coming on duty from his rest.
- 7 Q. Right. And how long did that conversation take place?
- 8 A. I think it was about ten minutes.
- 9 Q. Okay. Was he talking about prior conditions? Could you
- 10 tell from the conversation just when this was taking place that he
- 11 was talking about or?
- 12 A. What?
- 13 Q. Whether it was that day or previous to that day or what
- 14 prompted him? Did he give you any indication why he called you
- 15 then and not?
- 16 A. I had the impression that he had spoken to Rick earlier
- 17 in the week.
- 18 Q. Okay.
- 19 A. And that it was the end of the week now, and he hadn't
- 20 gotten any feedback.
- 21 Q. Okay.
- 22 A. That's why he was calling me then.
- Q. And what did you advice him to do?
- A. Well, I -- I told him, you know, that just because Rick
- 25 hadn't gotten back to him didn't mean that he hadn't talked to Rob,

- 1 that, you know, Rick might not be getting back to Bob at all, that,
- 2 you know, he was concerned about it. I told him that if I was him,
- 3 I would, you know -- Monday morning I would approach Rick again
- 4 and ask him, you know, if he had talked to Rob and what they had,
- 5 if they had come to a remedy for the problem and that, if he
- 6 wasn't satisfied, that he could go up the food chain.
- 7 Q. So you think he was talking to Sanchez about this and
- 8 wasn't getting any satisfaction from him as far as the conductor's
- 9 oversight of the engineer. Is that what I'm hearing here?
- 10 A. Right.
- 11 Q. And then he had taken it to the management that week --
- 12 earlier in --
- 13 A. That was the impression I had earlier in the week.
- 0. Was he -- how was his voice tone? Was he alarmed?
- 15 Irritated? Concerned?
- 16 A. I'd say irritated and concerned.
- 17 O. Yes.
- 18 A. Concerned about the -- Rob not being focused on the job
- 19 and irritated that he didn't think that anything was, you know,
- 20 being done.
- 21 Q. Did he cite any specific instances that --
- 22 A. He didn't give me a specific time, but he said -- the
- 23 example that he gave was that, you know, he walked up to the cab
- 24 when he was walking from the cab car and that he -- that he had
- 25 walked up there to talk to Rob or just to go up there and he'd see

- 1 Rob, you know, with his head down texting and that that concerned
- 2 him.
- 3 Q. And he would have cautioned Sanchez at that point?
- 4 A. Um-hum.
- 5 Q. How was your impression of Sanchez? Did you have any
- 6 knowledge other than your conversation?
- 7 A. I've never -- I never worked with Rob -- you know, I've
- 8 talked to him at the, you know, at the Taylor yard, and we took
- 9 over their equipment everyday when they went up to 111 and they
- 10 went back up to LA, you know.
- We took up their equipment, but, you know, I've talked
- 12 to him, but I've never worked with him. He seemed like a nice guy,
- 13 but I don't know what his work habits were like or anything like
- 14 that.
- 15 Q. Did you have any other conversations with the other
- 16 employees or with the managers out there on the railroad about
- 17 texting that Hildebrand described to you?
- 18 A. About Rob, specifically?
- 19 Q. Right.
- 20 A. No.
- Q. And what was your impression of the management's policy
- 22 toward cell phones out there? Were they strict? Would they
- 23 caution you if they saw noncompliance? Was the rule vague?
- A. The rule wasn't vaque. I mean, it was -- the rule was
- 25 specific that, you were not to have your cell phone on your person

- 1 while on duty. It was supposed to be turned off and in your grip.
- 2 Q. All right.
- 3 A. But it wasn't enforced.
- 4 Q. You don't believe it was enforced? Was it enforced at
- 5 all?
- 6 A. There were conductors walking around with, you know
- 7 two cell phones on their belts. Obviously, you know, one was the
- 8 work phone, but the other one -- I noticed it, and I'm sure the
- 9 managers had to have noticed too. Nothing was ever mentioned.
- 10 Q. You mentioned "conductors." Was the rule equally as --
- 11 specific for conductors, because I keep hearing that the controls
- 12 of -- while operating was the conductor under a less stringent --
- 13 A. No.
- 14 O. -- mandate?
- 15 A. No.
- 16 Q. You felt that, when you were a conductor back in the
- 17 train, you could not possess a cell phone?
- 18 A. A personal cell phone, right.
- 19 Q. And you don't remember any managers cautioning you or
- 20 telling you that you could not be in possession?
- 21 A. No.
- 22 Q. Okay. Did you -- with the engineers -- did you see it
- 23 any more common? How about your engineer? Is he very compliant
- 24 with the regulation?
- 25 A. Yes.

10

- 1 MR. REMINES: Up to Mr. Workman, now. Let him see if
- 2 he's got anything.
- MR. WORKMAN: I think -- first of all, we appreciate
- 4 you coming in today very much, and in addition to that, let me
- 5 explain the NTSB party policy as the government has it.
- 6 Mr. Remines is the chairman of the operations group, and
- 7 within his group, he's got what's called party members -- party
- 8 representatives; and anytime -- the board has a very open policy,
- 9 so anytime that -- that we are involved in issues or we're engaged
- 10 in activities, party members -- all of them have an opportunity to,
- 11 you know, to be represented.
- 12 And in this case, that's why you have UTU -- the Company,
- 13 and California Public Utility. They have that right within our
- 14 organization. So just wanted to lay that out. This is not
- 15 something new with you. This is with -- with no matter who or
- 16 where the board goes, and it also doesn't matter what discipline,
- 17 whether it's highway or airline or marine. It's a board policy.
- 18 I just want to -- just to say that to you out loud and
- 19 make sure that you understood what the board policy is.
- 20 BY MR. WORKMAN:
- 21 Q. So Mr. Hildebrand contacted you the day of the accident?
- 22 A. Um-hum.
- Q. Had he discussed this with you prior to the accident?
- 24 Had you had conversations with him about -- about Mr. Sanchez's
- 25 texting or cell phone use?

- 1 A. No.
- 2 Q. Do you know if he spoke with -- with -- any other
- 3 employees?
- 4 A. No, I don't.
- 5 Q. You don't. Okay. And you had never worked with
- 6 Mr. Sanchez?
- 7 A. No.
- 8 Q. Do you have any knowledge of any other conductors that
- 9 may have worked with Mr. Sanchez that had concerns?
- 10 A. No.
- 11 Q. How about any -- any employees in general that may have
- 12 worked or have knowledge of Mr. Sanchez other than Mr. Hildebrand?
- 13 A. You mean as far as his texting?
- 14 Q. Yes.
- 15 A. No.
- 16 Q. Okay. All right.
- 17 MR. WORKMAN: I'm -- Jim?
- 18 MR. REMINES: Anybody else want to ask him anything?
- 19 Ted?
- 20 BY MR. REMINES:
- 21 Q. Couple of more questions. Are you familiar with the
- 22 doing of operational test on the Metrolink? I mean as far as --
- 23 A. Efficiency testing?
- 24 Q. Yes.
- 25 A. Um-hum.

- 1 Q. Is it -- did you feel that you could be checked at any
- 2 time at any place?
- 3 A. Um-hum.
- 4 Q. Did you feel that you could know what your engineer is
- 5 doing on the head-in when you're in a coach as far as using his
- 6 cell phone?
- 7 A. What was that?
- 8 Q. What I'm saying is, if your engineer was texting being
- 9 in the cab or locomotive, is it possible for you as a conductor to
- 10 find that out?
- 11 A. No. Not -- well, not unless he was texting me.
- 12 Q. Right. So what I'm saying is, there's no way for you to
- 13 physically enter into the locomotive cab in the pull mode?
- 14 A. Well, there is. You could go between the first car and
- 15 the locomotive and go up there, but --
- 16 Q. Right.
- 17 A. -- but I don't know anybody that does that or why they
- 18 would, you know --
- 19 Q. All right. Okay. So, basically, when the train is in
- 20 operation in the pull mode, it's difficult for you, other than
- 21 calling out signals between each other, to know what's going on up
- 22 there?
- A. Right.
- Q. Well, is it common for engineers and conductors to call
- 25 out signals between each other?

- 1 A. Yes.
- Q. If you miss a signal from the engineer or from -- as far
- 3 as a call out, what would be your actions? In other words, if you
- 4 didn't get an approach or clear signal, what would you --
- 5 A. You mean by -- if I knew by the signals and the engineer
- 6 didn't call out --
- 7 Q. Right.
- 8 A. I would call and ask him.
- 9 Q. Right.
- 10 A. The policy is that you have to call out anything other
- 11 than -- the engineers have to call out all signals and the
- 12 conductors have to respond to anything other than the clear.
- 13 Q. Okay.
- 14 A. I respond to everything --
- 15 Q. Okay.
- 16 A. -- just because I feel that, if I start not paying
- 17 attention or not responding, then I lose focus.
- 18 Q. Right.
- 19 A. And my conductor -- my engineer prefers that I respond
- 20 to everything. So if he doesn't call something out or if I don't
- 21 respond, we contact each other and see what's going on for every
- 22 signal.
- Q. Okay. If you didn't get one, then you would call him?
- A. Um-hum.
- Q. So it would be unusual for you to ignore the engineer

- 1 not calling in signals?
- 2 A. For me, personally?
- 3 Q. If you weren't able to contact the engineer?
- 4 A. Yes.
- 5 O. Okay. Have you worked that station at Chatsworth?
- 6 A. It's been a while, but I have.
- 7 Q. From the conductor's position or on the outbound, are
- 8 you able to see the signal over there at Topanga?
- 9 A. I believe so.
- 10 Q. From the position of conductor, where would you normally
- 11 stand if you were a conductor on that train?
- 12 A. More than likely, you'd be outside the cab car, but by
- 13 the handicapped ramp. You could be in any of the cars, but that's
- 14 normally where the conductor would be.
- 15 Q. And you would communicate with the engineer how, if you
- 16 were conductor on that train there when you leave?
- 17 A. When you leave the station?
- 18 O. Yeah.
- 19 A. Yeah. You would highball the train, and if you could
- 20 see which way, but if you can't see, you go out where the signal
- 21 is.
- 22 Q. Right. Would you expect the engineer leaving Chatsworth
- 23 to call the signal to you after the stop for the next signal,
- 24 Topanga?
- A. Well, yeah.

- 1 Q. Okay.
- 2 A. I mean, I would -- I would call it first. When I
- 3 highballed him, he would, you know, respond to that.
- 4 Q. Explain the term "highball." What does it mean?
- 5 Because I heard that from the conductor, and the term "highball"
- 6 is -- is slang?
- 7 A. It's "Let's go."
- 9 A. He'd be, like, "Metrolink 111, let's highball Chatsworth.
- 10 You've got a clear signal at Topanga."
- 11 Q. Okay. We say, "Let's highball Chatsworth." That has no
- 12 connotations toward the signal --
- 13 A. Right.
- 14 Q. -- if you didn't say clear signal at Topanga?
- 15 A. Right.
- 16 Q. Because highball, highball doesn't have anything to do
- 17 with the clear signal.
- 18 A. Right. It doesn't have anything to do with the signal.
- 19 It just means leave the station.
- 20 O. Okay.
- 21 A. And what we're required to do is -- is when we highball
- 22 a train, we're required to call out the signal we came in on --
- Q. Right.
- 24 A. -- or if we can see the signal ahead of us, call that
- 25 out.

- 1 Q. You're to remind the engineer of his last signal and --
- 2 okay. So that's -- is that a rule or is that just custom?
- A. No. It's a rule. It's a Connex rule. Yeah.
- 4 Q. Okay.
- 5 BY MR. WORKMAN:
- 6 Q. One thing. Based on, you know, our discussions today,
- 7 have you ever had any discussion like this with anyone other than
- 8 NTSB or the people you work for -- talking about -- about
- 9 Mr. Hildebrand's discussions with you? Have you discussed that
- 10 with anyone else?
- 11 A. I've discussed it with a few other employees and with
- 12 the Connex attorneys.
- 13 Q. Um-hum.
- 14 A. But no one other than that.
- 15 Q. Okay.
- 16 A. (Nod of the head.)
- 17 Q. Would you have discussed this with any third parties
- 18 outside the railroad industry or the Connex attorneys?
- 19 A. My wife.
- 20 O. Your wife? Okay.
- 21 A. I mean no press or anything like that if that's what you
- 22 mean.
- 23 Q. Okay. You -- you say you discussed it with other
- 24 employees. Did they have anything to offer to you that day or
- 25 experienced a similar situation?

- I mean, you said that Hildebrand called you and now you
- 2 discussed it with other employees. How did they come back? Did
- 3 they just listen? Or did they have anything to offer back to you
- 4 about their experience with texting?
- 5 A. Well, when the crash first happened, you know, at that
- 6 time, I was asleep, and my engineer called me and he said, you
- 7 know, "Turn on the TV" and, you know, we were looking at it, and
- 8 we were trying to figure out, you know which train it was, and
- 9 then we saw the numbers on the top of the cars, and we knew.
- 10 Right then we knew that it was them.
- 11 Q. Yeah.
- 12 A. And I said, "Well, that's Bob and Rob" and I said, "You
- 13 know, this is ironic because Rob and I" -- Bob had just called me
- 14 earlier. And I told him about that and he -- and he was surprised
- 15 to hear that Rob had -- had been doing that because he, you know,
- 16 I know that my engineer just from talking to Rob and everything,
- 17 you know, was very surprised that he would be doing something like
- 18 that.
- 19 Q. Okay. Is there anything in your mind that you would
- 20 like to offer that we haven't asked you possibly to prevent
- 21 another accident like this or something we should be looking at,
- 22 investigating or analyzing that might help us prevent this from
- 23 happening again?
- A. I mean, just for this, I mean, it's just -- I can't
- 25 believe people are still doing it and stuff. It's bad enough. I

- 1 mean, I don't know how short of having, you know, someone up there
- 2 with them every minute, I don't know how you stop it.
- 3 Q. You say -- by "doing it" you mean using the cell or
- 4 electronic devices on the train?
- 5 A. Um-hum.
- 6 Q. You see it now?
- 7 A. I don't -- I haven't seen it personally, but, I mean,
- 8 people have been taken out of service since the incident has
- 9 happened and so --
- 10 Q. Okay. "Taken out of service" would mean that it's being
- 11 enforced then?
- 12 A. Yeah. Um-hum. Oh, yeah. I would -- I would say it's
- 13 definitely being enforced now.
- MR. REMINES: Anyone have anything else? Anything
- 15 you'd like to have on the record while we're here?
- 16 Mr. Leo, this will close our interview. Appreciate your
- 17 time and candid conversation with us and like to say you've been a
- 18 big help.
- 19 MR. WORKMAN: Yes, you have. We do appreciate your
- 20 time. Thank you.
- MR. LEO: Thank you.
- 22 (Whereupon, the interview in the above-entitled
- 23 interview was closed.)

24

25

CERTIFICATE

This is to certify that the attached proceeding before the NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: METROLINK TRAIN NO. 111

COLLISION WITH UNION PACIFIC

RAILROAD LEESDALE LOCAL,

September 12, 2008, Los Angeles, California Interview of Chris Leo

DOCKET NUMBER: DCA-08-MR-009

PLACE: Gardena, California

DATE: January 21, 2009

was held in accordance with the record, and that this is the original, true and accurate transcript which has been compared to the recording accomplished at the interviews.

Willie Anderson, Jr. Court Reporter