Inspection ID: All Observation ID: All Begin Date/Time: 01/01/2016 00:00 End Date/Time: 07/11/2017 00:00 Milepost Low: All Milepost High: All Non-Compliance Only: No Weekends Only: No Failed/Discussed Only: Yes Reviewed/Reinstructed Only: Yes Supervisors: All Employees: All Rules: O-144B; Tasks: All Observation Types: All Occupation Code: All Signals: All Rule Types: All External Rule Types: All Switches: All Locations: All Internal Rule Types: All Job Numberss: All Location Types: All

Railroads: All Employee Dept: All Employee Sub Dept: All Inspection Types: All Supervisor Last Supervisor First Supervisor Occ Supervisor Occ Supervisor Occ Supervisor Occ Employee Occ Employee Occ Employee Occ Employee Occ Observation Date Observation Time Supervisor Mame Name Code Title Supervisor Dept Inspection Type Code Title Supervisor Dept Inspection Type Code Title Employee Dept Employee Pailroad Track ID Locomotive ID Train Number Train Speed Rule Number Rule Type Rule Description Result Roadway workers are at work 01/13/2016 08:35 Sounds horn at Compliance Engineer (Tempora Services Richmond Hill MAIN Roadway workers 01/15/2016 06:20 West Side Storage Sounds horn at Roadway workers LEAD TRANPTATION Transportation Sounds horn at Compliance MGR - SAFETY Services Sounds horn at locations where Roadway workers are at work Roadway workers are at work 12:48 AM 29734 Beccari Christopher 1127 Transportation Transportation SAFER Manager Services 02/05/2016 12:48 02/05/2016 Sounds horn at Compliance Roadway workers are at work 04:49 PM 54588 Sears Wesley 0920 Road Foreman Transportation SAFER ' West Side Storage 55117 Plamjack Jason Sounds horn at Compliance locations where 02/12/2016 04:49 02/12/2016 Roadway workers are at work Harold 28681 Lindstrom George 7100 Engineer Transportation LIRR 10:25 AM 51202 Behringer Eric 0920 Road Foreman Transportation SAFER Interlocking Sounds horn at locations where Roadway workers are at work O1:45 PM 55522 Fox Andrew 1127 Transportation SAFER Station Manager Services Sounds horn at Compliance Roadway workers are at work Roadway workers are at work Roadway workers 12:05 PM 55522 Fox Andrew 1127 Transportation Transportation SAFER Ya 03/10/2017 12:05 03/10/2017 Sounds horn at Compliance West Side Storage 0 locations where Roadway workers Sounds horn at locations where Roadway workers at Wantagh station as per rule 14-L. This observation was made by FRA

Non-Compliance On 3/8/17 Engineer Waters did not sound the horn while approaching roadway workers at Wantagh station as per rule 14-L. This observation was made by FRA 10:15 AM 51914 Kerr Robert 2695 Mgr Locomotive Engr Sr. VP Administration SAFER Station 03/14/2017 10:15 03/14/2017 inspector D. Johnson and was submitted to 10:09 PM 53222 Russo Joseph 0960 Supv Train Transportation SAFER Interlocking Movement Services 03/16/2017 10:09 03/16/2017 Sounds horn at Compliance locations where Roadway workers are at work 10:23 AM 52839 Alvarez Kenneth 1127 Transportation Transportation Services Station 7100 Engineer Transportation 03/24/2017 10:23 03/24/2017 ROCKVILLE CENTRE Roadway workers are at work 06:10 AM 50932 Almonte Johnny 1140 Asst Trainmaster Transportation SAFER 53563 Palmieri Domenick 7100 Engineer Transportation LIRR 03/31/2016 06:10 03/31/2016 Sounds horn at Compliance Roadway workers are at work Roadway workers are at work Roadway workers are at work Manager Services locations where Roadway workers are at work Sounds horn at locations where Roadway workers are at work Roadway workers 08:22 AM 51202 Behringer Eric 0920 Road Foreman Transportation SAFER Sounds horn at locations where Roadway workers are at work 05/25/2016 08:22 05/25/2016 Engine Whistle or Observed Engineer (new wage prog) Transportation Services locations where Roadway workers are at work 11:03 AM 53748 Jones Michelle 1127 Transportation Transportation SAFER Station Manager 06/14/2017 11:03 06/14/2017 Roadway workers are at work 01:01 AM 53222 Russo Joseph 0960 Supv Train Transportation Services Interlocking Harold Sounds horn at locations where Roadway workers are at work 06/15/2017 01:01 06/15/2017 Sounds horn at Compliance locations where Roadway workers are at work 06:59 PM 55114 Colucci Nicholas 0920 Road Foreman Transportation SAFER Interlocking Engineer (new wage Transportation LIR prog) Sounds horn at Compliance locations where 07:25 AM 53388 Johnson Barry 0920 Road Foreman Transportation SAFER Branch/Milepost MAINLINE 25 52590 Kern Melanie 7100 Engineer Transportation LIRR Roadway workers are at work Road Foreman/Air Transportation SAFER
Brake Services Transportation LIRR Sounds horn at Compliance locations where Roadway workers are at work Sounds horn at Compliance locations where Roadway workers are at work Roadway workers Road Foreman/Air Transportation SAFER Station
Brake Services Sounds horn at locations where Roadway workers are at work 07/16/2016 03:33 07/16/2016 11:55 AM 51914 Kerr Robert 2695 Mgr Locomotive Engr Sr. VP Administration SAFER 07/17/2016 11:55 07/17/2016 Sounds horn at Compliance locations where Roadway workers are at work 07/20/2016 03:58 07/20/2016 Road Foreman Observed Sounds horn at locations where Roadway workers are at work Roadway workers are at work Roadway workers 12:02 AM 53222 Russo Joseph 0960 Supv Train Transportation SAFER Interlocking Movement Conductor Transportation 09/16/2016 12:02 09/16/2016 Sounds horn at Compliance locations where Roadway workers are at work Supv Train Transportation SAFER Movement Services Transportation Sounds horn at Compliance Roadway workers 10:38 AM 52839 Alvarez Kenneth 1127 Transportation Transportation SAFER Station Manager Engineer Transportation Sounds horn at Compliance Roadway workers are at work Sounds horn at locations where Roadway workers are at work 10/12/2016 07:01 10/12/2016 LEAD TRANPTATION Transportation SAFER
MGR - SAFETY Services Transportation locations where Roadway workers are at work 07:48 PM 27679 Isaacs John 1199 LEAD TRANPTATION Transportation SAFER Services 11/09/2016 07:48 11/09/2016 Roadway workers are at work 10:06 AM 51202 Behringer Eric 0920 Road Foreman Transportation SAFER Station 7100 Engineer Transportation LIR 11/15/2016 10:06 11/15/2016 ATLANTIC TERMINAL Sounds horn at Compliance Engine Whistle or Observed Horn Signals Roadway workers are at work 06:30 AM 29351 Harrison Richard 0520 Master Mechanic Equipment SAFER Sounds horn at Compliance 11/16/2016 06:30 11/16/2016 Mover - Operating Roadway workers 12/08/2016 10:12 12/08/2016 Roadway workers are at work 09:55 AM 51202 Behringer Eric 0920 Road Foreman Transportation SAFER Station 12/09/2016 09:55 12/09/2016 Road Foreman Transportation Roadway workers are at work Roadway workers are at work Transportation Transportation
Manager Services locations where Sounds horn at locations where Roadway workers are at work

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REPORT DATE: Jul 11, 2017, 2:04 PM