



**Motor Carrier Attachment 3**


**Cool Runnings Express Inc. Compliance Reviews 2007**

**Chattanooga, Tennessee 06/26/2015**

**HWY15MH009**

(12 pages)

**Kentucky Vehicle Enforcement**

	<b>US DOT #</b> 1083675	<b>Legal:</b> COOL RUNNINGS EXPRESS INC <b>Operating (DBA):</b>																						
<b>MC/MX #:</b> 449085		<b>Federal Tax ID:</b> [REDACTED] (EIN)																						
<b>Review Type:</b> Compliance Review (CR)																								
<b>Scope:</b> Principal Office		<b>Location of Review/Audit:</b> Company facility in the U. S.		<b>Territory:</b>																				
<b>Operation Types</b> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;"></td> <td style="width:15%; text-align: center;"><b>Interstate</b></td> <td style="width:15%; text-align: center;"><b>Intrastate</b></td> <td colspan="2"></td> </tr> <tr> <td><b>Carrier:</b></td> <td>Non-HM</td> <td>N/A</td> <td colspan="2"><b>Business:</b> Corporation</td> </tr> <tr> <td><b>Shipper:</b></td> <td>N/A</td> <td>N/A</td> <td colspan="2"><b>Gross Revenue:</b> [REDACTED] <b>for year ending:</b> 12/31/2006</td> </tr> <tr> <td><b>Cargo Tank:</b></td> <td colspan="2">N/A</td> <td colspan="2"></td> </tr> </table>						<b>Interstate</b>	<b>Intrastate</b>			<b>Carrier:</b>	Non-HM	N/A	<b>Business:</b> Corporation		<b>Shipper:</b>	N/A	N/A	<b>Gross Revenue:</b> [REDACTED] <b>for year ending:</b> 12/31/2006		<b>Cargo Tank:</b>	N/A			
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<b>Company Physical Address:</b> [REDACTED]																								
<b>Contact Name:</b> [REDACTED] <b>Phone numbers:</b> (1) [REDACTED] (2) [REDACTED] <b>Fax</b> [REDACTED] <b>E-Mail Address:</b> [REDACTED]																								
<b>Company Mailing Address:</b> [REDACTED]																								
<b>Carrier Classification</b> Authorized for Hire <input type="checkbox"/>																								
<b>Cargo Classification</b> Fresh Produce <input type="checkbox"/> Refrigerated Foods <input type="checkbox"/>																								
<b>Does carrier transport placardable quantities of HM?</b> No																								
<b>Is an HM Permit required?</b> N/A																								
<b>Driver Information</b> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;"></td> <td style="width:10%; text-align: center;"><b>Inter</b></td> <td style="width:10%; text-align: center;"><b>Intra</b></td> <td colspan="2"></td> </tr> <tr> <td><b>&lt; 100 Miles:</b></td> <td style="text-align: center;">0</td> <td style="text-align: center;">0</td> <td colspan="2"><b>Average trip leased drivers/month:</b> 0</td> </tr> <tr> <td><b>&gt;= 100 Miles:</b></td> <td style="text-align: center;">12</td> <td style="text-align: center;">0</td> <td colspan="2"><b>Total Drivers:</b> 12</td> </tr> <tr> <td></td> <td></td> <td></td> <td colspan="2"><b>CDL Drivers:</b> 12</td> </tr> </table>						<b>Inter</b>	<b>Intra</b>			<b>&lt; 100 Miles:</b>	0	0	<b>Average trip leased drivers/month:</b> 0		<b>&gt;= 100 Miles:</b>	12	0	<b>Total Drivers:</b> 12					<b>CDL Drivers:</b> 12	
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Truck Tractor	9	0	0	Trailer	9	0	0																	
Power units used in the U.S.:9 Percentage of time used in the U.S.:100																								





**COOL RUNNINGS EXPRESS INC**  
U.S. DOT #: 1083675

Review Date:  
02/06/2007

## Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety or  
Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

**This report will be used to assess your safety compliance.**

### **Person(s) Interviewed**

**Name:** [REDACTED]  
**Name:**

**Title:** President  
**Title:**





**COOL RUNNINGS EXPRESS INC**  
U.S. DOT #: 1083675

Review Date:  
02/06/2007

## Part B Violations

1 FEDERAL	Primary: 382.301(a)	Discovered 1	Checked 5	Drivers/Vehicles In Violation 1	Checked 5
<b>Description</b> Using a driver before the motor carrier has received a negative pre-employment controlled substance test result. <b>Example</b> DRIVER [REDACTED]. INTERSTATE TRIP ON 02/05/06. DATE OF HIRE 02/03/06.					
2 FEDERAL	Primary: 382.601(b)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 0	Checked 0
<b>Description</b> Failing to provide to employees a written policy on misuse of alcohol and controlled substances. <b>Example</b> DRIVER [REDACTED]. INTERSTATE TRIP ON 02/05/06. NO POLICY.					
3 FEDERAL	Primary: 391.51(b) Secondary: 391.51(a)	Discovered 5	Checked 5	Drivers/Vehicles In Violation 5	Checked 5
<b>Description</b> Failing to maintain driver qualification file in accordance with 391.51(b). <b>Example</b> DRIVER [REDACTED]. INTERSTATE TRIP ON 08/03/06.					
4 FEDERAL	Primary: 395.3(b)(2)	Discovered 10	Checked 150	Drivers/Vehicles In Violation 2	Checked 5
<b>Description</b> Requiring or permitting a property-carrying commercial motor vehicle driver to drive after having been on duty more than 70 hours in 8 consecutive days. <b>Example</b> DRIVER [REDACTED]. VIOLATION START ON 11-01-08-06, 11-09-06, 11-10-06, 11-11-06 AND 11-12-06.					
5 FEDERAL CRITICAL	Primary: 396.17(a)	Discovered 5	Checked 5	Drivers/Vehicles In Violation 5	Checked 5
<b>Description</b> Using a commercial motor vehicle not periodically inspected. <b>Example</b> UNIT # 106. INTERSTATE TRIP ON 11/12/06.					
<b>Safety Fitness Rating Information:</b> Total Miles Operated 900,000 Recordable Accidents 0 Recordable Accidents/Million Miles 0.00		OOS Vehicle (CR): 0 Number of Vehicle Inspected (CR): 0 OOS Vehicle (MCMIS): 0 Number of Vehicles Inspected (MCMIS): 3			





**COOL RUNNINGS EXPRESS INC**  
U.S. DOT #: 1083675

Review Date:  
02/06/2007

## Part B Violations

Your proposed safety rating is :

**SATISFACTORY**

Rating Factors	Acute	Critical
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Factor 1:	S	0	0
Factor 2:	S	0	0
Factor 3:	S	0	0
Factor 4:	C	0	1
Factor 5:	N	0	0
Factor 6:	S	-	-

Corrective actions must be taken for any violations (deficiencies) identified on Part B of this report.



**Part B Requirements and/or Recommendations**

1. NOTICE: ON MARCH 30, 2004, FMCSA PUBLISHED A FINAL RULE REQUIRING EMPLOYERS TO REVIEW A CANDIDATE'S SAFETY PERFORMANCE HISTORY DATA WITHIN 30 DAYS OF HIRING A NEW DRIVER. THE FINAL RULE ENABLES PROSPECTIVE EMPLOYERS TO OBTAIN AND USE MORE COMPLETE DRIVER SAFETY PERFORMANCE HISTORY DURING THE HIRING PROCESS. PROSPECTIVE EMPLOYERS WILL BE REQUIRED TO, AT A MINIMUM, INVESTIGATE A DRIVER'S EMPLOYMENT INFORMATION, ACCIDENT RECORD, AND ALCOHOL AND CONTROLLED SUBSTANCES HISTORY FROM ALL EMPLOYERS THE DRIVER WORKED FOR WITHIN THE PREVIOUS 3 YEARS.

ALL PREVIOUS EMPLOYERS ARE REQUIRED TO RESPOND TO THE INVESTIGATING EMPLOYER WITHIN 30 DAYS OF RECEIVING THE INVESTIGATION REQUEST.

EVEN THOUGH THE FINAL RULE IS EFFECTIVE APRIL 29, 2004, MOTOR CARRIERS ARE NOT REQUIRED TO COMPLY WITH THE NEW RULE UNTIL OCTOBER 29, 2004. THIS 6- MONTH PERIOD WILL ALLOW MOTOR CARRIERS AMPLE TIME TO DEVELOP PROCEDURES AND SYSTEMS TO IMPLEMENT THIS RULE.

FOR MORE INFORMATION ON THESE REGULATIONS, PLEASE ACCESS THE FMCSA WEB SITE AT [www.fmcsa.dot.gov](http://www.fmcsa.dot.gov).

2. Copies of the regulations, forms, interpretations, and manuals are available from a variety of sources. Check the FMCSA website for a current list of suppliers. [www.fmcsa.dot.gov/factsfigs/eta/forms.html](http://www.fmcsa.dot.gov/factsfigs/eta/forms.html).
3. Obtain a copy of each driver's driving record and review it annually.
4. Ensure that all drivers are fully and properly qualified before operating in interstate commerce. Maintain a complete file as required for each driver, documenting the qualification process.
5. Laboratory must transmit aggregate statistical summary on semi-annual basis
6. Ensure that all documents supporting records of duty status (such as toll, fuel repair and other on-the-road expense receipts, as well as invoices, bills of lading, dispatch records, etc.) are kept on file for at least 6 months.
7. Obtain from any driver used for the first time (or intermittently) a signed statement showing the total time on-duty during the preceding seven (7) days and the time at which the driver was last relieved from duty.
8. Toll receipts and other on-the-road expense receipts, invoices, bills of lading, dispatch records, and other "supporting document" must be kept on file for six (6) months. This requirement also applies to records generated by the use of owner-operators. You may keep legible photocopies in lieu of originals.
9. Keep all driver vehicle inspection reports, signed, certified, and reviewed as required on file for at least 90 days.
10. This report contains citations of regulations that are deemed serious in nature and could result in penalties against your company and/or your drivers.
11. This review will result in a Safety Rating.
12. For questions about DOT numbers or biennial updates: 800-832-5660 or [REDACTED]  
For questions about licensing, authority or MC numbers: 202-366-9805  
For questions about insurance: 202-385-2423  
For household goods complaints: 888-DOT-SAFT (888-368-7238)





**COOL RUNNINGS EXPRESS INC**

U.S. DOT #: 1083675

Review Date:

02/06/2007

## Part B Requirements and/or Recommendations

- 13.** PLEASE NOTE: The violations discovered during this compliance review may affect the civil penalty proposed in any subsequent notice of claim. In addition, your history of prior violations of the Federal Motor Carrier Safety Regulations, Federal Hazardous Materials Regulations or the Federal Motor Carrier Commercial Regulations may also affect the civil penalty proposed in any subsequent Notice of Claim. Your signature for receipt of this report acknowledges your understanding that the violations discovered by the FMCSA during this review/ inspection may be used to calculate any civil penalty proposed as a result of this review. Your signature is not an admission of the violations identified to you by the investigator.
- 14.** Periodic inspection every commercial motor vehicle shall be inspected at least once during the preceding 12 months.
- 15.** Within 15 days, forward a letter outlining corrective actions taken to ensure compliance with the Federal Motor Carrier Safety Regulations to: Federal Motor Carrier Safety Administration [REDACTED]



## UNITED STATES DEPARTMENT OF TRANSPORTATION



**US DOT #**  
1083675

**Legal:** COOL RUNNINGS EXPRESS INC  
**Operating (DBA):**

**MC/MX #:** 449085

**Federal Tax ID:** [REDACTED] (EIN)

**Review Type:** Compliance Review (CR)

**Scope:** Principal Office

**Location of Review/Audit:** Company facility in the U. S.

**Territory:**

**Operation Types** Interstate Intrastate

**Carrier:** Non-HM N/A  
**Shipper:** N/A N/A  
**Cargo Tank:** N/A

**Business:** Corporation

**Gross Revenue:** [REDACTED] **for year ending:** 12/31/2006

**Company Physical Address:**

[REDACTED]

**Contact Name:**

**Phone numbers:** (1) [REDACTED] (2)

**Fax** [REDACTED]

**E-Mail Address:**

**Company Mailing Address:**

[REDACTED]

**Carrier Classification**

Authorized for Hire

**Cargo Classification**

Fresh Produce

Refrigerated Foods

**Does carrier transport placardable quantities of HM?** No

**Is an HM Permit required?** N/A

**Driver Information**

	Inter	Intra
< 100 Miles:		
>= 100 Miles:	8	

**Average trip leased drivers/month:** 0

**Total Drivers:** 8

**CDL Drivers:** 8

**Equipment**

	Owned	Term Leased	Trip Leased
Truck Tractor	10	0	0

	Owned	Term Leased	Trip Leased
Trailer	10	0	0

Power units used in the U.S.: 10

Percentage of time used in the U.S.: 100







**COOL RUNNINGS EXPRESS INC**  
U.S. DOT #: 1083675

Review Date:  
09/11/2007

## Part A

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

FMCSA, [REDACTED]  
Frankfort  
Phone: ([REDACTED])

Fax: ([REDACTED])

**This report will be used to assess your safety compliance.**

### **Person(s) Interviewed**

**Name:** [REDACTED]

**Title:** President

**Name:** [REDACTED]

**Title:** Secretary





**COOL RUNNINGS EXPRESS INC**  
U.S. DOT #: 1083675

Review Date:  
09/11/2007

## Part B Violations

1 FEDERAL	Primary: 382.601(b)	Discovered 1	Checked 1	Drivers/Vehicles In Violation    Checked
<b>Description</b> Failing to provide to employees a written policy on misuse of alcohol and controlled substances. <b>Example</b> [REDACTED]. Policy did not contain the circumstances under which a driver will be tested for alcohol and/or controlled substances under this part, including post-accident testing.				
2 FEDERAL	Primary: 395.3(a)(1)	Discovered 6	Checked 153	Drivers/Vehicles In Violation    Checked
<b>Description</b> Requiring or permitting a property-carrying commercial motor vehicle driver to drive more than 11 hours <b>Example</b> [REDACTED]				
3 FEDERAL	Primary: 395.3(a)(2)	Discovered 8	Checked 153	Drivers/Vehicles In Violation    Checked
<b>Description</b> Requiring or permitting a property-carrying commercial motor vehicle driver to drive after the end of the 14th hour after coming on duty. <b>Example</b> [REDACTED] drove 8 hours after being on duty 14 hours.				
4 FEDERAL	Primary: 395.3(b)(2)	Discovered 4	Checked 83	Drivers/Vehicles In Violation    Checked
<b>Description</b> Requiring or permitting a property-carrying commercial motor vehicle driver to drive after having been on duty more than 70 hours in 8 consecutive days. <b>Example</b> [REDACTED].				
5 FEDERAL	Primary: 395.8(i)	Discovered 7	Checked 153	Drivers/Vehicles In Violation    Checked
<b>Description</b> Failing to require driver to forward within 13 days of completion, the original of the record of duty status. <b>Example</b> [REDACTED]				
6 FEDERAL	Primary: 396.9(d)(3)	Discovered 1	Checked 5	Drivers/Vehicles In Violation    Checked
<b>Description</b> Failing to maintain completed inspection form for 12 months from the date of inspection at the carrier's principal place of business. <b>Example</b> [REDACTED].				





COOL RUNNINGS EXPRESS INC

U.S. DOT #: 1083675

Review Date:

09/11/2007

## Part B Violations

### Safety Fitness Rating Information:

Total Miles Operated 900,000

Recordable Accidents 1

Recordable Accidents/Million Miles 1.11

OOS Vehicle (CR): 0

Number of Vehicle Inspected (CR): 0

OOS Vehicle (MCMIS): 0

Number of Vehicles Inspected (MCMIS): 5

Your proposed safety rating is :

**SATISFACTORY**

### Rating Factors

Acute Critical

Factor 1:	S	0	0
Factor 2:	S	0	0
Factor 3:	S	0	0
Factor 4:	S	0	0
Factor 5:	N	0	0
Factor 6:	S	-	-

Corrective actions must be taken for any violations (deficiencies) identified on Part B of this report.





**COOL RUNNINGS EXPRESS INC**

U.S. DOT #: 1083675

Review Date:

09/11/2007

## **Part B Requirements and/or Recommendations**

1. Copies of completed roadside inspections should be retained at the motor carrier's principal place of business or where the vehicle is housed for 12 months from the date of inspection.
2. PLEASE NOTE: The violations discovered during this compliance review may affect the civil penalty proposed in any subsequent Notice of Claim. In addition, your history of prior violations of the Federal Motor Carrier Safety Regulations, Federal Hazardous Materials Regulations or the Federal Motor Carrier Commercial Regulations may also affect the civil penalty proposed in any subsequent Notice of Claim. Your signature for receipt of this report acknowledges your understanding that the violations discovered by the FMCSA during this review/inspection may be used to calculate any civil penalty proposed as a result of this review. Your signature is not an admission of the violations identified to you by the investigator.
3. Ensure that your Drug and Alcohol policy has the 11 items required in Part 382.601(b).
4. Ensure you have a system in place to check the record of duty status for hours violations. Do not allow or permit drivers to exceed the 11, 14, or 70 hour rules.
5. Drug Policy should include the identity of the person designated by the employer to answer driver questions about the materials.
6. This review will result in a Safety Rating.
7. This report contains citations of regulations that are deemed serious in nature and could result in penalties against your company and/or your drivers.
8. If you have any questions concerning this report,, please contact the Federal Motor Carrier Safety Administration,  
[REDACTED]

