ATTACHMENT #9

SUMMARY AUDIT REPORT MARCH 26, 2013

(25 Pages)

Government of Alberta ■

Transportation

Assessment of Regulatory Compliance SUMMARY AUDIT REPORT



Carrier: Mullen Trucking (205) Ltd.

Operating Name: Mullen Trucking

(205) Ltd.

Ext:

Principle Address:

PO Box 87

Aldersyde, Alberta

TOL 0A0

Principle Phone: 403-652-8879

Principle Fax:

Alberta NSC #: AB087-7936

Operating Status: Federal

Audit End Date: 26 Mar 2013

CVIP Station #:

Primary Industry: Transportation

Primary Commodity: Equipment

Mailing Address:

1 Maple Leaf Rd. Aldersyde, Alberta

TOL 0A0

Mailing Phone: 403-652-8879

Mailing Fax:

Safety Rating: Satisfactory, Unaudited

Email:

Secondary Industry:

Secondary Commodity:

of NSC Registered Vehicles:

Trucks:

Tractors:

Trailers:

Ext:

Buses 11 or More:

O

100

50

0

of NSC Drivers: 150

Driver Sample Size: 19

Vehicle Sample Size: 19

Drivers Sampled: 20

Vehicles Sampled: 19

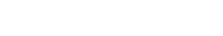
Auditor:

AVC Services Calgary, Alberta

Phone:

Fax:

Contract Number: 0013831



Audit Start Date: 01 Jan 2008 End Date: 26 Mar 2013

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| Safety Permits | | | | | |
|----------------|-------|----------------|-------------|-------------------------|--|
| Permit Number | Туре | Effective Date | Expiry Date | Comment | |
| 12-180-4132 | Other | 01 Jan 2013 | 04 Jan 2014 | Annual Dimension Permit | |
| 12-180-4868 | Other | 05 Jan 2012 | 04 Jan 2014 | TAC permit | |

Summary Comments:

| | | SFC Det | ails | |
|---------------------|--------------|----------------|-------------------------|---------|
| NSC / US DOT Number | Jurisdiction | Effective Date | Safety Rating | Comment |
| AB087-7936 | Alberta | 01 Jan 2006 | Satisfactory, Unaudited | 55945 |

Summary Comments:

| | | Oper | ating Status | History | |
|-------------|------------|---------------------------------|------------------|---------------------|------------|
| Operating S | status (| Operating Status Valid | Effective Date | Comments | |
| Federal | | ✓ | 01 Jan 2006 | | |
| | | | Carrier Cont | act | |
| MVID | Contact I | Name / Title | Audit Role | Phone Number (Ext.) | Fax Number |
| 999-99999 | Loss Preve | / Director of Safety and ention | d Carrier Safety | Officer | |
| Comments: | | | | Email: | |

Summary Comments:

Assessment of Regulatory Compliance SUMMARY AUDIT REPORT

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| Safety | Summary by S | Subject Area | |
|--|--|------------------------------------|---|
| | | Worksheet Weight | Weighted Scor |
| Carrier Safety | | 8% | |
| Safety Program | | 90% | 0.00% |
| Financial Responsibility | | 0% | 2.61% |
| Dangerous Goods | | 1% | 0.00% |
| Collisions | | 1% | 0.00% |
| | Subject Area Weight(%): 10% | Subject Area % in Violation (Weigh | 0.00% ted): 0.26% |
| river | | | |
| Driver Compliance | | Worksheet Weight | Weighted Scor |
| Driver File | | 40% | 0.00% |
| Direct File | | 60% | 6.70% |
| | Subject Area Weight(%): 30% | Subject Area % in Violation (Weigh | ted): 2.01% |
| ours of Service Hours of Service | | Worksheet Weight | Weighted Scor |
| HOS - Daily Questions | | 33% | 2.54% |
| | Subject Area Weight(%): 30% | 67% | 1.83% |
| | The strength of the strength o | Subject Area % in Violation (Weigh | tod). 4 240/ |
| | | (···g. | |
| ehicle | | | |
| | | Worksheet Weight | Weighted Score |
| Inspection, Repair, Main | itenance | Worksheet Weight | Weighted Score |
| Maintenance Program: A | ntenance All Vehicles | Worksheet Weight 10% 20% | Weighted Score 0.00% 3.14% |
| Inspection, Repair, Main | ntenance All Vehicles | Worksheet Weight 10% 20% 10% | Weighted Score 0.00% 3.14% 0.00% |
| Inspection, Repair, Main Maintenance Program: A Maintenance Program: S | ntenance All Vehicles Specific Vehicle | Worksheet Weight 10% 20% 10% 60% | Weighted Score 0.00% 3.14% 0.00% 0.00% |
| Inspection, Repair, Main Maintenance Program: A Maintenance Program: S | ntenance All Vehicles | Worksheet Weight 10% 20% 10% | Weighted Score 0.00% 3.14% 0.00% 0.00% |
| Inspection, Repair, Main Maintenance Program: A Maintenance Program: S | ntenance All Vehicles Specific Vehicle | Worksheet Weight 10% 20% 10% 60% | Weighted Score 0.00% 3.14% 0.00% 0.00% |



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Assessment of Regulatory Compliance SUMMARY AUDIT REPORT

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| | Action Plan | | |
|--|--|-------------------------------------|----------------|
| | Comment | Follow-up Required | Follow-up Date |
| Carrier Safety: | Update written safety program as per AR 314/2002. | | |
| Insurance: | | | |
| Driver Records: | Ensure all required records are in file as per AR 314/2002. | | |
| Commercial Vehicle Inspection Program: | | | |
| Preventative Maintenance Program: | Update written maintenance program as per AR 121/2009. | | |
| Vehicle Records: | Ensure all required records are in vehicle file (trip records, CVIP,CVSA reports) as per AR 121/2009. | | |
| Hours of Service: | Ensure compliance with Federal Hours of Service (SOR/2005-313) and monitor driver hours for compliance. Ensure drivers take 24 hours off duty preceding 14 days of work. Individuals who monitor the log books for complaince must know the required regulation. | | |
| Dangerous Goods: | | | |
| Comments: | | | |
| Maintain complete drive | rs files containing at least the information in the "Drivers File" wor | ksheet(s). | |
| Maintain complete vehic | cle files containing at least the information in the "Vehicle File" wo | rksheet(s). | |
| Manager, National Safe | arrier Profile (twice a year free) by mailing or faxing a written requ ty Code, Alberta Transportation, Vehicle Safety & Carrier Service: ne: (403) 340-5444, Fax: (403) 340-4806 | est to: s, 4920 - 51 Sti | reet, Red |
| Retain records for the c | urrent and prior four years unless otherwise specified in legislation | n | |
| Update and implement videntified deficiencies co | written maintenance and inspection plan that addresses all regula ontained within the audit report. | tory requireme | nts and any |
| Update and implement videficiencies contained v | written safety program that addresses all regulatory requirments a vithin the audit report. | nd any identifie | ed |
| timeframes outlined in the appropriate to ensure the | ted to correct all regulatory deficiencies identified in this audit repoints Action Plan. Alberta Transportation may take any enforcement at your company corrects these deficiencies in a timely manner. The penalties, permit cancellations, safety fitness rating changes, etc. | t action conside These actions r | ered |
| | Carrier Comments | | |
| Comments: | | | |
| | | | |
| | | | |
| | | | |

(5)

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| | Violation Sumn | nary | |
|---|--|--|------------------------------------|
| afety Area | Area Weight %: 10 | % In Violation (weighted): | 0.26 % |
| Carrier Safety | Weight %: 8 | % In Violation (not weighted): | 0.00 % |
| Regulated Question(s) in violation | | | Points |
| No Questions in Violation | | | 0 |
| | | Worksheet Total Points: | 0 of 11 |
| Summary Comments: | | | |
| Safety Program | Weight %: 90 | % In Violation (not weighted): | 2.90 |
| B 14 10 41 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 | | | |
| Regulated Question(s) in violation | | | Points |
| | ers written into the Safety F | Program? (AR314/2002 Section 40(1)(f)) | 2 Points |
| | | Worksheet Total Points: | |
| Summary Comments: Update Safety Program to include the minim The Safety Program is to be implemented to | num required regulated iter o ensure all required regula | Worksheet Total Points: ms. itory items are being complied with. | 2 2 of 69 |
| Summary Comments: Update Safety Program to include the minin The Safety Program is to be implemented to | num required regulated iter | Worksheet Total Points: | 2 of 69 |
| Summary Comments: Update Safety Program to include the mining The Safety Program is to be implemented to Financial Responsibility Regulated Question(s) in violation | num required regulated iter o ensure all required regula | Worksheet Total Points: ms. itory items are being complied with. | 2 of 69 0.00 9 |
| Is retention of complete records for drive Summary Comments: Update Safety Program to include the minin The Safety Program is to be implemented to | num required regulated iter o ensure all required regula | worksheet Total Points: ms. itory items are being complied with. % In Violation (not weighted): | 2 of 69 0.00 9 Points 0 |
| Summary Comments: Update Safety Program to include the minin The Safety Program is to be implemented to Financial Responsibility Regulated Question(s) in violation | num required regulated iter o ensure all required regula | Worksheet Total Points: ms. itory items are being complied with. | 2 of 69 0.00 % |
| Summary Comments: Update Safety Program to include the minim The Safety Program is to be implemented to Financial Responsibility Regulated Question(s) in violation No Questions in Violation | num required regulated iter o ensure all required regula | worksheet Total Points: ms. itory items are being complied with. % In Violation (not weighted): | 2 2 of 69 0.00 9 Points 0 0 of 0 |
| Summary Comments: Update Safety Program to include the minim The Safety Program is to be implemented to Financial Responsibility Regulated Question(s) in violation No Questions in Violation Summary Comments: | num required regulated iter o ensure all required regula Weight %: 0 | worksheet Total Points: ns. tory items are being complied with. % In Violation (not weighted): Worksheet Total Points: | 2 of 69 0.00 9 Points 0 |



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| | | Worksheet Total Points: | 0 | of | 4 |
|------------------------------------|-------------|--------------------------------|------|-----|------------|
| Summary Comments: | | | | | |
| Collisions | Weight %: 1 | % In Violation (not weighted): | | 0.0 | === 0 % |
| Regulated Question(s) in violation | | | Poir | | |
| No Questions in Violation | | | 0 | | |
| | | Worksheet Total Points: | 0 | of | 5 |



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| | Violation Sumn | nary | |
|--|--|-------------------------------------|---------|
| river Area | Area Weight %: 30 | % In Violation (weighted): | 2.01 % |
| Oriver Compliance | Weight %: 40 | % In Violation (not weighted): | 0.00 % |
| Question(s) in violation | | | Points |
| No Questions in Violation | | | 0 |
| | | Worksheet Total Points: | 0 of 40 |
| Summary Comments: | | | |
| Priver File | Weight %: 60 | % In Violation (not weighted): | 11.17 % |
| Question(s) in violation | | | Points |
| Does the driver file contain a copy of the d hire, if hired after May 20, 2003? (AR314/2 | river's abstract dated within 3 2002 Section 41(1)(b)) | 0 days of the date of employment or | |
| 20 driver's files checked | 1 v | iolations found | 1 |
| Does the driver file contain an annually upon | dated driver's abstract? (AR3 | 14/2002 Section 41(1)(c)) | |
| • • | | | |
| 20 driver's files checked | | violations found | 20 |
| | 10 | violations found | 20 |
| 20 driver's files checked Does the driver file contain a record of all t | raining completed with respec AR314/2002 Section 41(1)(h) | violations found | 20 |



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Violation Summary

Hours of Service Area

Area Weight %: 30

% In Violation (weighted):

1.31 %

Hours of Service

Weight %: 33

% In Violation (not weighted):

7.69 %

Points

3

Question(s) in violation

Is the carrier ensuring that drivers have adequate on-duty hours available prior to and during trips? (Provincial - AR317/2002 Section 6(1); Federal - SOR2005-313 Section 12.)

Worksheet Total Points: 3

3 of 39

Summary Comments:

Recommend having a policy that requires drivers to submit daily logs and supporting documents to the home terminal within 20 days of completion.

Monitor drivers' hours worked each day, to ensure drivers have hours available prior to dispatch.

Establish an Hours of Service internal auditing system.

Federal - 160km Exemption: The daily log is required unless ALL the following conditions are complied with:

- Driver does not operate beyond a 160km radius from the home terminal;
- Driver returns to the home terminal each day to begin a minimum of 8 consecutive hours of off-duty time;
- Carrier maintains records showing the driver's duty status and elected cycle, the hour at which each duty status begins and ends and the total number of hours spent in each duty status for each day, for a period of 6 months.

Federal - Do not allow drivers to drive without having 24 consecutive hours off-duty in the preceding 14 days.

| OS - Daily Questions | Weight %: 67 | % In Violation (not weighted): | 2.73 |
|---|------------------------------|--------------------------------|------------|
| Question(s) in violation | | | Points |
| Is there driving without first taking 24 consec | cutive hours off duty in the | preceding 14 days? | |
| 20 driver's files checked | 8 viol | ations found | N/A |
| Are the Form and Manner requirements com | plete (Daily log only)? | | |
| 20 driver's files checked | 25 vic | lations found | N/A |
| | | Worksheet Total P | oints: N/A |



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Fatigue/ Form And Manner Violations

Fatigue Violations

Total Days: 620

Total Days With Violations: 8

Violation Rate: 1.29 %

Form and Manner Violations

Total Days: 620

Total Days With Violations: 25

Violation Rate: 4.03 %



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| ehicle Area | Area Weight %: 30 | % In Violation (weighted): | 0.94 % |
|---------------------------------|-------------------|--------------------------------|-----------|
| Inspection, Repair, Maintenance | Weight %: 10 | % In Violation (not weighted): | 0.00 % |
| Question(s) in violation | | | Points |
| No Questions in Violation | _ | | 0 |
| | | Worksheet Total Points | : 0 of 14 |

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| intenance Program: All Vehicles Weight %: 20 % In Violation (not weighted): | 15.69 % |
|---|------------|
| Question(s) in violation | Points |
| All Vehicles: Does the written Maintenance and Inspection Program ensure that each commercial vehicle contain a copy of the applicable Trip Inspection Schedule of NSC Standard 13, Part 2 including any modifications made to the Schedule? (AR121/2009 Section 10(9)) | 2 |
| All Vehicles: Does the written Maintenance and Inspection Program identify what items need to be recorded on a Trip Inspection Report? (AR121/2009 Section 12(4)(a) - (i)) | 2 |
| All Vehicles: Does the written Maintenance and Inspection Program address distribution and retention of Trip Inspection Reports? (AR121/2009 Section 13(1) and 13(2)(a) - (c)) | 2 |
| All Vehicles: Does the carrier's written Maintenance and Inspection Program cover requirements to repair or correct defects? (AR121/2009 Section 16) | 2 |
| Worksheet Total Point | s: 8 of 51 |

Summary Comments:

Trucks, Tractors & Trailers Minimum contents: All of the items listed in the Schedule of Commercial Vehicle Safety Regulation AR121/2009 and applicable NSC Standard 13, Part 2 must be inspected and, in general must be securely mounted, fully operative, free from damage or excessive wear and maintained. A copy of the standards outlined in AR121/2009 Regulation Schedule should be used to conduct the inspection.

Update Maintenance Program to include the minimum required missing items identified in the audit.

The Maintenance Program is to be implemented to ensure all required regulatory items are being complied with.

Ensure that written Maintenance and Inspection Program addresses the issue that a copy of the Maintenance and Inspection Program must be located at carriers principal place of business and in each location where the maintenance of the carrier's commercial vehicles are carried out, if other than the principle place of business.

Ensure that employees have access to carrier's written Maintenance and Inspection Program.

Ensure that written Maintenance and Inspection Program identifies what items need to be recorded on a Trip Inspection Report.

Ensure that written Maintenance and Inspection Program identify what required items need to be inspected from applicable Schedule in NSC Standard 13, Part 2 during a Trip Inspection.

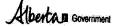
| Maintenance Program: Specific \ | eh Weight %: 10 | % In Violation (not weighted): | 0.00 % |
|---------------------------------|-----------------|--------------------------------|---------|
| Question(s) in violation | | P | oints |
| No Questions in Violation | | | 0 |
| | | Worksheet Total Points: | 0 of 26 |
| Summary Comments: | | | |
| /ehicle File | Weight %: 60 | % In Violation (not weighted): | 0.00 % |

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| Question(a) in violeties | | |
|-----------------------------|-------------------------|----------|
| Question(s) in violation | | Points |
| No Questions in Violation | | |
| Error Vehicle files checked | 0 violations found | 0 |
| | Worksheet Total Points: | 0 of #Er |





4. Conditional

 Assigned when a carrier has an unacceptable safety record and the Registrar sets out conditions that the carrier must meet as a means to create positive changes in the carrier's performance.

5. Unsatisfactory

- Assigned when a carrier's performance has demonstrated an unacceptable level of risk to the public.
- A carrier rated as "unsatisfactory" in Alberta is no longer able to register or operate NSC motor vehicles.

| Notes | | | | | |
|-------|------|--|------|--|--|
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Carrier Profile Report

The Carrier Profile Report is made up of ten parts. The Conviction, CVSA Inspection, Collision, and Violation parts are expanded for the reader by including an analysis, summary and detailed results of the on-road data identified in the profile.

Part 1 – General Carrier Information

This section provides information on the carrier's name, address, safety fitness certificate number, safety rating, operating status, a summary of how conviction, CVSA inspection and collision data contributes to the carrier's R-Factor score, if the carrier is on Carrier Services' Monitoring Report, and the monitoring stage the carrier is at if applicable. This part always reflects the carrier's current standing, regardless of the time period for which the profile was requested.

Updated: December 2012



Part 2 - Carrier Convictions

This part records convictions along with their assigned point values. Convictions are shown in order of the offence date, with the most recent conviction identified first. There are three components, analysis, summary, and detail of the convictions. Carrier management should review this part to ensure they are aware of and have taken action with the identified violations so as to prevent recurrences.

Part 3 – CVSA Inspection Information

Information recorded in this part reflects all levels of inspections conducted by law enforcement agencies under the CVSA program. Inspection results from Levels 1 to 5 are used to determine the carrier's existing CVSA out-of-service rate. A CVSA Failure Rate is also included. The CVSA Failure Rate assigns a point to each out of service CVSA, and a quarter of a point for required attention CVSA Inspections. These numbers are then added up and divided against the total number of inspections performed on the carrier. The result is multiplied by a weighted factor which gives the final CVSA Failure Rate. Analyses, summaries and detailed information are available. Reviewing CVSA inspection results (requires attention and out-of-service) will assist management in identifying which areas of their safety and maintenance programs are effective, and which areas need further review so as to prevent recurrences.

Part 4 - Carrier Collision Information

This part contains information on reportable collisions involving commercial vehicles registered to the carrier along with their assigned points. Information documented does not imply fault or liability. Carriers wishing to have collisions evaluated for preventability may contact Alberta Transportation. Collisions evaluated and found to be "not preventable" will not be used in determining a Safety Fitness Rating.



Part 5 – Violation Information

Information in Part 5 includes violations (not convictions) identified and documented by enforcement staff. Analysis, summary and detail information is available to assist the carrier with preventing further violations.

Part 6 - Monitoring Summary

This part displays carrier R-Factor monitoring information and compliance data regarding the carrier's operation. By viewing this Part a person can get an overview of the carrier's compliance over a period of time. Carriers can also use this section to compare themselves to carriers with a similar operation.

Part 7 - Station Licence Detail

This information relates to each vehicle inspection Station Licence that is associated with the holder of the National Safety Code Rating.

Part 8 - Safety Rating Summary

Information contained in Part 8 of the carrier profile relates to any Safety Fitness Rating issued to the carrier.

Part 9 – This part is used for internal purposes and is not available to the public.

Part 10 - Historical Summary

Displays profile events in chronological order.

Public Profile Report

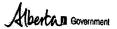
A Public Profile Report on any carrier with an Alberta Safety Fitness Certificate is available to anyone through Alberta Transportation. There is a \$15 fee for this report. Information in this report includes conviction, CVSA inspection, and collision data. Carrier demographics are also included in public profiles. A sample Public Profile is shown on the following page.

Updated: December 2012



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Updated: December 2012





CARRIER PROFILE

PART 1 - CARRIER INFORMATION

12-month Report as of: 2009 MAY 04

Example Transport (Alberta) Limited

4221 - 53 St. RED DEER AB T4N 2E1

NSC Number: AB018-3178

MVID Number: 0003-84859

SAFETY FITNESS CERTIFICATE

Safety Fitness Rating: Satisfactory Unaudited

Operating Status:

RISK FACTOR (R-Factor - Carrier must strive for the lowest score)

R-Factor Score: 2.028

Fleet Range: 3

Fleet Type: Truck

Contribution to R-Factor

Convictions:

0.0%

OOS CVSA Inspections: 0.0%

Reportable Collisions:

100.0%

NSC MONITORING PROGRAM ON:

Carrier's Monitoring Stage (1 to 4, 4 being the highest risk): Not on Monitoring

Total number of carriers at the same stage or greater:

Not Applicable

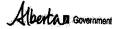
NSC carriers in Alberta with Safety Fitness Certificates:

81

NSC FLEET SIZE ON: 2009 APR 30 Average: 3

Current: 3

using MVIDS: 0003-84859



Confidentiality of the Carrier Profile

Presently, Carrier Profiles are released only to the following:

- identified carrier;
- carrier's agent (once a signed letter of release is submitted to the Alberta Transportation);
- police agency;
- enforcement agency;
- courts; or
- Alberta Transportation Safety Board.

Carrier profiles are not released, nor the contents discussed, with anyone else. However, Public Profile Reports are available to anyone upon request from Alberta Transportation.

Procedure to Obtain Your Carrier Profile

To obtain a copy of your Carrier Profile, mail or fax a signed Profile Request form (or a signed request on company letterhead). Carrier Profiles are also available online at: www.transportation.alberta.ca/667.htm

Two Carrier Profiles in one year are free of charge. Further requests will have a nominal fee.

Alberta Transportation Room 401, 4920 51 Street Red Deer, AB T4N 6K8

Phone: 403-340-5444 Fax: 403-340-4806

To call toll-free anywhere in Alberta first dial 310-0000

Updated: December 2012

Commercial Vehicle Safety Compliance in Alberta



✓Quick Test

| Cł | Check your understanding by answering the following questions. | | | | |
|-----------|---|--|--|--|--|
| 1. | What is the purpose of the Carrier Profile System? | | | | |
| | | | | | |
| - | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 2. | List the four main areas of information collected and retained in the Carrier Profile System. | | | | |
| <u>a.</u> | | | | | |
| b. | | | | | |
| <u>C.</u> | | | | | |
| <u>d.</u> | | | | | |
| | Describe how carrier owners and management can use their company's profile as a way to monitor their drivers. | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Updated: December 2012

Commercial Vehicle Safety Compliance in Alberta



| 4. | Wh | at are the five Safety Fitness Ratings used in Alberta? |
|------------|-------------|--|
| <u>a</u> . | | |
| b. | | |
| <u>c.</u> | | |
| <u>d.</u> | | |
| <u>e.</u> | | |
| 5. | Whather | at are the two types of Operating Status? Explain the differences between |
| a. | | |
| | | |
| b. | | |
| | | |
| Fo | r que | stions 6 and 7, circle T if the statement is true or F if the statement is false. |
| Τ | F | 6. Safety Fitness Ratings are based on a combination of the carrier's record for preventable collisions, convictions, CVSA inspections and audits. |
| T | F | 7. A carrier's performance is measured by comparing the on-road |

Check your answers with the answer key on the following page. Review the materials in this module if you answered any of these questions incorrectly.

activities of carriers of similar fleet size.



✓ Quick Test Answers

- 1. The purpose of the Carrier Profile System is to monitor commercial carriers for compliance to transport safety legislation.
- 2. The four main areas of information are:
 - a. collisions
 - b. convictions
 - c. CVSA inspections
 - d. facility audit results
- 3. A Carrier Profile summarizes most on-road law enforcement documentation and provides details on all contact between drivers and enforcement officers. This data can be used to monitor the accuracy of a driver's daily logs. The information helps to ensure that company rules and regulations as well as transport legislation are being followed and to implement corrective actions accordingly.
- 4. The five Safety Fitness Ratings used in Alberta are:
 - a. Excellent
 - b. Satisfactory
 - c. Satisfactory Unaudited
 - d. Conditional
 - e. Unsatisfactory
- 5. The two types of Operating Status are:
 - a. Provincial: Authorizes the operation of commercial vehicles only within Alberta and applies to commercial trucks registered for 11,794 kilograms or more, or a commercial vehicle originally manufactured with a seating capacity of 11 or more persons including the driver.

Updated: December 2012



- b. Federal: Authorizes the operation of commercial (including farm-plated) vehicles throughout Canada registered for a weight of more than 4,500 kilograms, or a vehicle originally manufactured with a seating capacity of 11 or more persons including the driver, if operated for other than personal use.
- 6. True
- 7. True

| Notes |
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Carrier Services

401, Provincial Building 4920 – 51 Street, Red Deer, AB Canada T4N 6K8

Phone 403/755-6111 Toll Free Dial: 310-0000 Fax 403/340-4811

March 27, 2013

Mullen Trucking (2005) Ltd. Box 87 Aldersyde, AB T0L 0A0 AB087-7936

Attention: Randy Mercer

Subject: Change of Safety Fitness Rating

Alberta Transportation monitors the on-road performance of National Safety Code (NSC) carriers through convictions, CVSA inspections, collisions and National Safety Code audits.

On March 26, 2013 an NSC Audit was conducted and your score was 4% in non-compliance. You have committed to correcting the few deficiencies that were identified. Your carrier profile is acceptable and you have not been on our Monitoring Report in the past 12 months. Overall it appears that you have taken the necessary steps to be in compliance and it is anticipated that you will continue to do so.

Your hard work and commitment to transportation safety allows me to recognize your efforts by upgrading your Safety Fitness Rating to "Satisfactory". Your new Safety Fitness Certificate is attached and a copy must be placed in each of your NSC vehicle(s).

If you have any questions, please contact

Sincerely,

Director, Carrier Services Alberta Transportation

/cv

Att: