United States Coast Guard

Marine Board Investigation

Commercial Fishing Vessel Destination Casualty

FORMAL HEARING

Henry M. Jackson Federal Building
915 Second Avenue

Seattle, Washington 98174

August 7, 2017 -- August 17 18, 2017

 ${\tt REPORTER'S} \ \ {\tt OFFICIAL} \ \ {\tt TRANSCRIPT} \ \ {\tt OF} \ \ {\tt PROCEEDINGS}$ 

VOLUME V of IX

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TIME: 0900-1619

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### PROCEDINGS

2 Start Time 0900

# OPENING STATEMENT

BY CDR MULLER:

Good morning this hearing will come to order. Today is August 11th, 2017, the time is 0902. We are continuing at the U.S. Coast Guard Thirteenth District, Seattle, Washington.

I am Commander Scott Muller, of the United
States Coast Guard, Chief of the Inspections and
Investigations Branch, Fifth Coast Guard District,
Portsmouth, Virginia. I am the Chairman of the Coast
Guard Marine Board of Investigation and the presiding
Officer over these proceedings.

The Commandant of the Coast Guard has convened this board under the authority of Title 46, United States Code, Section 6301. And Title 46, Code of Federal Regulations, Part 4, to investigate the circumstances surrounding the sinking of the Fishing Vessel Destination, with the loss of six lives on February 11, 2017 approximately three nautical miles north of St. George Island, Alaska.

The investigation will determine as closely as possible the factors that contributed to the incident in order to develop recommendations aimed at

preventing similar casualties. Whether there is

evidence that any act of misconduct, inattention to

duty, negligence or willful violation of the law on the

part of any licensed or certificated person contributed

to the casualty. And whether there is evidence that

any Coast Guard personnel, or any representative or

employee of any other government agency, or any other

person caused or contributed to the casualty.

This Marine Board is planned for at least one hearing session. The purpose of this hearing is to collect factual information. The Marine Board will use the factual information when developing its report of findings and conclusions, recommendations.

I have previously determined that the following individual is a Party-In-Interest to this investigation, Mr. David Wilson -- represented by Ms. Spivak, of Holmes, Weddle, and Barcott, LLC. This party has a direct interest in the investigation, and has demonstrated the potential for contributing significantly, to the completeness of the investigation. Or otherwise, enhancing safety of life and property at sea through participation as a Party-in-Interest.

All Parties-in-Interest have a statutory right to employ counsel to represent them, to cross-

examine witnesses, and to have witnesses called on their behalf.

I will examine all witnesses at this formal hearing under oath or affirmation. And witnesses will be subject to Federal laws and penalties governing false official statements. Witnesses who are not Parties-in-Interest may be advised by their counsel concerning their rights. However, such counsel may not examine, or cross-examine other witnesses, or otherwise participate.

These proceedings are open to the public and to the media. I ask for the cooperation of all persons present to minimize any disruptive influence on the proceedings, in general, and on the witnesses, in particular. Please turn your cell phones and other electronic devices off, or to silent or vibrate mode. Please do not enter or depart the hearing room except during periods of recess. Flash photography will be permitted during this opening statement and during recess periods.

The members of the press are, of course, welcome. An area has been set aside for your use during the proceedings. The news media may question witnesses concerning the testimony they have provided here, but only after I have released them from these

proceedings. I ask that any such interviews be conducted outside this room.

Since the date of the casualty, the NTSB and the Coast Guard have conducted substantial evidence collection activities. And some of that previously collected evidence will be considered during these hearings. Should any person have, or believe he or she has information not brought forward, but which might be of direct significance, that person is urged to bring that information to my attention by emailing,

The Coast Guard relies on strong partnerships to execute its missions, and this Marine Board of Investigation is no exception. The National Transportation Safety Board provided a representative to this hearing, Mr. Michael Karr seated to my left as the Investigator-in-Charge for the NTSB Investigation. Mr. Karr would you like to make a brief statement?

MR. KARR: Good morning, I am Michael Karr,
Investigator-in-Charge for the National Transportation
Safety Board, and the investigation of this accident.
The NTSB has joined this hearing to avoid duplicating
the development of facts. Nevertheless, I wish to
point out that this does not preclude the NTSB from
developing additional information separately from this

proceeding, if that becomes necessary.

At the conclusion of the hearing, the NTSB

will analyze the facts of this accident, and determine

the probable cause, independent of the Coast Guard. We

will issue a report of the NTSB findings, and if

appropriate, the NTSB will issue recommendations to

correct safety problems discovered during the

investigation.

CDR MULLER: Thank you. We will now hear testimony from Mr. Schiffler. Mr. Schiffler please come forward to the witness table, and LCDR Mendoza will administer your oath and ask you some preliminary questions.

 $\label{eq:loss_loss} \mbox{LCDR MENDOZA: Please stand and raise your} \\ \mbox{right hand.}$ 

## WITNESS

#### DALE SCHIFFLER

A witness produced on call of the Coast Guard was duly sworn according to the law, was examined and testified as follows:

THE WITNESS: Yes.

LCDR MENDOZA: Please be seated. Would you please state your full name and spell your last name?

THE WITNESS: Dale Schiffler, S-C-H-I-F-F-L-E-

25 R.

1	LCDR MENDOZA: Please state your current
2	employment and position title.
3	THE WITNESS: I work for Peter Pan Seafoods;
4	I'm the Director of Administrative Operations, and the
5	Corporate Secretary.
6	LCDR MENDOZA: Do you hold any professional
7	licenses or certificates?
8	THE WITNESS: No.
9	LCDR MENDOZA: Thank you, sir.
0	CDR MULLER: Good morning, Mr. Schiffler,
1	thank you for your participation at this hearing. We
2	value your input as we collect facts regarding the
3	marine casualty of the fishing vessel Destination. I
4	will now hand you over to Mr. Gillette who will ask you
5	some questions.
6	DIRECT EXAMINATION
7	BY MR. GILLETTE:
8	Q. Good morning Mr. Schiffler. My name is James
9	Gillette with the United States Coast Guard. If at any
20	time you don't understand a question that I ask, just
21	request that the question be readdressed, or rephrased.
22	If at any time you need to take a recess please ask,
23	also remember to speak up loud and clear to assist the
24	court reporter to transcribe the hearing.

With that said, I'd like to start asking

1 questions. Mr. Schiffler, can you talk to us a little

- 2 | about Peter Pan Seafoods' facilities throughout Alaska
- 3 and what services they provide?
- 4 A. Peter Pan Seafoods, is a general production
- facility shore based in Alaska. We have four shore
- 6 based processing locations, a couple of ancillary
- 7 | support facilities, also shore based. And we buy
- 8 different kinds of seafood from independent harvesters,
- 9 process it, freeze it, can it, and then ship it in
- 10 wholesale all around the world.
- 11 Q. Can you share with us what locations Peter Pan
- 12 is located in Alaska?
- 13 A. Our four processing locations are in Valdez,
- 14 Billingham, Port Muller, and King Cove.
- 15 Q. Does Peter Pan have any type of working
- 16 | relations with the fishing vessel Destination, and if
- 17 | so, can you share with us what that relation is?
- 18 A. Well most recently they were a crab fishing
- 19 | vessel that could contract to sell crab to us through a
- 20 process called ShareMatch. In the past, I'm fairly
- 21 | certain they have tendered for us in Bristol Bay also.
- Q. Can you elaborate what ShareMatch is?
- 23 A. It's an online program that allows harvesters
- 24 to take their harvest quota and match it up with
- 25 processor quota so that all of the crab that is

- 1 harvested will ultimately find a home to get processed.
- 2 And it is a fairly complicated process, because there is
- 3 | a price calculating mechanism also.
- 4 Q. Was the Destination scheduled to offload any
- 5 | catch at any Peter Pan facility for the 2017 opilio
- 6 | season, and if so, can you elaborate about that?
- 7 A. No. I don't know.
- 8 Q. How often does the Destination use your
- 9 facilities, and how often do they purchase bait from
- 10 you?
- 11 A. I, again, don't know how often they do.
- 12 Q. Okay. I'd like to now show Exhibit No. 202.
- 13 This is a Peter Pan Seafood Bait Invoice, dated February
- 14 8<sup>th</sup>, 2017. It is also located in the book in front of
- 15 you, if you need to look at it in the book. Mr.
- 16 | Schiffer is this the invoice from your King Cove
- 17 | facility?
- 18 A. Yes.
- 19 Q. Can you tell me who this invoice is for, as
- 20 | well as what was purchased?
- 21 A. It was for the Destination. And they got two
- 22 pallets of sardines, it looks like. So that would be a
- 23 | little over three thousand pounds. Which is bait for
- 24 crab fishing.
- Q. Can you identify where it says, "70 box times

- 44" --
- A. Yes. So it is 70 box, 44 pounds per box. And
- 3 | it looks like it is .75 cents per pound price.
- Q. Do you know what date this was picked up?
- 5 A. I'm going to assume it was on the  $8^{th}$ , since
- 6 they wrote it up then.
- 7 Q. Did the Destination purchase any additional
- 8 | bait, or transport bait for any other vessel or
- 9 | facilities that you are aware of, and if so, can you
- 10 | share that with us?
- 11 A. Well, I am aware that they purchased bait from
- 12 us earlier that season. And also during the King crab
- 13 season in, you know, a couple of months earlier, just by
- 14 virtue of records that we sent to you guys.
- 15 Q. When you said earlier that season, during the
- 16 opilio season they made another purchase other than this
- 17 one?
- 18 A. I think so, yeah. I believe there were some
- others.
- Q. Do you remember what date that might be?
- 21 A. No.
- 22 Q. Okay. All right, thank you Mr. Schiffler.
- 23 Commander, I have completed my question for Mr.
- 24 Schiffler.
- 25 CDR MULLER: Thank you Mr. Gillette. I have

1 one follow-up question.

2 EXAMINATION

3 BY CDR MULLER:

- Q. Earlier, you mentioned, and I understand it is complicated, but in brief terms what do you mean by price calculation mechanism?
- A. Well, there is a mechanism set up for

  arbitration for the final price paid between the

  harvester and the processor. And while you can

  certainly agree to a price and not go to arbitration.

But there is a requirement that the processors belong to an arbitration organization, and that the harvesters belong to an arbitration organization. And there is some pretty specific rules for how those arbitrations have to take place, and what the timelines are for them, should you not come to an agreement on price, prior to certain times.

And that is, that's tied into the matching of quota in the first place, which I, you know, is part of the overall Crab Rationalization Act from whenever that was, 2005 or something. It's been quite a while now.

- Q. Okay, so in general terms, are the prices agreed upon, arbitrated, let's say, in front of the season?
- A. No, not really. They are tied to market

1 price. So, it's calculated based on what final sales

- 2 | are going to be. And I think the final sales are
- 3 | tracked across entire industry. They are now, you know,
- 4 case by case.
- Okay. So is it possible, by way of example,
- 6 let's say the crabbing season starts October first, for
- 7 | argument's sake.
- 8 A. Um-hmm.
- 9 Q. Are you -- is this where the harvester, when
- 10 they deliver their catch to a processor, there should be
- 11 some kind of agreed upon price, or when they deliver
- 12 their catch. And let's say, later on in the season,
- 13 let's say by November, is it possible that that market,
- 14 or that price may fluctuate? So let's say you get ten
- dollars per crab, October 1<sup>st</sup>. By November 1<sup>st</sup>, can
- 16 that, would it be five or fifteen dollars per crab?
- 17 A. Yeah no, so me --
- 18 Q. Am I oversimplifying, or --
- 19 A. Yeah that's --
- 20 Q. Okay.
- 21 A. That's closer to how salmon fishing works.
- 22 But the crab really, there really isn't an agreed upon
- 23 price before the season starts. There is the knowledge
- 24 | that there is an absolute apportionment that they will
- 25 get from the final market price. And so it gets

- 1 | calculated later, after the product is either sold, or
- 2 | largely sold, and the price is established. You know,
- 3 | we will have ideas on what that may be. And we will
- 4 | advance funds to harvesters. But it is not a market
- 5 price, not a fish price.
- 6 Q. Okay, thank you. I have no further questions.
- 7 CDR MULLER: Mr. Karr, NTSB, do you have any
- 8 questions?

#### 9 EXAMINATION

- 10 BY MR. KARR:
- 11 Q. Michael Karr of the NTSB. Was the Destination
- going to serve as a tenderer for you this year?
- 13 A. I don't know.
- Q. And how -- do you not know, or well I'll just
- 15 leave it at that, thanks.
- 16 CDR MULLER: Okay. Thank you Mr. Karr. Ms.
- 17 | Spivak do you have any questions?
- MS. SPIVAK: No questions.
- 19 CDR MULLER: Jim back to you? Do you have any
- 20 questions regarding my follow-up?
- 21 MR. GILLETTE: No follow-up questions,
- 22 Commander.
- CDR MULLER: Okay. With that, Mr. Schiffler,
- 24 | that concludes our questions that we have for you today.
- 25 Before I release you, are there any elements or items

1	that you would like to bring to our attention that we
2	should consider? Something we may have missed in this
3	testimony?
4	THE WITNESS: No sir.
5	CDR MULLER: All right. Thank you Mr.
6	Schiffler?
7	THE WITNESS: Yes.
8	CDR MULLER: One more, I'm sorry, I had to
9	find my notes; this is a formality, for the record.
10	Mr. Schiffler you are now released as a
11	witness at the Marine Board of Investigation. Thank you
12	for your testimony and cooperation. If I later determine
13	that this Board needs additional information from you, I
14	will contact you. If you have any questions about this
15	investigation, you may contact the Marine Board
16	Recorder, LCDR Pedro Mendoza, thank you.
17	THE WITNESS: Thank you.
18	CDR MULLER: We will now hear testimony from
19	Ms. Rosenberger. Please come forward to the witness
20	table, and LCDR Mendoza will administer your oath and
21	ask you some preliminary questions.
22	LCDR MENDOZA: Please raise your right hand.
23	WITNESS
24	MARINA ROSENBERGER
25	A witness produced on call of the Coast Guard

- 1 was duly sworn according to the law, was examined and testified as follows: 2 3 THE WITNESS: Yes. 4 LCDR MENDOZA: Please be seated. Please state 5 your full name and spell your last name for the record. THE WITNESS: Marina Rosenberger, R-O-S-E-N-B-6 7 E-R-G-E-R. LCDR MENDOZA: Please state your current 8 9 employment, and position title. THE WITNESS: I work for Coastal Alaska 10 Premier Seafoods. I am the operations manager for our 11 longline fleet, and logistics, and crab manager. 12 LCDR MENDOZA: Do you hold any professional 13 licenses or certificates? 14 THE WITNESS: I am a notary public. 15 LCDR MENDOZA: Okay, thank you ma'am. 16 CDR MULLER: Good morning. 17 THE WITNESS: Good morning. 18 19 CDR MULLER: Thank you for your participation 20 at this hearing. I'll now turn you over to Mr. Gillette 21 who will lead the questions. DIRECT EXAMINATION 22 BY MR. GILLETTE: 23 Morning Mrs. Rosenberger. 24
- A. Morning.

Q. My name is James Gillette with the United

States Coast Guard. If at any time you don't understand

a question that is asked, just request that the question

be readdressed or rephrased. If at any time you need to

take a recess, please ask. Also remember to speak up

loud and clear into the microphone to assist our court reporter to transcribe the hearing.

With that said, I would like to start asking questions.

A. Okay.

8

9

10

- Q. Ms. Rosenberger can you talk to us a little about Coastal Alaska Premier Seafoods facilities throughout Alaska, and what services they provide?
- A. Coastal Alaska Premier Seafoods is part of
  Coastal Villages Region Fund. And it is CDQ group,
  which is Coastal Development Quota. We have a factory
  trawler that fishes for Pollock, two longline vessels
  that fish for cod, and two crab catcher vessels along
  with the salmon processing plant in Alaska.

20 CDR MULLER: You can pull the mic towards you 21 if you like.

- 22 BY MR. GILLETTE:
- 23 A. Did you hear any of that?
- 24 | O. I'll ask the transcriber.
- 25 | COURT REPORTER: Please pull the microphone

1 closer.

14

15

- Q. Okay, thank you. Did Coastal Alaska Premier have any type of working relationship with the fishing vessel Destination, and if so, can you share with us what the relation is?
- The only relationship we had with them was we 6 sold them bait, which was what we call repurposed bait, 7 off of our longline vessels. They use Ilik (sounds 8 like) squid for their operations, and they end up with 9 lots of bits of squid that come off of, or ripped squid 10 come off of the longline hooks. And so they pick that 11 all up, and refreeze it. And we sell it to either pot 12 13 cod catcher boats, or crab boats.
  - Q. Do you have a cold storage up in Dutch Harbor?

    And if so, can you talk about that?
- 16 A. We do not. We utilize Kloosterboer cold 17 storage.
- Q. Okay. When you say, utilize, can you elaborate a little more about that?
- A. Well, if we need something stored, there's
  really not too much choice in Dutch Harbor. So, we use
  Kloosterboer as a cold storage if we have any need for
  something to be stored that is not going directly into a
  container van.
  - Q. Did you have any bait stored up in Dutch

- 1 Harbor awaiting the Destination?
- 2 A. Yes. Each time our longline vessels come into
- 3 town to offload, we take whatever repurposed bait that
- 4 they have frozen into Kloosterboer. And it's for, you
- 5 know, we sell to our own crab boats as well. But, to --
- 6 | for any, you know, any other boats that; we also sell to
- 7 some other boats, as well.
- 8 Q. How often does the Destination communicate
- 9 | with you about purchasing bait? Can you talk a little
- 10 | bit about that?
- 11 A. It probably -- only have had contact maybe
- 12 four times a year at the most. They -- it is seasonal.
- 13 And then also depending on whether or not we had any
- 14 bait available.
- 15 Q. Was that communications with the owner, or the
- 16 master? Who was it that you generally communicated
- 17 | with?
- 18 A. The owner. Mr. Wilson would email me and ask
- 19 | if we had any bait available.
- 20 O. I'd like now to show Exhibit 204. This is a
- 21 | Coastal Alaska Premier Seafoods invoice, dated January
- 22 | 1, 2017. It is also on your desk, in front of you.
- A. Um-hmm.
- Q. I'd like to start off Ms. Rosenberger, by
- 25 asking what is the difference between a ship date and an

- 1 | invoice date. Can you explain that to me?
- 2 A. The ship date is the date that it was -- the
- 3 product was actually released from the cold storage.
- 4 And the invoice date, is the date that I created the
- 5 invoice.
- 6 Q. Okay. On Exhibit No. 204, there, can you tell
- 7 | us how much and what type of bait was purchased on this
- 8 invoice?
- A. 19,579 pounds of bait/squid is what it says.
- 10 Q. Are you ever aware of what fishing season they
- 11 | are using that bait for?
- 12 A. No. Actually, I know they do both cod and
- 13 crab. So they can coincide, so, I don't know.
- 14 O. Okay. I'd like now to show you Exhibit 205,
- 15 this is a Coastal Alaska Premier Seafoods invoice dated
- 16 February 16<sup>th</sup>, 2017. And just for clarity because the
- 17 February 16<sup>th</sup> date that is listed on the invoice, can
- 18 you explain one more time, the difference between the
- 19 | ship date, and the invoice date?
- 20 A. The ship date is the date that the product was
- 21 | released from the cold storage. And that's the date
- 22 | that the cold storage uses to say that it was picked up.
- 23 And the invoice date, is just the date that I invoiced
- 24 after it was released.

25

Q. Okay. And on this exhibit, can you tell us

1 how much, and what type of bait was purchased on this

- 2 invoice?
- A. 7060 pounds of bait/squid.
- 4 Q. Did the Destination purchase any additional
- 5 | bait, or transport bait for any other vessels or
- 6 | facilities that you are aware of? And if so, can you
- 7 | share that with us?
- 8 A. I have no idea.
- 9 Q. Okay. Thank you Ms. Rosenberger. Commander
- 10 that is all my questions.
- 11 CDR MULLER: Thank you Mr. Gillette. I have
- 12 no questions. NTSB, Mr. Karr?
- MR. KARR: None.
- 14 CDR MULLER: Thank you. Ms. Spivak?
- MS. SPIVAK: None.
- 16 CDR MULLER: Okay. Ms. Rosenberger, before I
- 17 | release you is there any information that you would like
- 18 to provide that we may have missed regarding this
- 19 testimony?
- 20 THE WITNESS: No.
- 21 CDR MULLER: Okay, thank you. You are now
- 22 | released as a witness to this Marine Board of
- 23 | Investigation. Thank you for your testimony and
- 24 | cooperation. If I later determine that this Board
- 25 | needs additional information from you, I will contact

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1 | you through your counsel. If you have any questions
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- 2 about this investigation, you may contact the Marine
- 3 | Board Recorder, LCDR Pedro Mendoza. Thank you.
- Okay, we are going to take a fifteen minute
- 5 recess to prepare for our next witness. Thank you.
- 6 (A fifteen minute recess was taken.)
- 7 CDR MULLER: Okay. Good morning. The hearing
- 8 | will come to order. We will now hear testimony from Mr.
- 9 Pillon and Ms. Robinson providing telephonic testimony.
- 10 LCDR Mendoza will you please administer the oath?
- Oh excuse me. By way of background Mr. Pillon
- 12 and Ms. Robinson, we are calling you from Seattle,
- 13 Washington. And are conducting the Marine Board
- 14 | Investigation into the sinking of the fishing vessel
- 15 Destination.
- In the room we have members of the Board, LCDR
- 17 Mendoza, Mr. James Gillette and myself as the chair.
- 18 NTSB Is also here, Mr. Karr. NTSB is conducting an
- 19 independent investigation.
- 20 We also have a Party-in-Interest
- 21 representative here at the public hearing.
- 22 This public hearing is being recorded. I
- 23 understand that you are calling from Dutch Harbor,
- 24 Alaska.
- MR. PILLON: That is correct.

1	CDR MULLER: Are you in a place where you can
2	answer our questions?
3	THE WITNESS: We are.
4	CDR MULLER: Thank you. LDCR Mendoza, if you
5	can please administer the oath.
6	LCDR MENDOZA: Would you please both stand and
7	raise your right hand.
8	WITNESS
9	LAWRENCE ANDREW PILLON/MARIAH ROBINSON
10	KLOOSTERBOER DUTCH HARBOR
11	A witness produced on call of the Coast Guard
12	was duly sworn according to the law, was examined and
13	testified as follows:
14	THE WITNESS/MR. PILLON: I do.
15	THE WITNESS/MS. ROBINSON: I do.
16	LCDR MENDOZA: Please be seated. Mr. Pillon,
17	please state your full name and spell your last name for
18	the record.
19	THE WITNESS/MR. PILLON: My full name is
20	Lawrence, L-A-W-R-E-N-C-E Andrew Pillon, P-I-L-L-O-N.
21	LCDR MENDOZA: Would you state your current
22	employment and position title?
23	THE WITNESS/MR. PILLON: I am employed by
24	Kloosterboer, Dutch Harbor and I am the terminal
25	manager.

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1
              LCDR MENDOZA: Sir, do you hold any
    professional licenses or certificates?
2
3
              THE WITNESS/MR. PILLON: I do not.
              LCDR MENDOZA: Thank you sir. Ms. Robinson,
4
5
    please state your full name, and spell your last name
    for the record.
6
7
              THE WITNESS/MS. ROBINSON: My full name is
   Mariah Agnes Robinson, last name spelling, R-O-B-I-N-S-
8
9
    O-N.
              LCDR MENDOZA: Please state your current
10
    employment and position title.
11
              THE WITNESS/MS. ROBINSON: I work for
12
13
    Kloosterboer Dutch Harbor, and I am the cold storage
14
    manager.
              LCDR MENDOZA: Do you hold any professional
15
    licenses or certificates?
16
              THE WITNESS/MS. ROBINSON: No sir.
17
              LCDR MENDOZA: Thank you, ma'am.
18
              CDR MULLER: So good morning again Andy and
19
20
   Mariah, it's a pleasure to speak with you again. This
21
    is Commander Scott Muller. It was also a pleasure to
22
   meet you in early April when members of the Marine Board
    visited Dutch Harbor, and had an opportunity to meet you
23
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25 So thank you for your time today, and your

in person and visit your facility.

1 participation. Mr. Jim Gillette of the Board will now

- 2 ask you a number of questions. Thank you.
- 3 DIRECT EXAMINATION
- 4 BY MR. GILLETTE:
- 5 Q. Good morning, Mr. Pillon and Ms. Robinson my
- 6 | name is James Gillette with the United States Coast
- 7 Guard.
- 8 A. MR. PILLON: Good morning.
- 9 A. MS. ROBINSON: Good morning.
- 10 Q. Since we are doing this testimony over the
- 11 phone, and we have two people on the other side, when I
- 12 ask the questions, I'm going to basically be directing
- 13 them to both of you. If either of you know the answer
- 14 please chime in. Just do your best not to speak over
- 15 each other, just that it will help out our transcriber.
- 16 Do you hear me clearly?
- A. MR. PILLON: We do.
- 18 A. MS. ROBINSON: Yes.
- 19 Q. Okay. If at any time either of you don't
- 20 understand a question that is asked, just request that
- 21 | that question be readdressed or rephrased. And if at
- 22 any time either of you need a recess, please ask. And
- 23 | as I said before, just speak up loud and clear to assist
- 24 our court reporter.
- 25 So I'd like to start off by asking either of

1 you if you could talk to us about Kloosterboer, Dutch

- 2 Harbor's facility, as far as what services are provided,
- 3 and who are your main customers?
- 4 A. MR. PILLON: We are a cold storage facility in
- 5 Dutch Harbor. We provide storage facilities for frozen
- 6 | fish cargos from the fishing industry in that region.
- 7 | That is really basically what we do. We are a part --
- 8 | with a logistics chain getting product to market. And
- 9 | we store them as a transshipment point for further
- 10 (inaudible word), so to speak.
- 11 Q. Do crab boat --
- 12 A. MS. ROBINSON: My main customers are American
- 13 | Seafood, Glacier Fish, Golden Alaska and (inaudible
- 14 | word) vessels are the main vessels that come to our
- 15 | facility.
- Q. Okay, thank you. So Crab boats use your
- 17 | services? And if so, how often, and what services do
- 18 | you provide for them?
- 19 A. MS. ROBINSON: Not usually. We don't usually
- 20 | store crabs in the facility. In this instance we gave
- 21 some bait.
- 22 Q. Do you keep bait there often for crab boats to
- 23 | pick up?
- A. MS. ROBINSON: A small amount.
- Q. What type of bait is that usually?

A. MS. ROBINSON: Squid, squid bait.

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- Q. Also, when you say small amount, can you give me a closer number to what small amount means?
- A. MS. ROBINSON: Approximately ten tons.
- Q. Can you talk to me a little about the process
  of how you receive that bait, and the storing process of
  it? And then how you deliver it to the crab boat? Kind
  of how that process works?
- MS. ROBINSON: We receive the bait from, well 9 sometimes from containers, sometimes from other vessels 10 that do repurpose squid bait. We take it in, we weigh 11 it, we tally it, we wrap it, we put it in the cold 12 13 storage. And then at a later date, we get a release from the owner of the bait. Request us to release it to 14 a specific vessel. They request a certain amount to be 15 given. And then we set up the delivery. 16
  - Q. Did the Destination ever tie up to the dock before, to pick up bait? And if not, can you elaborate how they picked up bait before on your facility in the past?
- A. MS. ROBINSON: This was the first time that I
  had tied them up at the facility. But I believe they
  had come over before during a different time when we
  weren't working. So we do a seasonal operation. So I
  believe they had tied up at the dock at an earlier date

1 but we weren't there when they had done that.

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- Ever come to Kloosterboer via truck or any other means of transportation to pick up bait, instead of pulling the boat up to the pier?
- Α. MS. ROBINSON: Not when I was there.
- Okay. In February of 2017 did you have any communications with anybody on the ship about picking up any bait? And can you elaborate about that?
- MS. ROBINSON: Yes, I did. I spoke with the captain several times during that week to set up the 10 delivery.
- Could you talk a little in detail about those 12 13 conversations with the captain?
- MS. ROBINSON: He was looking for dock space, 14 Α. the potential to come over. Well, the first call he was 15 just calling to confirm that he -- we were going to give 16 him bait. I told him that I had received the release. 17 And he asked about coming to our dock at a date that was a few days later. And I told him that he needed to give 19 20 me a call back closer to his arrival time to set up a 21 dock position and the delivery.
  - So, a couple days later he called me back, saying that he was coming back into town and would be here at a specific time in the evening. And we would set up the delivery then.

- Q. Do you remember what time that is?
- 2 A. MS. ROBINSON: It was about six o'clock in the
- 3 evening.

- 4 Q. And do you know around what time they left
- 5 | your pier?
- 6 A. MS. ROBINSON: I actually was getting off work
- 7 at that time. So I tied the vessel up. And then I
- 8 | left. But I believe they just did a touch and go. So,
- 9 they were on the dock for less than an hour.
- 10 Q. Okay. I'd like to now show Exhibit 159 page
- 11 34. This is an outtake confirmation from Kloosterboer.
- 12 Do you have that Exhibit in front of you?
- 13 A. MR. PILLON: We do.
- 14 Q. Can you explain to me a little detail about
- 15 | that confirmation? What exactly is it that I'm looking
- 16 at? Can you talk to me about that?
- 17 A. MR. PILLON: You are looking at an Outtake
- 18 Confirmation that lists the two lots of squid bait that
- 19 | the Destination picked up.
- Q. Does it show how many pounds?
- 21 A. MR. PILLON: It is actually in kilograms.
- 22 | Q. Okay, can you --
- 23 A. MR. PILLON: So if you notice, there are two
- 24 lots, one of three pallets, one of two pallets, for a
- 25 | total of five pallets.

- Q. I'm listening Mr. Pillon. Keep going.
- A. MR. PILLON: It is 168 cases, with a gross
- 3 | weight of 3,437.75 kilograms.
- 4 Q. Okay. And what date was this picked up on?
- 5 Does it show on that invoice, or that Outtake
- 6 | Confirmation?
- 7 A. MS. ROBINSON: I think this Outtake
- 8 | Confirmation was confirmed the following day. But the
- 9 release was done on February 9<sup>th</sup>.
- 10 Q. Okay. All right, thank you. I'm now going to
- 11 | show Exhibit 15. And this is a video of the arrival and
- 12 departure of the fishing vessel Destination at the
- 13 Kloosterboer pier, in Dutch Harbor, Alaska on February
- 14 9<sup>th</sup>, 2017. And this was provided by Kloosterboer. Do
- 15 | you have that video on?
- 16 (Video showing in the hearing room.)
- 17 A. MS. ROBINSON: Yes.
- 18 Q. Okay. I'm going to currently play it here.
- 19 And I'm going to continue letting it run while we
- 20 | continue the interview. Can you speak a little in
- 21 | detail about their arrival? Were any of you out there
- 22 on the pier at the time?
- 23 A. MS. ROBINSON: Yes. That's me out there in
- 24 | the yellow jacket.
- 25 Q. Okay. Can you kind of give us a walk-through

- of the events that took place? What exactly did you
- 2 guys provide and kind of give us a walk-through of what
- 3 | you put on the ship? And where you put it.
- 4 A. MS. ROBINSON: Okay. So they are pulling up
- 5 to the dock. We are getting ready to catch their lines
- 6 and tie them up so that they were secure to the
- 7 | facility, and to the dock. That's what's currently
- 8 happening now.
- 9 Q. Okay.
- 10 A. MS. ROBINSON: They are squeezing in to kind
- of a tight spot. There's a -- not -- out of the view
- 12 | there's a tramper, to the left, they are in front of the
- 13 vessel. And then you see the American Seafoods vessel
- 14 behind it. So they are kind of squeezing in to a tight
- 15 space in the dock right now.
- Q. Did you guys witness anything on top of the
- 17 crab pots, or on the deck forward of the crab pots? And
- 18 can you share with us what that might be?
- 19 A. MS. ROBINSON: Well looking at this video, it
- 20 looks like there's a pallet, a couple pallets there on
- 21 deck.
- 22 Q. Okay. Do you remember anything being placed
- 23 up, either already there or being placed by -- during
- 24 | your operations, in the vicinity of the life raft?
- 25 A. MS. ROBINSON: I don't recall.

- Q. Okay. On the pallets that you guys provided, can you tell me how many cases are on each pallet?
- A. MS. ROBINSON: There are forty-five cases on
- 4 each full pallet. And then, I think with each of these
- 5 lots, what it made up, there is a partial pallet on each
- 6 one.
- 7 Q. During your time there, did you have any
- 8 inclination that the Destination was in a hurry to leave
- 9 port? And if so, can you elaborate?
- 10 A. MS. ROBINSON: No, but again, I had -- after
- 11 tying them up I left the facility and left a few of our
- 12 employees to do the bait.
- 13 Q. Did you guys provide any blue pallets to the
- 14 | Destination? Empty blue pallets?
- 15 A. MS. ROBINSON: Not that I'm aware of.
- Q. Okay. All right thank you Mr. Pillon and Ms.
- 17 Robinson. Please stay on the line for other questioning
- 18 by other members of the team. Commander, that is all
- 19 the questions I have.
- 20 EXAMINATION
- 21 BY CDR MULLER:
- 22 Q. Good morning again Andy and Mariah, Commander
- 23 Muller here.
- 24 A. MR. PILLON: Good morning.
- A. MS. ROBINSON: Good morning.

Q. So you have a cold storage facility. So this

- 2 | --
- 3 A. MS. ROBINSON: Yes.
- 4 Q. -- bait that you provide to your customers,
- 5 | where is that stored on your facility. I understand you
- 6 have a number of different freezers, or spaces with
- 7 different temperatures. Can you describe where this
- 8 | bait is stored, and what temperature it is stored under?
- 9 A. MS. ROBINSON: We have four different
- 10 freezers. Two bays are connected, you can drive between
- 11 them. And then the two other bays are connected as
- 12 | well. For a total of four bays. The temperature is
- 13 roughly between -20 degrees Celsius and -25 degrees
- 14 Celsius on any given day.
- 15 Q. And again, that's where this bait was stored?
- In one of those kind of spaces, with those temperatures?
- 17 A. MS. ROBINSON: Yes.
- Q. Okay. I have no further questions. Mr. Karr,
- 19 NTSB?
- MR. KARR: I have none.
- 21 CDR MULLER: Ms. Spivak do you have any
- 22 questions?
- MS. SPIVAK: No questions.
- 24 CDR MULLER: We can continue watching this
- 25 | video here, in this room. And then we can follow with

- 1 any questions.
- 2 Andy and Miranda if you can just stand by on
- 3 the line.
- 4 A. MR. PILLON: Will do.
- 5 CDR MULLER: Thank you. Thank you for your
- 6 time.
- 7 (Watching video/Exhibit No. 15 until its
- 8 | completion.)
- 9 CDR MULLER: Andy and Mariah, are you still
- 10 | there?
- MR. PILLON: Yes.
- 12 CDR MULLER: Okay. We are continuing to watch
- 13 the video here. We are about a third of the way through
- 14 it. It is running a little bit slower than on our
- 15 computers in the offices. So we would like not to take
- 16 too much of your time, so we would like to release you
- 17 | now at this time. I need to just read a quick
- 18 statement.
- MR. PILLON: All right.
- MS. ROBINSON: Thank you.
- 21 CDR MULLER: You are now released as a witness
- 22 | at this Marine Board of Investigation. Thank you for
- 23 your testimony and cooperation. If I later determine
- 24 | that this Board needs additional information from you, I
- 25 | will contact you. If you have any questions about this

25	MR. RAY NOMURA TRIDENT SEAFOOD
24	WITNESS
23	LCDR MENDOZA: Please raise your right hand.
22	questions.
21	administer your oath and ask you some preliminary
20	forward to the witness table and LCDR Mendoza will
19	testimony from Mr. Nomura. Mr. Nomura, please come
18	the hearing will come to order. We will now hear
17	CDR MULLER: All right, good morning again,
16	(Whereupon a ten minute recess was taken.)
15	take a ten minutes recess. Thank you.
14	This concludes this testimony. We will now
13	full length of the video.
12	testimony Livestream, also the opportunity to view the
11	also wanted to give the participants viewing this
10	in mind because we are livestreaming this hearing we
9	patience. I know that was a long video, but also keep
8	CDR MULLER: Okay. Thank you for your
7	completion at 1039.)
6	loading bait at Kloosterboer Cold Storage plays to
5	(Exhibit No. 15 video of Fishing Vessel Destination
4	MS. ROBINSON: Thank you.
3	MR. PILLON: Thank you, again.
2	Recorder, LCDR Pedro Mendoza. Thank you again.
1	investigation, you may contact the Marine Board

1 A witness produced on call of the Coast Guard was duly sworn according to the law, was examined and 2 3 testified as follows: THE WITNESS: I do. 4 5 LCDR MENDOZA: Please be seated. Sir, please state your full name and spell your last name for the 6 7 record. THE WITNESS: Ray Nomura, N-O-M-U-R-A. 8 9 LCDR MENDOZA: Could you please state your current employment and position title. 10 THE WITNESS: Trident Seafood, Fleet Manager. 11 LCDR MENDOZA: Do you hold any licenses or 12 13 certificates? THE WITNESS: I have a personal airman's 14 certificate, but no professional license through Trident 15 or certificate. 16 17 LCDR MENDOZA: Thank you sir. CDR MULLER: Good morning Mr. Nomura. Thank 18 you for your participation at this hearing. I will turn 19 20 now to Mr. James Gillette who now will ask you some 21 questions. DIRECT EXAMINATION 22 BY MR. GILLETTE: 23

Good morning Mr. Nomura, my name is James

Gillette with the United States Coast Guard. If at any

24

25

1 time you don't understand a question that is asked, just

- 2 request that the question be readdressed or rephrased.
- If at any time you need to take a recess,
- 4 | please ask. Also remember to speak up loud and clear
- 5 into the microphone, to assist the court reporter to
- 6 transcribe the hearing. With that said, I would like to
- 7 start asking questions.
- 8 A. Okay.
- 9 Q. Mr. Nomura, can you talk to us about the
- 10 Trident Seafood facility in St. Paul, Alaska as far as
- 11 | what services are provided, and who are your main
- 12 | customers?
- A. It's the Northern Crab Fleet, with Northern A
- 14 | Shares. And we process their crab and provide bait if
- 15 they need it.
- Q. I think you need to speak a little closer to
- 17 | the microphone, sir. Can you bring the microphone a
- 18 little closer? It was hard to hear you. Can you repeat
- 19 | that?
- 20 A. We provide, at St. Paul, we provide processing
- 21 of the Northern A Shares. And also bait, water, and
- 22 | there is fuel available at St. Paul, but not through
- 23 Trident.
- 24 Q. Can you explain to me how Trident Seafood in
- 25 St. Paul operates under Crab Rationalization, as far as

1 being the facility where crab boats go there to offload

- 2 | their quota shares?
- A. We take the Northern A Shares, up in St. Paul.
- 4 And we also will take B, C's, and CD key (sounds like)
- 5 | shares if they desire to bring it there.
- 6 Q. Can you be a little more descriptive of what
- 7 | is a Northern A Share?
- 8 A. A Northern A Share, is a share that is
- 9 designated to be in the Northern Region. It has to go
- 10 to the Northern Region under Crab Rationalization
- 11 Q. Is Trident St. Paul the only Northern A Share?
- 12 A. This year.
- 13 Q. This year?
- 14 A. This year we -- yes, we are the northern
- 15 processor.
- Q. Okay. Are you only the Northern A Share this
- 17 year, or all together?
- 18 A. This year we were the only Northern A Share
- 19 processor.
- 20 Q. Okay. Does that change year to year then?
- 21 And if so can you elaborate how that works?
- 22 A. Well, before there were -- ISLA Seafoods was
- 23 | up there with R&T, and they -- I believe this year or
- 24 last year, I can't remember now -- but they didn't come
- 25 up there.

Q. Are you referring to Trident being the only processing plant on St. Paul, so therefore there is no

- 3 other, like for example Peter Pan or other --
- 4 A. Correct.
- 5 Q. So, they are the only ones there. So when
- 6 | boats come in you are the only one there to collect
- 7 | their, their shares, is that what you are saying?
- 8 A. Correct.
- 9 Q. Okay. Can you talk to us about how vessels
- 10 | schedule loading and offloading on Trident St. Paul?
- 11 And can you elaborate about any restrictions or
- 12 difficulties you may have up there in St. Paul?
- 13 A. This year they could make deliveries three
- 14 days prior, after they started fishing, to make offload
- 15 | delivery date. Restrictions would be weather.
- Q. When you say this year are we talking since
- January 1, 2017? Or are we talking --
- 18 A. Correct.
- 19 Q. -- a fishing season?
- 20 A. This year.
- 21 Q. Okay. Is your facility able to provide
- 22 | multiple ships at the pier at one time? Or can you kind
- 23 of elaborate into that? How many crab boats can fit at
- 24 | your pier at one time?
- 25 A. Two.

1 Q. Do you ever have two crab boats on your pier

- 2 | at one time?
- 3 A. Yes.
- Q. Okay. Was there a set date, or recommended
- 5 date for the facility to shut down some time in
- 6 February, 2017? And if so, what would happen if a boat
- 7 | wanted to offload after that date? Can you explain a
- 8 | little about that?
- 9 A. We had a target date between February 20th and
- 10 25<sup>th</sup>.
- 11 Q. What does a target date mean?
- 12 A. That's when the -- a date that we are kind of
- 13 | shooting for to be done and over.
- 14 Q. When you say be done, are you referring to
- 15 Trident Seafood, the cannery or -- and when do you mean
- done, as well, afterwards, can a boat deliver to you
- 17 | after those dates?
- 18 A. They can. If we were still open, per se, we
- 19 | could take additional crab.
- 20 O. Do boats know in advance those dates?
- 21 A. Yes. We have a pre-season letter go out to
- 22 the coops.
- Q. Can you kind of elaborate on what that pre-
- 24 | season letter is?
- A. It is a letter that we send out kind of

showing our plans of dates, of how we are going to

- 2 operate and --
- Q. For 2017, can you kind of give me a specific
- 4 reason why you chose the 20<sup>th</sup> to the 25<sup>th</sup> to shut down
- 5 | the plant? Is there any reason behind that?
- 6 A. No that's a guestimate when we think we will
- 7 be finishing up.
- 8 Q. Finishing up what, exactly?
- 9 A. Finishing up crab, North A Share crab.
- 10 Q. Can you say that one more time?
- 11 A. That is a target date when we think we will be
- 12 finishing up the Northern A Share crab.
- Q. Does that Northern A Share crab, when you say
- 14 | finish it up, does that mean, when you talk to all your
- 15 coops, that's when they've agreed with you to deliver
- 16 everything? Or is that a date that you set up that you
- want them to make sure that they deliver by? Can you
- 18 help explain that?
- 19 A. It is more informational, that that is what we
- 20 | are shooting for. And then they kind of have an idea
- 21 before the season starts.
- Q. Okay. I would now like to shift the focus on
- 23 your relations with the fishing vessel Destination.
- I'd now like to show Exhibit 158, page 14. This is
- 25 an InReach satellite text message transcript. You have

- 1 | it in front of you as well. This is a conversation from
- 2 Mr. Wilson to Captain Hathaway, telling him that, "Ray
- 3 | says bring more bait". Was there any conversation
- 4 between yourself and Mr. Wilson that you told him to
- 5 | have the Destination bring more bait? And if so, could
- 6 you elaborate about that conversation?
- 7 | A. I did say bait -- that -- have asked, you
- 8 know, just to let you guys know, fishing was slow. And
- 9 they were going through more bait on their fishing
- 10 reports. And that you should come out, you know, heavy,
- 11 | you might want to come out heavy on bait.
- 12 Q. What is, "heavy on bait"?
- 13 A. Heavy is a measurement. Basically just that
- 14 they were using a lot more bait this year in the reports
- 15 that I was getting from the fisherman.
- Q. Was the conversation, were you having that
- 17 | conversation with Mr. Wilson?
- 18 A. Yes, (Inaudible word).
- 19 Q. Was anything mentioned about like how many
- 20 pallets, or specific amounts of bait?
- 21 A. No.
- 22 Q. That they should have brought? Do you know
- 23 how much they generally carry?
- 24 A. No.
- 25 Q. So, when you use the word, "heavy", in your

- 1 | mind -- was the bait that they were bringing to St.
- 2 Paul, was that going to be used by them? Or was there
- 3 | any plans made to distribute it to other crab boats?
- 4 And if so, can you elaborate?
- 5 A. I don't know.
- 6 Q. So, your conversation saying to bring it heavy
- 7 | were you implying for them to bring it heavy for
- 8 | themselves?
- 9 A. Yes. For their own use.
- 10 Q. Were you planning on storing that bait out
- 11 | there for them? Or -- were you expecting them to keep
- 12 | it on their boat?
- A. I did not know until he, Jeff called me on
- 14 Friday.
- O. What didn't you know?
- 16 A. That he was bringing bait up.
- 17 Q. Is that because you never got a response back
- 18 | from Mr. Wilson about your conversation with Mr. Wilson
- 19 as far as him bringing more bait?
- 20 A. I did not hear him from date of that --
- 21 Q. Okay. Did Trident Seafoods ever have crab
- 22 | boats transport bait to other vessels? And if so, can
- 23 you elaborate?
- 24 A. No.
- 25 Q. Okay. Did the Destination purchase any

1 | additional bait? Or transport bait for any other

- 2 | vessels or facilities that you are aware of? And if so,
- 3 | can you share that with us?
- 4 A. Can you repeat the question?
- Q. Yes. Are you aware of if the Destination
- 6 | purchased any additional bait? Or transported any bait
- 7 | for any other vessels or facilities that you are aware
- 8 of, that you could share with us?
- 9 A. No.
- 10 Q. Okay. You mentioned a phone conversation with
- 11 Captain Hathaway. Did you have a phone conversation
- with Captain Hathaway on the 10<sup>th</sup> of February, 2017?
- 13 And if so, could you tell me about that in detail?
- 14 A. I did. He called me approximately between 1
- 15 and 2 o'clock, on the phone and stated that he was going
- 16 to bring 5 to 6 pallets of bait to drop off. And then
- 17 he would be there either tomorrow or the next day. And
- 18 then I think he told me he was about fourteen hours away
- 19 from St. George. And I said okay.
- 20 Q. Did he say what he wanted to do with those 5
- 21 to 6 pallets of bait?
- 22 A. He wanted to drop them off in St. Paul.
- Q. Was he dropping them off for storage? Do you
- 24 know what he was dropping them off for, specifically?
- 25 A. I would assume to store it.

- Q. Did you have storage set up for him?
- A. We didn't prior have storage set up for him,
- 3 but I would go ahead and make arrangements.
- Q. Well, did you make arrangements after he told
- 5 | you that he was going to drop off 5 to 6 --
- 6 A. Yes.
- 7 | Q. -- pallet space. Okay. How much would 5 to 6
- 8 pallets of bait, how much would that weigh? Do you
- 9 know?

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- 10 A. I would say for -- between 10,000 pounds. I
- mean, it is just hard to say, because I don't know what
- 12 | -- the bait got. I didn't, you know, where he got the
- 13 bait, and how much those pallets were.
- 14 Q. Is there an average pallet weight?
- 15 A. Best for us, that we try to keep it around
- 16 2,000 pounds just for inventory.
- 17 Q. And what type of bait would that be?
- 18 A. Dutch Harbor herring, or herring and sardines
- 19 are the most popular bait. Or I should say herring.
- 20 Q. Do you know what Captain Hathaway likes to
- 21 | fish with?
- 22 A. No, I don't.
- Q. Or what his choices are? Did you have any
- other conversations with other fishing vessels in
- 25 reference to bait and waiting for bait to be delivered

by the Destination? And if so, can you elaborate on

- 2 | that?
- 3 A. No, I didn't.
- Q. Okay. During your phone conversation with Captain Hathaway, did you hear any concerns? Was he
- 6 | concerned about anything?
- 7 A. Not that I -- no.
- Q. Was there any discussion about the weather?
- 9 A. No.
- Q. Do you remember a specific time he was saying he was going to be at the pier in St. Paul?
- 12 A. No, not a specific time. Just, like I said 13 earlier, he thought he would be there tomorrow or the 14 next day. And at that time I thought he also said at 15 the end he was fourteen hours away from St. George.
- Q. During your conversation, did you say anything about not having any bait to provide for the Destination while at St. Paul?
- 19 A. With the -- no.
- Q. Okay. All right, Mr. Nomura, on the morning
  of the 11th of February, 2017, were you contacted by the
  Coast Guard in reference the Destination? And if so,
  can you talk about that conversation and any other
  conversations related to that initial phone call?
- 25 A. I believe the Coast Guard did call me, but I

- 1 don't remember what was said. I think David was the
- 2 | first to call me, David Wilson called me.
- Q. Okay. Do you remember any of your
- 4 | conversations with the Coast Guard?
- 5 A. I don't.
- 6 Q. Okay. Thank you Mr. Nomura. Commander, I've
- 7 | completed all my questions.
- 8 CDR MULLER: Thank you Mr. Gillette. Mr.
- 9 Nomura I have a number of questions.

## 10 EXAMINATION

- 11 BY CDR MULLER:
- 12 Q. To start off with, can you help describe, so
- 13 the Board can understand, a basic understanding, an
- 14 | image if you will, of what a processor plant is? I'm
- 15 trying to build an image in my mind, of how many
- 16 employees, how long it works for, what kind of equipment
- 17 is in there, how long it runs.
- 18 A. It is different every year, depending on what
- 19 the quota is, how many personnel we would bring up. But
- 20 | in turn, we have the plant there at the dock. Boats
- 21 | will come in to offload and take the crab off, and
- 22 process it for them.
- Q. What does "processing", mean?
- 24 A. Processing is offloading the crab off the
- 25 | boat, butchering the crab, and cooking the crab, and

- 1 boxing the crab -- freezing the crab and boxing it.
- Q. So by cooking, are we talking steamers? Big pots of boiling water?
- A. It's a cooker of boiling water, for cooking process. And for freezing, go to the brine tank and freeze it, and then case up to box it.
- Q. Does the plant run 24/7? Twenty-four hours a day?
- 9 A. No, no it does not.
- Q. So how, what is a daily schedule? How long is it running per day?
- 12 A. Depending on how much crab we have to run that
  13 day, it would vary.
- Q. So how many employees are operating the plant?
- 15 A. That will vary on our --
- 16 Q. How about in February of 2017?
- A. Approximately, I think about 155, 135/155 -
  18 I'd have to look that up.
- Q. That's fine; I'm just trying to build a basic picture of what a basic processor -- do you recall for the crab season in February, opilio correct?
- 22 A. Correct.
- Q. Do you recall when that species is open for catch, or for harvest? When it started and when it was scheduled to end? And I believe that is determined by

1 | the State.

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- 2 A. That is correct. It starts October  $15^{th}$ .
- 3 Q. For opilio?
- 4 A. For opilio.
- 5 Q. And when does it end?

dates to give accurate --

- A. There are two times it ends, on two -- the
  eastern and the western I believe. But I don't have the
- Q. So up in your region of the Bering Sea what month would the season have ended for harvest, and
- 11 delivery to your -- all right. Are we talking March,
- 12 April, May? When does the season end? When does
- 13 crabbing season end?
- A. That, I don't have exactly the dates; it could be May -- January, February, March, April.
- Q. Okay. You mentioned you -- do you sell bait to customers? To fishing vessels?
- 18 A. Yes.
- 19 Q. And it was, was it sardines and herring?
- 20 A. This year it was sardines and -- excuse me,
- 21 sardines were not available, because of the closure.
- 22 But surry and herring.
- 23 Q. Okay.
- 24 A. And squid was also available.
- 25 Q. You had squid available?

- 1 A. Correct.
- Q. Did the Destination request to purchase squid
- 3 from your facility?
- 4 A. No.
- 5 Q. Was it reserved for another vessel?
- 6 A. No.
- 7 Q. I know you already had a few questions on
- 8 this. But I feel like I need some more clarification.
- 9 | So you have a target close date for your facility. Can
- 10 | you describe to me what happens when your facility
- 11 closes for the season? Does that mean it just shifts
- 12 into a different kind of operation? Or does it go cold,
- everything shuts down, and all the employees leave?
- 14 Help build a picture for me.
- 15 A. When we are done with getting all crab, the
- 16 plant will close down for the season.
- 17 Q. And where do the employee? Do they live on
- 18 the island or do that live elsewhere?
- 19 A. Most live elsewhere.
- 20 Q. So if your target date was February, by
- 21 February 25<sup>th</sup>, and if a boat, crabber, wants to continue
- 22 crabbing out to the very end of the season and still had
- 23 A Shares to deliver to your plant, to your processor,
- 24 | what would -- has there ever been a scenario where a
- 25 | vessel wanted to deliver their catch after the target

1 date?

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- 2 A. Not that I know of.
- Q. And why not? What takes place at that scenario we have started to develop?
- A. The coops, they kind of already know our plans, and they would work, work with those plans if they -- you know, if there was, I guess somebody that wanted to fish later or something, we would hope they would speak up and tell us. And then we would work accordingly, work something out. But the coops work with the vessels.
- Q. So hypothetically, and I am asking this so I

  can understand, if a fishing vessel, a crabber wanted to

  deliver their catch at the end of the season, let's call

  it May. What would your plant do? Let's say you had

  one crabber left, what would happen?
  - A. We would talk with the coop and the vessel, and see if something could be worked out.
- Q. What are the ramifications if you have some crabbers still fishing, or wanted to fish to the very end of the season? What are the ramifications to your plant?
- A. I don't know, because we have never had it happen.
  - Q. Would you have to keep your employees on board

1 longer, and keep the plant running longer?

- A. If we had to, yes.
- Q. Okay. Is there overhead costs associated with that?
- 5 A. Yes.

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- Q. Okay. When boats deliver their catch, their crab to processors, depending on the time of the season, early, mid, late does it affect the price that the processors offer to the crabbers? In other words, does the market value of the crab, shift, change, or fluctuate throughout the season? And is that something your, a processor, or your company has a handle -- has
- A. You are talking opilio?
- 15 Q. Yes.

an influence on?

- A. Yes. There is a set, posted price. And I am trying to think if there is a date or not. I don't think there was.
  - Q. Okay, I'm just asking if there is, if the price fluctuates. And what -- would the crew of a crabber know what the current going price is, or projected price is in the coming weeks, or months? Is there any way they have in knowing that?
- A. There is a posted price that we post on the board. And that posted price would be what the coop

- 1 would offer, for their prices.
- Q. Okay. Just to clarify, when you said you had
- 3 | two boats on your pier at a time, are they both, both
- 4 | those boats able to conduct loading or unloading
- 5 operations? In other words, do you have two cranes, and
- 6 | --
- 7 A. Yes.
- Q. Okay. Do you have any lay berths at St. Paul?
- 9 Other berthing available at St. Paul, or is there only
- 10 room for two boats, essentially?
- 11 A. There is other berthing at the city dock that
- 12 | the city has.
- 13 Q. Is there an anchorage in St. Paul?
- 14 A. Yes, outside the harbor.
- 15 Q. Are you aware of any -- check that. Does your
- 16 | facility monitor the weather?
- 17 A. I do.
- 18 Q. In what means? Do you monitor the marine
- 19 | weather reports?
- 20 A. Yes.
- 21 Q. Had you heard of any freezing spray warnings
- 22 | in the days leading -- in February? Do you pick up
- 23 those kind of messages, or tag lines as they call them,
- 24 | I believe?
- 25 A. I just get the weather report off the

- internet, and that's what I go off of. I look at the
- 2 marine forecasts.
- Q. Okay, do you recall if it ever mentions
- 4 | warnings about freezing spray?
- 5 A. I believe they had some weather with freezing
- 6 spray.
- 7 Q. One more question. Is -- are you aware of any
- 8 | harbor master, or port authority or somebody in charge
- 9 at the port, in general at St. Paul?
- 10 A. Yes, they have a harbor master.
- 11 Q. Okay. Are you aware -- are they staffed 24/7?
- 12 I'm trying to imagine in my mind, is there a control
- tower to manage the traffic coming in to the port.
- A. Sometimes they do, sometimes they don't.
- 15 Q. Okay, thank you. Mr. Karr do you have any
- 16 questions for the NTSB?

## 17 **EXAMINATION**

- 18 BY MR. KARR:
- 19 Q. Mr. Nomura I have follow-up questions on some
- 20 of the questions you have been asked. Is the posted
- 21 price, the price the boats receive when the season is
- 22 over?
- 23 A. It is the price that is received -- it's an
- 24 advance price that they receive.
- 25 Q. Is it a price they actually receive, or just

1 is it like information on this is what we think you will

- 2 receive for your crab?
- A. They will receive that price for the crab they
- 4 | are delivering at that time.
- 5 Q. That's different from what I heard earlier
- 6 | today, that the -- they don't actually know the price
- 7 until after the season's over.
- 8 A. Well that -- that is the -- I don't, that is
- 9 more the advance price. That when they came and deliver
- 10 to put on the fish ticket.
- 11 Q. So Trident Seafood pays that posted price.
- 12 A. Correct.
- Q. Does that vary much during the opilio -- did
- 14 that vary much during this past opilio season?
- 15 A. No, not usually.
- Q. And how is your processing plant paid?
- 17 A. I don't know.
- 18 Q. Is there any relationship to the amount of
- 19 crab that you process? Or is that just part of the food
- 20 production process.
- 21 A. I probably don't understand the question.
- 22 Being paid --
- Q. Don't worry about answering that. I will not
- 24 ask it. How many boats are in the queue waiting to get
- 25 to your dock in early February, in February around this

1 | time?

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- 2 A. When you say waiting in the harbor?
- Q. Or any -- how many vessels would you know of that were waiting to come alongside the dock and unload their crab?
- A. Well they would call prior to, you know, up to
  three days to make a delivery schedule. And so we would
  take as many boats for that day that we can handle for
  that day. And that would be full, so ...
- Q. Can you give me an idea of how -- you know,
  based on what actually happened in February, if someone
  called in saying they want to deliver on the 15<sup>th</sup> of
  February, would you give them a time?
  - A. If they were the first boat to call three days prior, and they wanted to come on the 15<sup>th</sup>, we would say the time, and the first boat, five in the morning; we would meet them at the dock.
  - Q. And how long does it take to unload one of the boats?
- A. Depending on how much they had. Also depending on the boat. Some boats hatches are a lot easier to deal with than others.
- Q. Can you give me a range of time that it would take? Let me ask you this, how many boats a day do you unload?

A. Depending on how much they had, but we could

- 2 do about 260, 270 a day.
- Q. 260 unloadings?
- A. Pounds.
- 5 Q. 260 -- and about how many pounds would --
- 6 again, a range of how many pounds each crab boat would
- 7 bring in?
- 8 A. Depending how much they had to being in. Each
- 9 boat has different pounds, different --
- 10 Q. I understand. And I know you are under oath
- 11 Mr. Nomura, and I won't hold you to any of these
- 12 details. But I'm trying to get a feel for just how much
- 13 traffic that you had at your terminal, with regard to
- 14 how many boats came in. And then how long they might
- 15 | have to wait before they could get back out and start
- 16 catching crab.
- 17 A. Usually we could take about two boats.
- 18 Q. How many?
- 19 A. Two.
- Q. Two a day?
- 21 A. Two a day.
- Q. Was it unusual -- did you have any -- were
- 23 there any times in February where boats had to wait
- 24 overnight?
- 25 A. Yes. Depending, again, how much they had to

1 | bring in. Some boats -- a boat brought in 300,000

- 2 pounds and he would -- we wouldn't be able to finish
- 3 them.
- 4 Q. All right. And how many, how many boats was
- 5 | you plant serving at that time, during that -- during,
- 6 say the middle of February?
- 7 A. I don't know.
- 8 Q. Can you estimate? Five? I mean, how many
- 9 different boats did you see during that time?
- 10 A. I would have to look back --
- 11 Q. We could get those records from you, correct?
- 12 A. Yes. If you wanted to know how many boats
- 13 that were delivering at a certain date, yes.
- 14 Q. Yeah. And then, how often, like for instance
- 15 the Destination unloaded one day. How soon would they
- 16 | come back to unload again?
- 17 A. I don't know. I don't know how fishing is.
- Q. Well let me ask you this. In February how
- 19 often, if you recall, how often, you know, can you think
- 20 of one boat that you saw one day, and then it was back
- 21 three, four days later?
- 22 A. No, I can't.
- 23 Q. Is there any urgency for a -- or does the crab
- 24 | -- once you catch crab, you keep it onboard in your
- 25 | bait, in your holds. Does crab go bad? Is there any

1 | reason to get there -- I mean, could you wait several

- 2 days before you could get it into the processing plant?
- A. Each boat is different, you know, each boat's
- 4 pumps, some have more dead loss than the others, so ...
- Okay. And do you know why? What causes crabs
- 6 to die in the holds?
- 7 A. There are various reasons.
- 8 Q. When you were talking to Jeff -- first of all,
- 9 how did you talk to Jeff, or Captain Hathaway?
- 10 A. He called me on the phone.
- 11 Q. Do you know what phone?
- 12 A. No.
- Q. Cell phone or --
- 14 A. Regular landline phone.
- 15 Q. And when you were talking about the bait, did
- 16 | Jeff explain to you why he did not want to keep -- why
- 17 he wasn't going to keep the bait onboard the vessel?
- 18 A. No.
- 19 Q. Based on your experience of operating out
- 20 there, do you know why captains would not keep the bait
- 21 onboard, and take the time to unload it at your
- 22 facility?
- 23 A. He was coming to offload the bait to store it.
- Q. But just based on your experience of talking
- 25 to different captains over the year, do you know why

1 | they would, why they just wouldn't keep it onboard?

- 2 A. No.
- Q. When you talked to Captain Hathaway about that
- 4 | delivery did he -- would he have to get into the queue?
- 5 | I mean, how was he going to fit into your facility to
- 6 unload that bait if he had to give a three-day notice?
- 7 A. That is for offloading crab. For him to just
- 8 | come in to offload bait we would just come to the dock
- 9 and offload it.
- 10 Q. So he -- as soon as --
- 11 A. If we have room at the dock.
- 12 Q. So when you think about, when you were talking
- 13 to Jeff, or Captain Hathaway, did you think he could, at
- 14 | that time did you think you could quickly unload him?
- 15 Or were you thinking that maybe there might be a delay?
- 16 A. Unknown.
- 17 Q. Unknown?
- 18 A. Unknown -- unknown when he got there.
- 19 Q. Did Captain Hathaway happen, do you recall if
- 20 | Captain Hathaway happened to mention what he was going
- 21 to do after he unloaded his bait? Did he --
- 22 A. No.
- 23 (Brief pause.)
- 24 BY MR. KARR:
- 25 Q. Did you have any other conversations with

1 | Captain Hathaway or Mr. Wilson prior to, or you know

- 2 like the  $8^{th}$  of February, the  $9^{th}$  of February or the  $10^{th}$
- 3 of February?
- 4 A. Not that I recall.
- 5 Q. Did you have any conversations with them about
- 6 they were running sort of towards end of when you want -
- 7 |- when the processing plant was going to close down?
- 8 A. No.
- 9 Q. Did Captain Hathaway ever tell you how much
- 10 bait he had onboard his vessel?
- 11 A. No.
- 12 Q. Is the Destination, do you know if the
- 13 Destination is part of a cooperative?
- 14 A. Yes.
- 15 Q. Do you know if the Destination was fishing any
- other vessels or any other quotas other than its own?
- 17 A. No.
- 18 Q. You don't know?
- 19 A. I don't know. That would be the coop manager.
- 20 Q. The last question I have is about that, about
- 21 deciding when to close the plants. How much of a public
- 22 | meeting, or a meeting with the owners do you have to
- 23 talk about setting that date?
- 24 A. I believe we came out with the letter on
- December, the 2<sup>nd</sup> of December. And then after that they

1 are welcome to call anytime to talk about it, if there

- 2 is any concerns.
- Q. Are you the person that would take those
- 4 | calls?
- 5 A. Yes.
- 6 Q. This year did you get many?
- 7 A. No.
- 8 Q. Did you get any?
- 9 A. I can't remember.
- 10 MR. KARR: All right. Thanks Mr. Nomura.
- 11 CDR MULLER: Thank you Mr. Karr. Ms. Spivak?
- MS. SPIVAK: No questions.
- CDR MULLER: I have no further questions.
- 14 Before we let you go, I release you Mr. Nomura, are
- 15 | there any other issues, or elements that you believe the
- 16 | board should consider, or otherwise not captured here in
- 17 this testimony?
- THE WITNESS: No.
- 19 CDR MULLER: Okay, thank you. With that, you
- 20 | are now released as a witness at this Marine Board
- 21 Investigation. Thank you for your testimony and
- 22 | cooperation. If I later determine that this Board needs
- 23 | additional information from you, I will contact you. If
- 24 | you have any questions about this investigation you may
- 25 | contact the Marine Board Recorder, LCDR Mendoza. Thank

1	you.
2	THE WITNESS: Thank you.
3	CDR MULLER: We will recess for lunch and
4	resume at one o'clock, thank you.
5	(At 1147 a luncheon recess was taken.)
6	CDR MULLER: Good afternoon. The hearing will
7	come to order. The remainder of our testimony this
8	afternoon is in regards to the Coast Guard search and
9	rescue operations. We will now hear testimony from Mr.
10	Webb. Mr. Webb if you would please come forward to the
11	witness table, and LCDR Mendoza will administer your
12	oath and ask you some preliminary questions.
13	LCDR MENDOZA: Please raise your right hand.
14	WITNESS
15	
	MR. PAUL WEBB
16	MR. PAUL WEBB  A witness produced on call of the agency was
16	A witness produced on call of the agency was
16 17	A witness produced on call of the agency was duly sworn according to the law, was examined and
16 17 18	A witness produced on call of the agency was duly sworn according to the law, was examined and testified as follows:
16 17 18 19	A witness produced on call of the agency was duly sworn according to the law, was examined and testified as follows:  THE WITNESS: I do.
16 17 18 19 20	A witness produced on call of the agency was duly sworn according to the law, was examined and testified as follows:  THE WITNESS: I do.  LCDR MENDOZA: Please be seated. Sir please
16 17 18 19 20 21	A witness produced on call of the agency was duly sworn according to the law, was examined and testified as follows:  THE WITNESS: I do.  LCDR MENDOZA: Please be seated. Sir please state your full name and spell your last name for the

LCDR MENDOZA: Would you please repeat that?

THE WITNESS: Paul Alexander Webb, W-E-B-B.

2 LCDR MENDOZA: State your current and position

- 3 title, sir.
- 4 THE WITNESS: I work for the 17<sup>th</sup> Coast Guard
- 5 District as a search and rescue specialist. And I have
- 6 been employed in that job since 2003.
- 7 LCDR MENDOZA: Do you hold any professional
- 8 licenses or certificates?
- 9 THE WITNESS: No.
- 10 LCDR MENDOZA: Thank you, sir.
- 11 CDR MULLER: Good afternoon Mr. Webb. So I
- 12 | will now turn you to Mr. Scott Giard, our Coast Guard
- 13 | Search and Rescue Technical Advisor to this Board from
- 14 D13.

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## DIRECT EXAMINATION

- 16 BY MR. GIARD:
- Q. Good afternoon Mr. Webb, My name is Scott
- 18 Giard, I am with the Coast Guard's 13<sup>th</sup> District
- 19 Incident Management Division here in Seattle,
- 20 Washington. During your testimony please speak up and
- 21 | into the microphone so the Board and recorder can hear
- 22 you.
- In the course of your testimony please let me
- 24 know if you need any clarification, or need me to reword
- 25 any lines of questioning. Also, please let me know if

you at any time need a recess for any reason.

A. Okay.

- Q. Mr. Webb can you please tell the Board your current position in the Coast Guard at the 17<sup>th</sup> District in Juneau, Alaska?
  - A. Okay. My job title is the same as yours; I am a search and rescue specialist. And it is a civilian position that was created in the early 2000's to help the Coast Guard have some stability in search and rescue in the different locations in the county.
  - So each District has a SAR Specialist, and we handle the program management, search and rescue. Also my other duties are, I stand watch in the Coast Guard Command Center in Juneau as a Command Duty Officer. And I also stand duty as a SAR Mission Coordinator. And that is an outside the Command Center position that actually is assigned to each SAR case. And you are the overall authority on that SAR case.
  - Q. Can you please talk to us about the specific training that you received as it relates to your duties and positions and qualification at District 17?
  - A. Sure. Everybody who is in the search and rescue field in the Coast Guard has to go to Maritime Search Planning. That's a four week school. You go more than once; I've been to it three different times

over the years in 1997, 2003, and then 2014. And it, it

- 2 really is a, it tells you, or that course is what we use
- 3 to train people in how to do search and rescue in the
- 4 U.S. Coast Guard.
- Additionally, I've been to SAR Supervisor and
- 6 | SAR Mission Coordinator courses, which are higher level
- 7 courses for how to manage search and rescue.
- 8 And my general background from the Coast
- 9 Guard, I was a -- when I was active duty I was a
- 10 quartermaster, which deals with navigation, and search
- and rescue more in the field than in the command center.
- 12 But those are the courses that I have gone to. And then
- as you qualify for search and rescue in the command
- 14 center you have to go through a break in period. That
- 15 period usually lasts somewhere between 2 and 8 months
- 16 depending on where you are at.
- 17 Q. Mr. Webb can you please describe the search
- 18 and rescue chain of command? How that normally works
- 19 and how that would work for a search and rescue case off
- 20 of St. George Island?
- 21 A. Okay. In general for search and rescue
- 22 anywhere in the U.S. or even worldwide in a lot of ways,
- 23 you have a SAR Coordinator. And the SAR Coordinator is
- 24 | the position that's held by the District Commander's in
- 25 | the Coast Guard.

And they set general policy for search and rescue, and within their search and rescue region. And for Alaska Search and Rescue Region it is defined in the National SAR Supplement, and also through international agreement.

individual SAR case, you have the SAR Mission

Coordinator. SAR Mission Coordinator is somebody who is designated by the SAR Coordinator to be the administrator of that SAR case. And that person is not a member of the command center watch; he is outside the watch floor. And that's done, specifically to have an oversight that is independent from the watch floor.

In the command center, and there are two terms we use. The Coast Guard calls command centers, so the District 17 Command Center is also JRCC Juneau. Which is Joint Rescue Coordination Center Juneau. And that's an international term, JRCC. And that's because we do both maritime and aeronautical search and rescue.

So inside that command center we have watch teams. And the Coast Guard has a standard format for Coast Guard watches and command centers. And those are, usually a three-person watch at a district level. And we have a command duty officer, which is the senior person with oversight of the whole watch floor, and the

watch. Not only that particular SAR case, but also any other missions that are going on.

Then you have the operation unit controller

which is the person who is actually doing the search and

rescue planning. That is usually a senior petty

officer at our level, at the district level, usually

E6/E7 level.

And then we have another operation unit controller, which is more in line with our situation unit. They not only can they do a SAR case, but they also keep track of where all our traffic is, all the Coast Guard assets, weather, anything to help build a situation picture for the watch to understand what's going on out in the environment.

So those watches are twelve hours. They usually, for us they relieve at six in the morning and six in the evening. And so the watch goes 24 hours a day, 7 days a week, at -- that's the district format.

Sectors are slightly different, and I can talk about them now, or at a different point.

- Q. Thank you. Can you briefly describe the difference between maritime and aeronautical search and rescue?
- A. Sure. Maritime search and rescue, not all search and rescue regions are aeronautical. In

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1 maritime, for us, we take care of both maritime
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- 2 incidents like a vessel sinking, or an overdue, or
- 3 | whatever. And we also take care of aircraft
- 4 emergencies. And if it is in our area that is
- designated for the 17<sup>th</sup> District, and the Juneau search
- 6 and rescue region we are the primary federal respondent
- 7 | for that. With, you know, the FAA or whoever. So
- 8 | aircraft incidences will be coordinated through our
- 9 Command Center. Or through one of the Sectors if it is
- 10 | within their area of responsibility.
- Maritime cases, the Coast Guard is the primary
- 12 | Federal Agency that responds to maritime search and
- 13 rescue.
- 14 O. Thank you. You previously described the
- 15 duties and responsibilities of the search and rescue
- 16 mission coordinator. Can you also please describe the
- 17 duties and responsibilities of a person exercising
- 18 active search suspension authority?
- 19 A. Sure. So active search suspension authority,
- 20 or suspension authority, is usually held by the -- in
- 21 the 17<sup>th</sup> District the District Commander. He is the
- 22 | senior ranking officer in the Coast Guard in Alaska. He
- 23 | is the final person to have say whether or not we
- 24 suspend a case.
- 25 There is different reasons why we suspend.

1 | There is suspend -- we suspend cases of uncorrelated

- 2 | maydays, and flare sightings, there is absolutely, there
- 3 | is nothing further that happens, those are delegated
- 4 down, that authority is delegated down to the Sector
- 5 Commanders, and to some of the District staff.
- But when you have missing persons, that
- 7 | authority remains at the District Commander level. And
- 8 | so what will happen, is after a case is prosecuted, and
- 9 | we get to a point where we are not finding anything and
- 10 our ability to continue to search is now getting less
- 11 and less successful. We do get to a point where we have
- 12 to decide to suspend a case.
- And so that complete suspension package
- 14 discussion of the case occurs. And there is a
- 15 discussion with the next of kin by the SAR mission
- 16 coordinator that we will be suspending at some point.
- 17 And then, when the time comes after usually the last
- 18 search, or close to the last search being completed, we
- 19 | will brief to the admiral and we will make the decision
- 20 then whether or not to suspend a case, or continue
- 21 searching.
- Just because we do a suspension brief doesn't
- 23 | mean the case always gets suspended at that point.
- Q. Thank you. Can you briefly describe what the
- 25 District Commander is? Who he is? What he's

responsible for?

- A. District Commander for the 17<sup>th</sup> Coast Guard

  District is Admiral McAllister. And he is basically, in

  charge of operations in the 17<sup>th</sup> District. And that

  includes search and rescue, law enforcement, marine

  safety, all eleven mission areas, which we really do

  about nine of those up in Alaska. And he is the head of

  the Coast Guard in Alaska.
  - Q. Thank you. Can you please walk through the training process for a newly arrived person arriving to the District 17 Command Center? And when I say newly arrived personnel, I mean a watchstander.
  - A. Okay. Like I said, there are a couple different watch positions, CDO, and the Command Duty Officer, and the Operation Unit Controller. The command duty officers normally are senior petty officers, the chief petty officers, E7 and above. And officers that come in are usually O2/LTJG, Lieutenant level.

So on the enlisted side, for the operation unit controller position; we have E6's, or First Class Petty Officers that hold that position. That's a senior petty officer position. They usually have a few units under their belt before they show up in our Command Center. So they are pretty well experienced.

The process comes in, when they get into the

1 District they are given a package, it is called a PQS,

- 2 | it is a qualification package, and it has a national
- 3 PQS, which is required by the Coast Guard nationally to
- 4 | complete. And then there is a local one that is
- 5 required by the District to complete. And that is
- 6 specific to operations in Alaska.
- 7 And so they will get that package, and they
- 8 | are given a certain amount of time to complete it in.
- 9 They have to stand a minimum of fifteen watches, and
- 10 then they go through a search and rescue exercise, a
- 11 training exercise which includes a drift modeling, and
- 12 decision-making for a fictitious SAR case.
- 13 And then after that they are given a test of
- 14 about, I think it is -- the questions are up to about
- 15 | 196 questions they have to answer. And then from there
- 16 | we hold a board. And the board is senior people on the
- 17 | staff that have knowledge of operation in the Coast
- 18 Guard, and the staff of the Command Center, and myself,
- 19 and some other watchstanders that are able to ask
- 20 questions and see what the knowledge is of that person
- 21 after that period of time, of break in.
- 22 And then we make a decision whether or not to
- 23 | qualify them. So from start to finish, from the time
- 24 | they start to the time they finish, they have four
- 25 months to do that. Most people do it in about two

months, to two and a half months to complete it.

- Q. Thank you. Can you describe the training program, or required training that search and rescue mission coordinators and persons exercising active search suspension authority require?
  - A. Once a year, from the Coast Guard standards, once a year they have to go through and look at the requirements for the SAR Mission Coordinator and active suspension. It is in the SAR Addendum. For the SAR Mission Coordinators, they also have to participate in our monthly SAR ex's (sounds like) that we do using our drift program, SAR OPS.

And with that, what we do is, each person who is in the Command Center has to complete their SAR OPS drift. And then they have to brief that to one of the SAR mission coordinators. So, as a SAR Mission Coordinator, I usually get about five of those briefs per month. And basically, they describe the case, and we go through it like it is a real case. And then we discuss the SAR operation and the drift.

And that is a two-fold program. One it trains and it keeps the person on the watch floor sharp on SAR OPS. And then it also, it helps in the ability of people to communicate back and forth, and make sure that we are asking the right questions, and providing the

1 | right feedback in doing the risk management that is

- 2 | necessary to do search and rescue correctly. And all
- 3 | that is then recorded through our SAR Plan.
- 4 Q. Thank you.
- 5 A. Um-hmm.
- Q. Can you explain to me, on a typical day the functions of the D17 Command Center? What is it like to
- 8 be on watch in the D17 Command Center on a typical day?
- 9 A. Well, a typical day, at six, like I said, the
- 10 | watch relief is at 0600, local. And usually you get in,
- 11 you should be in about a half an hour or so beforehand,
- 12 | if not a little bit sooner.
- 13 You go through all the reports that have gone
- 14 out the night before, and the morning PowerPoint that
- 15 | gets briefed. You check the weather; check the status
- of all the Coast Guard assets, locations where they are
- 17 at. You kind of, you look at the AIS so you can see
- 18 what vessel traffic looks like out on the ocean.
- 19 Compare that with what the weather situation is, where
- 20 there might be potential issues.
- 21 For us, because it is Alaska, we look at the
- 22 | ice edge, where that's at. On any particular day, it
- 23 | doesn't really matter, winter or summer; there is always
- 24 | an ice edge.
- 25 You, once you feel you are at the point that

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1 you have gotten all the information that is necessary,
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- 2 then you have a discussion with the off-going watch.
- 3 They will talk about any active and open cases. Any
- 4 cases that have been closed. Any issues that may be
- 5 held over from the night watch. And then once you have
- 6 all that, you do your watch relief, and then you start
- 7 your day.
- If you have any active cases, you then
- 9 | continue down with whatever search planning or whatever
- 10 resource management you have to do to finish that case.
- 11 We also have a few reports; we put out a couple reports
- 12 per day. In the morning, and in the evening. You track
- 13 Coast Guard operations throughout the day.
- It is not only search and rescue. The thing
- 15 | about the Coast Guard Command Center is that we have all
- 16 | Coast Guard missions. If we are not running it, we are
- 17 | at least tracking what's going on in those Coast Guard
- 18 missions. So we stay pretty busy with a lot of
- 19 different subject matter. It could be law enforcement,
- 20 | it could be fisheries, it could be vessels, fishing
- 21 | vessel safety, boardings that are going on.
- 22 There is also a lot of staff input that we --
- 23 people ask us for things, or to do things for the staff.
- 24 And we usually have to do that too, on top of any search
- 25 and rescue that is going on. But in Alaska search and

rescue is the largest issue we deal with. And that's what we spend most of our time on.

- Q. How many search and rescue cases does the
- 4 District 17 Command Center run a year?
- A. This year I think we are going to run probably about 200. The total for the District when you include the two sectors, we are on track to run about 550 to 600
- 8 cases this year.
- 9 So the District in the area of responsibility
- 10 | that we take the primary SAR Mission Coordinator
- 11 responsibilities, it's going to be about 200. And last
- 12 | year it was about 160 cases that we ran. At the
- district level, most of the cases that we do are in the
- 14 medical world, maritime transports and medivacs off
- 15 ships.
- Q. Thank you. We will now display Exhibit 134.
- 17 Exhibit 134 is an excerpt of the U.S. National Search
- 18 and Rescue Supplement showing the Pacific Maritime
- 19 Search and Rescue Regions.
- 20 | (Brief pause while Exhibit is shown on the
- 21 monitor.)
- Q. Mr. Webb can you see that okay?
- 23 A. Yup.
- Q. Okay, thank you. You have also got a binder
- 25 | in front of you if you need it. Mr. Webb can you please

explain to the Board, the Coast Guard in Alaska's area of responsibility, and the North Pacific Search and Rescue Region?

A. Okay. Start out with the size of our AOR, that is Area of Responsibility is about 3.3 million square miles. And our coastline that we have to cover is at about 44,000 miles. So it is a relatively large area. It matched what the lower 48 has all together throughout the whole country. Our area stretches, if you put it over the United States, lower 48, it stretches from one end of the country to the other.

AOR covers is the North Pole. And then we come down from the North Pole and we have our Arctic Week (sounds like) Region, which is kind of a pie-shaped region as it goes out to the east and the west. It comes down to the U.S./Canadian border to the east. And then our shared maritime boundary with Russia and into the Bering Sea down all the way through the Aleutian Islands, down off of -- then we connect up then with the Japanese area of responsibility at 40 degrees north. So we go from 90 degrees to 40 degrees in latitude to the south.

We, our neighboring RCC's include, internationally all the artic countries. And then we also have Canada to the north and to the south of us.

Victoria RCC, the Seattle RCC here in -- that operates
here out of the Federal Building.

And Alameda, Hawaii, and Japan. So nationally and internationally we have a lot of partners and a large area to cover. It is just a very huge area to cover with a small amount of assets, actually.

- Q. Thank you. Can you please explain the Coast Guard search and rescue resources, and where those resources are located and available during a typical opilio crab season? And can you please also describe if or has that configuration changed over the years.
- A. Sure. Okay, just talking in western Alaska and how we operate, our main resource is Air Station

  Kodiak. And they are, the base is at Kodiak. They have five C130's there. We have six H60 helicopters. Those are the two main search and rescue aircraft. The H60 medium range helicopter, C130 is long range cargo aircraft.

Wenzel, or a 378, large Coast Guard patrol vessels with a smaller helicopter on the back. The H65 helicopter, which is a short range helicopter. There is always one of those in the Bering Sea. And during the two crab seasons, the King crab and the opilio crab, we forward deploy out of Cold Bay.

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We have one helicopter there. They are in what's called a B2 status. In other words, they have two hours to get airborne from the time we give them the call. And then they fly out of there, and they can reach, pretty much, where the crab vessels are operating 5 on a first sortie. They can land in St. Paul, refuel 6 and go farther, do some lily padding if necessary.

What's changed over the years is that when the crab season was a derby type crab season, compared to the Rationalization of the crab season, we deployed helicopters in two different locations. We deployed out of St. Paul for opilio crab, and we deployed out of Cold Bay for the king crab. And because those seasons were much shorter, and there was a timeframe there that they were going to be open, and we were able to man it differently than we do now.

Now the manning of the forward operating locations is much longer, because the seasons are much longer, they are spread out a lot more. So we have to manage their crews and our aircraft out there. So we put one aircraft in Cold Bay, and then we follow-up with the second aircraft in Kodiak. And so we are launching one from Cold Bay and launching the other from Kodiak as self-rescue. And we are in the B2 status, which means they have a longer period of time that they can respond

because the, it has to do with the manning issue, crew

- 2 rest, and they can't be in a BO and keep them on scene,
- 3 or keep them out there as long.
- 4 So the season for opilio will start in
- 5 January, and we will keep a helicopter there until,
- 6 | there is a certain amount of vessel level it gets down
- 7 to. Usually, if there are less than ten vessels we will
- 8 pull it back to Kodiak. So we are out there, I think
- 9 | last year we were out there through the end of March.
- 10 Sometimes we have been through, out there through the
- 11 end of, the middle of May for opilio. So it depends on
- 12 how many vessels out there.
- Also, why they are out there, it is not only
- 14 | the crab season that's going on; there is one or two
- 15 other fishing seasons going on. And those boats are as
- 16 much at risk as any other vessel out there in the middle
- of winter. So it gives us the ability to respond sooner
- 18 than we would if we were flying everything out of Kodiak
- 19 all the time.
- 20 Q. Do you recall when the Coast Guard stopped
- 21 | sending helicopters to St. Paul?
- 22 A. That is, I believe that was about three years
- 23 ago now.
- Q. Okay. How do mariners in the Juneau search
- 25 and rescue region make the Coast Guard aware that they

are in distress?

A number of different ways. It could be a radio through Combat (sounds like) Kodiak, which is the HF radio facility out in Kodiak. They can send a -they can call us on satellite phone. They can put out a mayday that's picked up by other vessels. They can send a GMDSS alert through Inmarsat-C, through HF, or they could have their emergency beacon activated either manually or automatically, if it is -- well, floats free.

And like on this case the beacon was activated when the vessel sank -- or at least the -- at some point there it became active. And we got the signal in Juneau. Basically, that goes through a process that we -- we get the 406 SARSAT alerts. And then we respond from there.

Q. I'd like to now display Exhibit 135. Exhibit 135 is a diagram provided by the National Oceanographic and Atmospheric Administration, depicting the search and rescue satellite aided tracking system. I would also like to display Exhibit 228.

Exhibit 228 is the emergency position indicating radio beacon belonging to the fishing vessel Destination that was recovered from the waters north of St. George Island on 11 February, 2017.

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1 (Brief pause as exhibits are displayed on monitor.)

- Q. Mr. Webb, can you now explain how the Search and Rescue Satellite Aided Tracking System, or SARSAT operates, please?
- A. Sure. Okay, SARSAT, the basic function of it is to get an alert to the Coast Guard or the Air Force depending on the type of beacon and where they are located.
- So, when a beacon is activated, the code from
  the beacon, because all of these beacons are digitally
  coded, it is called a hexadecimal code. That signal is
  sent from the beacon, and it is picked up by a
  satellite. And there is different types of satellites.

  I'll talk about those in a minute.
  - But once it is received at the satellite, the signal will then, is sent to a ground station, a local user terminal is what it is called. And from there it is processed and sent to the Mission Coordination Center, which is in Rockville, Maryland. Or not Rockville, Maryland, but Suitland, Maryland, the NOAA facility there.
- Once it goes there, it goes into the -- the
  database will get the information on the beacon, who
  owns it, the name of the vessel, contact information.
  And then that is sent to whatever district this beacon

1 is either activated in or the vessel is registered to.

In this case, we got the beacon information

- 3 | because it was activated in Alaska and they had a
- 4 position with it. This is all done automatically.
- 5 There is no hands on until the Coast Guard gets it. So
- 6 | the first time a human looks at it is in the Coast Guard
- 7 | Command Center. And we have a computer that is
- 8 dedicated, that is totally dedicated to receiving these
- 9 beacons, and for us to process. It is separate from our
- 10 other computer systems.
- With that, there are three separate types of
- 12 satellites that pick up the signals. The geosynchronous
- orbiting satellites, which are basically the go
- 14 | satellite, the big satellites that give you the pictures
- down to earth. They have a receiver on those. And they
- 16 are down, and they don't really move their position in
- 17 relation to the earth.
- And when they pick up the position, or pick up
- 19 the hex code from the beacon, it doesn't give a
- 20 position; it only gives the information for contacting
- 21 | somebody. It gives their vessel name and their contact
- 22 | information, if that is up to date we will have that
- 23 information.
- 24 If the beacon has a GPS attached to it or
- 25 embedded in it, it will also give us an E-position, and

we will then have a position. That's the only way on a geosynchronous satellite we will get a position right away, is if it has a GPS attached to it.

The second way, or the second type of satellite, which has been around the longest with the geosynchronous ones is the low earth orbiting satellites. And that's the ones that we get positions calculated with. There are 5 or 6 satellites up there flying that have this capability.

And basically, what happens with that, as the beacon signal is going off every 55 seconds, the satellite, once it is in range, and sees it, will pick up that signal. And then using the Doppler Effect, which is like when a train is going by, you hear it loudly, and then it just, then it goes away. It takes that information and calculates a position.

It takes two satellites to get a position -and two passes, not two satellites, as much as two
passes. And it can give you a position off of those
two Doppler lines of position that it creates. That,
right now, is the most accurate way for us to get a
position with the exception of the GPS encoded.

The third method, the third satellite system which is still in its early operation stages, is the MEOSAR Medium Earth Orbiting Satellites. The Medium

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Earth Orbiting Satellites came online in December of
this year. And basically, they are using the technology
from GPS satellites, and the capabilities in that, and
are taking these signals that these GPS satellites are
hearing and processing those, giving those positions.
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There are some inaccuracies with it. It is very accurate; I'm sure when the beacon doesn't move.

There are inaccuracies with it when it is in the water, even the movement of a beacon on the wave action, causes some inaccuracies. So you will get different positions jumping around in about a 5 to 20 kilometer area around wherever this beacon is actually activated. It is something that they are working on. It is still in the early stages. Eventually they will get all that figured out.

But it does give you a position. And it does give you an alert. And it does give you an area to search. So it is still helpful.

The MEOSAR, the way it calculates the position is a time difference. And it works like the old Loran-C worked in a lot of ways, with the -- it figures out the time difference between three different satellites. So if you have three satellites you get a position.

Anything less than three satellites you won't get a position. But these satellites are all up overhead,

worldwide, and has a lot of greater coverage than the

- 2 either of the geo or the leo satellites do.
- Q. When the Destination's EPIRB was activated,
- 4 how long would it take for the signal from that beacon,
- 5 | in the water take to reach the Coast Guard?
- A. If it is picked up by the satellite, it is
- 7 | pretty quick. I don't know what the actual time is.
- 8 But it is relatively, pretty quick. And I think those
- 9 | are all MEOSAR that got picked up. I don't think it was
- 10 | a GEO or a LEO. So as soon as the satellites picked up
- 11 the signal and processed it, and then it was sent to us.
- 12 So it was pretty soon after the vessel went down.
- Q. Do you think, this is just a rough estimate,
- 14 | minutes?
- 15 A. Minutes.
- Q. Okay. Thank you. We will now move on to
- 17 programs and systems that the Command Center uses other
- 18 than SARSAT inside the Command Center.
- 19 A. Sure.
- 20 Q. So can you please speak to the equipment,
- 21 programs, and tools for planning search and rescue cases
- 22 | in the maritime environment that the Coast Guard in
- 23 | Alaska uses?
- 24 A. Okay. Probably the most important program we
- 25 | use is called SAROPS. And it is Search and Rescue, an

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1 Optimal Planning Tool. All right. It is a pretty
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- 2 powerful program. It is based in Esri Software, which
- 3 | is a mapping software. And the Coast Guard puts this
- 4 program on top of it.
- And what it does, is it takes the information
- 6 that they have on this -- whatever distress, who's ever
- 7 | in distress, the position, time. And it takes that
- 8 information and it adds in the weather information, and
- 9 the characteristics of the drift object, whatever,
- 10 whether it is a vessel, or person in the water, or life
- 11 raft. And it gives you probabilities of where these
- 12 objects would drift.
- We used to do this by hand, years ago. It's
- 14 | called manual solution, it is still out there. And it
- 15 is an internationally recognized system. By doing by
- 16 hand, a manual solution. And over the years we
- 17 computerized it. And in about, oh, I think it was about
- 18 2006, was it, or somewhere like that, is when SAROPS
- 19 became operational.
- 20 The thing about SAROPS is that it can do about
- 21 | 10,000 replicants. In other words, it will drift 10,000
- 22 objects. You say you have a life raft; it will drift
- 23 | that 10,000 different ways. And that's done using the
- 24 environmental data that is available for that area. And
- 25 | what SAROPS does, is it goes and it grabs the wind data

and the current data for that area through different environmental servers.

It turns out, it is pretty accurate. We drop,
they are called vessel VINDI's (sounds like) they are
satellite based data marker buoys. And we compare our
drifts to what the satellite drift beacon, drifting
beacon will tell us. And that's kind of, one of the
ways we tell whether or not we are accurately drifting.

You know, we are using the right servers.

So, using the information we get from the environmental servers, using the information on the search objects, whether it is a vessel, a life raft, a person in the water, or somebody on a paddle board or something like that. There are lots of different things that we drift. We can drift debris. It will give us a probability grid like the one you see there on the SAROPS screen.

And then from there the next part is the planning tool. And in the planning tool we will build search patterns on top of that, that probability grid.

And what you put in there is, are the type of search -SRU's, the Search and Rescue Units that we are going to use. Aircraft, or cutters, small boats, Good Sam's, and you know, we can give them a search pattern. They -SAROPS is limited to making parallel searches, it

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doesn't automatically make some of the other search
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- 2 patterns that are out there. But, you can take those
- 3 | search patterns and manipulate them to give you a higher
- 4 probability than what the computer is telling you.
- 5 And once you get all -- you get what you need, you then
- 6 pass that information on to your SRU's. And they will
- 7 do the searching for you.
- 8 That's the main tool we use for drifting. We
- 9 us lots of other tools. We, you know, we have lots of
- 10 references for weather. We have, we us the National
- 11 | Weather Service mostly. We can get what are called spot
- 12 reports from the Weather Service. Which, we give them a
- 13 certain location and say, can you give us a very
- 14 detailed picture of the weather in this area for now and
- 15 | for however long we need it. And they do that for us
- 16 and they do it very quickly.
- We use AIS, Automated Information System for
- 18 tracking vessels. We use -- there is -- it is on ships.
- 19 The AIS is for ships, ship to ship for avoidance,
- 20 | basically so they don't run into each other. But it
- 21 | also provides, on the shore side, it provides us a
- 22 picture of what vessels are out there.
- 23 And one of the things, in ALASKA, we use an
- 24 organization called the Marine Exchange of Alaska. And
- 25 | we get this AIS data throughout the whole State of

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1 Alaska. And if you have an AIS transceiver on your
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- 2 | vessel we will see your vessel. And the Destination did
- 3 have AIS on it, and it was seen. The watchstanders on
- 4 | the watch floor are -- since AIS has become available it
- 5 is one of the things we do right away. We look at,
- 6 okay, this is what SAROPS is saying -- or SARSAT is
- 7 | saying. And then we look and see if the vessel is
- 8 | showing up on our screen for AIS.
- And then most of the other tools, you know,
- 10 tools we use are mostly just computer based, you know,
- 11 word-processing, and messaging, and things like that.
- 12 But SAROPS is the main tool we use.
- 13 Q. When you say drift, can you briefly describe
- 14 | what you mean? Does it physically mean drift on the
- 15 | water?
- A. It is, drift is a part of the -- you have what
- 17 | is called datum. And datum is the position of any
- 18 object after it's been drifted for wind and current over
- 19 time. And so what SAROPS does is it takes that wind and
- 20 | current, and the object type and it will drift it. And
- 21 every object drifts differently. You know, a rubber
- 22 duck drifts different than a piece of wood type deal.
- 23 And objects will, as they are drifting along, you think
- 24 they might drift in a straight path, but they don't.
- 25 They diverge to the left and to the right of the way the

wind is blowing and the current is taking it. So things
move off side to side.

And that's why, in the old days we drifted one 3 4 object. Now we are drifting 10,000, up to 10,000. And they go in all different directions, and that's why you 5 have the picture like that. And each one of those 6 objects that SAROPS is drifting, it does what is called 7 a flyby. It gives you the probability of success of 8 seeing that. And all of those things are calculated 9 together to give you a total probability of success for 10 that individual search, and then overall for the whole 11 case. 12

But drift is a part of that process to get datum.

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- Q. Thank you. Exhibit, currently on the screen is Exhibit 140; it is a static screenshot of the Coast Guard's Search and Rescue Optimal Planning System, or SAROPS. Can you also briefly describe, Mr. Webb, what a search pattern is?
- A. A search pattern is a track line, basically
  that we give a search and rescue unit to follow. And
  what it does it is built -- usually they are a rectangle
  of some sort. And the way they -- the larger legs, the
  major axis of it can be set up on a short leg or a long
  leg, it depends on what information you have. Do you

have a good datum, do you have a good idea where the
search object is to start out with. Or do you need to
start on one end or the other of the search area for a
higher probability of success.

So, the search patterns are built with the track. And then there is track space in between them for an aircraft. It depends on the type of aircraft, C130 about a mile, if you are doing a visual search in between each of the legs. And then you, there is sweep width. And sweep width is the percentage of what people can see by looking out the left or right from the track line to the -- what the possibility that they will see the search object, fifty percent, a hundred percent of the time.

We strive to -- depending, the sweep width, and the tracks space can be the same, and then you get a coverage factor of one. But usually it is less than that. And when it's less than, your coverage factor is less than one, then you add more search patterns on top of it and try to bring it as high as possible to cover as much area as possible. And within the area that the object is drifting.

Q. Would you categorize a search pattern as a road map of where a Coast Guard asset would be driving when it is searching?

A. You could put it that way, sure. Yeah, it is a designated path that they -- that we are, that the SAR planners at the RCC are requesting that the asset follow. And sometimes if they find a debris field or something, they will stop that pattern and do a local search on whatever object that they found. And then will continue on with the original one.

Once we get all this information -- once the search pattern is done, and it -- the actual drift in search and rescue is called EPOC (sounds like). You have like an alpha search, bravo search. These EPOC's last about twelve hours, and then you re-drift.

So once you get the search patterns completed, that information is fed back into SAROPS for the next search pattern, or the next drift period. And it gives you a probability of success for that first search. And then that is added into the second search, and so on, and so on as you go on. And I, and on this case I believe they went up to (E)cho search.

- Q. Who is required to be trained in SAROPS? And where and how does that take place?
- A. Everybody from the SAR mission coordinator on down is required to go through maritime search planning school. Which is in Yorktown, Virginia. So the SAR mission coordinator, the command duty officer, and the

operation unit controller all have to have gone to that

- 2 training. And then once you are qualified on watch, you
- 3 | are qualified to run these search patterns, or these
- 4 drift models for actual SAR cases. If you are under a
- 5 break in, you might be observing but you are not
- 6 actually running the case.
- 7 Q. Can you explain what a break in is?
- 8 A. A break in is a new person on watch. And they
- 9 are there learning how to be a watchstander. And so
- 10 they are not qualified yet. So they would not be doing,
- on an actual case they wouldn't actually be doing the
- 12 drift modeling, they would be there observing.
- 13 Q. Thank you. Switch gears a little bit.
- 14 CDR MULLER: If you can just speak up a little
- 15 | bit.
- THE WITNESS: I'm not talking loud enough?
- 17 Sorry about that.
- 18 CDR MULLER: You might pull it closer.
- 19 A. I have it closer. How's that?
- 20 COURT REPORTER: Sorry, you are drifting off.
- 21 A. I'm drifting off? Okay.
- Q. Does the Coast Guard have a tool to help
- 23 determine a person's survivability in the water?
- A. Yes. Yes, they do. That is the Probability
- 25 of Survival Decision Guide; basically, it is PSDA it is

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1 | called. And that's a part of SAROPS. And what it is,
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- 2 | it is a calculator that you put in certain information.
- 3 You put in information on the person, how tall they are,
- 4 | how much they weigh, male/female. And then some
- 5 | information on what they probably were wearing at the
- 6 | time they went in to the water. If they are in a
- 7 | survival suit, of if they are in street clothes, if they
- 8 | are in winter gear.
- 9 It could also calculate people who are in a
- 10 | life raft or on land, so they are relatively, not soaked
- 11 up to their neck or anything. It can calculate that
- 12 information too.
- And so what this does, it is just a guide,
- 14 | it's just a tool for us to get an idea how long somebody
- 15 may survive in the water. It's not necessarily all the
- 16 information that you are going to have. Because when
- 17 people go into cold water things happen, they may have a
- 18 heart attack, they may drown because the sucked in water
- 19 as they went in the water. Just the shock, cold water
- 20 | shock. But if they get in a survival suit, or if they
- 21 | are in a life jacket, and they have their head above
- 22 | water, it can provide us with an idea how long somebody
- 23 | can survive.
- 24 And there is two things, there is the
- 25 | functional time, and there is a survivability time. The

1 | functional time is really how long can that person

- 2 maintain themselves to self-rescue, to keep from
- 3 drowning. So, how long they can keep their head above
- 4 water and paddle.
- And then the survivability time takes it from
- 6 the functional time to the time that they would probably
- 7 expire, that their, that hypothermia has set in enough
- 8 | that they can't move anymore. And so they are just
- 9 there, whatever to keep them afloat is keeping them
- 10 | afloat. It -- and the times are, I've seen times of ten
- 11 hours, eleven hours on survivability. If you get in a
- 12 life raft, you are good for over 24 hours.
- If you are in a survival suit in Alaska with
- 14 | the water temperature thirty-eight degrees, forty
- 15 degrees, something like that, you may have two hours, or
- 16 three hours to survive if you are in that survival suit.
- 17 It -- and how wet you are going into to it. So, if you
- 18 are not in a survival suit that time drops drastically.
- 19 Q. Does the Coast Guard use that decision aide
- 20 | for every case where there is a person in the water?
- 21 A. If there is a possibility of person in the
- 22 | water we run that model. And it is not used to usurp
- 23 | planning, as much as determining you know how long a
- 24 | search is going to go on. And it is not our, we don't
- 25 | go, oh it is eleven hours, we are going to cut it off at

that point and stop searching. We search many times
past the survivability time.

- Q. Thank you. You briefly described the Automated Information Service, or AIS. Can you briefly describe what other tools the command center has to do vessel tracking?
- A. Sure. So AIS is something that's really been around the Coast Guard for about fifteen years. So throughout the country there is AIS antennas that pick up signals from ships at sea. It is also, there is satellite, AIS tracking too, which gets on the high seas.
  - So AIS gives you an almost live view of vessel's track. If it is terrestrial, the updates are every couple seconds. So you can actually see somebody moving along once you zoom in.
  - So we use, in ALASKA we use a service called the Marine Exchange of Alaska. They have put up a lot of antennas over the whole state so we can see every vessel that is within the terrestrial range of AIS. And we use their -- they have a mapping system that they have that we use.
- 23 And also, the Coast Guard has a system, CG1U
  24 which has capability of displaying that information
  25 because everything goes back to the Coast Guard to the

east coast, and then back out to the fleet. And that is one big picture merged through the whole country.

And we can zoom in in a certain area and pick out different boats. And with that you can get information that is based in our database that we have on vessels, our MISLE system that is a database that holds vessel information. So we may even have a picture of a vessel. We will have, you know, the stats of the vessel, how long, you know, what is its overall length, and it's ID's, information like that pretty useful tool.

- Q. Thank you. Can you describe how the District
  17 Command Center tracks weather activity?
- A. Mostly use the National Weather Service. Like I said, when you come on watch in the morning one of the first things we are looking at is weather. And you are going to go to the National Weather Service sites and read the text of the weather systems coming through you will look at the weather charts, and see what lows are coming through the areas.

And we will -- we have a, the system that we can display the ice edge on that is updated a couple days a week. It is not updated every day, because it just doesn't change that much. So we know where the ice edge is, compared to open water in the Bering Sea and in the Artic. We get the wave heights, we get the wind

speeds and the direction, and, you know, we can track

- 2 | the storms. We -- when there is a -- when, usually
- 3 | midwinter the storms aren't bad. You have icing
- 4 | situations because it is cold out. But the storms,
- 5 usually in the fall and spring are a lot higher strength
- 6 and lower lows. And winter time it kind of cools off a
- 7 | little bit in the sense that it is not as, the storms
- 8 | aren't as deep. And then as the weather changes in the
- 9 spring it gets bad again. And in the summer it is, you
- 10 know, not as bad again.
- But, you know, the problem with the winter
- 12 | time is icing. And vessels that are coming in the icy
- 13 situations. So we keep an eye on that. It is always
- 14 one of the things on our mind is we are looking at
- 15 what's out there in the Bering Sea. We also look at
- 16 | what -- we get a report through our law enforcement
- 17 branch, who's fishing, and basically where they are
- 18 fishing. So we see that and it helps us get an overall
- 19 picture of what we are looking at, and you compare the
- 20 weather.

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- 21 Q. So when the Coast Guard is aware that there is
- 22 going to be severe weather, when there is a watch or
- 23 | warning, does the Coast Guard communicate that, in any
- 24 way, to the crabbing fleet?
  - A. There is a standard schedule that the

1 Communication Detachment Kodiak, and also our VHF voice

- 2 booths in Sector Anchorage and Sector Juneau, they will
- 3 broadcast NOAA weather information. NOAA also has some
- 4 | automated sites on top of our VHF sites. But on the
- 5 Bering Sea it is all HF. And so yeah, the HF voice
- 6 | weather. And then also Navtechs is the other method to
- 7 | getting weather out. And there is, I forgot the name of
- 8 the third method. But the weather fax that comes out to
- 9 shipping.
- 10 So if they have that capability onboard for
- 11 Navtechs or the weather fax they will get -- the fleet
- 12 | will get that too. Plus it is also the voice. That
- comes out twice a day at about; I think it is like 1800
- 14 and 0600 local.
- 15 Q. Thank you. Can you describe how the Command
- 16 | Center is kept informed about different fishing seasons?
- 17 | Specifically the different crabbing seasons. Who or how
- does this information get conveyed to Command Center
- 19 personnel?
- 20 A. Our Law Enforcement branch would provide us
- 21 | with op orders, also information on the different
- 22 | fisheries. Crab fisheries are pretty well known, we
- 23 know when the King crab season is. And we know when the
- 24 opilio is. It is just something that is pretty well
- 25 known. But there is other seasons, the Pollock season

and couple of the -- the catcher processing season which are much longer and different than the crab seasons.

- 3 We get a brief from our Law Enforcement
- 4 Branch. We also get briefed at the same time the
- 5 cutters are getting in briefed, we will get information
- 6 there. We hold training a couple, three times a year
- 7 | with our Law Enforcement Branch specialists that deal
- 8 | with these fisheries, that will explain what fisheries
- 9 are coming up, and what the season duration is.
- We have a good idea what's happening out there
- 11 on the fisheries.
- 12 Q. Thank you. And my last question is, can you
- 13 tell us where were you were on the 11<sup>th</sup> of February,
- 14 2017? And if you played any role in the fishing vessel
- 15 Destination case?
- 16 A. I was actually heading to Jacksonville,
- 17 | Florida. I was in the airport in Juneau waiting for my
- 18 | plane. And I, that was -- I check my email all the
- 19 time, and the first email I saw was that we had an EPIRB
- 20 | going off in the Bering Sea. And, you know, I called in
- 21 just to see what was going on with it.
- 22 But I wasn't the SAR Mission Coordinator or
- 23 anything like that. I was heading down to Jacksonville,
- 24 | Florida for another Coast Guard mission. So, I didn't
- 25 | have any real direct play into the actual case itself.

Q. Thank you. Does the Coast Guard in any way advertise when it stands up, and pulls out of Cold Bay?

A. Do we advertise it?

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- Q. Do you let the public know that you are going to be there, and when you leave?
- A. I don't think we do. I don't think there is
  any -- I haven't seen anything like that, public affairs
  wise. There might be.
- 9 Q. Do you know of any State or local, or
  10 municipal search and rescue units in the area of -- in
  11 the Pribilofs?
- 12 A. (Inaudible response.)
- Q. Do you know if anybody else operates search and rescue in that area other than the Coast Guard?
  - A. Well it, the only other organization is the State Troopers. And through the State Fish and Wildlife. Because the crab season is a state fishery it is not a federal fishery. So they patrol out there as part of the enforcement for the crab fishery.

And so they are available as a search and rescue platform as much as a Coast Guard cutter, or any of the other fishing boats that might be involved with it. But certainly, and we work pretty close with the troopers, not only a case that would happen out in the Bering. But all through the state. Because they have a

1 primary function as a search and rescue unit, as part of

- 2 | their state functions, is search and rescue.
- Q. Mr. Webb those are all the questions that I
- 4 have. Thank you very much. Commander Muller I have no
- 5 further questions.
- 6 CDR MULLER: Thank you Mr. Webb. That was
- 7 | certainly very in depth. I need to look at my notes.
- 8 (Brief pause.)

## 9 EXAMINATION

- 10 BY CDR MULLER:
- 11 Q. If you don't mind, earlier in your testimony
- 12 you described B2.
- 13 A. Right.
- 14 Q. And I understand that that stands for a two
- 15 hour launch.
- 16 A. Right.
- 17 Q. And then later on you mentioned BO.
- A. Right. So there is two different levels of
- 19 readiness. The BO status, and this implies a lot more
- 20 | in the lower 48 than it does in Alaska, mostly because
- 21 of distances. That it is a measurement for the Coast
- 22 Guard. But B0 means they launch in thirty minutes, and
- 23 they are on scene within ninety minutes of launch.
- B2 means that they can take up to two hours
- 25 for them to launch. And then there is no requirement

for them, the actual ninety minute requirement isn't there.

Most of our launches are anywhere between 3 4 thirty minutes and an hour to get airborne. And that's a lot of different reasons. You have to put extra fuel 5 on aircraft, the weather conditions could slow things 6 down or in the case that our forward operating 7 locations, or the number of people that we have manning 8 9 them, leads us to a lower readiness status than if we were at the air stations themselves. 10

The air stations are in a BO status. They have crews there to take care of that. Once you take a crew the aircraft, and move it out to one of our forward operating locations, you -- your manning changes and that's one of the issues. They only have that one crew for that aircraft. They don't have two crews there. So they are -- so having them avail in that duty status for a longer period of time is not, you can't do it in a BO. You have to go to a B2.

20 CDR MULLER: Okay, thank you. That's all my questions. Mr. Gillette?

## 22 EXAMINATION

23 BY MR. GILLETTE:

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- 24 Q. Hi Mr. Webb.
- 25 A. Hi.

Q. My name is James Gillette with the Coast

Guard. I just have one follow-up question on something

that you mentioned. You said something about three

years ago you stopped sending aircraft to St. Paul. Can

you elaborate a little about that?

A. It's been, I think it is three years; it might even be four, actually. You know, time flies with this job.

But so with manning issues and aircraft issues that we have, the number of aircraft that we have, you have to make some decisions on where are you going to put your aircraft.

With Crab Rationalization it changed everything out in the Bering for us. We have way less search and rescue than we used to have. The last crab boat that got in trouble out there was the Big Valley, and that was in 2005. That was the last year of the derby style fishing that they did.

So with the length of seasons getting longer we, there had to be a decision made on where are we going to keep our forward operating location? Are we going to keep both of them, are we going to keep one of them, are we going to, you know, how are we going to man it. And just when you do the statistics of the number of SAR cases, and if we can reach the vessels in,

- 1 basically one tank of gas from Cold Bay, or from St.
- 2 Paul.
- Cold Bay, where we have a hangar, and we have
- 4 | facilities was the better choice. There is more
- 5 | activity down there; there was more need for it down
- 6 there than there was up north near St. Paul. The
- 7 | numbers just showed that. And the seasons being much
- 8 | longer, the amount of crew time that we were spending up
- 9 there was unsustainable for the two different locations.
- 10 And the number of aircraft we were putting out there.
- 11 Q. Thank you Mr. Webb. Commander that is all the
- 12 | follow-up questions that I have.
- CDR MULLER: Thank you. Mr. Karr, NTSB, do you
- 14 | have any questions?
- 15 EXAMINATION
- 16 BY MR. KARR:
- Q. Do you recall how many crab boats, or how many
- 18 | fishing vessel were in the Bering Sea last, this last
- 19 February?
- 20 A. I don't have the exact number, but I don't
- 21 | think it was above sixty.
- 22 Q. All right, thanks.
- 23 A. Yeah, I don't have that exact number. But I
- 24 | think it was pretty low, about sixty or so.
- 25 CDR MULLER: Quick follow-up question to that,

1 | if you don't mind.

2 EXAMINATION

3 BY CDR MULLER:

- Q. When you describe the Bering Sea, what is that region specifically? I mean, is that everything --
- A. The Bering Sea is north of Aleutian Islands,

  all the way up to the Bering Strait. And you can

  include Bristol Bay as part of that; it is all kind of

  the same waterway.
- So it is basically, Western Alaska. And
  anything above Bering Strait is the Arctic, you know,
  the Tauchese (sounds like), so that's the Arctic Ocean.
  The Bering is everything south of that.
  - Q. Okay. I hope this is a fair question.
- 15 A. Everything's fair.

- Q. Perhaps, I don't -- might be better set for

  next week when we talk to the State. But, essentially

  the crab species, the seasons, the catch areas are

  across and expand pretty much the majority, all across

  the Bering Sea, not just one particular region, is that

  right?
- A. Right. Pretty much. There is different types
  of crab, there is blue crab, brown crab, red crab. That
  are in different areas and they have different fisheries
  for those. But the main, the two main crab fisheries

are the opilio and the king crab, those are the biggies.

Q. Okay.

3 CDR MULLER: Ms. Spivak do you have any 4 questions?

5 MS. SPIVAK: No questions.

CDR MULLER: Okay. Thank you Mr. Webb that

concludes our questions. Before I release you, is there

any aspect, information that you believe the Board

should consider that wasn't otherwise discussed at this

testimony?

11 THE WITNESS: No, I think I, we got everything.

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CDR MULLER: Very well. Mr. Webb you are now released as a witness at this Marine Board of Investigation. Thank you for your testimony and your cooperation. If I later determine that this Board needs additional information from you, we will contact you.

If you have any questions about this investigation you may contact the Marine Board Recorder, Lieutenant Commander Mendoza. Thank you again.

(Excuses witness.)

22 CDR MULLER: We will take a fifteen minute 23 recess.

24 (Whereupon a fifteen minute recess was taken.)

25 CDR MULLER: Good afternoon, this hearing will

come to order. We will now hear testimony from Petty

- Officer Shipley. Petty Officer Shipley, if you would
- 3 | please come forward to the witness table, and LDCR
- 4 Mendoza will administer your oath, and ask you some
- 5 preliminary questions.
- 6 LCDR MENDOZA: Please raise your right hand.

## 7 WITNESS

## PETTY OFFICER FIRST CLASS WESLEY SHIPLEY

A witness produced on call of the Coast Guard was duly sworn according to the law, was examined and testified as follows:

- 12 THE WITNESS: I do.
- 13 LCDR MENDOZA: Please be seated. Please state
- 14 your full name and rank and spell your last name for the
- 15 record.

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- 16 THE WITNESS: Operations Specialist First
- 17 Class Wesley Shipley, S-H-I-P-L-E-Y.
- 18 LCDR MENDOZA: Would you please state your
- 19 duty assignment, and location.
- 20 THE WITNESS: I am currently stationed at the
- 21 District 17 Command Center as an operations unit
- 22 | controller.
- LCDR MENDOZA: Could you please identify your
- 24 | counsel? That would be LCDR Burby. Do you hold any
- 25 professional licenses or certificates?

1	THE WITNESS: I do not.
2	LCDR MENDOZA: Thank you.
3	CDR MULLER: So Petty Officer Shipley welcome.
4	Thank you for your assistance, participation and
5	contribution to this Mariner Board of Investigation. I
6	turn you now over to Mr. Scott Giard who will provide
7	you with a number of questions.
8	DIRECT EXAMINATION
9	BY MR. GIARD:
10	Q. Good afternoon Petty Officer Shipley, my name
11	is Scott Giard; I am with the Coast Guard's Thirteenth
12	District Incident Management Division here in Seattle,
13	Washington.
14	During your testimony, please speak up and
15	into the microphone so that the rest of the Board and
16	recorder can hear you.
17	In the course of your testimony, please let me
18	know if you need clarification or need me to re-word any
19	lines of questions. Also please let me know if you, at
20	any time, need a recess for any reason.
21	Petty Officer Shipley, can you please tell the
22	Board what your position is at Coast Guard District 17
23	in Juneau, Alaska?
24	A. I am an operations unit controller.

Q. How long have you been stationed at D17?

1 A. I am currently at my second tour at District

- 2 17. Currently I am on one year into that second tour,
- 3 | but I was stationed there before, from 2005 to 2009,
- 4 | where I worked there for four years as operations unit
- 5 | controller.
- 6 Q. Can you briefly describe your previous
- 7 assignments with the Coast Guard that support your
- 8 search and rescue background?
- 9 A. I have worked at two different small boat
- 10 stations. I've been stationed on a 110 foot patrol boat
- 11 out of Florida doing patrols in the Gulf of Mexico. I
- 12 have worked at a vessel traffic service in New York.
- 13 And I have been working for the last twelve years as an
- 14 operations unit controller at District 17, at Sector New
- 15 Orleans, at Sector Juneau, in Alaska, and back to
- 16 District 17.
- 17 Q. Thank you. Can you please talk about the
- 18 specific training that you've received from the Coast
- 19 Guard as it relates to your duties as an operations unit
- 20 controller?
- 21 A. My previous experience in the Coast Guard, I
- 22 | was a quartermaster, where I got a lot of navigation
- 23 training. As soon as I became an operations unit
- 24 | controller, they sent me to search and rescue school in
- 25 Yorktown, Virginia, where I got my first training in

- 1 search and rescue back in 2005.
- From there, we do monthly trainings, we do biyearly standardization tests and I went back to search
  and rescue school in 2013, as well.
- Q. Thank you. Can you please describe what position you were assigned in the Command Center on the morning of the 11<sup>th</sup> of February, 2017?
- A. That morning I assumed the roles of the operations unit controller, or the SAR watch.
  - Q. Were you oncoming to the morning watch?
  - A. I was oncoming at the time.

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- Q. Can you briefly describe the duties of the operations unit controller in the District 17 Command Center?
  - A. The operations unit controller at District 17 does a lot of the search and rescue coordinating. They gather all of the information that comes in from a variety of sources, via SARSAT or our communications units, and will coordinate and dispatch the different search and rescue tasks. And also monitor the other activities going on throughout the District.
  - Q. Can you briefly describe the watch passdown process?
  - A. Normally when I come in to take the watch, I will read the morning or evening reports. Basically,

1 it's just a synopsis of what had happened over the last
2 twelve hours.

- From there I'll get a verbal passdown from the previous, the outgoing watch that will let me know what they worked on over the last several hours, and what's
- Q. Do you recall if the District 17 Command

  Center was working, or monitoring any other search and

  rescue cases on the morning of 11 February, 2017?

also ongoing at the time.

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- A. When I walked in on that case, I do not recall
  if there were other cases at the time. But when I
  walked in the previous watchstander had handed me the
  EPRIB alert, and it had just, just started then.
  - Q. Can you briefly tell me about the other watch positions that were staffed that morning, and who was filling those positions?
  - A. That day we had, as our command duty officer, we had OSC Ryan as the -- I was the operations unit controller, or OU1. The OU2, that day, I believe was OS1 Karin. And we had one break-in watchstander at the time; it was Lieutenant Junior Grade Hendricks.
- Q. Thank you. Petty Officer Shipley, on the
  morning of 11 February, 2017, did the Coast Guard
  receive a distress alert, in the form of an EPIRB from
  the fishing vessel Destination?

- A. That is correct.
- Q. How was the Coast Guard notified of this
- 3 distress?

- A. We got the first alerts at; I believe it was
- fifteen past the hour, just through our SARSAT system
- 6 only.
- 7 Q. When you say fifteen past the hour, do you
- 8 | mean 6:15?
- 9 A. That is correct.
- 10 Q. Thank you. Do you know if there were any
- 11 other forms of distress communications beside the EPIRB
- 12 | alert from the Destination?
- 13 A. None had been reported to our watch desk.
- 14 Q. Can you please tell us what types of
- 15 communications are available in the Pribilof Island
- 16 area?
- 17 A. There is very limited VHF through local harbor
- 18 | masters or the St. Paul (inaudible word) sites that is
- 19 monitored through Coast Guard Sector Anchorage. There
- 20 is HF communications out there, through Combat Kodiak.
- 21 And any satellite communications through, if that vessel
- 22 has a, you know, a satellite phone, or an iridium or
- 23 Inmarsat-C.
- 24 O. What were the Command Center's initial actions
- 25 upon receipt of the distress alert from the fishing

## vessel Destination?

registration.

A. As soon as I entered the watch location, I was handed EPIRB alert, because I entered the Command Center right when the alert came in. I immediately started making phone calls using the information that is provided on the beacon alert. It is usually vessel name, owner contact information, or any other individuals that they choose to put on that beacon

I started making phone calls to the registered owner. At the very beginning, I was not getting in touch with anybody at the time.

From there I asked the Sector Anchorage to make call outs on the VHF site out of St. Paul. And I asked Combat Kodiak to make call outs on HF, to ask if anybody -- basically, try to make contact with the fishing vessel Destination.

Let's see, yeah, those were the initial. I
was trying to make contact with the vessel. I also
checked on the AIS feed to see if the vessel was there.
I believe the last AIS feed was about the exact same
time that the EPIRB went off. Or roughly, in that area.

- Q. Call you briefly describe what call outs are?
- A. Calls outs are when one of our communications stations will try to hail the vessel. Basically, just

trying to make contact with the vessel. And sometimes
those can be expanded to asking if anybody has seen the

vessel, as well.

- Q. When you say that initially you were not able to contact the persons listed on the registration for the EPIRB. Can you explain to me a little bit more about how that works?
- A. Basically, I was using the phone numbers that
  were provided on the EPIRB. And at the time, I was not
  getting any answers. So, I was leaving messages on
  their voice mail to try to ask them to contact the Coast
  Guard.
  - Q. When the Command Center, or the operations unit controller receives and EPIRB alert similar to the fishing vessel Destination, and none of the emergency contacts answer right away, what kind of steps does the Coast Guard take next?
  - A. Well generally we will move straight into trying to hail the vessel on VHF or the other means of communication that we can find for that vessel if there is anything listed on the beacon registration as far as sat phones, or anything like that.

23 From there, we will generally issue an Urgent
24 Marine Information Broadcast to make everybody aware of
25 the, that the Coast Guard is looking for the vessel. And

then from there, we will direct a launch to actually try

- 2 to get a visual sighting on the vessel.
- Q. Do you have to rely on contacting an emergency
- 4 | contact on the registration prior to making any
- 5 | additional initial actions?
- A. No, we do not.
- 7 Q. Thank you. Speaking a little bit more about
- 8 | the vessel tracking on the Automatic Identification
- 9 System, or AIS, do you recollect doing any kind of
- 10 analysis of the vessel's track, initially? You said
- 11 | that you thought that the vessel's last track was
- 12 approximately the same time that the EPIRB went off,
- 13 | what do you mean by that?
- 14 A. I do remember when I was going over the EPIRB
- 15 information, trying to make initial contact with
- 16 registered owner, or the vessel itself, I had looked at
- 17 | the time, and the EPIRB, the -- sorry, the AIS track was
- 18 only a few minutes old at that point in time, from its
- 19 last report.
- 20 Q. Do you recollect the position of the EPIRB and
- 21 | the position of the last AIS track being near each
- 22 other?
- 23 A. From what I remember, they were in the
- 24 vicinity, but they were not close at all.
- 25 Q. Okay, thank you. When you were analyzing AIS

1 | for the track of the fishing vessel Destination, were

- 2 there other vessels in the area, at the time, tracking
- 3 on the AIS?
- 4 A. There were, I believe three other vessels in
- 5 | the area at that time. They weren't close, but they
- 6 | were within a couple hours.
- 7 Q. Did you try to reach out to any of those
- 8 | vessels that were two hours out, to try to find out any
- 9 information?
- 10 A. That is correct. We were using HF and VHF
- 11 data, were able to get in touch with -- I'm trying to
- 12 remember the name of the vessel -- the Bering Rose,
- 13 along with the Polar Sea and the Silver Spray all
- 14 responded to our urgent marine information broadcast to
- 15 say that they would head to the last known position of
- 16 the vessel to help assist.
- 17 Q. Did any of the vessels that you spoke to have
- 18 any information on actually seeing the Destination?
- 19 A. From what I recall I do remember that one of
- 20 the vessels had seen them rounding that corner, or I
- 21 | don't have the details, but I think I do recall a
- 22 statement that one of the vessels had seen them in that
- 23 general vicinity.
- 24 Q. When you say, "Rounding that corner", what do
- 25 | you mean?

- A. The northwest corner of St. George Island.
- Q. Okay, thank you. When you were trying to
- 3 | contact emergency contacts via the EPIRB's registration,
- 4 | what kind of information is available to you on that
- 5 registration, and what are you looking for when you
- 6 contact that emergency contact?
- 7 A. The information that is usually provided is
- 8 | the owner information and any other emergency contacts,
- 9 and basic vessel descriptions. The name of the boat,
- 10 how long it is, where it is home ported out of.
- 11 When I am making contact with any of the
- 12 | contacts that are on the beacon registration, I'm trying
- 13 to get more detail on how many people were onboard, what
- 14 kind of safety gear they had, where were they supposed
- 15 to be operating, do you have any further forms of
- 16 communications with the vessel, that kind of
- 17 information.

- 18 Q. Thank you. Were you able to; were you ever
- 19 able to contact anybody on the vessel's EPIRB
- 20 registration?
- 21 A. I was, finally, able to get a hold of the
- 22 registered owner.
- Q. Can you recollect your conversation with Mr.
- 24 | Wilson, the owner of the fishing vessel Destination?
- 25 A. He was very helpful. He did confirm that the

1 | vessel was supposed to be operating in the area off of

- 2 St. George. He was able to give me how many people were
- onboard, and what type of safety gear they had onboard
- 4 as far as life rafts. He also provided me with a couple
- 5 | satellite numbers, and tried to attempt to contact the
- 6 vessel.
- 7 Q. After he gave you those numbers, were you able
- 8 to contact the fishing vessel Destination?
- 9 A. I placed calls to those numbers and got
- 10 nothing but voicemail.
- 11 Q. Can you describe the general tone that Mr.
- 12 | Wilson had when you were told that the Destination was
- operating off of St. George?
- 14 A. From what I recall, he was helpful and willing
- 15 to answer any of my questions.
- Q. Were Coast Guard resources immediately
- 17 dispatched to last known position of the fishing vessel
- 18 Destination?
- 19 A. Not immediate, but shortly after we did our
- 20 | initial, trying to make contact with the vessel
- 21 | basically, within, I believe it was just over thirty
- 22 minutes after we were not able to contact the vessel, we
- 23 did request a launch.
- Q. What resources were requested?
- 25 A. The --

Q. And where were they coming from?

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- A. The first at resources I requested at 1544Z

  was the launch of a C130 out of Air Station Kodiak. And

  I also requested the launch of the Cold Bay helicopter.
- Q. Do you recollect who arrived first on scene first to last known position of the fishing vessel
  Destination?
- 8 A. I believe it was one of the Good Sam units.
- 9 Q. Can you please describe your interactions with 10 the Good Samaritans that day?
- 11 A. I never had any direct conversation with them;
  12 it was all through different communications units that
  13 did a lot of the speaking with the vessels. But they
  14 were, they jumped right onboard, and were willing to go
  15 over to the last location what we provided to them.
  16 And tries to assist as much as they could.
  - Q. Do you recollect when the first Coast Guard unit arrived on scene to the last known position of the fishing vessel Destination?
  - A. On the -- in the MISLE transcripts, I've got basically, that the Coast Guard 1714; it's one of our fixed wing aircraft, arrived at 1913 Zulu.
  - Q. Did they find anything right away?
- A. I don't recall exactly what they found right away. I do know that during that time period, I can't

1 remember if it was the Good Sam's that -- one of the

- 2 Good Sam's found a small debris field, and an oil sheen,
- 3 | and I believe the C130 found a -- they -- re --located
- 4 | the EPIRB and a life ring.
- 5 Q. Do you know approximately, you have told us in
- 6 | Zulu time? Can you briefly describe what Zulu time is,
- 7 and --
- 8 A. I did, sorry. Yeah, it is Greenich Mean Time.
- 9 It is just a, it is a the universal standard time that a
- 10 | lot of shipping traffic uses as well, so that there is
- 11 one time zone that everybody uses. So it is kind of
- 12 universally known, where it is, I believe up in Alaska
- where it is +8 hours to whatever the Alaskan time is,
- 14 | you add eight hours to that to get to the universal
- 15 | standard time. But that changes with Daylight Savings
- 16 as well.
- 17 Q. So can you tell me in local time, the time
- 18 that the first Coast Guard unit arrived on scene to the
- 19 | last known position of the fishing vessel Destination?
- 20 A. I believe that would have been 11:13 local
- 21 time.
- 22 Q. While the Coast Guard and Good Samaritans were
- 23 en route and finding the first pieces of debris, what
- 24 | kind of work is being done in the Command Center?
- 25 A. At that point in time we are trying to start

our drift models, to try to get an expanded search area

- 2 to basically encompass that whole area so our search
- 3 | assets are searching in the correct area where things
- 4 | are moving around.
- Q. Can you briefly describe the initial steps for
- 6 developing a search plan in SAROPS?
- 7 A. We take whatever position you are using, the
- 8 last known position or whatever information you have.
- 9 From there you will enter in the time that it happened,
- 10 | as well. You will enter in what type of drift objects
- 11 you would like to use, be it a vessel, a life raft, a
- 12 person in the water, you will enter that information.
- And from there you will enter environmental
- 14 data, as in wind current, winds, and sea currents in the
- 15 | area that the computer modeling software provides. And
- 16 then you will generally put in a timeframe on when you
- 17 | believe your first asset will arrive on scene. And let
- 18 the computer modeling software do some calculations to
- 19 give us an area to start looking in.
- 20 Q. Do you recall what you used as a search target
- 21 | in your scenario in SAROPS?
- 22 A. I believe at that time I was using a life
- 23 | raft.
- 24 Q. Did you know if there was a life raft onboard
- 25 | the fishing vessel Destination?

A. I do recall that the owner did state that he had a life raft with no canopy or (inaudible word).

- Q. Can you briefly describe what you did with the information when the Good Samaritans and the Coast Guard found the debris and the EPIRB; can you describe what you do with that information?
- A. I plotted that information on to our SAROPS program to make sure that the search patterns that we were laying down, and covered, basically, those areas plus even more. Those areas where the debris was found and the EPIRB was found.
- 12 Q. How did you know that the debris was from the 13 fishing vessel Destination?
  - A. To be honest I didn't know that it was from the vessel. But it was an assumption at the time.
  - Q. Do you recall running the probability of survival decision aide that morning?
- 18 A. I did not, personally. One of our other
  19 watchstanders ran that. And they did run it for just an
  20 average person in a survival suit.
  - Q. You described being on watch that morning for the initial part of the fishing vessel Destination case.

    Did you stand any other watches during the duration of the case?
    - A. Other watches as in?

Q. Later periods of time?

- 2 A. I, after I did stand the first, initial twelve
- 3 hours of the watch, it was definitely very busy that
- 4 morning, we had a lot of pieces moving and a lot of
- 5 planning that needed to be done that morning.
- I was -- after a twelve hour shift, I was off
- 7 | for twelve hours. And I believe I did return, but I'd
- 8 have to look at a schedule to verify that.
- 9 Q. Petty Officer Shipley, to date, do you know if
- 10 there have been any redevelopments for the search for
- 11 the fishing vessel Destination? Or if the search has
- 12 been reinitiated by anybody?
- 13 A. I have read in newspapers that the vessel was
- 14 | located underwater using sonar. But I don't have any
- details as far as that, where that position is.
- Q. Petty Officer Shipley, those are all the
- 17 questions I have. Thank you. Commander Muller I have
- 18 no further questions.
- 19 CDR MULLER: Thank you, Mr. Giard.
- 20 EXAMINATION
- 21 BY CDR MULLER:
- 22 Q. I have a few follow-up questions, more for
- 23 | clarification. Earlier in your testimony, you described
- 24 | that during the course of your activities in the Command
- 25 | Center as an OS you heard one vessel mention that they

- saw the vessel rounding the corner there at the northwest tip of St. George.
- Can you describe what that vessel meant be saw? Was it visually, by visual means? Or radar, or AIS?
  - A. I can't recall exactly what that was. I'd have to look back into more of the MISLE entries to see if that was listed in there. It's just from my own general recollection of the case, that somebody had mentioned that they had seen the vessel earlier. I don't have an exact time, or an exact position, though.
    - Q. Do you recall which vessel mentioned that?
- 13 A. I do not.

- Q. What do you use as your last known position
  when you are given various electronic devices or tools
  in front of you that provide position, such as GPS -I'm sorry, AIS or EPIRB information? Given those two,
  which do you use to establish your last known position?
  - A. Generally we will take whichever appears to be the best information at the time. If it is the EPIRB information or the AIS position. Generally, we would want to use the last known position if it correlates with the time. And in this case it would have been the AIS position.
    - Q. Okay. Let me pull on that a little bit. Can

1 you explain why you chose AIS as the position that you

- 2 had more confidence in versus the EPIRB position?
- 3 What I am getting at --
- 4 A. Um-hmm.

- Q. We heard earlier in testimony from Mr. Webb
  that there is a number of satellites, depending on the
  type of EPIRB, depending on the satellite, it's
  positioning, there are a number of variables. So what
  I'm getting at, sometimes it takes time for the EPIRB to
  settle on a position. I wanted to set that out so you
  can see where I'm going.
  - A. That is correct. The AIS position gave us a last know location of the vessel. All of the EPIRB hits that the beacon alerts that we were getting at the time through our satellite system were using the newer satellites, the MEO satellites at the time. And that position was changing with every single update.
  - And at one time it would be near the AIS position. And another time it would be miles and miles away. It, in my own mind it wasn't extremely reliable at that exact instance, because the satellites hadn't had time to fully form a composite location, a more confident location.
  - Q. So is a reasonable way to describe that EPIRB location, bouncing around? Is that a, I mean, is that a

- V-131 1 good --That is correct. 2 Α. Q. -- a fair way to describe it? 3 Α. It is, that is correct. 5 Q. Or zoning in or --It gave us a general area at the time. But I 6 hadn't pinpointed an exact location yet, at the very 7 beginning of the case. 8 9 Okay. Would you expect a different situation with EPIRBS fitted with a GPS chip or device? 10 If it was fitted with a GPS, an encoded 11 Α. signal, then it would give us a much more accurate 12 13 location. Okay. So do you happen to know if the 14 Destination, onboard the -- I'm sorry. The EPIRB 15 onboard the Destination had one of these GPS? 16 I don't recall. 17 Α. Okay. I have no further questions. Mr. 18 19 Gillette? 20 MR. GILLETTE: Commander I have no follow-up 21 questions. CDR MULLER: Mr. Karr? 22 **EXAMINATION** 23
- 24 BY MR. KARR:
- 25 Q. When you were tracking a life raft, can you

1 | tell me if that was tracking an inflated life raft, or a

- 2 | life raft in a canister?
- A. We were just, we put in whatever the system
- 4 | told us at the time. I'm not quite sure how to answer
- 5 | the question as far as what we were tracking. I'm not
- 6 | sure if SAROPS can drift a life raft still in the
- 7 | canister or not.
- 8 Q. All right.
- 9 A. I am unaware of if it can do that.
- 10 Q. And did, at any time did you change the object
- 11 | that you were -- did you change the entry in SAROPS to
- 12 | search for some other --
- A. We did have more than a life raft in there.
- 14 We were also searching for people in the water as well.
- 15 Q. All right. Thanks. No more questions.
- 16 CDR MULLER: Thank you Mr. Karr. Ms. Spivak?
- MS. SPIVAK: No questions. Thank you.
- CDR MULLER: Let me just check in with Mr.
- 19 | Giard, do you have any further questions?
- 20 MR. GIARD: No Commander.
- 21 CDR MULLER: Okay. So this concludes our
- 22 questions for you, Petty Officer Shipley. Before I
- 23 | release you, is there any other information that you
- 24 believe the Board should consider relevant to this
- 25 | casualty or this testimony this afternoon?

1	THE WITNESS: No, I do not.
2	CDR MULLER: All right, thank you. With that
3	you are now released as a witness to this Marine Board
4	Investigation, thank you for your testimony and
5	cooperation.
6	If I later determine that this Board needs
7	additional information from you, I will contact you
8	through your counsel. If you have questions about this
9	investigation, you may contact the Marine Board
10	Recorder, LCDR Mendoza. Thank you.
11	THE WITNESS: Thank you.
12	CDR MULLER: We will take a fifteen minute
13	recess.
14	(Whereupon a fifteen minute recess was taken.)
15	CDR MULLER: Good afternoon, the hearing will
16	come to order. We will now hear testimony from Captain
17	Deer. Captain Deer if you would please come forward to
18	the witness table and LCDR Mendoza will administer your
19	oath, and ask you some preliminary questions.
20	LCDR MENDOZA: Please raise your right hand.
21	WITNESS
22	CAPTAIN JOE DEER, USCG
23	A witness produced on call of the Coast Guard
24	was duly sworn according to the law, was examined and
25	testified as follows:

- THE WITNESS: Yes, so help me God.
- 2 LCDR MENDOZA: Please be seated. Sir please
- 3 | state your full name, rank, and spell your last name for
- 4 | the record?
- THE WITNESS: Joseph E. Deer, III, D-E-E-R.
- 6 And I am a Captain in the U.S. Coast Guard.
- 7 LCDR MENDOZA: Could you please state your
- 8 duty assignment and location?
- 9 THE WITNESS: My current duty assignment
- 10 location, I am the Commanding Officer of Air Station
- 11 Elizabeth City, North Carolina. At the time of this
- 12 | incident, I was the Chief of Incident Management at
- 13 District 17, Juneau, Alaska.
- 14 LCDR MENDOZA: Do you hold any professional
- 15 licenses or certificates?
- 16 THE WITNESS: I am a commercial aviator in the
- 17 U.S. Coast Guard.
- 18 LCDR MENDOZA: Can you state your current
- 19 employment and position title, sir.
- 20 THE WITNESS: Commanding Officer, Coast Guard
- 21 Air Station Elizabeth City, North Carolina.
- 22 LCDR MENDOZA: Thank you sir.
- 23 CDR MULLER: Well good afternoon Captain.
- 24 THE WITNESS: Good afternoon.
- 25 CDR MULLER: Thank you for your testimony

- 1 today. And congratulations on your new assignment in
- 2 North Carolina as Commanding Officer at the Air Station
- 3 | Elizabeth City.
- 4 THE WITNESS: Thank you.
- 5 CDR MULLER: Just a few minutes down the road
- 6 from me in Portsmouth, Virginia. So I mention that,
- 7 | because I also wanted to thank you for your travels to
- 8 attend today.
- 9 THE WITNESS: Yes, sir.
- 10 CDR MULLER: So I will now hand over to Mr.
- 11 | Scott Giard, our SAR subject matter expert, who will
- 12 provide some questions. Thank you.

## DIRECT EXAMINATION

14 BY MR. GIARD:

- 15 Q. Good afternoon Captain.
- 16 A. Good afternoon.
- 17 Q. My name is Scott Giard; I am with the Coast
- 18 | Guard's Thirteenth District Incident Management Division
- 19 here in Seattle, Washington. During your testimony
- 20 please speak up and into the microphone so the Board and
- 21 | the recorder can hear you.
- In the course of your testimony, please let me
- 23 know if you need any clarification or would like me to
- 24 | re-word any lines of questioning. Also, please let me
- 25 know if you at any time, for any reason, you would like

a recess.

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- 2 A. Roger.
- Q. Captain, can you tell us when you were assigned to the 17<sup>th</sup> Coast Guard District?
- 5 A. I was assigned to the 17<sup>th</sup> Coast Guard 6 District from July 2014 until June 2017.
  - Q. Can you please describe briefly, your day to day duties as the Incident Management Division Chief?
- 9 A. My day to day duties on the non-SAR side
  10 included ensuring that both our surface assets, our
  11 small boats, and also our offshore cutters and 225's
  12 were in their appropriate areas.
- I was also in charge of the tract (sounds

  like), which is our MER, our Marine Environmental

  Protection Unit. And that's pretty much it. I was the

  chief of incident management. So anything that happened

  from law enforcement to oil spills, to search and rescue

  fell under my umbrella, including the Command Center

  with a staff of about thirty-five.
  - Q. Thank you. Can you please briefly describe your previous assignments and jobs with the Coast Guard that support your search and rescue background?

    Including your current job.
- A. Most of my career was spent in aviation. I
  have flown both helicopters and airplanes. Captains in

1 | the position that I occupied in Juneau were typically

- 2 aviators, and still are. My replacement was also an
- 3 aviator.
- 4 My jobs were in New Orleans, in Alaska, I
- 5 | served in Kodiak Alaska. I flew there, both rotary and
- 6 | fixed wing for eight years. And then -- I have had six
- 7 aviation units that I have flown in. So rotary and
- 8 | fixed as well as a staff tour.
- 9 So, in addition to that, the training for the
- 10 | job, once -- prior to me getting up to Juneau as a
- 11 SAROPS supervisor, I went to Norfolk, Virginia for a
- 12 one-week course to do that job.
- 13 Q. Thank you, Captain. Were you a search and
- 14 rescue mission coordinator, or a person exercising
- 15 active search suspension authority in your position at
- 16 District 17?
- 17 A. Yes, I was.
- 18 Q. Can you please, briefly describe the duties
- 19 and responsibilities in your words, for the search and
- 20 rescue mission coordinator, or SMC, and person
- 21 exercising ACTSUS?
- 22 A. Yes, the search and rescue mission
- 23 | coordinator, or the SAR mission coordinator is just what
- 24 | it sounds like. For each instance that comes up you
- 25 | have ultimate responsibility under the admiral. And

1 then as ACTSUS, I had access for certain cases within

- the Sectors. Their SMC's would run the cases, and then
- 3 depending on all the things that we work with in search
- 4 and rescue scenarios based upon the probability of
- 5 | success, et cetera, I would grant access for both
- 6 sectors in District 17.
- 7 Q. Can you describe what makes the Coast Guard's
- 8 | Alaska area of responsibility and the North Pacific
- 9 search and rescue region different than that of say, the
- 10 District here, in Seattle?
- 11 A. As Mr. Webb alluded to before, I think just
- 12 | the sheer size of District 17 in Alaska, when you place
- 13 | it over the lower 48 states it pretty much goes coast to
- 14 coast.
- I would say, infrastructure as well, we don't
- 16 have as much infrastructure as the lower 48 states has.
- 17 | So a lot of time we are a little bit more limited. You
- 18 mentioned that District 13, there is less other agencies
- 19 that we can rely on. So our partnerships in Alaska, we
- 20 exercise those and keep those strong as much as
- 21 possible. But mostly distance and infrastructure
- 22 | limitations in D17, would be the primary.
- 23 Q. Thank you, Captain. Specifically focusing on
- 24 | Coast Guard aircraft operations, can you please describe
- 25 | some of the risk-management processes that are built in

to how the Coast Guard operates in Alaska?

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Sure. Of course one of the main things that 2 we have to work with in Alaska is weather. We've got 3 three different types of airplanes/airframes that we fly 5 up here, C130's, MH60 Tango helicopter is our mediumrange helicopter. And our shorter range, MA65.

Icing is a concern. So on -- when I get calls on particular cases, knowing the capabilities of each of these airplanes/airframes, I have flown two out of the three. And I have subject matter experts that are right at my side for the third one. We have to look at data, make sure that the weather is such that the aircraft can actually go and fly into those environments.

And I would say weather is the big concern, and distance. I mentioned infrastructure before. Refueling locations are also a limitation in Alaska. As you head up the chain, and you head up into the Artic, they are very limited.

- Thank you. Delving a little bit more on 0. distance with aircraft. Can you briefly describe what cover aircraft are, and what self-rescue is?
- 22 Sure. In our Commandant Instruction, called a 3710, we have the same survival tables based upon how 23 the pilot and air crew, what gear they are wearing 24 inside the aircraft. 25

So we look at that, and we look at

temperatures. And as a general, generalization up here

in Alaska we try to get on the scene with a helicopter

that would (inaudible word) crash into the Bering Sea,

for example, we try to get on the scene within four

hours is our typical survival time.

It could be up a little bit higher than that if the sea temperatures are higher. But typically around four hours.

And self-rescue, of course, it going to be another helicopter coming to rescue an initial helicopter that goes into the water.

Cover assets typically could be a C130. They are usually C130's up here. As far as -- we have comms limitations the further you go up the chain. So we will try to get a C130 over the top so you can maintain your radio (inaudible word) with them, in the event you have any issues.

Q. Thank you. Captain, can you describe how from when the Command Center requests, during a search and rescue case, a, say an air asset from Air Station

Kodiak. Can you describe from when the Command Center requests that asset to be launched, to when they arrive on scene. And kind of the processes that happen behind the scenes?

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A. Sure. Having served in Kodiak both as an operational pilot, and also as the operations officer, as a chief pilot, once that initial case arises, the Command Center usually gets the call. And they don't have to call the SAR mission coordinator to actually get the aircraft going. But they will call. And usually have a second person call the SMC.
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They will launch the aircraft. Call me, the SMC, whoever is acting as SMC. And I'll ask again about weather, distances. Again, with my knowledge, knowing the capabilities of the airframe, there are a lot of things that I know, so my questions are very specific to that. Making sure that we can execute the mission successfully.

And then it gets passed to the Air Stations, and they each have their own commanding officers, and their own operations officers who do an additional assessment.

And then it finally goes right down to the pilot in command. The pilot in command has final refusal, or the ability to accept or refuse a mission based on their risk versus gain.

So there is kind of a tiered approach to it. From the SMC -- the Command Center, to the SMC, to the commanding officer, the operations officer, right down

1 to the pilot and air crew that are going to fly the 2 airplane.

- And it all happens pretty quickly. But there 3 4 are those layers to it.
- Q. Thank you. Mr. Webb spoke, briefly about a 5 large Coast Guard cutter operating in the Bering Sea, 6 7 during periods of the year. Can you speak a little bit more about that? Do they have a helicopter on board? 8 What kind of capability do they have?

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Yes. We have what's called 1.0 Bering Sea 10 Α. coverage. We try to have a, typically a 378 up in the 11 Bering Sea, year round. My actual, as a former 65 12 13 driver, I spent three years doing deployments in the Bering Sea and flying off the back of cutters. So I can 14 speak to that. 15

They are a little bit more limited in their They don't have de-icing capabilities. So that's always a concern with an MA65. But the advantage that the 378 brings to a search and rescue case, or maybe even going beyond the capabilities of the MA60, which is about 250 miles offshore. Is that it is mobile. You can sail to an area, operate with the MA65, effectively come back to fuel, or to the ship and fuel.

And occasionally we would send them up to the maritime boundary line to do operation up there where it

- 1 | is outside the range of the MA60. So, that's the
- 2 | advantage of having a ship/helicopter combination. I
- 3 | would say the majority of the year, the 378's operate
- 4 | somewhere between St. Paul and Cold Bay, and over to
- 5 Dutch Harbor, is their primary operational area. That's
- 6 | where the majority of the fleet is at.
- 7 Q. Would you say that the primary reason why that
- 8 cutter and aircraft are in the area is to support search
- 9 and rescue?
- 10 A. I don't know if I would call it the primary
- 11 reason. Law enforcement and Living Marine Resources,
- 12 LMR is right there with search and rescue.
- Of course, they are there to support search
- 14 and rescue should the need arise. But the typical 378
- 15 | will be out in the Bering Sea, say north of Cold Bay; in
- 16 | an area we call the slime banks. And they will be doing
- 17 | boardings to ensure that the fishing fleet is operating
- 18 within the regulations that they are operating safely.
- 19 And they will do boardings, just kind of spot checks out
- 20 there. So make sure that's all progressing well.
- 21 Q. Thank you, Captain. Captain what was your
- 22 | role in the incident involving the fishing vessel
- 23 Destination?
- 24 A. I was the SMC for that case.
- 25 Q. Did you maintain search and rescue mission

coordinator throughout the entirety of the case?

A. Yes.

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- Q. On the morning of the incident, was the
- 4 | Command Center staffed in compliance with Coast Guard
- 5 requirements?
- 6 A. Yes.
- 7 Q. Thank you. Do you recall how the Coast Guard
- 8 | was notified that the fishing vessel Destination was in
- 9 distress?
- 10 A. Yes. As Petty Officer Shipley mentioned
- 11 earlier it was, it came in through the SARSAT system, on
- 12 a computer that we maintain 24/7/365 in our Command
- 13 Center. And that was our initial notification.
- Q. How were you notified, or briefed on the case,
- 15 | initially?
- 16 A. I believe it was actually a Saturday. I was
- 17 | called at my house at a little bit before seven a.m. by
- 18 the Command Center.
- 19 Q. Who notified you of the incident? How did
- 20 | they accomplish that notification?
- 21 A. They called me on the phone and told the
- 22 details of the case, and that they had already launched
- 23 Kodiak and Cold Bay. And I believe the conversation
- 24 | that I had with them, again talked about weather,
- 25 distances, getting that far out in the Bering, almost

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1 | six hundred miles from Kodiak. And then sending an
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- 2 | aircraft from Kodiak to Cold Bay. Then Kodiak up north,
- 3 | is a logistical, we want to make sure we've got the gas,
- 4 and the weather. So it was a conversation along those
- 5 lines.
- 6 Q. Can you walk us through your actions after
- 7 | receiving the initial notification from the Command
- 8 Center?
- 9 A. Well I got the call at my house; it was about
- $10 \mid 6:45$ , or 6:50. I had the conversation that I just
- 11 described. And after that I, you know, I was basically
- 12 up and started my day. And kind of in my mind as these
- cases, you know, the first thirty minutes between 6:15
- 14 and about 6:45 when I got the call is what we typically
- 15 | call our pre-comms time.
- 16 That happened, I got the call. And we
- 17 | launched, really two helicopters and a C130 was the
- 18 direction. And that point, my job is done for a period
- 19 of time. I monitor. And after that I think we had a
- 20 | few more phone calls as far as the progress of the case
- 21 went.
- But, and then about 9:30 or so I went into the
- 23 | Command Center. Once I realized more details were
- 24 | coming in, I wanted to go and see, in person, right
- 25 | there, in the Command Center what was going on so I

- 1 | could have a direct contact.
- 2 Q. Thank you. Can you describe, after you
- 3 | arrived at the Command Center what kind of things you
- 4 | interacted with the watch about? And other interactions
- 5 | with District staff that you had that day? Or other
- 6 staffs?
- 7 A. Right. I think I spoke with my boss, Captain
- 8 Thorn, DR. And we talked about -- and I'm going off
- 9 memory here -- once I got inside. Mostly I just
- 10 monitor, and they are all excellent at their jobs. So I
- 11 try not to go in there, and you know, get in the way.
- But we diverted the Morgenthau with a 65. I
- 13 did talk to Captain Thorn that day. And I believe also
- 14 Admiral McAllister, as the case evolved.
- 15 And at some point, too, the media got
- 16 | notified, so we assigned somebody from our Public
- 17 Affairs Division to come down and take those calls, and
- 18 handle media inquiries.
- 19 Q. Thank you, sir. Can you talk to us about your
- 20 decisions and discussions on what resources were going
- 21 to be dispatched initially?
- 22 A. Can you ask that question again?
- Q. Yes, sir. So you say you launched the 60 out
- of Cold Bay, and also a C130, and a 60 from Kodiak.
- 25 A. Um-hmm.

Q. Can you walk us through why you would launch all those assets?

A. Right, right. Well the -- in Kodiak the way
the island, the Air Station is configured, is we've got
-- when there is a 60 out in Cold Bay, which is 15

October to 15 November, and 15 January to 15 March, give
or take every year. We have a BO. A thirty minute
launch aircraft out of Kodiak. And we also maintain a

B2 back at Kodiak.

So when the -- if Cold Bay gets launched, we will launch the BO out to provide that self-rescue, not just cover but also self-rescue in the event the unthinkable happens.

So they had, it is about a three and a half hour trip from Kodiak out to Cold Bay. And once they get within striking distance, then we launch the 60 out of Cold Bay to head north. And the C130, I think they had to add some gas that day. And it is a thirty minute launch window for them. And it is about a two and a half hour flight from Kodiak to St. George. And it is about two and a half, as well, for the 60 out of Cold Bay.

So that was what I anticipated, is 60 out -- and the 60 you have, maintenance, I think at one point the 60 that launched up in Kodiak had some icing. So

they had to come back and address that before they could get launched up to Cold Bay.

- Q. Thank you. Did you have any other challenges with resources? For example, mechanical, or icing, or weather challenges throughout the case? And can you speak to any of those?
- A. Just the 60 as it was heading out of Kodiak developed some icing, and they had to come back and address that. As far as the 378 and the 65 that was out in the area that headed up to St. George, there were no known mechanical. I think there was some weather they couldn't go really fast. They had to slow their speed down a little bit in order to get up there.

And there was, you know, that was a bit of a challenging sea state to get up there with the 378. But those were the main things, icing. And other than that, nothing else.

- Q. Is that fairly typical for that time of year, Captain, to have mechanical and weather issues like that?
- A. Weather issue, for sure. Mechanical it just depends on the day. There was, I, I did speak with the chief pilot over at Kodiak, and there was some weather to contend with between Kodiak and Cold Bay as well.

  There was the same weather that we saw on scene that

1 would also create some difficulties for them as they

- 2 | were doing their planning to head up the St. George.
- Q. Thank you. Captain, do you recall what the
- 4 | Coast Guard's first resource was on scene to the last
- 5 known position of the fishing vessel Destination? And
- 6 how long it took to arrive on scene after their initial
- 7 | notification?
- 8 A. It was a C130, I believe it was, the tail
- 9 number was the 1714, and I think they got on scene about
- 10 10:13 a.m. in the morning. And it is about a two and a
- 11 half hour flight depending on headwinds or tailwinds
- 12 between Kodiak and St. George.
- Q. Do you recall when the 60 out of Cold Bay
- 14 launched, and when they arrived on scene?
- 15 A. The 60 out of Cold Bay, arrived on scene about
- 16 noon, I believe, somewhere around noon.
- Q. Was the 60 in Cold Bay the nearest Coast Guard
- 18 resource to the last known position of the fishing
- 19 | vessel Destination?
- 20 A. Right. Yes.
- 21 Q. Is it typical for it to take four hours after
- 22 | initial notification for a Coast Guard asset to arrive
- 23 on scene?
- 24 A. Depending on the distance and the planning
- 25 | factors that go into it. You know, if you are close to

1 where the aircraft is, of course, the time is shorter.

But, you know, it just depends on where it is at. We've had cases out in ADAK, and you know, further out the chain it takes us a ways to get there. So...

- Q. Thank you, sir. Petty Officer Shipley briefly described this, can you explain to me, from your perspective as search and rescue mission coordinator, while the CG assets are flowing to the scene, and the Good Sam's are starting to arrive on scene, what kind of work is being done in the Command Center and from other staffs in Juneau, from the Coast Guard.
- Well in addition to movement of all of the Α. assets, we've got our UMIB's that continue to go out, the call outs are continuing to happen, we are constantly on the phone gathering additional information. And if they are -- depending on where the case is located, if there is other resources that we can draw upon, like the Alaska State Troopers, or ground assets in the area, we will try to exercise all that.

But we continue to build the case. Initially though, we get our main assets heading to the scene, which is, you know, ships and aircraft.

Q. Once the first Good Samaritans arrived on scene, and the Coast Guard assets, specifically the C130 arrived on scene and were unable to locate anything in

1 | the last known position where the EPIRB was, what was

- 2 the next step?
- A. When you say they were unable to locate
- 4 anything.
- 5 Q. So when the -- when the Good Samaritans and
- 6 | the Coast Guard first arrived on scene, to the last
- 7 known position of the EPIRB.
- 8 A. Um-hmm.
- 9 Q. Were they able to locate anything?
- 10 A. Yeah. I'm not sure exactly -- I can't align
- 11 each item with who located it. But I know there was an
- 12 EPIRB, there was a life raft, I believe there was a
- 13 table, oil sheen, some other debris in the water, which
- 14 was located by both the C130 and the Good Sams
- 15 initially. So...
- Q. And once debris, a debris field is found, and
- 17 | a sheen, an EPIRB, what do you do with that information?
- 18 A. Well, it gets back to the Command Center; we
- 19 report it back to the Command Center. The on scene
- 20 | commander, which at that time would have been the C130
- 21 | air crew, reports that they take those updated
- 22 positions, and they use that verify and/or update the
- 23 | information that they put in SAR OPS system, so it helps
- 24 us with our subsequent drift patterns, and search
- 25 patterns as we keep flowing more assets to scene.

1 Q. Thank you. I would like to now display

- 2 Exhibit 9. Exhibit 9 is a presentation of the Coast
- 3 | Guard's search effort for the purposes of briefing next
- 4 of kin. Captain you also have a copy in your binder if
- 5 | you need it.
- 6 A. Okay. I can see that.
- 7 Q. Okay, roger that. So at this point, Captain,
- 8 | I would just like to have you walk us through -- there
- 9 are nine slides here. I believe this is similar or the
- 10 exact product that you used to brief next of kin's on
- 11 | the Destination case.
- 12 A. Yes.
- 13 Q. If you could just briefly walk through each
- 14 | slide and just have you tell us a little bit about the
- 15 | searches themselves.
- A. Sure. If you look to the left there, you will
- 17 | see the EPIRB. And also the AIS track, which I don't
- 18 know if it shows it on any subsequent slides. But on
- 19 the northwestern side of the island, is where that was
- 20 | located, as I recall. It was going 2.3 miles down the
- 21 | southwest at about the seven o'clock position you have
- 22 | the Coast Guard Cutter Morgenthau coming to scene with
- 23 | an MA65, short-range helicopter on board.
- 24 Up on the tope you've got the Coast Guard A60,
- 25 | the first one to arrive on scene, the first helicopter

1 to arrive on scene. And these are -- you've also got

- 2 | the 1714, which is the first Coast Guard asset to get on
- 3 scene.
- 4 There's also a life ring and an EPIRB which I
- 5 | believe were, were both recovered by a Good Samaritan,
- 6 the life ring for sure. So ...
- 7 On this one here, the bigger, what we call the
- 8 Papa Sierra (PS) search and the light green is the C130,
- 9 search pattern. And I think closer in it may have been
- 10 | a small search pattern we had them do originally.
- 11 And then, in the purple there, I believe it
- 12 | was, you will -- that's the A60 search pattern. And in
- 13 | that little discoloration is the SAROPS drift modeling
- 14 that we use. And that's the likely areas that we think
- 15 the items we are looking for could be found in. So ..
- 16 Q. Captain, at this point in the search, and the
- 17 | initial phases of the search, what was your search
- 18 target?
- 19 A. Well, as I said earlier, I believe it was a
- 20 | raft initially. And then we also ran a PIW drift, as I
- 21 recall.
- 22 And then in this one, here, you can see they
- 23 | are starting to get concentrated, you are kind of losing
- visibility in some of those that are underneath. But we
- 25 | are really saturating the area as more and more assets

are flowed to scene, you will see that we can really cover very thoroughly our primary area, which is

3 | northwest of St. George there.

But then there is also some other possibilities as those dots move out to the southwest, and south. We use a bigger one, long range aircraft to go out there and see those less likely areas there.

And if you look in the bottom side there, due south of, south west of St. George, you will still see debris. I believe that was debris that we found a subsequent day. And that was letting us know that we were searching in the correct area. We were continuously finding debris both days that we searched.

So, our SAR OPS, for me that verified that our SAR OPS drift modeling was working as it should.

And at this point here, we are 20.91 hours searched. I believe the larger patterns, again, are our C130 patterns. And we are finding more debris, we found, like I said, the floats, to the southwest there. And it is kind of more of the same. But we are continuing our search and the scatter plots are getting wider and wider, the longer, the more time that passes.

This is an area that we were interested in, and sent the 60 out to search to the southwest. And based on finding some -- I think that debris and the

drift modeling. And then up by St. George you can see

- 2 | the -- I think it was a 65 search pattern there, once
- 3 | the Morgenthau -- a 65 got on scene, I think that is the
- 4 orange pattern.
- 5 I think this is the something -- this is the
- 6 | ECO search. And this was, I think our, we are getting
- 7 | towards the end here. And that was a, I think that was
- 8 | a 60 that searched that far, eventually, that was a 60
- 9 as I recall.
- 10 And you can see the total search efforts
- 11 overlaid on top of each other. And we definitely
- 12 saturated the area. I can say I did speak with the
- 13 pilots that were out there flying on the scene, and the
- 14 search conditions, the visibility were good. And they
- 15 were very confident that if there would have been a
- 16 | raft, or a gummy suit or something like that, that they
- 17 | would have -- they had high confidence that they would
- 18 have been able to see it.

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- 19 And you see the debris that was found, sheen
- 20 and buoys, a folding table, tarps, a life ring, an
- 21 | EPIRB, some bait buckets, I think that was on the second
- 22 day. And then they also had a ground search party on
- 23 the northwestern side of St. George Island then that did
- 24 | find some of the sheen along the shoreline.
  - And those are the aircraft that we used on

scene. And that is the Coast Guard Cutter Morgenthau on

- 2 the bottom left there.
- Q. Thank you Captain. Captain was the life raft ever found?
- 5 A. Not to my knowledge.
- Q. Can you speak to any searching that was completed on land, on St. George Island?
- A. I know that we were in communication with the folks on St. George. And they had ATV's and people on foot out walking the shoreline, and looking for debris, and the evidence. And I believe the only thing that they found was the sheen that I described earlier. But I'd have to verify that by going back and looking at our
  - Q. Thank you Captain. So Captain we are going to shift focus a little bit from the case operations to the suspension process.
  - A. Okay.

documentation.

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- Q. Can you please talk to us about the Coast
  Guard's process for notifying the families of the
  individuals that were unaccounted for in the fishing
  vessel Destination?
- A. Right. There was, we of course don't wait until the end. We are in contact with the families throughout the entire, I think it was about two and a

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1 half days of searching, notifying them of, you know,
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- 2 everything that we were doing as far as on scene assets,
- 3 | total number of tract miles searched, number of hours,
- 4 | survival times, et cetera.
- 5 And finally, I think it was about a little
- 6 over two days later, two and a half days, we had had to
- 7 | brief Admiral McAllister for suspension. But it was a -
- 8 something that we looked at long and hard, and took it
- 9 | well past any anticipated survival times, which we do a
- 10 lot of times.
- But yeah, ultimately it rested with the two-
- 12 | star Admiral McAllister at D17. And that's kind of how
- 13 it went. And we had a Command Center with a lot of
- 14 people; we had talked to all the family members that
- 15 were aware. And then finally suspended the search. And
- 16 | you have got it in front of you, the date sometime
- 17 there.
- 18 Q. Thank you. This case has significant media
- 19 interest, and there were many families and friends
- 20 involved.
- 21 A. Um-hmm.
- 22 Q. This case, can you talk about how that factors
- 23 | in to case operations and the suspension process?
- A. Yes. Well, like I said earlier, we assigned a
- 25 | public affairs officer to take the media calls; there

1 | were a lot of them that came in on this case. And it

- 2 | continued to increase over the following days. And my
- 3 | job was to, you know; make sure that my watchstanders
- 4 | could still do their job, in the Command Center. So
- 5 they handled that.
- And give me again, the second part of that, as
- 7 | far as --
- 8 Q. Just how the interactions with family and
- 9 friends --
- 10 A. Yeah.
- 11 O. How that factors in?
- 12 A. Well, it is always tough, and it was really
- 13 tough in a case like this. We, everybody goes out
- 14 there, I can tell you that Air Station Kodiak, we
- 15 literally launched everything that we had. In fact, a
- 16 | concern that I had as a SAR mission coordinator was,
- 17 once all of our assets, I think we had two helicopters,
- 18 and multiple C130's, we bagged a lot of crews, took them
- 19 up to and past their permission times.
- 20 Everybody tried really hard; we really wanted
- 21 | to find these folks. And talking with the family
- 22 | members, you know, we sort of, you know, it was really
- 23 tough. And we finally came to the conclusion after a
- 24 long time that it was time to suspend it.
- 25 But we definitely did it with their full

1 knowledge. And I can tell you that Air Station Kodiak

- was, and the Coast Guard Cutter Morgenthau, and that
- 3 aviation crew on the back, did everything they could.
- 4 | And, in fact, most of our assets were at St. Paul, and
- 5 | the weather moved in, and it took us a long time to get
- 6 them to Kodiak.
- 7 I was concerned that we would have another
- 8 | case that may come up that we wouldn't be able to
- 9 respond to because everybody was up there in St. Paul.
- 10 So it was, it was tough, and that's kind of how it went.
- 11 Q. Thank you. You spoke to factors that play
- 12 | into the process for concluding searches, including the
- 13 | survivability. Can you just briefly talk about other
- 14 factors other than probability that go into the Coast
- 15 | Guard's process for concluding searching?
- 16 A. Beyond probability of surviving?
- 17 Q. Yes, sir.
- 18 A. Well there is -- we do all of our research, we
- 19 make all of our inquiries, and we want to make sure
- 20 | we've got all bases covered. Including any even remote
- 21 possibilities that these folks may have, you know, maybe
- 22 gotten to shore or something like that.
- 23 And we look at all of that beyond just the
- 24 PSDA and the probability of survivability, you know, and
- 25 our tables. And, but at some point, you know, we can't

1	continue to be out there. We kind of have a limit that
2	we reach, and we went well beyond it in this case.
3	Q. Thank you sir. Captain, today, do you know if
4	there have been any redevelopments, or if the search for
5	the fishing vessel Destination has been reinitiated by
6	anyone?
7	A. I just saw that, I guess, with sonar that
8	Petty Officer talked about earlier, that's the only
9	thing that I'm aware of, that they actually saw it on
10	the bottom of the ocean. But I am not aware of any
11	additional search efforts going on at this point.
12	Q. Captain Deer, those are all the questions that
13	I have. Thank you very much.
14	A. Yes, sir.
15	MR. GIARD: Commander Muller, I have no
16	further questions for Captain Deer.
17	CDR MULLER: Thank you Mr. Giard. Good
18	afternoon again, Captain.
19	THE WITNESS: Good afternoon.
20	CDR MULLER: I have a few follow-up questions.
21	EXAMINATION
22	BY CDR MULLER:
23	Q. Broadly speaking, with your experience,
24	working with command centers, and perhaps there are more
25	specific examples by way of this specific case with the

1 fishing vessel Destination.

A. Um-hmm.

- Q. I wanted to get an appreciation of the command center, the environment, the level and volume of calls that watchstanders, such as OS1 Shipley have to manage in particular these kinds of cases. So if you can help build a picture of what goes on and if I may, you know, some of the distractions, if you will, that may come into play?
  - A. Sure. While I didn't actually do a count, I don't know that that data is available. But I can tell you that I spent most of my, those couple of days in the Command Center, and we probably had, you know, dozens and dozens of calls every day. It would be, you know, I'm guessing probably up to a hundred, by the time it was done.

So you have the media calls. Of course, you have inquiries from the family members, which we are happy to take, that comes in to the Command Center.

I can tell you by virtue of the magnitude of this case, we had some very senior officers in the Command Center watching it. So we try not to distract the workers. Adding value where we can. But yeah, there is between the media, leadership, we'll get a call occasionally from like PACAREA, those Command Centers

- 1 down there asking us if they can help us.
- But yeah, they've got their work cut out for
- 3 them, sorting those cases, and triaging them. Or not
- 4 cases, sorting those calls and triaging them, and
- 5 getting them to the right departments. But yeah, they
- 6 definitely, it's a busy twelve hours. And at the end of
- 7 | the twelve hours they need to go home and rest so that
- 8 | they can come back and do it again. So it is a long
- 9 process, a lot of work.
- 10 Q. Okay. Thank you. I heard earlier, you
- 11 mentioned a thirty minute launch window.
- 12 A. Um-hmm.
- 13 Q. I believe for helo, for a helicopter, or a
- 14 | fixed wing aircraft. So, I'm trying to get an
- 15 appreciation is that a goal? Is that an average time?
- 16 Do crews, you know, I'm trying to get an appreciation --
- 17 | you can't just turn a key in your car, and launch an
- 18 | aircraft. Is that right?
- 19 A. Right.
- Q. So is this a minimum, so to speak?
- 21 A. Well it's, we try to shoot for thirty minutes.
- 22 | In cases like this where you have add extra fuel that
- 23 takes time, depending on the distance of the cases. An
- 24 average SAR load for a C130, for example, is 45,000
- 25 | pounds. And they will, usually, for cases like this

where it is a great distance off shore, they will add another 10 or 15,000 pounds of gas.

And the 60, as well, as they are heading out to Cold Bay, it's a long flight. Their typical fuel load, I think is around 4,000 pounds, and they may fuel it up to 5500.

But yeah, it is not just like going out and starting your car in the driveway and taking off. There is very limited infrastructure in Alaska. And you have to make sure you have enough gas to get to the next spot. And you have to be able to navigate through the weather. Anticipate headwinds. Sometimes you anticipate a speed over the ground for a helicopter, say 125 knots. You may have a 40 or 50 knot headwind, so you've got to add extra gas to account for that headwinds.

But yeah, there is a lot of planning that goes, depending on which direction they send us, and the nature of the case. Sometimes we will have to add extra gear onboard. Depending on the needs of the vessel. So there is a lot of activity happening at the air station prior to getting airborne.

Q. This is somewhat of a technical question. And I do not expect you to have the exact answer. The PSDA which is survivability, survival decision guide, or

1 something to that effect.

A. Um-hmm.

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Q. Where depending on if you place a person in a life raft, an emersion suit, a life ring, or just

There are various forms or factors.

6 A. Right.

general clothes.

- Q. Broadly speaking, the functional when we are talking functional times. In the Bering Sea in February is it possible that some of those factors would be less than thirty minutes? Functional survivability?
- 11 A. You know, I'd have to actually go and look at
  12 the tables on that. The only thing I can reference by
  13 memory is what I eluded to earlier, the four hours. If
  14 we are in our dry suit, and we go into the water, we
  15 don't have a tear in it. Our survival time is, you
  16 know, in the helicopters, four hours.
  - But yeah, if you are, you know, go into the water, and you don't have any protective gear on, not a gummy suit, not in a raft, it is very quick.
  - Q. I'm glad we still have that picture on display. Exhibit 09, page 9, we have a picture there of a Coast Guard C130.
- A. Um-hmm.
- Q. Can you describe any equipment lifesaving equipment that the C130 can deploy once they get on

scene?

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it.

A. Sure. We have rafts; we've got MA3, and MA1
kits that we can deploy, if we see a need in the water.

I've deployed them before. We also have dewatering
pumps we can send down to vessels. We have fire
suppression kits. And again, this is part of the
initial calls that we get. We have our standard SAR
load that we have on the C130, which has the rafts in

But, if there is an additional need of pumps or whatever, we will have to load that up before we take off. And that all happens pretty quickly at the air station. We can also drop flares to help serve as — if we see something in the water, and we drop a flare and put it right by it, a certain factor/vector and a surface asset (sounds like) for the C130.

- Q. And of course, if you were to drop a life raft if they saw survivors in the water they can do so with a certain amount of accuracy?
- A. Yes. That is something that we practice.

  They are proficient at it.
- Q. Okay, final question for me. And I am -
  throughout the testimony today, some of the question

  involved about risk assessment, risk operations. I want

  to step back a little bit broadly to try and get an

1 appreciation of what that is. Not just in the Coast

- 2 Guard, but more importantly how that could possibly be
- 3 used in the maritime industry by mariners, and
- 4 | specifically potentially down the road, if not already,
- 5 by the fishing fleet.
- 6 So, if you could describe risk management.
- 7 And by way of example I have heard about GAR Model.
- 8 | Maybe you can explain what that tool represents, and how
- 9 | it is used within the Coast Guard by our Coast Guard
- 10 boats, cutters, and aircraft.
- 11 A. Um-hmm.
- 12 Q. How they manage risk?
- A. Sure. I'd be happy to do that. I don't have
- 14 one of the sheets right in front of me. But going off
- 15 memory, we fill this out; we will do kind of an IMSAFE
- 16 | checklist. Illness, medication, stress, alcohol,
- 17 | fatigue, and exercise I think is one of the common
- 18 things. So as a crew of four gets together, and they
- 19 get ready to go on a flight, if they are just fresh, and
- 20 | it is daylight and they just arrived to work. Obviously
- 21 | they are going to be doing; they will hopefully be doing
- 22 good.
- But is anybody feeling badly. We look at the
- 24 | weather. We look at the missing, is it a high risk
- 25 mission, is it a low risk mission. Is the risk worth

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1 | the gain? You know, if we are going to go out there,
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- 2 and just go on a training flight and there are
- 3 thunderstorms in the area, we probably might cancel,
- 4 because we can catch a trainer the next day. But if
- 5 | there is, you know, a higher need, we will press it a
- 6 little bit further.
- 7 If you have already been flying on a case.
- 8 And so you get back at midnight, and your duty day lasts
- 9 until 0800 the following morning, and you get a call at
- 10 three o'clock in the morning to relaunch. Well now your
- 11 IMSAFE checklist is going to be a little bit different
- 12 because everybody is tired, they are fatigued.
- We found that people that are really fatigued
- 14 | fly like they are actually inebriated. We've done some
- 15 studies on that. So we look at all that. Again, we
- 16 look at weather, the capabilities of the airplane. Crew
- 17 experience. A lot of times we will have, if you have
- 18 | two brand new pilots, they may not be quite as
- 19 comfortable and maybe two more experienced people who
- 20 have maybe several thousand more flight hours behind
- 21 them.
- But here, it is -- and that helps us create a
- 23 GAR score. And then we -- if it is over -- if it is in
- 24 | the green, we are usually good to go. If it is in the
- 25 amber or the red, actually if it gets in the red, you

1 have usually got to get a waiver from the commanding

- 2 officer to actually execute the mission. But if it is
- 3 | in the amber, you may take it up a level and go talk to
- 4 | a more senior person. Say, hey what do you think.
- 5 So, it is something we, it is kind of a living
- 6 | concept. We use it every day. And, it is a little
- 7 | subjective, but it is definitely something that is an
- 8 | integral part of our aviation community.
- 9 Q. Okay, so the GAR stands for Green/Amber/Red,
- 10 which represents the total score across various factors.
- 11 A. Um-hmm.
- Q. Risk factors. Who participates in this
- 13 exercise? Is it limited to just a few individuals on
- 14 | the crew? Or is it the entire crew? And does every
- 15 participant have a say?
- 16 A. Every participant has a say. We call it also
- 17 operational risk management. Everybody gets an equal
- 18 vote. Finally, in the end, in aviation at least, the
- 19 aircraft commander has 51 percent of the vote. If we
- 20 | are going to go or not go. But he or she definitely
- 21 takes the input equally from everybody. Because we each
- 22 have our own functions. The rescue swimmer has a
- 23 | function. The flight mechanic has function on
- 24 helicopters, and the co-pilot, and the aircraft
- 25 | commander. So it is never made in a vacuum. And it is

1 | a very kind of an open amiable setting that we do it in.

- Q. Okay. And just by way of example, to help
- 3 | visualize that. An aircrew, you have probably a
- 4 | Lieutenant, maybe LCDR --
- 5 A. Um-hmm.
- Q. And then a rescue swimmer, and a flight
- 7 | mechanic, petty officers, maybe a chief.
- 8 A. Um-hmm.
- 9 Q. So you would have, in this GAR exercise, the
- 10 | way it is designed, you can have a petty officer speak
- 11 up, indicate a risk, and articulate that risk, even up
- 12 to his superiors, to the Lieutenant. And so is that the
- 13 kind of scenario that could potentially play out?
- 14 A. Absolutely. I sometimes use examples. A
- 15 | friend of mine recently -- they were out on a helicopter
- 16 mission, and they were doing an approach to a dark point
- of the shoreline, all the electricity had been shut off
- due to storm that had passed through. And the petty
- 19 officer in the back said, hey I think there are power
- 20 lines here, as I recall. And they called off the
- 21 mission. And he said roger that.
- 22 And they were getting ready to go fly into it.
- 23 And the petty officer in the back, said I think I recall
- 24 this. They called it off. The next day they went out,
- 25 and sure enough, there were power lines throughout the

- 1 | whole area. So again, just by way of example,
- 2 everybody, absolutely has input, and is valued, and even
- 3 | the most junior member.
- 4 Q. Thank you Captain. I have no further
- 5 questions.
- 6 CDR MULLER: Mr. Gillette do you have any
- 7 | questions?
- MR. GILLETTE: I have no follow-up questions,
- 9 Commander.
- 10 | CDR MULLER: Thank you. Mr. Karr, NTSB?
- 11 EXAMINATION
- 12 BY MR. KARR:
- Q. Captain, based on, back around the 11<sup>th</sup>, 12<sup>th</sup>,
- 14 13<sup>th</sup>, of February, based on the reports you received,
- 15 can you describe the issue that affected that first,
- 16 MA60 that launched from Kodiak? With the icing?
- 17 A. Right. They um, it was a cold day. And I
- 18 | don't know, I'd have to go and dig into it, and get
- 19 | specifics on it. All I know, is that they had engine
- 20 | inlet icing as they taxied out to go and take off. And
- 21 | then they can't take off with icing in the engine inlet,
- 22 | because it will, could potentially come out and go into
- 23 | the engine, and cause a flame on, and cause engine
- 24 issues.
- 25 So they came back in. And I don't know if

1 they grabbed a different airplane, or addressed that

- 2 | really quickly and used the same airplane. But I could
- 3 | find that out if that was of interest to you.
- 4 Q. That's good, thank you.
- 5 A. Okay.
- 6 Q. No more questions.
- 7 CDR MULLER: Thank you Mr. Karr. Ms. Spivak,
- 8 do you have any questions?
- 9 MS. SPIVAK: No questions.
- 10 CDR MULLER: Let me just ask one more time to
- 11 Mr. Giard, any questions?
- MR. GIARD: No questions, Commander.
- CDR MULLER: Okay, thank you. Captain, now
- 14 | that we have completed our factual elements of your
- 15 testimony, per your request in our conversations
- learlier, where you wanted to take an opportunity to
- 17 | mention a few words to the family members of the fishing
- 18 vessel Destination.
- I would offer that this would be an opportune
- 20 | time do so.
- 21 THE WITNESS: Okay, great. Thank you. So
- 22 this is, portion is complete, sir?
- 23 CDR MULLER: Yes.
- 24 THE WITNESS: All right.
- 25 (CAPTAIN SPEAKING TO FAMILY MEMBERS -- PER CDR

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1
   MULLER: OFF THE RECORD.)
              CDR MULLER: Thank you Captain.
2
3
              THE WITNESS: Commander, thank you.
4
              CDR MULLER: Thank you again, Captain. I just
    want to mention that you are now released as a witness
5
    to this Marine Board of Investigation. Thank you for
6
    your testimony and your cooperation.
7
              If I later determine that this Board needs
8
9
    additional information from you we will contact you. If
    you have any questions about this investigation you may
10
11
    contact the Marine Board Recorder, Lieutenant Commander
    Pedro Mendoza.
12
              This completes our witness testimony for
13
    today. We will recess until Monday morning; reconvene
14
    at nine o'clock, thank you.
15
         (At 16:19 the proceedings concluded for the day.)
16
17
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## CERTIFICATION

This certificate is valid only for a transcript accompanied by my original required signature on this page.

I hereby certify that the proceedings in the matter of Marine Board Investigation of the F/V Destination, heard in the United States Coast Guard Thirteenth District, Seattle Washington, Friday August 11th, 2017, were recorded by means of audiotape.

I further certify that, to the best of my knowledge and belief, page numbers one to one hundred seventy-three constitute a complete and accurate transcript of the proceedings as transcribed by me.

I further certify that I am neither a relative to nor an employee of any attorney or party herein, and that I have no interest in the outcome of this case.

In witness whereof, I have affixed my signature this  $11^{\rm th}$  day of September, 2017.

Sally S. Gessner, Court Reporter