

Date: 10/29/14 Time: 7:30 AM PM Days W/O Injuries: _____ Gang No. ACS3

Work Location: CP103-CP94 Job Briefing: _____
This is to be done before starting ANY job.

Person Holding Job Briefing: Jim Novek Jr. Title: Foreman

Weekly Safety Focus Winter Vehicle Prep Security Focus _____
Targeted Observation Minimum Distance

What are we doing? Prep / Plow Cable / Bridge Crew work / Splice Cable / Hand holes

Does everyone have all required PPE: (Hardhats, Glasses, Gloves, Footwear, etc.)? Yes No

Does this work require special PPE: (Ear Protection, Respirator, Chaps, Face Shields, etc.) Yes No

Any unusual work site hazards? Yes No
If yes, what hazards? STF / Equipment

Have all of the following been checked for defects? Tools Yes No Vehicles Yes No
Equipment Yes No What defects were found? _____

Does this job require Fall Protection: Yes No If you are not sure, find out before working.
Is everyone that must wear it qualified? Yes No

Is this area considered a Confined Space: Yes No If you are not sure, find out before working.
Is this a permit required confined space? Yes No

What safety rule was discussed? 4040 Safety Class Does it pertain to this job? Yes No

Overhead Wires/3rd Rail are: Energized De-energized

Do we need ET protection? Yes No
If yes, did the Employee in Charge sign the Clearance Form? Yes No

Are everyone's qualification cards up to date? Yes No
Operator's books up to date? Yes No N/A

If operating equipment or machines, are the operators qualified? Yes No

Are there any hazardous chemicals or environmental issues? Yes No

Nearest approved medical facility: Northern Butchers

Electronic Device Policy Reviewed? Yes No

Other items discussed: Keep clear of all TK's unless authorized by Amtrak Employee
CPR Brad Emergency entrance Tivoli Road King North or South
911 Tim

Amtrak Police Emergency Number 1-800-331-0008 No one is required to sign this document until they have full understanding of the job and all safety concerns have been addressed.

Employee Sign-Off

If working on-track an On Track Safety briefing must be completed

| | | | |
|----|----|----|----|
| 1 | 11 | 21 | 31 |
| 2 | 12 | 22 | 32 |
| 3 | 13 | 23 | 33 |
| 4 | 14 | 24 | 34 |
| 5 | 15 | 25 | 35 |
| 6 | 16 | 26 | 36 |
| 7 | 17 | 27 | 37 |
| 8 | 18 | 28 | 38 |
| 9 | 19 | 29 | 39 |
| 10 | 20 | 30 | 40 |

Job Briefing on front must be filled out before starting any job.

Date: 10/29/14 Time: 7:30 AM PM Days W/O Injuries: Gang No. ALS3

Work Location: CP94-CP103 Nature of Work to Be Performed: Plans/pre-rip/Bridges/splines Characteristics of Work Location: Hand holes

Employee in charge of providing on-track protection: Jim Nardis

Qualifications: [X] Operating Rules (NORAC, GCOR, etc.) [X] Physical Characteristics [] Base Line Characteristics

Track Speeds 95 [X] Controlled Track [] Non-Controlled Track [X] Adjacent Controlled Track Speed 95

What form of protection will be used? [X] Track out of Service [X] Foul Time [] Watchmen [] Inaccessible Who has the track out of service? CP94-CP103 Jim Nardis What are the out of service limits? CP94-CP103 T&E

Are Adjacent Controlled Tracks Involved? [X] Yes [] No If yes, what is/are the track number(s) of the Adjacent Controlled Track(s)? 261 What form of Protection will be used for the Adjacent Controlled Track(s)? [] Track out of Service [X] Foul Time [] Watchmen [] Inaccessible

Discuss Location of Predetermined Place of Safety (PPOS): East of TK1 / West of TK2

If track is out of service are the barricades up? [] Yes [X] No If yes, on what tracks?

If Foul Time is being used will the SSD's be applied? [] Yes [X] No

Shunt Confirmed? [] Yes [] No Time: _____

Have you requested and received foul time in accordance with Operating Rules (NORAC Rule 140)? [X] Yes [] No

If in an interlocking, is C&S providing us with Local Train Control? [] Yes [X] No

What is the normal direction of traffic? Remember - Any Time, Any Direction! 261

Are the Whistle Boards up? [] Yes [X] No [] N/A If yes, where are they located?

Is the location considered a "Hot Spot"? [X] Yes [] No If yes, why Crossovers If yes, what extra precautions have been taken? Foul 1005

How many watchmen are needed? 0 Discuss where they will be located and the relief policy.

Do all the watchmen have all of their required gear? [X] Yes [] No Remember, a Whistle Test MUST be done after the watchmen are in place!

Are other crafts involved? [X] Yes [] No If yes, discuss their involvement Operate Train

Does the Employee in Charge have a radio? [X] Yes [] No Do all of the other radios work? [X] Yes [] No

Electronic Device Policy Reviewed? [X] Yes [] No No personal electronic devices may be used without written permission.

If working around equipment the Operators MUST discuss: Dangers of Equipment Spacing 104 Speeds

Will any part of an RMM foul any track? [X] Yes [] No Type of protection to be established on the fouled track? Foul 1005

Does anyone have any questions or concerns? [] Yes [X] No If yes, have they been addressed to everyone's satisfaction? [] Yes [] No

No one is required to sign this document until they have full understanding of the type of protection being provided and all on-track safety concerns have been addressed.

Employee Sign-Off table with 40 rows and 4 columns. Includes handwritten signatures and dates. RWP Briefing must be signed on site. This document is valid for 10 working days.

NORAC MOVEMENT PERMIT FORM D

Form D No. A407

Form D No.(s) _____

Date 10 / 29 / 14

Delivered To _____

To Foreman Nand S - at MP 99.0

1. Temporary Speed Restrictions

| Line | Trk(s) | Between/At | Speed | | Signs |
|------|--------|------------|-------|------|-------|
| | | | Psgr | Frnt | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

2. Operate in _____ direction(s) on _____ Line _____ Trk between _____ and _____

On _____ Line _____ Trk between _____ and _____ Dspr _____ Time _____

On _____ Line _____ Trk between _____ and _____ Dspr _____ Time _____

On _____ Line _____ Trk between _____ and _____ Dspr _____ Time _____

3. Trains or track cars ahead _____

TC proceed past Stop Signal(s) at _____

④ Head Line 2 Trk out of service between/at CP 94 and CP 103 in charge of Foreman Nand S

_____ Line _____ Trk out of service between/at _____ in charge of _____

5. _____ Line _____ Trk obstructed for maintenance between _____ and _____

6. Non-signalled DCS rules in effect on _____ Line _____ Trk(s) between _____ and _____

7. Int and CP signals out of service on _____ Line _____ Trk(s) at _____

8. Remain at _____ on _____ Line _____ Trk until engine arrives to assist

9. Operate at Restricted Speed on _____ Line _____ Trk to _____ where train is disabled

10. TBS in service on _____ Line at _____

11. CSS rules out of service on _____ Line _____ Trk(s) between _____ and _____

12. Protect crossing(s) on _____ Line at _____

13. Other instructions/information _____

Dispatcher Dora

Time Effective 8:00 AM

Form D Cancelled, Time 12:08 PM Date 10 / 29 / 14

Dispatcher MP

| ITEM NO. | TRAINS AFFECTED | MAX DELAY | DELAY START LOC | DELAY END LOC | CODE | TITLE |
|------------------|---|---------------------|-----------------|---------------|----------|---------------------------------|
| 195 | 2 | 7 MINS | MET | NBK | CAR | NJ3714 ACTUATED HOTBOX DETECTOR |
| ITEM ENTERED BY: | | WILL FITZPATRICK | | | 10/29/14 | |
| TRAIN CONSIST: | | MU1343 WITH 12 CARS | | | | |
| 804AM: | NJ3714, MU1343 ACTUATED DED ON NO. 1 TRACK, STOPPED AT EDISON STATION TO INSPECT TRAIN. | | | | | |
| NOTE: | E-TECH REPORTED HOT JOURNAL READING ON 7TH CAR, AXEL 28, SOUTH SIDE. | | | | | |
| 813AM: | ENGINEER ON NJ3714 INSPECTED 7TH CAR INCLUDING 2 AHEAD AND TO 2 THE REAR, NO EXCEPTIONS | | | | | |
| 814AM: | NJ3714 ON THE MOVU EAST. | | | | | |
| DELAYS: | NJ3714 | 7 | MINS | NJ3928 | 2 | MINS |

| ITEM NO. | TRAINS AFFECTED | MAX DELAY | DELAY START LOC | DELAY END LOC | CODE | TITLE |
|------------------|---|--|-----------------|---------------|----------|-----------------------|
| 196 | 2 | NONE | X03 | XRH | SYS | AM280 STRUCK EMPLOYEE |
| ITEM ENTERED BY: | | HECTOR CUEVAS | | | 10/29/14 | |
| EMPLOYEES: | | ENGINEER: T.HART QUALIFYING ENGINEER: A.LUNDBERG CONDUCTOR: R.ANDERSON ASSISTANT CONDUCTOR: H.PATLINO ENTIRE CREW ON DUTY 9:06AM | | | | |
| NOTE: | NATIONAL RESPONSE CENTER INCIDENT NO.1099636 | | | | | |
| CONSIST: | 700, 82711, 82665, 82790, 82639, 48151 | | | | | |
| NOTE: | NO.2 TRACK OUT OF SERVICE BETWEEN CP103 AND CP94 FOR PROGRAMMED MW (PLOW TRAIN). | | | | | |
| 925AM: | CS MAINTAINER KLEIN GRANTED FOUL TIME ON NO.1 TRACK BETWEEN CP94 AND CP103. | | | | | |
| 1014AM: | C&S MAINTAINER KLEIN CLEAR OF FOUL FOR AM69 NORTH. | | | | | |
| 1020AM: | AM69 PASSED CP103 ON NO.1 TRACK. | | | | | |
| 1058AM: | AM280 ENGINE 700 OPERATING SOUTH ON NO.1 TRACK REPORTED STRUCK AN EMPLOYEE AT MP100. CNOC AND AMTRAK POLICE NOTIFIED. | | | | | |
| 1129AM: | COLUMBIA COUNTY 911 (JOHNSON) GIVEN PERMISSION FOR EMERGENCY PERSONNEL TO OCCUPY NO.1 TRACK. | | | | | |
| NOTE: | SAFETY (CULLIER), CLAIMS (PAZSIK) NOTIFIED. | | | | | |
| 1228PM: | ROAD FOREMAN CARROLL ON SCENE AND ACTING AS INCIDENT COMMANDER. | | | | | |
| NOTE: | TRAIN DISPOSITION AM281, AM233 HELD AT RHINECLIFF. AM290 AT ALBANY. | | | | | |
| 131PM: | PLOW TRAIN CLEAR NORTH OF CP103 ON NO.1 TRACK. | | | | | |
| DELAYS: | AM280 | | | AM281 | | |

| ITEM NO. | TRAINS AFFECTED | MAX DELAY | DELAY START LOC | DELAY END LOC | CODE | TITLE |
|------------------|---|---------------|-----------------|---------------|----------|-----------------------------|
| 197 | 2 | 8 MINS | XLT | XUN | ENG | NJ3235 MAIN CIRCUIT BREAKER |
| ITEM ENTERED BY: | | HECTOR CUEVAS | | | 10/29/14 | |
| TRAIN CONSIST: | | 4640, 8CARS | | | | |
| 1114AM: | NJ3235 STOPPED AT ELIZABETH ON NO.4 TRACK, MCB OPENED ON ENGINE 4640. | | | | | |
| 1119AM: | NJ3235 ENGINEER REPORTED PERFORMED ROUTINE-6, MCB CLOSED, ON THE MOVE WEST. | | | | | |
| NOTE: | LATE AM95 ON NO.4 TRACK BEHIND NJ3235 ACCOUNT AM2155 ON NO.3 TRACK. | | | | | |
| DELAYS: | NJ3235 | 8 | MINS | AM95 | 8 | MINS |