

UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

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Investigation of:

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ACCIDENT INVOLVING AMTRAK TRAIN
#89 AND MOW EQUIPMENT AND
EMPLOYEES, NEAR CHESTER,
PENNSYLVANIA ON APRIL 3, 2016

Docket No.: DCA16FR007

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Interview of: RODRIGO BITAR

Amtrak
Washington Union Station
Washington, D.C.

Thursday,
September 29, 2016

APPEARANCES:

RICHARD HIPSKIND, Chairman, Track and Engineering Group
National Transportation Safety Board

RYAN FRIGO, Investigator-in-Charge
Chairman, Operations Group
National Transportation Safety Board

BOB BEATON, Ph.D., Division Chief
Human Performance and Survival Factors Division
National Transportation Safety Board

ANN GARCIA, Accident Investigator
National Transportation Safety Board

FRAN WALKER, Track Safety Inspector
Federal Railroad Administration

LOU TOMASSONE, IIC
Federal Railroad Administration

DONALD HILL, Safety Task Force
Brotherhood of Locomotive Engineers and Trainmen (BLET)

WILLIAM BATES, Co-Director, National Transportation
Safety Team
SMART Transportation Division

THERESA IMPASTATO, Deputy Chief Safety Officer
Amtrak

JOHN BONVENTRE, Esq.
Landman, Corsi, Ballaine & Ford, PC
(On behalf of Mr. Bitar)

CHRISTOPHER SCHULTE, Supervisory Safety Specialist
Federal Railroad Administration
(Observer)

MICHAEL HOEPF
FORREST HOLDCROFT
National Transportation Safety Board
(Observer)

I N D E X

<u>ITEM</u>	<u>PAGE</u>
Interview of Rodrigo Bitar:	
By Mr. Hipskind	6
By Mr. Tomassone	17
By Mr. Bates	19
By Mr. Hill	21
By Mr. Beaton	22
By Mr. Hipskind	39
By Mr. Tomassone	50
By Dr. Beaton	51
By Mr. Hipskind	55

I N T E R V I E W

1
2 MR. HIPSKIND: Good afternoon everybody. My name is Richard
3 Hipskind, and I am the Track and Engineering Group Chairman for
4 NTSB for this accident. We are here today on September 29, 2016,
5 at Amtrak's Washington Union Station in Washington, DC, to conduct
6 an interview with Mr. Rodrigo Bitar, who is the Senior Vice
7 President and Chief Engineer and works for the National Railroad
8 Passenger Corporation or Amtrak.

9 This interview is in conjunction with NTSB's investigation of
10 a collision of Amtrak Train 89 with
11 Maintenance-of-Way equipment and employees on April 3, 2016 at
12 Mile Post 15.7 on Amtrak's PW Line near Chester, Pennsylvania, in
13 Delaware County. The NTSB reference number is DCA16FR007.

14 Before we begin our interview and questions, let's go around
15 the table and introduce ourselves. Please spell your last name,
16 and please identify who you are representing and your title. I
17 would remind everybody to speak loudly and clearly enough so we
18 can get an accurate recording. I'll lead off, and then pass off
19 to my right.

20 Again, my name is Richard Hipskind. The spelling of my last
21 name is H-i-p-s-k-i-n-d. I am the Track and Engineering Group
22 Chairman for NTSB for this accident.

23 DR. BEATON: I'm Bob Beaton, B-e-a-t-o-n. I'm with the NTSB.

24 MR. HOEPF: I am Michael Hoepf, H-o-e-p-f. Investigator at
25 the NTSB, observing today.

1 MS. GARCIA: Ann Garcia, G-a-r-c-i-a, with the NTSB.

2 MR. WALKER: Fran Walker, W-a-l-k-e-r. I'm an FRA track
3 safety inspector.

4 MR. TOMASSONE: Lou Tomassone, T-o-m-a-s-s-o-n-e, FRA track
5 inspector and Investigator-in-Charge for the FRA.

6 MR. HOLDCROFT: Forest Holdcroft with the NTSB.
7 H-o-l-d-c-r-o-f-t. And I'm just observing.

8 MR. SCHULTE: Christopher Schulte, Supervisory Safety
9 Specialist, Federal Railroad Administration, observer only.

10 MR. BATES: William Bates, SMART Transportation Division,
11 National Transportation Safety Team.

12 MR. HILL: Donald T. Hill, H-i-l-l, BLET, Safety Task Force.

13 MS. IMPASTATO: Theresa Impastato, I-m-p-a-s-t-a-t-o, Amtrak.

14 MR. HIPSKIND: And, Mr. Bitar, would you put yourself on the
15 record?

16 MR. BITAR: Sure. Rodrigo Bitar. Last name B-i-t-a-r.
17 Amtrak, Chief Engineer.

18 MR. HIPSKIND: Mr. Bitar, with your permission, do you mind
19 if we proceed on a first name basis?

20 MR. BITAR: No problem, absolutely.

21 MR. HIPSKIND: Okay. Thank you very much. And do we have
22 your permission to record our discussion, our interview with you
23 today?

24 MR. BITAR: Yes, you do.

25 MR. HIPSKIND: And do you wish to have a representative with

1 you at this interview?

2 MR. BITAR: Yes, I do.

3 MR. HIPSKIND: John.

4 MR. BONVENTRE: John Bonventre, Landman, Corsi, Ballaine and
5 Ford; and I'm here representing Mr. Bitar.

6 MR. HIPSKIND: All right.

7 INTERVIEW OF RODRIGO BITAR

8 BY MR. HIPSKIND:

9 Q. Rodrigo, would you spend some time and kind of give us a
10 synopsis of your work experience and take us up to your present
11 job? And if you want to continue speaking, tell us about your
12 current duties and responsibilities in that position.

13 A. Sure. I started my professional career with the automotive
14 industry working for Division of General Motors, Delphi. And in
15 that tenure I work in the Quality Department, in the Engineering
16 Department, in Supplier Development Department, in manufacturing
17 areas for the most part. In 2001, is when I started my career in
18 this industry in the transportation industry working as a
19 consultant for Washington Metro on rolling stock procurement. And
20 where I have different assignments again quality engineering. And
21 in 2008, I was directly hired by the Agency as Director of
22 Quality, transitioning into the General Superintendent for the
23 Berko (ph.) Transportation over there. And a couple years later
24 promoted as an Assistant General Superintendent for all
25 infrastructure, including track, signal, power, extensions in

1 rolling stock for Washington, Metro.

2 In 2015, I transition to Amtrak to a position that I
3 currently occupy as Senior Vice President and Chief Engineer. And
4 my responsibilities here are to oversee the three main aspects in
5 engineering, which are regular maintenance of the infrastructure,
6 including track, power supply, communications and signals and
7 buildings and facilities. That's one aspect. The other one is to
8 coordinate the engineering groups and the deputy chief that
9 oversee those specific technical areas. And the third one is the
10 capital improvements and project delivery. So those are the three
11 main areas that we have.

12 Q. Rodrigo, is there anything in the concept of engineering at
13 Amtrak that you are not involved in?

14 A. Rolling stock is not related to my purview.

15 Q. Okay.

16 A. So only infrastructure.

17 Q. You have a colleague who takes care of the mechanical
18 portion --

19 A. That's correct.

20 Q. -- portion of the railroad?

21 A. That is correct.

22 Q. Okay, all right. One of the things that we've been exploring
23 or trying to gain a greater understanding of, especially at your
24 level, and we just talked to Mr. Stadtler with his descriptions
25 about Senior Executive Safety Council and things of that nature.

1 So can you take some time and tell us about your involvement at
2 your level with all things safety?

3 A. Um-hum.

4 Q. And kind of give us a perspective of that.

5 A. Sure. First, I am a member of the Executive Safety Council.
6 I participate on regular meetings to discuss items of
7 -- or issues of interest for the unions and management and how we
8 can continue to improve on safety. But more specifically on the
9 engineering side, I oversee the safety activities regarding the
10 personnel under my group. Most recently we participated with the
11 Safety Department in developing a new Safety Agreement for the
12 Engineering Group. We created 24 positions over there, safety
13 liaison positions, to try to facilitate this exercise, and the
14 objective is actually to have local safety committees where
15 everybody can bring their concerns to the front burner, discuss it
16 at that level. Now needless to say, some initiatives might
17 require extensive funding, so that is completely out of their
18 reach or the financial capacity at a local level, and those issues
19 can be escalated to what we call a Tier 2 Safety Committee where
20 the division engineer gets involved. He might have access to more
21 funding. And see what can be done.

22 Then we have a group, which is three, which is the Safety
23 Council for engineering, and we meet regularly with the unions.
24 Primarily BMW, ARSA and others. And we discuss topics exclusive
25 or not exclusive but primarily of interest for the engineering

1 community.

2 Q. Okay. And these meetings you have with the local unions,
3 this occurs on a monthly, quarterly?

4 A. Monthly basis.

5 Q. Monthly.

6 A. I do not chair it because I, sometimes I'm traveling or stuff
7 like that. I'm just a member of that. And my Deputy for
8 Maintenance, Andy Gibb (ph.) that I'm sure you guys already
9 interview, he is the one that runs those meetings.

10 Q. Okay. So that's a delegation on your part.

11 A. Yeah. But because of my schedule gets conflicts and stuff
12 like that. But I participate the time that I'm in town.

13 Q. Okay. And regarding the Chester accident, I guess I want to
14 just cut to the chase. I'm that you guys sat down and made some
15 assessments. Can you talk about any of the initiatives that you
16 guys have implemented, discussed or have on the drawing board to
17 implement regarding any changes post-Chester?

18 A. Um-hum, sure. The first thing was everybody knows that the
19 first concern is the fact that no SSD's were used at that point in
20 time. So one action that was immediately taken was to revise the
21 RWP Manual where we incorporated that. Even though it was never
22 removed from the NORAC, it was not clearly evident on the RWP. So
23 we put it back over there. That's number one. We went through
24 the exercise of inventory. The amount of SSD's because there was
25 confusion of claims or whatever that there were not enough SSD's

1 available, which my -- personally can say that I verified is not
2 true because right there at the incident site I say I want the
3 SSD's, and within minutes hundreds were produced. They were
4 there. Nevertheless, we went through the exercise of inventory
5 those things, verify that the units, the vehicles that we have,
6 have the SSD's. Typically in the pickup trucks they're right
7 behind the driver's seat or under. So that we did that just to
8 validate that we have the tools. We had a safety stand-down when
9 we retrain everybody about the SSD's, how to use them, why we need
10 to use them, et cetera, et cetera.

11 We, let me see, those were the immediate ones that we went
12 through. One thing that we're currently working on is the system
13 called EEPS, or E-E-P-S, which is currently being used by Metro
14 North in one way, and SEPTA is also using it. And what this
15 system does or will do for us is to provide a unique code back to
16 the roadway worker in charge. Once they request a foul, the
17 system generates code, sends the code just to that employee to his
18 device, and to turn over the foul time he must or she must provide
19 that code. Otherwise, the system won't respond.

20 We're in the process of procuring that. We have allocated
21 funding for that. Our territory and our systems are not quite
22 identical to SEPTA, so it's not a direct implementation. So we're
23 looking at what changes we need to try to make to that system to
24 bring it over. And once we determine what needs to be done, we
25 are going to start working Herring (ph.), which is the company

1 that support us with the communication signal systems. So that
2 is in the works.

3 Am I missing anything? Oh, the foreman, the -- one more
4 thing. The foreman transfer sheet, the transfer process is being
5 recently developed to ensure that especially on extended outages
6 when he's going to -- two or more shifts are going to be part of
7 shutdown, there's a handshake over there, and there's a record of
8 it.

9 Q. So let me just add some clarity of what I think you just told
10 me. So in addition to the SSD's, the Supplemental Shunting
11 Devices, and those procedures, one of the things that we're aware
12 of in this accident is an incoming foreman and an outgoing foreman
13 and whether they could transfer or not. Is what you just said
14 that you are recognizing that, and you're developing a way that
15 that transfer is more coherent --

16 A. Uh-huh.

17 Q. -- and procedural?

18 A. Yes.

19 Q. Yes?

20 A. Yes.

21 Q. Okay, all right, that's great. And, Rodrigo, let me back up.
22 You used an acronym, EEPS.

23 A. Electronic Employee Protection System.

24 Q. All right. Thank you very much for that. Okay. So and
25 thank you for bringing up the thing about the outages. One of the

1 things that we've consistently asked some of the interviewees is
2 this whole thing about site-specific work plans. And is that
3 being looked at too? Because we've had a couple of maybe
4 conflicting answers about whether that particular activity that
5 they were doing with the vac train, whether that was something
6 that should have had one or didn't need to have one. Do you have
7 any thoughts on that?

8 A. Yeah. The site-specific plans we developed those for not
9 routine maintenance. Let me put it like that. If we're going to
10 replace a bridge deck, well, which one? Is it a steel? Is it
11 concrete? Is it ballast? Is it timbers? What is it? The
12 locations vary tremendously between one underpass and the next
13 one. So those might need a safety-specific work plan. Vacuuming,
14 undercutting, tie replacement, rail replacement, surfacing,
15 routine maintenance aspects in my opinion that's the purpose of
16 the safety briefing, which is telling the employees the location,
17 exact, the scope of work that is going to happen that night, what
18 kind of protection they're using, et cetera, et cetera. So in
19 essence the safety briefing is to provide that information to the
20 employees because is a routine basis.

21 Q. Okay. But a longer term outage of spanning more than a
22 couple days, 55 hours, still routine?

23 A. It's routine in my perspective, from my perspective. It's
24 not about the length of time. It's about the activity itself. It
25 could have been a 55-hour outage because it's a long territory

1 between interlockings that are too far apart, but the activity
2 itself is the stuff that they do day in, day out, night in, night
3 out.

4 Q. Okay. Safe to say that the site specific work plans are
5 geared more -- the expectation is that they're geared more toward
6 larger production gang, program maintenance, a lot more machinery,
7 a lot more employees.

8 A. Not to (indiscernible) on this thing, but not quite. Is
9 more, again, is more about the activity. If it's an activity that
10 we don't usually routinely do like building a bridge or a new
11 culvert or something that is not done on regular basis, that's
12 where we see the need to have the specific plan because people are
13 not that familiar with that particular activity. But our guys are
14 trained to do tie replacement, for example. Are they going to do
15 it for eight hours or are we going to have a campaign that is
16 going to last 72 hours with 12-hour shifts? The activities are
17 the same. Is the same equipment. It's just we're going to do
18 more because we have a window. So is more related to the type of
19 work that we're going to do.

20 Q. Okay. And is -- let's go back to your comment about the job
21 briefing and that whole process and the form that they fill out.
22 I think I -- don't hold me to this number, but I think I counted
23 up there's like almost over 100 items if you combine all the
24 things that are covered on the front part of the job briefing
25 sheet and the back side, almost 100 items. Is that the main thing

1 that is providing the risk assessment for the work? And I'm
2 talking about work that's on a routine basis. Your thoughts on
3 that.

4 A. Can you define the risk assessment part of the question?

5 Q. Well, how the employees are going to be protected, what might
6 be the safety hazards in and around the workplace. I think a lot
7 of that, if I'm remembering correctly, it's kind of detailed and
8 check-boxed on that form.

9 A. Yes.

10 Q. So your thoughts about is that the purpose of that or?

11 A. It is part, is part of the purpose of that. We're providing
12 them with the information, what they need to be careful, what is
13 safety concern or consideration for the work, open spaces, if
14 we're going to be climbing poles, be careful, wear your fall
15 protection equipment, stuff like that. Just to remind them of
16 what conditions they are going to be working under. But also we
17 describe what they're supposed to do during that assignment.
18 Today we're going to be replacing 1,000 ties or we're going to be
19 spiking rail or we're going to be -- so and they go in detail from
20 what mile post to what mile post, stuff like that.

21 Q. And I'm sure that in your course of your career and your
22 present job from time-to-time you have attended one of these job
23 briefings with many employees or maybe even just a handful of
24 employees, right?

25 A. Uh-huh.

1 Q. Tell me what is your expectation when a foreman or a
2 supervisor conducts one of these job briefings. What do you look
3 for when you're assessing the quality and content of those job
4 briefings?

5 A. When I go over there, I make a point that they should not
6 rush through this thing because they go blah, blah, blah, check,
7 check, check, no, no, no. You need to slow down. You need to
8 clear say and state and read every single one of those, make sure
9 that the people are paying attention, and they're not just there
10 playing with the cell phones, whatever. Because we have those
11 instances. And they need, the employee in charge needs to feel
12 that they have enough authority to say, hey, please, pay
13 attention. This is important. Bring them over there.

14 So those are the kind of things that I check for. That the
15 message is communicated properly; that they are taking the
16 necessary time; and it's not an exercise that they would need to
17 go through because we say you have to do it. It's important that
18 they understand that. We, I think we have made significant
19 progress from that regard reaching out to the foremen and the
20 supervisors and people in charge of the safety briefings. Each
21 region has their challenges, each crew has their challenges, stuff
22 like that, so.

23 Q. Well, is -- look, work on Amtrak, especially where you have
24 the catenary system, and you have to have signal maintainers, is
25 one of the challenges that your regular line maintenance may show

1 up at a particular job at a particular time, but they need to have
2 support of the people in the catenary --

3 A. Sure.

4 Q. -- the electrification power as well as the signal group.
5 But one of the things that was curious to me was those three
6 different departments can have three different reporting times.
7 Were you aware of that?

8 A. Yes. It depends of the need of the support that is needed
9 there. If we're going to be shutting down 55-hour outage, we're
10 going to be removing power from the catenary, that can be done an
11 hour -- if there's no traffic, obviously, if there's no trains
12 coming, it can be done in an hour before the actual working crew
13 reports to the location. That might be one of the reasons why
14 they have different reporting times.

15 Q. Okay. Well, so when a foreman does a job briefing, do we
16 want everybody that's going to be working that job and supporting
17 that job, do we want them all there on the same page during that
18 job briefing?

19 A. If they are going to be there working together on the site
20 and the support is the track requires the ET Department to brace
21 wires right there when they're working, yeah. But if --
22 absolutely because they are unit now. But if the support is
23 executed someplace else like in a substation, and they're just
24 there supporting because they're going to take power off, then I
25 don't see why they need to be part of the safety briefing if all

1 they're going to do is shut down power three miles down the road.

2 Q. Okay. Well, going back -- I want to stay on this job
3 briefing.

4 A. Sure.

5 Q. It seems to be important to me, but for the job briefings
6 that you've attended over your career, when do -- when is it
7 appropriate, if I'm an employee and I'm listening to your job
8 briefing, when do I sign off and say I've been at Rodrigo's job
9 briefing? Do I do that after you've completed the job briefing?
10 I mean when do you want me to acknowledge I've been at your job
11 briefing?

12 A. Immediately after I said does anybody has any questions?

13 Q. And this is after you've gone over --

14 A. Over the entire list.

15 Q. And that can be almost sometimes 100 items, front page, back
16 page, my on-track safety and my job briefing combined.

17 A. Uh-huh.

18 MR. HIPSKIND: Okay. All right, that's all the questions I
19 have for right now.

20 MR. BITAR: Okay.

21 MR. HIPSKIND: I'm just here to get you warmed up, all right.
22 Fran.

23 MR. WALKER: I don't have any questions at this time.

24 MR. HIPSKIND: And Lou.

25 BY MR. TOMASSONE:

1 Q. I just have one question. Lou Tomassone. You mentioned that
2 Amtrak has made progress in conducting safety briefings. Is that
3 post-accident, the progress?

4 A. I'm going to -- no. I think we started working on the safety
5 aspect well before the accident. The new system safety approach
6 and agreement it was signed months before the accident. We have
7 been making significant progress. Now you know what, rephrase
8 that. We have been making progress because it is never
9 significant as long as we continue to have injuries of any kind.
10 But we started working on improving the relationship with the
11 unions. We started working with them to see what system is
12 working, what system is not working. That's how we arrived to the
13 liaison positions to facilitate this stuff. We continue the
14 outreach to the membership out there. So it was the process
15 started before. Obviously, the incident created an environment
16 where stuff needed to be accelerated. We needed to shut down and
17 stand down. We needed to take a slightly different approach, and
18 retrain everybody.

19 Q. And your -- have you attended any safety briefings
20 after --

21 A. Yes.

22 Q. -- April 3rd?

23 A. Yes.

24 Q. Have you seen any significant difference in the job briefings
25 prior to and after the accident?

1 A. For the most part, yes. Again, it's humans are humans, and
2 sometimes they look at their telephone because it happens to
3 vibrate right when they're in the middle of the safety briefing,
4 and some people still react to pay attention to the phone. But I
5 could not [sic] say that for the most part people are more
6 conscious of what a safety briefing is and how important it is.
7 Our people in charge, we retrain all of them. We have
8 conversations with them. These particular event touch everybody
9 over there. So everybody is taking this I think a little bit more
10 serious than in the past.

11 MR. TOMASSONE: That's all I have.

12 MR. HIPSKIND: Willie

13 BY MR. BATES:

14 Q. Just one question. What method do you all use for
15 compliance? Do you have a way you keep track of data that you use
16 that they are compliant to the new safety rules? Or what method
17 did you use before the accident that you're using now?

18 A. Well, that was one of the conversations that we had with the
19 unions. That was precisely monitoring and of course looking at
20 this thing. And that's where the 24 recent positions came to be.
21 That is one of their roles, to go and visit the worksites and make
22 sure that they're using the proper protection, that their safety
23 briefings have been completed in a timely manner, and that they
24 are a good quality, stuff like that. So that actually started in
25 January. Because we recruit everybody, train everybody for a week

1 in late November, maybe December. I don't remember the exact
2 timeframe. But we pull the trigger on this and enacted it in
3 January. We started to create -- we have information now of --
4 and I cannot stress this enough, is not that we're tracking the
5 liaisons, but we're tracking the area that the liaison is in
6 charge, and we're tracking how many assessments they're doing, and
7 we're looking at what kind of discrepancies, efficiencies, issues
8 they might have. Because one area might be more challenging than
9 other area. A crew in Chicago might be only 20 people while a
10 crew in mid-Atlantic is 100. So the guy in mid-Atlantic might
11 need a little bit extra help. That's the purpose of monitoring
12 this thing and providing with enough resources to be successful,
13 right. So that's one tool that we're using.

14 We, obviously, conduct the 1872s which touch a little bit on
15 several of these aspects of the safety briefings and how we're
16 conducting business out there. We're also monitoring and tracking
17 that for compliance. Not only the amount, but also what are we
18 seeing coming back from those reports? What rules are we having
19 problems with so we can maybe the next month focus on those,
20 started those that are showing some kind of issue or concern.
21 Those will be the two primary mechanisms. Again, the safety
22 liaison database is relatively new. I don't remember the exact
23 date, but now we have the tablets, we have a web page and
24 everything else for us to document and control that information.

25 MR. BATES: Thank you.

1 MR. BITAR: Sure.

2 MR. HIPSKIND: Thanks, Willie.

3 Don.

4 MR. HILL: Yes.

5 BY MR. HILL:

6 Q. Good afternoon.

7 A. Good afternoon.

8 Q. You mentioned that you did an inventory on all your SSD's,
9 and I wasn't sure. When you did your inventory work was the
10 inventory revealed that the SSD's, did you have a sufficient
11 number of them?

12 A. Yes. Yes.

13 Q. And prior to this accident, did you -- was it ever found that
14 SSD's be placed upon each truck?

15 A. Yeah. We have 2,200 and change vehicles out there. So we
16 don't check every single one of them. It's the employees
17 responsibility to have the equipment. It's like having their PPE
18 on. And we have enough in storage as well in case that they
19 misplace, damage, lost their devices. So they can go and request
20 a replacement without any problem. So we don't do this on regular
21 basis of going and inspect the cars or vehicles or equipment. We
22 did it just because there was a concern that there were not enough
23 SSD's available in this particular accident.

24 Q. And prior to the accident, was -- does the carrier have in
25 place a program or a process to ensure that a foreman for example

1 in this case was compliant with the requirement to ensure that the
2 SSD's are in the trucks?

3 A. Not to my recollection. There might be something there, but
4 not to my -- not that specific. They are required by their safety
5 briefing to determine if an SSD needs to be used or not. And if
6 they deem that the SSD needed to be used, they need to make sure
7 that they have it before they go.

8 Q. I understand.

9 A. Right.

10 MR. HILL: Okay. Thank you. That's all I have. Thanks.

11 MR. HIPSKIND: Theresa.

12 MS. IMPASTATO: No questions at this time.

13 MR. HIPSKIND: Dr. Bob.

14 BY DR. BEATON:

15 Q. Good afternoon. I'm Bob.

16 A. Afternoon, Bob.

17 Q. And please feel free to call me Bob. These guys call me all
18 kinds of names. Some of them call me worse ones.

19 UNIDENTIFIED SPEAKER: Affectionately.

20 MR. BITAR: I know the feeling. I'm with you.

21 BY DR. BEATON:

22 Q. So Rodrigo, let me try to get a better understanding of who
23 you are and your scope of responsibilities, and I've got some
24 questions. So you report to whom?

25 A. Oh, DJ Stadtler.

1 Q. Okay. And how many people report to you?

2 A. Between consultants, contractors and direct staff, close to
3 5,000.

4 Q. Okay. But obviously you're not -- those aren't direct
5 reports to you.

6 A. No.

7 Q. How many direct reports to you?

8 A. Eleven.

9 Q. Eleven. Okay. And can you give me a quick glimpse of the
10 titles of your direct reports?

11 A. Absolutely. We have four technical deputy chiefs, ET, B&B,
12 CNS and track. So those are direct reports. Then on the program
13 management side, I have three direct reports; the PMO office and
14 two delivery, project delivery deputies. Those are direct reports
15 to me as well. Obviously, the administrative assistant is a
16 direct report to me. And then I have Bill Bates. Not this Bill
17 Bates. Other Bill Bates that he takes care of my rolling stock.
18 Not rolling stock, but the maintenance-of-way equipment and the
19 Wilmington shop. And I have a finance guy that is the business
20 development and --

21 Q. Okay.

22 A. -- operation. Oh, and was forgetting probably the most
23 important one, which is the Deputy for Maintenance.

24 Q. Okay.

25 A. And under him I just going to elaborate a little bit more.

1 Under him we have the six now soon to be seven division engineers.

2 Q. Okay. Within your organization, do you have any positions
3 that have safety in the title?

4 A. Directly? No. I do have a safety -- no, I don't want to
5 call him liaison. A safety rep reports directly under the safety
6 organization but is assigned to me.

7 Q. Okay. In the scope of your usual activities, do you -- I
8 know you sit on the Safety Council, but as Chief Engineer, do you
9 influence safety policy or practices directly?

10 A. God yes. I want to believe I do.

11 Q. Can you give me an example of how you do that?

12 A. Well, the system safety agreement for example. We recognize
13 that the prior setup as not working. We started working in
14 collaboration with safety. Sat down with the unions, and pretty
15 much came up with something that was more feasible to do, and that
16 will address the concerns at the time. And more importantly, that
17 the people bought into. So that was completely driven by us.

18 Q. Okay. Thank you for that. In the context of your
19 background, and I appreciate the detail, you started out in 2001
20 at Delphi as a quality engineer, I assume.

21 A. No. 2001, I started in the transit industry as consultant
22 for WMATA, for Washington Metro. I started in 1996. Sorry, 1994,
23 with General Motors.

24 Q. So in '94 you're at General Motors as a quality control
25 engineer?

1 A. Yep. I was 26 years old, yeah.

2 Q. Industrial --

3 A. Background.

4 Q. -- background, industrial systems engineer.

5 A. No. It's industrial engineering.

6 Q. Industrial engineering. I'm an industrial engineer as well.
7 That's why -- I think that's probably why I picked up on that. So
8 from an IE, industrial engineering perspective, you do quality
9 control at Delphi. And was that statistical sampling of products,
10 looking for defects --

11 A. Sometimes.

12 Q. -- process limits that you want to make sure you --

13 A. Uh-huh.

14 Q. -- don't exceed and that sort of thing?

15 A. Uh-huh.

16 Q. Do you apply -- as Chief Engineer at Amtrak, now do you apply
17 any of those concepts to your current job, particularly as it
18 relates to influencing safety?

19 A. Not quite. Not quite. We do -- not to the extent of an
20 industrial engineer. We do take measurements, regular
21 measurements of the catenary where it's stuff like that. And we
22 do some kind of analysis about the materials, if they're
23 performing, not performing, rail, but not to the extent that you
24 will see in the Manufacturing Department --

25 Q. Okay.

1 A. -- where the activity repeats itself so many times that it's
2 easy to collect data.

3 Q. Yeah.

4 A. Over here it's a little bit different because for example
5 rail. Well, is that particular piece of rail subject to freight
6 traffic or not? Is it on a curve or not? Is it in a -- Maryland
7 region we have a lot of clay in the sub. Or is it in New England
8 where we have a lot of rock? So too many differences in
9 variations inherent to it to try to sort of combine that. We do
10 record information. We do keep track of the information and the
11 limits and everything to keep an eye on it for let's say to repair
12 it. But not in the sense that probably you and I are thinking.

13 DR. BEATON: Okay. Well, thank you for that.

14 MR. HIPSKIND: Rodrigo, this is Dick Hipkind. Some of your
15 responses have been -- and I just want to get on the record that's
16 an affirmative response that you're making, correct?

17 MR. BITAR: Yes.

18 MR. HIPSKIND: Okay. Yes, all right, thank you. All right,
19 sorry about that. I'm just trying to help out the
20 transcriptionist, okay.

21 MR. BITAR: Thank you.

22 BY DR. BEATON

23 Q. So you've had an opportunity to become very familiar with the
24 events of Chester. You know that's why we're here in the -- did I
25 understand you to say that you were at the scene of the accident?

1 A. I was. Just for clarification, I was not a member of the
2 investigative team. So I did not have access to a bunch of
3 information that the team had.

4 Q. Okay. But you arrived on the scene --

5 A. Yes.

6 Q. -- shortly after the accident.

7 A. Uh-huh, yes.

8 Q. And I think I understood you to say that you inquired about
9 the availability of SSD's and --

10 A. Yes.

11 Q. -- they were produced for you at the scene?

12 A. At the scene, yes.

13 Q. Okay. So my interest is, you know, what are the events that
14 allowed catastrophe at Chester to happen? Okay. And so when I
15 look at this through the lens of safety engineering, I'm
16 interested in what sort of processes failed, what worked. And I'm
17 sure as Chief Engineer, you've looked at those same sort of
18 considerations. Maybe with different labels. But you're
19 wondering, you know, what happened, what to do. Could you give me
20 your understanding of what led up to the events of Chester? What
21 broke? What seemed to work okay?

22 A. I think the fact that the handshake did not happen officially
23 had a tremendous role in this event. The employees in charge they
24 should have followed the process in this -- well, in every sense,
25 but in this particular sense. The instructions clearly say that

1 you need to clear before you return your foul. You need to get
2 your foul before you deploy your people. None of those two things
3 happened. One gave out the clear or return the foul. Equipment
4 is still there. The dispatcher they couldn't see anything because
5 the SSD was not even applied. So and they knew it was not applied
6 because I looked at the briefing and it clearly says SSD's no. I
7 cannot understand, and I never got a straight answer. I tried to
8 inquire gently. I couldn't understand why nobody challenge.
9 There's signatures all over that piece of paper, and nobody,
10 nobody asked about the SSD's. It's -- I haven't been able to get
11 a straight answer. So if you ask me what happened, I don't know
12 why nobody did it. Especially when I receive good faith
13 challenges for spray of chemical for wheat control where people
14 refused to go and work because they are not sure that it's safe
15 because they sprayed the weeds. And nobody challenged the use of
16 SSD's or lack of? That is a single thing that could have changed
17 the course of everything.

18 Q. Yeah.

19 A. I just don't understand, and I don't know why.

20 Q. Okay. You had a very articulate phrase a moment ago. You
21 said humans are humans.

22 A. I have two daughters, and they're different, so.

23 Q. I understand that as well. When it comes to planning
24 construction work on railroad knowing that humans are humans,
25 they're not infallible, that people will make judgments.

1 Sometimes they're hopefully more right than wrong, but there's a
2 non-trivial probability that they'll be wrong. So we put in
3 fail-safes into our system.

4 A. Um-hum. Yes.

5 Q. When you look at the events of Chester, and maybe you can't
6 answer all the questions to your own satisfaction as to what
7 happened, but going forward, how do we change things in the
8 future? What would -- what have you changed or what are you
9 thinking of changing. And to the extent that your authority
10 affects how that kind of work is done. What's going to change in
11 the future as a result of Chester?

12 A. Well, I think the most important thing will be to bring this
13 EEPS system to life because that way the system cannot
14 accidentally or unwillingly be returned -- no, not the system, but
15 the foul can get returned to service allowing this to happen. If
16 we had had the system locked down, no trains would have been
17 routed through that track. I think that forcing the workforce
18 through this technology to follow the protocol because otherwise
19 it's just simply not going to work. The system is not going to
20 allow it. I think those are the kind of things that we need to
21 look for starting with this one. I heard, and I don't know too
22 many details about this one. I hear that we are, actually Amtrak,
23 somebody in Amtrak is working on a new shunt device that might
24 allow us to do better job over there, and verify the
25 implementation, verify that it is working, that it is -- properly,

1 all of those things. So, again, I think the most important thing
2 at this point in time obviously is to continue with training.
3 Training aside, technology needs to help us out a little bit more.

4 Q. I know as an IE you've been educated and practiced in the art
5 of systems thinking. When I look at Chester from a lens of a
6 systems engineer perspective, there were more than just the
7 foremen present and participating in the events of that accident:
8 backhoe operators, supervisor, flagmen, dispatcher. When you look
9 outside of the solution that EEPS provides to you in terms of
10 ensuring or safeguarding the decisions of the foremen in terms of
11 requesting and releasing the fouls, where else do we look in the
12 system, in the complex of people and activities and decisions that
13 were being made that day, for potential future improvements?

14 A. I'm probably going to change that from future improvements to
15 try to be consistent in the application of what we have. One
16 thing that was not -- and, again, I don't understand why. Because
17 I don't understand why nobody challenged the use of the SSD.

18 Q. Okay.

19 A. That is a venue that every employee has. It has been
20 reminded to everybody, this is a venue. If you have a good faith
21 challenge, it's available to you. I hear the bunch of stories
22 about concerns and intimidations and all of that stuff, but I
23 really wouldn't care if it was me if I feel safe and I have the
24 right to challenge, I would have challenged.

25 Q. Okay.

1 A. It's my life, right.

2 Q. Right.

3 A. I think that's something that we need to be very, very clear
4 and keep educating people. That was actually part of the safety
5 stand-down reminding them this is available to you. It's right
6 there, black and white. We have a lot of rules. We have a lot of
7 procedures. Where we fail is in compliance with. And then we try
8 to put another procedure on top, and now we're going to have two
9 procedures that won't be followed instead of enforcing the first
10 one that would have prevented the whole thing. I think that's
11 what we need to focus on to try to drive it to the employees. The
12 industry is going, as you guys know, is going through transition
13 between seasoned railroaders and new generation coming onboard.
14 Everybody is experiencing that. So education is critical.

15 Q. Okay. When I look at the participants in Chester, the role
16 that was played by the supervisor, who unfortunately was killed in
17 this accident, was there any takeaway from the Engineering
18 Department's perspective as to what could be done differently in
19 the future about the supervisor?

20 A. No.

21 Q. Okay. How about the flagmen that were involved?

22 A. We retrain everybody. That's pretty much the only approach
23 that we took. The flagman I don't recall. The flagman was there
24 wasn't it?

25 Q. If you don't recall --

1 A. I don't recall. I really don't recall that.

2 Q. I'm asking --

3 A. I don't.

4 Q. -- if -- I'm not asking you to speculate.

5 A. Yeah, no, no, no. I was just trying to --

6 (Simultaneous comments.)

7 Q. But, yeah, I was just wondering if you had thought about
8 that. What about the dispatcher? Well, before I go there, are
9 you familiar with Amtrak's Close Call Reporting System?

10 A. Uh-huh.

11 Q. And have you looked at the close call results?

12 A. What results?

13 Q. Well, the findings or -- people have used the Close Call
14 Reporting System have they not?

15 A. Oh, yeah.

16 Q. And so there's some results that have been identified.

17 A. Yeah. And some actions have been taken as a result of them
18 when it is warranted. I --

19 Q. Well, I'm going to ask you specifically what I'm thinking
20 about here. I don't want to make you guess. Are there
21 -- have there ever been any close call reports that have come back
22 to you as Chief Engineer about work crews having close encounters
23 with a train that's driving through a construction zone?

24 A. Since I've been here, there was one, and it was not a
25 construction site.

1 Q. Okay. So when -- since you are involved in the roadway
2 maintenance, coordination of groups, capital improvement programs,
3 at some point your -- probably your staff have people that plan
4 out these jobs and --

5 A. Uh-huh.

6 Q. -- to what extent in your planning of the jobs do you worry
7 about the traffic in that territory that you're going to be
8 working on?

9 A. Well, it depends the work that we're going to be doing and
10 how we're going to interfere with the traffic. Traffic itself is
11 not -- from the safety standpoint, traffic itself is not a
12 concern. That's why we have the watchmen, the flagmen, everybody
13 to warn everybody please clear. We know more or less a window
14 when the trains are coming and going. So we try to plan the work
15 around that.

16 Q. Flagmen apparently didn't stop the train in Chester that
17 morning.

18 A. The flagman can signal the train to stop if he sees it on
19 time, and it's, you know, time to brake.

20 Q. I'm just saying, if you're relying on the flagmen, you know,
21 keeping your crew safe and determining your work planning, and we
22 have some evidence here from Chester that I mean they had a
23 flagman or two. Train still came through.

24 A. Yes. But I don't think it's the role of the flagman to do
25 that. The train should have been there because they should have

1 been shunt indicating occupancy of the track, and the train should
2 have never been routed through there. The role of the flagman is
3 to alert people, here comes a train because the train is not going
4 to stop, clear. That's what I think.

5 Q. You made the comment the train should never have been routed
6 through there. Who routed the train through there?

7 A. Well, the dispatchers do, but based on the availability of a
8 track, and the track in their system looked, as far as I know,
9 looked clear, clear to go.

10 Q. Do you have direct oversight to the dispatcher operations or
11 is that out of your --

12 A. That is out of my, that is out of my --

13 Q. From an engineering point of view because you have to plan to
14 work on the wayside though, you probably have some --

15 A. Oh, I work with them.

16 Q. -- interest.

17 A. Yeah, sure. I work with them. We provided schedules, et
18 cetera, coordinate the outages, maybe cancel a train or two.
19 Never a popular position but sometimes needed.

20 Q. From your point of view as Chief Engineer, when you set up a
21 construction zone on the road, do you -- if this was a perfect
22 world, would you like your construction zone to be treated
23 differently by the dispatchers than an open piece of track?

24 A. I think to extent it is. They don't take the -- when the
25 Acela goes by a work segment because they know where the worksites

1 are, that's part of the coordination, the Acela is not going 130
2 miles an hour.

3 Q. It slows down.

4 A. It slows down. I mean it doesn't slow it down to five miles
5 an hour by no means but it does slow down. So it is treated
6 differently.

7 Q. Routine the Acela is -- gets slower to pass your work zone?

8 A. I think all of them do, not only the Acela.

9 Q. All of them?

10 A. Yeah. I think all of them do. They don't go full force.

11 Q. All right.

12 A. To my knowledge.

13 Q. I'm just -- I appreciate that. I mean from an engineering
14 point of view in your planning you want to -- you have an
15 expectation that the train would have been slowed down going
16 through a work zone.

17 A. I will imagine that is not full speed ahead.

18 Q. Yeah. If it's not at track speed, it's slowed down. I'm not
19 trying to, you know, ask you a tricky question. I just
20 want to --

21 A. No. I'm not really familiar with the speeds that they
22 choose. I can tell you as a daily rider of the train every time
23 that we go through equipment and stuff like that, I feel the train
24 slowing down. I don't know how much.

25 Q. When you read the investigation, the Amtrak investigation of

1 the Chester accident, did you read how fast that train was routed
2 through that construction zone?

3 A. I did not read the investigation. I wasn't part of
4 the --

5 Q. I know you weren't part of it, but I'm sure you had a
6 briefing or somebody's told you that there's a report available
7 on --

8 A. I didn't kept -- intentionally because I didn't want to play
9 a role over there.

10 Q. Have you kept yourself out of the investigation or were you
11 about to say you've been kept out of the investigation?

12 A. No, no. I kept myself. My deputy was the official rep from
13 engineering and (indiscernible) participated in all the stuff.

14 Q. I'm curious about that. Educate me, if you would on why the
15 Chief Engineer would keep himself out of an accident
16 investigation?

17 A. Because in this particular case I have too many problems all
18 over the place. So I didn't want to slow down the process of
19 meetings or stuff like that, and I needed to put somebody that can
20 be more available. They were limited among the people
21 participating in that group so I didn't want to be the one that
22 needed -- they needed to accommodate everything for me.

23 Q. Okay. So you're managing your workload.

24 A. Yeah.

25 Q. I mean it's not -- you're not telling me that your office

1 isn't concerned about you?

2 A. Oh, no, no. God no. I didn't -- I hope I didn't say that.

3 Q. Okay. No. I'm just -- sometimes in the conversation, you
4 know, what I hear may not be exactly what you meant. So I just
5 wanted to follow-up on that. But you do know enough about the
6 details, and if I said that the train was routed through Chester
7 at track speed, you'd probably agree with that, wouldn't you?

8 A. I cannot --

9 Q. Or you just don't know.

10 A. I don't know.

11 Q. On the presumption --

12 A. Was it going 125 miles an hour?

13 Q. On the presumption it was routed through there at 100 miles
14 an hour, okay.

15 A. This train travels 125 miles an hour.

16 Q. So at 100 miles an hour, would you have been comfortable with
17 that as just following the slow order?

18 A. If that was a slow order, yeah.

19 Q. But if there wasn't a slow order though.

20 A. It was a natural reduction of speed. See, here's the thing
21 with our worksites. The train is going to follow the track. If
22 the track is clear, the train is going nowhere. Is going
23 to --

24 Q. Hard to swerve them through an area.

25 A. It's hard to change lanes. It's hard to do this. So the

1 speed to us from my perspective as long as we're clear we're
2 dealing with processes, we have times we need to be clear within
3 15 seconds, et cetera, et cetera. And that is to allow enough
4 space between the workers' equipment and the train. And the train
5 is going to follow that line. So from my perspective, is not so
6 much the speed. It's about following the directions of the
7 policies and clear in a timely manner. That is the concern.

8 Q. And in this case clear is reliance upon a foreman calling the
9 dispatcher saying I'm clear or I'm releasing my fouls?

10 A. Yes.

11 Q. Okay. And your articulate phrase a few moments ago, humans
12 are humans, and our shared engineering training says clears are
13 fail-safes puts us in a position in Chester that you routed the
14 train at 100 miles an hour through a construction zone based on
15 the word of a human, the foreman, who said my fouls are clear, and
16 we had no fail-safe.

17 A. That's pretty much correct.

18 Q. Going forward at Amtrak, do you anticipate any changes to the
19 control process, the control of train movements that might fill in
20 that single point failure?

21 A. No. I think the compliance actions that have been taken will
22 help us enforce the secondary devices to protect us --

23 Q. Okay.

24 A. -- over there. Again, I think the technology is what needs
25 to help us over here.

1 Q. So the shunting devices will be the fail-safe going forward.

2 A. And the EEPS system.

3 Q. And the EEPS. Okay, all right. Thank you for that
4 clarification and education. I appreciate that. Rodrigo, I
5 certainly appreciate your -- the time that you've spent with me in
6 candid answers.

7 A. My pleasure.

8 Q. I really do appreciate that. I'm done for the time being.

9 BY MR. HIPSKIND:

10 Q. Okay. You still good to go?

11 A. Yes, sir.

12 Q. All right, the second round generally goes a little bit
13 quicker. I just want to add a little clarity to -- and I think
14 maybe you guys finally got there, but when a foreman gives up his
15 foul, and he has that conversation with the dispatcher, and the
16 dispatcher says, are you in the clear, then the thought at the
17 dispatcher end may very well be men and equipment in the clear
18 whether that is stated or not. Would you agree with that or --

19 A. I would.

20 Q. Okay. And unless somebody places a speed restriction, a slow
21 order or some kind of a set of instructions, the dispatcher
22 assumes its authorized speed, track speed.

23 A. That is correct.

24 Q. And the dispatcher never communicates to the locomotive
25 engineers on the train about how to operate their train unless

1 there is some speed restriction at a specific location.

2 A. Correct.

3 Q. Correct?

4 A. Correct.

5 Q. Okay. So I mean I know we're spending quite a bit of time,
6 and trust me, it is about a single point failure. So let me
7 follow-on with the discussion between you and Bob. And here's
8 what I'm -- in the changes that you guys are proposing, the
9 EE --

10 A. PS.

11 Q. -- PS, and the upgraded shunting device, my first question is
12 will the shunting device activate a track occupancy light at the
13 dispatcher's console?

14 A. Yes. It should.

15 Q. And that is part of the process as it was before.

16 A. Correct.

17 Q. Okay. The difference in the upgraded SSD is it will have a
18 visual indication as part of letting the track group or track
19 members know that it is attached properly and working properly.

20 A. That is correct.

21 Q. That's part of that visual indication. Okay.

22 A. That is correct.

23 Q. But in all of this, Rodrigo, will the dialog between in the
24 conversation between the foreman or supervisor, the person who is
25 getting the foul time getting it or releasing it with the

1 dispatcher, will any of that dialog change? Will one perform a
2 check on the other about a verification of men and equipment in
3 the clear?

4 A. I am not familiar with the directions provided to the
5 dispatcher side. So I'm not going to speak --

6 Q. Okay.

7 A. -- from that side.

8 Q. That's fair enough.

9 A. From out side, the instruction is very clear. You cannot
10 return your foul or you should return your foul after all
11 maintenance equipment have cleared the right-of-way.

12 Q. Okay.

13 A. So there's no misunderstanding of a foreman or employee in
14 charge about making a call and saying, no, I'm clear. Meaning
15 only my people move out.

16 Q. Okay.

17 A. Because they've been trained. They've been certified.
18 They're supposed to know what they're doing. And that's it.

19 Q. Okay. But if the dispatcher and the foreman did engage in
20 that conversation foreman did engage in that conversation, you
21 wouldn't have a problem with that, would you?

22 A. No.

23 Q. If the dispatcher wants to ask the foreman when they're going
24 through this release exercise are the men and equipment in the
25 clear, you don't see a down side to that?

1 A. No.

2 Q. Okay. That's fine.

3 A. No, sir.

4 Q. And I just want to revisit. You used the term handshake. I
5 think it was geared toward -- and I want you to clarify for me.
6 Was it geared toward -- and I want you to clarify for me. Was it
7 geared toward the SSD process and the communication between the
8 foreman and the dispatcher or when you used the term handshake are
9 we talking about between foreman and foreman handing off foul
10 times?

11 A. Foreman and foreman.

12 Q. Okay. And you --

13 A. They still need to follow the processes. They still need to
14 communicate to the dispatcher. Because remember the foul is given
15 to a person, and that's --

16 Q. Okay.

17 A. -- and that person is to surrender that. But what we're
18 trying to do with this handshake as I call it is you have both
19 individuals together making the phone calls together. I'm here
20 giving back my foul. I'm here requesting a foul. These are the
21 conditions over here on the track bed, et cetera, et cetera.

22 Q. Well, maybe not a phone call but --

23 A. Communication.

24 Q. Okay.

25 A. Sorry. My bad.

1 Q. Okay. All right. Well, help me out. This sounds like
2 something that you guys have discussed at length, and it sounds
3 like something that you're trying to make as an improvement.

4 A. Uh-huh, yes.

5 Q. So you don't revisit some of the things we saw in Chester.
6 And so one of the challenges of when I was on scene was they said,
7 well, the NORAC rules won't allow us to do this, you know. It's
8 not part of the -- at that time it was not part of the written
9 procedure. Should I understand now that the wording is being
10 addressed and being changed so that it can allow two foremen to
11 make this transfer?

12 A. I don't know the answer to that.

13 Q. Okay.

14 A. I guess, no. We cannot change NORAC.

15 Q. Well, here's what I was trying to point to, Rodrigo. I'm not
16 trying to trip you up here or anything. If you don't know, you
17 don't know, that's fine. But I saw that as a significant
18 stumbling block because I know when changing NORAC wording can be
19 complicated because of the number of railroads,
20 et cetera, the committee process and all that. Okay. But it does
21 sound to me like where it pertains to Amtrak property, you correct
22 me if I'm wrong, if you all want to make the change and allow that
23 process, that handshake between foreman and foreman keeping the
24 fouls in place and talking it out with the dispatcher you will be
25 able to do that.

1 Q. I think that's one approach, yeah, in theory, but I don't
2 think is the intent. I think the intent of the handshake is
3 actually to give up the foul and request a new one. Just do
4 that --

5 Q. Together.

6 A. -- together.

7 Q. Okay.

8 A. Because a new code will be -- well, when the EEPS come to be,
9 the idea is that the new code will be sent to the new employee in
10 charge. The other one is already home.

11 Q. Well, I want to use a word, and you tell me whether it's the
12 right word.

13 A. Sure.

14 Q. Seamless. In other words, the transfer is almost
15 instantaneously.

16 A. Almost -- I like that one better. Almost instantaneous.
17 Because seamless implies that there's absolutely no moment of time
18 where there's no --

19 Q. All right, point taken. Point taken. Another point I want
20 to -- I'm going to jump around a little bit. The safety liaison,
21 I just want to be sure that when we talk about the 24, the 25
22 people, when I think about that, I should think about it as this
23 is a one-year-old program. Is it older than that?

24 A. No. We actually implemented it in January. So it's younger
25 than that.

1 Q. Okay. So you're still assessing the effectiveness of it?

2 A. Uh-huh.

3 Q. Okay.

4 A. Yes. Yes.

5 Q. Okay. The other thing when we were talking with
6 Mr. Stadler, the safety statistics, they are present and
7 discussed at your Executive Safety Council, correct?

8 A. And that's correct.

9 Q. And is there a forward thinking seasonal aspect or focus to
10 what safety liaisons will be doing in the coming months based on
11 previous data and input?

12 A. That will be the general idea. Again, the system is too
13 young to take (indiscernible) yet. We're still collecting data.
14 We still are analyzing and see what kind of information we're
15 getting back, stuff like that. But, yeah, that will be the idea
16 to try to focus and target this. Production season is from April
17 through September. So we have a lot of people working. We may
18 need to focus on something that's specific over there. The off
19 production season maybe the weather is a tremendous factor for us.
20 So the liaison need to probably look at different things over
21 there. So, yeah, that is the desired state that we're trying to
22 achieve. I don't think we are there today, but I think what we're
23 putting in place is going to get us there.

24 Q. Okay. You've been around the railroad for quite awhile.
25 You've been in your position for quite awhile. Are you ready for

1 the tough question now?

2 A. Do I want to quit? Oh, I thought that was the tough
3 question.

4 Q. What I wanted to ask you about, Rodrigo, is a more historical
5 or trend perspective.

6 A. Yes, sir.

7 Q. Sometimes those can be difficult. So in your time in your
8 position have -- characterize for me or help me to understand what
9 has been the evolution of the Safety Department's involvement in
10 all things engineering?

11 A. There has been transition. I've been with the company
12 -- I don't know if you consider 16 months a long time, but that's
13 how long I have been with this company, and in these 16 months,
14 there has been a transition. Safety is definitely very involved,
15 almost since the moment I came onboard. They have been very
16 helpful. As I said, I have a safety representative assigned to
17 engineering that is overseeing the liaisons and everything else.
18 So we're working very close together. The dashboard was
19 developed. Training has been developed. Safety is helping us
20 with foul protection. There has been significant improvements,
21 and it just keeps growing.

22 Q. Okay. How would you describe engineering's interaction with
23 other departments other than safety, like training for example?

24 A. We have a training concern. Training can always be better.
25 We have an influx of young people that require to be trained as

1 well that is magnifying this. I don't think the training was
2 catching up with all this influx of new people, and there's a
3 couple initiatives in progress right now to revamp the training
4 from the way that it is organized from the funding that was
5 assigned to them. Were they working with us? Sure. They have
6 been working with us to the extent of their capacity. I think the
7 company recognizes as a whole, not only for engineering, but as a
8 whole that training needed to be stepped up a little bit. There's
9 never enough training.

10 Q. Let me ask it a different way.

11 A. Okay.

12 Q. Do you have flexibility, and is your voice heard as input
13 into training?

14 A. Yes.

15 Q. Give me --

16 A. That's why --

17 Q. Give me an example then, please.

18 A. One of the very first meetings that we had was with the
19 Training Department.

20 Q. And are you speaking post-accident or?

21 A. No. Actually was prior to the accident.

22 Q. Okay.

23 A. Prior to the accident. And we recognize the shortcomings of
24 the package that we were delivering to the people, to the
25 employees. At that point in time we agree on that meeting that

1 safety will be reviewing some of this information to make sure the
2 safety aspect of it is addressed. So that came at the meeting
3 that we had. There has been concerns about the training given to
4 the CNS personnel. We sat down with the unions, saw what the
5 concerns were. Sat down with the Human Capital Group, Training
6 Group, and changes were made to the way that we train, to the way
7 that we test. And, actually, we're taking a more active role in
8 the training delivery. We now -- engineering now is responsible
9 to run the Lancaster shop and the (indiscernible) shop when it
10 comes to CMS training. For the most part, we are delivering the
11 ET training that is required for our employees. So progressing.

12 Q. Okay. Early on in our conversation you laid out some
13 changes, some initiatives procedural like with the SSD's and
14 whatnot. How quickly, how nimble have you been able to be with
15 getting that message out, getting that training out? Or should I
16 think of it as, well, that will get rolled into the next time
17 people go through training?

18 A. Oh, absolutely not. That was part of the safety standard.
19 The safety standard wasn't a five-minute speech about we had an
20 accident, we need to be careful. No. It took awhile. It was a
21 complete package, and SSD's was one of them. And we've retrained
22 people about why they need to use it, how it is to be used.
23 Whoever didn't understand, it was explained to them. It was quite
24 extensive. We practically shut down the operation just to do
25 that.

1 Q. Okay, then, okay, I understand that. And I understand the
2 when, where, how and all that. But on the transfer with the
3 foremen, I don't -- tell me, you guys hadn't solidified how you
4 wanted that to read as a rule or a process or a procedure at the
5 time of the stand down.

6 A. Correct.

7 Q. You've addressed that after the stand-down.

8 A. Correct.

9 Q. Same question applied to that, not the SSD's. Is that
10 something that you're taking on as current training or is that
11 something you're going to address in future training? How should
12 I understand that aspect of it?

13 A. Both those current training and future training, both of
14 those. But the immediate implementation will follow the same
15 protocol. We are going to have a safety stand-down because that
16 is a rule to be implemented now --

17 Q. Oh, okay.

18 A. -- now. So everybody that is a current employee will be
19 pulled back and say this is the way that we operate from this
20 moment on. Starting at noon to -- sorry.

21 Q. Yeah.

22 A. -- at midnight tonight this is in effect.

23 Q. Okay. So do you want to suggest a projected date for when
24 you might do that changeover on the handshake between the foremen
25 and the dispatcher? It is ongoing, right?

1 A. It is ongoing. The foremen currently develop -- hesitant to
2 give you a date because I need to look at the actual procedure and
3 documents that were going to be used to train people. So I don't
4 know.

5 MR. HIPSKIND: Okay. So Theresa, I would -- a to-do would be
6 as you progress toward that can you give us adequate documentation
7 of that?

8 MS. IMPASTATO: Of course.

9 MR. HIPSKIND: And let's just call that the rollout, all
10 right.

11 Rodrigo, that's all I've got.

12 MR. BITAR: Yes, sr.

13 MR. HIPSKIND: Let me check and see. Fran, anything?

14 Lou, go ahead.

15 BY MR. TOMASSONE:

16 Q. I just have one point of clarification for the EEPS system.

17 A. Yes, sir.

18 Q. I have some limited experience with the EEPS system. So
19 maybe you can correct me if I'm wrong. But it's not a fail-safe
20 system. It will just be an addition step to the foul process.
21 And what I mean by that is if you were to put EEPS into effect for
22 the Amtrak 89 accident, the Amtrak 89 accident still would have
23 occurred. It just would have been an additional step for him
24 clearing the foul.

25 A. I going to disagree. Because if the system has a foul in the

1 computer is going to mark it in the system. The track will have a
2 block without the release of that. Now, if the employee provided
3 that code, then yes.

4 Q. And that's what I was getting at.

5 A. Yes.

6 Q. You can still give a foul back with equipment -- on the
7 tracks?

8 A. Yes.

9 Q. Okay. That's all I have.

10 MR. HIPSKIND: (indiscernible) and Don?

11 MR. HILL: No questions.

12 MR. HIPSKIND: Theresa.

13 MS. IMPASTATO: Nothing further.

14 BY DR. BEATON:

15 Q. Just a couple quick questions. You said you have a safety
16 representative in your division to the Safety Division.

17 A. Uh-huh.

18 Q. Is that an employee of yours or is that an employee of the
19 Safety Division?

20 A. No. They take direction from the safety organization that is
21 assigned to support --

22 Q. Assigned, okay.

23 A. -- so he looks into my injury rate. He looks into my,
24 unfortunately FRA's reports. He looks into my statistics. He
25 participates in my staff meetings. He is a member of my staff

1 meetings. I considered him a member of my staff, although I
2 understand that he's --

3 Q. He belongs to somebody --

4 A. -- belongs to the safety organization.

5 Q. So the reason I'm asking, I don't want this to be awkward.
6 I'm asking in good faith here. Is one enough? Could you use 10
7 or 20 or do you want just a part-time person?

8 A. Oh, no. I want like 50 of them, but that's not --

9 Q. Okay.

10 A. Let me just point of clarification. He is my main contact.
11 He is in charge of helping me. But that doesn't mean that he's
12 the only resource that can be available to us.

13 Q. Okay, all right.

14 A. I just want to make that clear.

15 Q. So he's not necessarily limiting you in terms of what you can
16 get done with the Safety Department. He just makes it happen.

17 A. Exactly.

18 Q. I've got it.

19 A. He has -- he can reach out to -- the safety organization. He
20 can reach out to some people that he has. The 24, 25 liaison
21 report directly under him. Even though I'm paying for it, they
22 report to him. I didn't wanted them to report to me because I
23 think they need to consider themselves independent and with enough
24 authority to execute the assignment that has been given to them.
25 And reporting to me sort of kind of --

1 Q. Okay.

2 A. -- wouldn't make sense, right.

3 Q. I get the impression that you're a strong advocate of the
4 Safety Department.

5 A. Oh, I can blow my own horn? Yeah.

6 Q. Yeah. Okay.

7 A. Yeah.

8 Q. Good. I mean you talked about when you first came on you
9 were ordering training through the Safety Office to --

10 A. Yes.

11 Q. -- get them to -- I mean that sounds like you're a strong
12 advocate. So it's been described to me in previous interviews,
13 it's really the state or the health of safety at Amtrak is -- and
14 forgive me if the word is hard to understand, but evolving, which
15 to me means that it's growing, and it may be evolving close to a
16 saturation point or just getting out of the gate. I don't know
17 where it stands. But if we stay with that evolving description,
18 where would you put the state of safety of Amtrak at on a evolving
19 timeline?

20 A. Okay. And I need to bring this up. By now you realize that
21 I'm not American by birth, right? So --

22 Q. There weren't any presumptions. I hadn't really --

23 A. -- my upbringing is a little bit different. So with that in
24 mind, I think we're still evolving because while we all strive to
25 achieve the highest level of safety, there's still a lot of

1 complacency about somebody else has to protect me. I was brought
2 up professionally and personally with the single fact that nobody
3 has to see over my safety more than me. Safety starts with me, and
4 I need to protect me and the people that depend on me. From that
5 perspective, we still have a little bit of work to do. We still
6 need people to understand that they should have protected
7 themselves and should have used the good faith challenge if they
8 thought they were in a situation of risk, in an unsafe scenario by
9 not using SSD's. I'm pretty sure I'm preaching to the choir. All
10 you guys know my rules better probably than me and NORAC rules,
11 and it clearly says that if you're going to use equipment, you
12 need to use shunts for more than five minutes. And, again, I
13 don't understand how it was okay for so many people. I really
14 don't.

15 Q. So that sounds to me like an opportunity for a future project
16 through engineering with safety to kind of address that challenge,
17 try to understand it.

18 A. That is a good point, Bob, because that is exactly the
19 premise of the safety liaisons. The safety liaisons by design are
20 union members. Because that way they can own it. They can talk
21 the same language, if you will, with the membership. We need
22 everybody. And I have been very, very vocal about this when I go
23 and meet with people up there, this is an everybody's endeavor,
24 not just one person. I'm not going to improve safety. All of us
25 need to improve safety.

1 Q. Okay.

2 A. And I have been very, very vocal about that one, and that is
3 the intent of the safety liaisons.

4 Q. Okay. Again, thank you. I enjoyed talking with you.

5 A. Oh, pleasure.

6 UNIDENTIFIED SPEAKER: That's all the questions that I have.

7 MR. HIPSKIND: Any additional comments, questions?

8 Rodrigo or John --

9 MR. BONVENTRE: No questions.

10 MR. HIPSKIND: -- any points of clarification?

11 MR. BONVENTRE: No.

12 MR. HIPSKIND: All right, thank you.

13 BY MR. HIPSKIND:

14 Q. Let me ask you the closing questions.

15 A. Yes, sir.

16 Q. Is there anything you would like to add or change to our
17 conversation here today?

18 A. No, not at this time.

19 Q. Okay. And are there any questions we should have asked but
20 did not?

21 A. I think you were pretty thorough.

22 Q. Okay. We hear that a lot. Do you have any suggestions for
23 preventing a reoccurrence? I know we've talked about some of the
24 initiatives, but anything in addition to that?

25 A. Not at this time. I think we're working on the right

1 elements and, obviously, if we see them not achieving the goals
2 that we want, we're going to go back to the drawing board and
3 figure something out, but not at this time.

4 Q. For the things that you're thinking about, let me just
5 digress here, are they achievable?

6 A. Yes.

7 Q. Can you work them through the system?

8 A. Yes.

9 Q. Okay. Good. Is there anyone else who we should interview?

10 A. I checked the list of everybody that you guys have talked to.
11 I cannot think of anybody else.

12 MR. HIPSKIND: All right, thank you very much. And on behalf
13 of the whole group here, I want to again thank you for your time
14 and candor, and thank you for helping us with the investigation.

15 MR. BITAR: Thank you guys.

16 MR. HIPSKIND: Appreciate it.

17 (Whereupon, the interview was concluded.)
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: ACCIDENT INVOLVING AMTRAK TRAIN
#89 AND MOW EQUIPMENT AND
EMPLOYEES NEAR CHESTER,
PENNSYLVANIA ON APRIL 3, 2016
Interview of Rodrigo Bitar

DOCKET NUMBER: DCA16FR007

PLACE: Washington, D.C.

DATE: September 29, 2016

was held according to the record, and that this is the original,
complete, true and accurate transcript which has been transcribed
to the best of my skill and ability.

Katherine Motley
Transcriber