

**NATIONAL TRANSPORTATION SAFETY
BOARD**

Washington, D.C.

**Attachment V
REFERENCE 32**

IR 9: Regulatory CPF Tracking. Pages: 1-6

**07-26-10
Marshall, MI
DCA10-MP-007**

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
2010					
3-2010-5001H	01/19/10	Corrective Action Order Requested extension of Right to a Hearing Jan 29, 2010	EEP MP 774	Line 2 Jan 8 failure near Neche, ND	OPEN. Ongoing with PHMSA
3-2010-5002W	01/21/10	Warning Letter	Line 6B	Line 6B Corrosion Monitoring	CLOSED No reply is required
4-2010-5009M, 4-2010-5008	03/8/10	NOPV/Proposed Civil Penalty, Proposed Compliance Order	Cushing terminal	Inspection of in-service breakout tanks	OPEN Response submitted. Civil Penalty Paid. No Final Order Received.
2009					
3-2009-1015W	07/28/09	Warning Letter	Vector IMP	2007 Vector IMP Audit	CLOSED No response required.
3-2009-1016M	07/28/09	Notice of Amendment 8/25/09 ENB Resp	Vector IMP	2007 Vector IMP Audit	CLOSED Response Submitted and accepted by PHMSA.
3-2009-5006	06/29/09	06/29/09 NOPV and Proposed Civil Penalty 07/31/09 Requested 30 day extension 08/17/09 Extension approval letter from PHMSA	Reichel Road	MP 84.9 Exeland in Rusk Cty Incident date 02/03/07	OPEN Response provided to PHMSA. Awaiting response.
2008					

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
3-2008-5011	10/01/08	NOPV/Proposed Civil Penalty, Proposed Compliance Order	Superior Region	MP 912 Nov 28, 2007	OPEN. Hearing held. Awaiting response.
2007					
3-2007-5031W	11/15/07	Warning Letter (WL) No Reply Required	Superior Region Records	A couple minor items noted	CLOSED 11/15/07
3-2007-5032C	11/15/07	Letter of Concern (LOC) No Reply Required	Superior Region Records	Concern with AOC database	CLOSED 11/15/07
3-2007-5022	8/21/07	Notice of Probable Violation (NOPV) Proposed Civil Penalty E: 9/18/07 Response included 3 attachments O&MP Revision Incident Investigation Corrective Action status list Facility Integrity Assessment Program E 06/2009 received Final Order	EPND	Stanley Pump Station – thermal expansion Incident	CLOSED 6/2/09 Final Order Received. Civil Penalty Paid.
3-2007-1011M	6/27/07	Notice of Amendment (NOA) Vector procedures audit October 2005	Griffith	192.465/192.715/192.727/192.751	CLOSED
4-2007-5006M	3/1/07	Notice of Amendment (NOA) E: 4/10/07 Reply-some items already sent in, the remaining will be sent by end of 2 nd quarter E: 10/31/07 Sent reply addressing all items outlined in letter from PHMSA March 1, 2007 PHMSA: No Further Action Required	Superior	2006 Team O&MP Inspection	CLOSED 11/8/07
2007-1004C	1/23/07	Letter of Concern (LOC)	Vector	2005-IL & IN Vector Field and Records Inspection	CLOSED No reply required – sent reply 3/19/07
2007-1003W	1/23/07	Warning Letter (WL)	Vector	2005-IL & IN Vector Field and Records Inspection	CLOSED No reply required – sent reply 3/19/07
2006					

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
2005					
5021	5/19/05	Warning Letter (WL) Notice of Amendment (NOA)	Clearbrook		CLOSED 11/3/06
5004	1/20/05	Letter of Concern (LOC)	WI, MI, IL, IN, OH	Safety Inspection 2004 Chicago Field & Records	Closed 1/20/05
5001	1/06/05	Notice of Probable Violation (NOPV) Warning Letter (WL) Notice of Amendment (NOA) <i>E: 2/11/05 Response to Items 1-6 3/20/07 Letter from PHMSA – Case Closed</i>	North Dakota	Audit	CLOSED No civil penalties assessed 3/20/07
2004					
5038	12/21/04	Notice of Probable Violation (NOPV) Warning Letter (WL) Notice of Amendment (NOA) Letter of Concern (LOC) <i>E: 1/28/05 Response to Items 1-8 3/20/07 Letter from PHMSA – Case Closed</i>		Lakehead HCA Audit 2003	CLOSED 3/20/07
5029M	9/20/04	Notice of Amendment (NOA) <i>E: 10/19/04 Response to Item 1 a-c E: 4/14/04 Small Piping Repair/Replacement Task and associated AOCs for DOT review</i>		OQ Audit	CLOSED 8/25/05
0035	7/15/04	Safety Related Condition Report (SRCR)	Minong & Sheldon		CLOSED 8/4/04
5009	2/19/04	Warning Letter (WL) <i>E: 3/26/04 Brief explanation delay in reporting due to cost adjustment E: 4/08/04 Detailed explanation of incident events and request for cancellation of Warning Letter E: 5/13/04 Sent binder addressing the six items referenced in the letter. E: 3/04/05 Addressed 5 additional questions raised in telephone conversation</i>	Grand Rapids		CLOSED

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
1007	3/24/04	Notice of Probable Violation (NOPV) VECTOR <i>E: 5/05/04 Response to Items 1-5</i> <i>E: 2/11/05 Waived right to hearing and reaffirmed our request that the items identified be reviewed in consideration of our responses. Also asked OPS consider reducing or eliminating potential fines.</i>	Vector		CLOSED Paid \$5,000 on 3/6/06 –

2003

5025C	11/10/03	Letter of Concern (LOC) <i>E: 1/06/04 Response to Items 1-3</i>	Line 5 – WI & MI	Safety Inspection	CLOSED
5009M	6/03/03	Notice of Amendment (NOA) <i>E: 3/31/03 Extension of time request</i> <i>E: 4/28/03 Manual revisions submitted</i>		OM&P Audit	CLOSED 9/23/03
5006M	2/25/03	Notice of Amendment (NOA)	EPND	OM&P	CLOSED
5003M	2/20/03	Notice of Amendment (NOA)	Duluth	OQ Plan	CLOSED
0070	12/08/03	Safety Related Condition Report (SRCR) <i>E: 1/16/04 Request for waiver & approve 600 psi MOP</i> <i>E: 2/06/04 Summary and Supporting Reports</i> <i>E: 2/18/04 Cancellation letter</i>	Straits of Mackinaw		CLOSED 2/18/04
0062	10/10/03	Safety Related Condition Report (SRCR) <i>E: 2/27/04 North Branch</i> <i>E: 2/08/04 Ino</i> <i>E: 4/30/04 Indian River</i> <i>E: 6/23/04 West Branch & Final - Closed</i>	Line 5 – 4 locations		CLOSED 4/30/04
0048	8/13/03	Safety Related Condition Report (SRCR) <i>E: 8/21/03 Sent copy of Control Center Incident Report for Makinaw Station Overpressurization</i> <i>E: 11/14/03 Operational issues & update SRCR</i> <i>E: 11/26/03 Hydrotest results and retest date provided</i> <i>E: 1/07/04 Sent copy of Control Center Incident Report for Makinaw Station Overpressurization</i> <i>E: 1/09/04 Closed</i>	Mackinaw Station		CLOSED 1-9-04

2002

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
5017H	7/05/02	Corrective Action Order (CAO) <i>E: 7/06/02 Request permission to operate Deer River to Superior Terminal temporarily and identified initial steps to investigate causes</i> <i>E: 7/07/02 Action Plan detailed</i> <i>E: 7/08/02 Item 3 – Return to Service Plan</i> <i>E: 7/17/02 Return to Service Plan – Section 4 – Update</i> <i>E: 8/06/02 Revised Appendix I</i> <i>E: 10/29/02 Request pressure restriction removal</i> <i>E: 11/11/02 17 issues addressed</i> <i>E: 1/29/03 Request removal of the existing pressure restrictions, also reiterate request for approval RTS Plan as previously submitted.</i> <i>E: 4/3/03 Request modification to RTS Plan</i> <i>E: 4/29/03 Follow up to meeting on 4/22 and email of 3/7 regarding findings of internal inspection using UltraScan CD tool and IMP program to address stress corrosion cracking; sent binder containing responses outstanding requests for information. Request approval of RTS Plan.</i> <i>E: 5/29/03 Enbridge requests that Viking be effectively brought back on line at a max allowable discharge pressure of 330 psig and propose to return Donaldson max allowable discharge pressure to 618 psig</i> <i>E:</i>	Cohasset	Deer River - Superior	CLOSED 10-23-07
5016C	6/05/02	Letter of Concern (LOC) <i>E: 9/16/02 Items completed & documentation in office</i>		May-June 2001 Audits	
5015	6/05/02	Notice of Probable Violation (NOPV) Proposed Civil Penalty (PCP) Proposed Compliance Order (PCO) <i>E: 6/27/02 Response to Items 1-3</i> <i>E: 9/26/02 Item 3 status update</i> <i>E: 11/06/02 Item 3 completion</i>		June 2001 Audit - Line 17 pressure records did not show appropriate elevation data, and - Stockbridge tank inspection was missed	CLOSED Civil Penalty Paid \$5,000 on 5/25/04
5014W	6/05/02	Warning Letter (WL) <i>E: 9/16/02 Items completed & documentation in office</i>		May - June Audits	CLOSED

PHMSA CPF Tracking

CPF Number	Date	Type	Location	Description	Status
5008	5/15/02	Notice of Amendment (NOA) <i>E: 6/18/02 Items A-C</i> <i>E: 9/03/02 Amend Item C</i> <i>E: 1/09/03 Request 60 extension</i> <i>E: 1/14/03 Response and relinquished 60-day extension</i> <i>E: 1/30/03 Response to Items 1-3 w/ IMP attachment as support</i>		IMP February Audit Segment Identification Completeness Check	CLOSED 3/21/07
5005M	4/16/02	Notice of Amendment (NOA) <i>E: 5/16/02 Responded to Items 1-2</i>		OM&P audit. Def	CLOSED 6/27/02

2001

2000
