Human Factors Summary Report on 07/16/2013 as of 07:57

MAGLEY, WAYNE F -Employee Name/ID Crew Position

Human Factor Summary - MAGLEY, WAYNE F - MAGLEY, WAYNE F - 07/16/2013 as of 07 57

MAGLEY, WAYNE I Crew Position Engineer Original Hire Date 01/31/1994 Certification Date 05/18/2012

GCOR(11/15/2012 - OR4A - 97), Air Brake(11/15/2012 - RXABE - 95), Haz Mat(11/15/2012 - ORHM - 100) Last Rules Exam

Last Ride 02/28/2013 (138-Days) on ENG position. Count of Rides in 365 Days- ENG-3, RCO-0, TRN-0

Last Event Recorder 05/23/2013 (54-Days) on ENG position. Count of Event Recorders in 365 Days- ENG-4, RCO-0, TRN-0

EQMS Score

FTX Total FTX = 18 PASS = 17 COACH = 1 HEAR = 0

07/29/2008 - WHILE WORKING AS AN ENGINEER ON TRAIN EDVCOA-28, AT SHARON SPRINGS, KANSAS, IN THE VICINITY OF MILEPOST 428, ON THE SHARON Discipline

Engineer

SPRING SUBDIVISION, AT APPROXIMATELY 1900 HOURS, ON JULY 29, 2008, EMPLOYEE FA LED TO STOP SHORT OF DERA LIMPROPERLY LINED, RESULTING IN

DERA LMENT OF UNION PACIFIC LOCOMOTIVE UP4586. RULE 8.20 L0+L3=L3 (ONE DAY TRAINING)

Search Criteria

Search Criteria

SANTA, MAURICIO -Conductor Employee Name/ID Crew Position

Human Factor Summary - SANTA, MAURICIO - SANTA, MAURICIO - 07/16/2013 as of 07 57

Employee Name SANTA, MAURICIO Crew Position Conductor 01/07/2008 Original Hire Date Certification Date 07/11/2012

GCOR(04/03/2013 - OR4A - 98), Air Brake(04/03/2013 - RXABE - 100), Haz Mat(04/02/2013 - ORHM - 93) Last Rules Exam

Last Ride Count of Rides in 365 Days- ENG-0, RCO-0, TRN-0

Last Event Recorder 06/27/2013 (19-Days) on TRN position. Count of Event Recorders in 365 Days- ENG-0, RCO-0, TRN-3

EQMS Score

FTX Total FTX = 20 PASS = 15 COACH = 5 HEAR = 0

11/07/2010 - WHILE EMPLOYED AS A BRAKEMAN ON THE LMF42-07, AT HER NGTON, KANSAS, NEAR MILEPOST 171, TOPEKA SUBDIVISION, AT APPROX MATELY 8:30 P M., ON NOVEMBER 7, 2010, EMPLOYEE FAILED TO LINE LEAD SWITCH WHILE SETTING OUT A BAD ORDER ON THE MHNEP-08, Discipline

RESULT NG IN A RUN THROUGH SWITCH. RULE 8 2 L0+L3CF=L0

Search Criteria

Employee Name/ID MUNOZ, AUSTIN T -Crew Position Conductor

Human Factor Summary - MUNOZ, AUSTIN T - MUNOZ, AUSTIN T - 07/16/2013 as of 07 57

MUNOZ, AUST N T Employee Name **Crew Position** Conductor Original Hire Date 04/01/2013

Certification Date

Last Rules Exam GCOR(06/13/2013 - OR4A - 95), Air Brake(06/13/2013 - RXABE - 90), Haz Mat(06/03/2013 - ORHM - 100)

Last Ride 06/25/2013 (21-Days) on TRN position. Count of Rides in 365 Days- ENG-0, RCO-0, TRN-1

(0-Days) Count of Event Recorders in 365 Days- ENG-0, RCO-0, TRN-0 Last Event Recorder

EQMS Score

FTX Total FTX = 6 PASS = 5 COACH = 1 HEAR = 0

Discipline