

Human Factors Detail Report on 07/16/2013 as of 07:45

Search Criteria	
Employee Name/ID	NOTHERN, D. W - [REDACTED] Crew Position Engineer

Human Factor Details - NOTHERN, D. W	
Employee Information	
Name	NOTHERN, D. W - [REDACTED]
Assigned DSLE	WEEKS, BRIAN E
Engineer Cert	01/01/1992
Eng Cert Expir Date	03/12/2014
RCO Cert	
RCO Cert Expir Date	
Conductor Cert	07/11/2012
Conductor Expir Date	03/12/2014
Original Hire Date	10/10/1974
Last ENG Ride	05/28/2013 (49)
Eval MGR	BURNS, BENJAM N R
Last 12 Month CNT	3
Last CON Ride	(0)
Eval MGR	
Last 12 Month CNT	0
Last RCO Ride	(0)
Eval MGR	
Last 12 Month CNT	0
Last ENG ER	05/31/2013 (46)
Eval MGR	WEEKS, BRIAN E
Last 12 Month CNT	3
Last CON ER	(0)
Eval MGR	
Last 12 Month CNT	0
Last RCO ER	(0)
Eval MGR	
Last 12 Month CNT	0
Last ENG STOP Test	07/03/2013 (13)
Eval MGR	WEEKS, BRIAN E
Last 12 Month CNT	13
Last CON STOP Test	(0)
Eval MGR	
Last 12 Month CNT	0
Last RCO STOP Test	(0)
Eval MGR	
Last 12 Month CNT	0
Last 242 FTX Test	07/03/2013 (13)
Eval MGR	WEEKS, BRIAN E
Last 12 Month CNT	8
Last Rules Exam	GCOR(03/09/2011 - OR4A - 90), Air Brake(03/09/2011 - RXABE - 90), Haz Mat(03/09/2011 - ORHM - 93)
Session B Training(Y/N)	Y

Discipline History - NOTHERN, D. W	
Discipline History On 07/16/2013	

09/10/2012	
File	Current Upgrade Level 3
Current Position E	Employee Name/ID [REDACTED]/NOTHERN, D. W
Board LE02	Circ7 KP187
Position Code 001	OFF 0021706
Effective Date 09/21/2012	Location 0403
Incident Date 09/10/2012	Issuing Officer WEEKS, BRIAN E
Mile Post	Cert Revoke N
Days Assigned 5	Days Deferred
Rule Violations	0070100
Haz Mat Violations	
Comments	WHILE WORKING AS AN ENGINEER ON TRAIN LDG89-10, AT HAYS, KANSAS, IN THE VICINITY OF MILEPOST 289, ON THE SHARON SPRINGS SUBDIVISION, AT APPROXIMATELY 1930 HOURS, ON SEPTEMBER 10, 2012, EMPLOYEE FAILED TO USE SAFE SWITCH NG PRACTICES AND TRAIN HANDLING, RESULT NG N SEVERE DAMAGE TO CAR AIGX470042 WHEN MAKING A TRAIN JOINT WITH A TWO LOCOMOTIVE CONSIST. RULE 7.1 L0+L3=L3 (5 DAY SUSPENSION)
	Tim Tab Violations
	Action 204
	Sub Division
	Reason Chgd
	Days Served 0

11/28/2007	
File	Current Upgrade Level 3
Current Position E	Employee Name/ID [REDACTED]/NOTHERN, D. W
Board LE02	Circ7 KP187
Position Code 001	OFF 0021706
Effective Date 11/30/2007	Location 0808
Incident Date 11/28/2007	Issuing Officer WEEKS, BRIAN E
Mile Post	Cert Revoke N
Days Assigned	Days Deferred
Rule Violations	0000017 0330500 0330601 0700300
Haz Mat Violations	
Comments	WHILE WORK NG AS AN ENGINEER ON THE CBRC SH-25, ON NOVEMBER 28, 2007, AT APPROXIMATELY 0150 HOURS, N THE VICINITY OF MILEPOST 205, SHARON SPRINGS SUBDIVISION, EMPLOYEE FAILED TO OPERATE LOCOMOTIVE CONSISTING IN A MANNER CONSISTENT WITH GOOD TRAIN HANDLING PRACTICES RESULTING IN DAMAGE TO LOCOMOTIVE CONSIST UP6686, UP5943 AND UP7314. RULE 33.5, 33 6.1, 70.3, SSI 17 L2+L3=L3 (ONE DAY TRAINING NSTEAD OF SUSPENSION)
	Tim Tab Violations
	Action 293
	Sub Division
	Reason Chgd
	Days Served 0

06/02/2007	
File 0607171	Current Upgrade Level 2
Current Position E	Employee Name/ID [REDACTED]/NOTHERN, D. W
Board LE02	Circ7 KP187
Position Code 001	OFF 0118962
Effective Date 06/29/2007	Location 0788
Incident Date 06/02/2007	Issuing Officer MULLIN, KIM R
Mile Post	Cert Revoke N
Days Assigned	Days Deferred
Rule Violations	0070400
Haz Mat Violations	
Comments	WHILE WORKING AS A CREWMEMBER ON THE YSN56-02 AT APPROXIMATELY 2021 HOURS ON JUNE 2, 2007 AT SALINA YARD, ON THE SAL NA SUBDIVISION, MR. NOTHERN FA LED TO ENSURE THAT CARS KICKED NTO TRACK WOULD NOT ENDANGER EMPLOYEES AND EQUIPMENT.
	Tim Tab Violations
	Action 292
	Sub Division
	Reason Chgd
	Days Served 0

06/09/2003	
File DV03-48	Current Upgrade Level 2
Current Position E	Employee Name/ID [REDACTED]/NOTHERN, D. W
Board LE02	Circ7 KP187
Position Code 001	OFF 0118962
Effective Date 07/15/2003	Location 0788
	Action

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Incident Date	06/09/2003	Issuing Officer	MULLIN, KIM R	Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations		0014700	0070100	0071200	
Haz Mat Violations					Tim Tab Violations
Comments	ON JUNE 19, 2003, AT APPROX MATELY 1820 WHILE ON DUTY & WORKING AS ENGINEER OF THE YSN52-19 NEAR MP 545 ON THE SALINA INDUSTRIAL DISTRICT, HE FAILED TO SWITCH SAFELY & EFFICIENTLY, CAUS NG DAMAGE TO CARS AND STRUCTURES. ASSESSED LEVEL 2 DISCIPLINE, WHICH IS FIVE DAYS OFF WITHOUT PAY FOLLOW NG FORMAL HEARING HELD 7-9-03. SUSPENSION EFFECTIVE 7-21-03 THRU 7-25-03. RELEASED TO MARK UP AT 0001 ON 7-26-03.				
01/08/1981					
File		Current Upgrade Level	0		
Current Position	E	Employee Name/ID	██████ /NOTHERN, D. W		
Board	LE02	Circ7	KP187		
Position Code			OFF		
Effective Date	01/08/1981	Location	0464	Action	
Incident Date	01/08/1981	Issuing Officer		Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations			0000000		
Haz Mat Violations					Tim Tab Violations
Comments	RECORD CLEAR 6 MONTHS.				
07/08/1980					
File		Current Upgrade Level	0		
Current Position	E	Employee Name/ID	██████ /NOTHERN, D. W		
Board	LE02	Circ7	KP187		
Position Code			OFF		
Effective Date	07/08/1980	Location	0464	Action	750
Incident Date	07/08/1980	Issuing Officer		Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations			0000000		
Haz Mat Violations					Tim Tab Violations
Comments	REINSTATED				
04/22/1980					
File		Current Upgrade Level	0		
Current Position	E	Employee Name/ID	██████ /NOTHERN, D. W		
Board	LE02	Circ7	KP187		
Position Code			OFF		
Effective Date	04/22/1980	Location	0788	Action	400
Incident Date	04/22/1980	Issuing Officer		Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations		0010101	0010103	0010301	0010900
		0014700	0050201	0051600	0090600
Haz Mat Violations					Tim Tab Violations
Comments	VIOL. OF RULES 802, 868, 34R, WHILE EMPLOYED AS ENGR. ON #118, 4-11-80 RESPON. FOR YOUR TRAIN FALL NG TO STOP BEFORE PASS NG 2E SIGNAL ON E. BOUND RUNNING MAIN, NEAR 18TH ST. VIADUCT, KCKS, DISPLAYING RED ASPECT OR STOP INDICATION, 8:35 AM, 4-11-80, DISMISSED. OR-240A				
02/22/1980					
File		Current Upgrade Level	0		
Current Position	E	Employee Name/ID	██████ /NOTHERN, D. W		
Board	LE02	Circ7	KP187		
Position Code			OFF		
Effective Date	02/22/1980	Location	0788	Action	
Incident Date	02/22/1980	Issuing Officer		Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations			0000000		
Haz Mat Violations					Tim Tab Violations
Comments	RECORD CLEAR 6 MONTHS.				
08/22/1979					
File		Current Upgrade Level	0		
Current Position	E	Employee Name/ID	██████ /NOTHERN, D. W		
Board	LE02	Circ7	KP187		
Position Code			OFF		
Effective Date	08/22/1979	Location	0788	Action	
Incident Date	08/22/1979	Issuing Officer		Sub Division	
Mile Post		Cert Revoke	N	Reason Chgd	
Days Assigned		Days Deferred		Days Served	0
Rule Violations		0010301	0010900	0011500	
Haz Mat Violations					Tim Tab Violations
Comments	RESPON. BE NG LATE FOR 7AM, YARD, ENG. 8-21-79, REPORT NG AT 7 50 AM, CAUS NG DELAY TO SWITCH ENGINE.				

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08/17/1979		
File	Current Upgrade Level	0
Current Position	E	Employee Name/ID
Board	LE02	██████ /NOTHERN, D. W
Position Code		Circ7
Effective Date	08/17/1979	KP187
Incident Date	08/17/1979	OFF
Mile Post		Location
Days Assigned		0788
		Issuing Officer
		Cert Revoke
		N
		Days Deferred
Rule Violations	0011500	0011600
Haz Mat Violations		
Comments	FA LURE TO REPORT AFTE ACCEPTING CALL FOR 7AM, SWITCH ENGINE 8-17-79, LETTER OF REPR MAND.	
		Tim Tab Violations

Certification History - NOTHERN, D. W

Certification History On 07/16/2013 Record Count 11

Date Entered	Time	Reason	Class	Expiration Date	Service Unit	Entered By
04/13/1992	00:00	CERT	1	03/12/1993	DENVER	
08/10/1992	00:00	CERT	1	03/12/1993	DENVER	
01/01/1992	00:00	CERT	1	03/31/1993	DENVER	
11/30/1992	00:00	CERT	1	03/12/1996	DENVER	
03/12/1996	00:00	CERT	1	03/12/1999	DENVER	
03/05/1999	00:00	CERT	1	03/12/2002	DENVER	BRENNAN JR, LAWRENCE J
02/08/2002	00:00	CERT	1	03/12/2005	DENVER	BRENNAN JR, LAWRENCE J
03/03/2005	00:00	CERT	1	03/12/2008	DENVER	LYONS, PENNY L
02/12/2008	00:00	CERT	1	03/12/2011	DENVER	MITTERMEIER, CORINNE A
03/10/2011	08:38	RECERT	1	03/12/2014	DENVER	BRENNAN JR, LAWRENCE J
07/11/2012	08:26	CERT	8	03/12/2014	DENVER	EQMS, SYSTEM

FTX Summary - NOTHERN, D. W

FTX Summary On 07/16/2013 Record Count 12

TYPE OF TEST	COUNT	PERCENT COUNT	PASS	COACH	HEAR
01 RED FLAG OR RED LIGHT	11	9.57	11	0	0
02 COMPLIANCE WITH RESTRICTED SPEED	9	7.83	9	0	0
03 BLOCK OR SIGNAL DISPLAY NG STOP	3	2.61	3	0	0
05 APPROACH SIGNAL	3	2.61	3	0	0
08 CHECK SPEED OF TRAINS	1	0.87	1	0	0
09 ROAD CROSS NG AT GRADE	18	15.65	18	0	0
10 SWITCHING	6	5.22	6	0	0
11 ON BOARD ASSESSMENT	23	20.00	22	1	0
12 EQUIPMENT UNATTENDED	8	6.96	8	0	0
14 A R BRAKE TESTS	5	4.35	4	1	0
15 OTHER EFFICIENCY TESTS	27	23.48	26	1	0
16 FUEL TESTS	1	0.87	1	0	0
TOTAL %ST	45.22	115	112	3	0

Training And Evaluation Information - NOTHERN, D. W

Training And Evaluation On 07/16/2013 Record Count 154

Date	Course Code	Class Description
05/28/2013	RXABH	49 CFR 232.203 HANDS ON AIR B
03/25/2013	BZ53	WORKING IN RED ZONE
03/22/2013	RXHF1	GCOR 6 5: SHO V NG MOVEMENTS
03/22/2013	SFBSI	BRAKE STICK TRA NING
09/06/2012	QS50	SHOVING CARS OR ENG NES
08/22/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
08/11/2012	SF112	GOODWELL COLLISION
08/11/2012	SF114	MASON CITY BR EF NG
08/08/2012	PTCLDW	LEADER TRA N NG (SELF PACED)
08/06/2012	SF112	GOODWELL COLLISION
08/06/2012	SF114	MASON CITY BR EF NG
06/20/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
03/05/2012	QS50	SHOVING CARS OR ENG NES

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10/27/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
10/20/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
09/07/2011	BZ53	WORKING IN RED ZONE
09/07/2011	JSA70B	CROSS NG TRACKS & DISMOUNT NG
09/07/2011	QS02	QSM - WALKING ON OR NEAR TRACK
09/07/2011	QS16	SL PS,TR PS,FALLS-WEATHER
09/07/2011	QS50	SHOVING CARS OR ENG NES
09/07/2011	RXRTW1	RETURN TO WORK - PART 1
09/07/2011	VHST	SL PS,TRIPS,FALLS & GOOD HOUSE
07/20/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
07/07/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
04/04/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
03/23/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
03/09/2011	DAPV	DRUG AND ALCOHOL POLICY VIGNET
03/09/2011	EV20	F RE EXT NGUISHER ANNUAL TRNG
03/09/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
03/09/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
03/09/2011	OPRU1	ONE DAY RULES CLASS
03/09/2011	PXDN	HEARINGCONSERVATION
03/09/2011	Q213	PROPER USE OF FIRE EXT NGUISHE
03/09/2011	Q217	THE SCIENCE OF FATIGUE AND ALE
03/09/2011	Q222	ERGONOMICS AWARENESS
03/09/2011	RXGFC	FEDERAL REGULATION CFR 217 & C
03/09/2011	RXHM	HAZMAT REFRESHER
03/09/2011	RXTC	RULES NSTRUCTION FOR TE&Y
03/09/2011	SF03	HAZCOMLINEEMPLOYEE TRAINING
03/09/2011	V008	DIESEL EXHAUST RIGHT TO KNOW
03/09/2011	V ED	RAL L SECURITY AWARENESS AND FR
03/08/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
02/27/2011	VHST	SL PS,TRIPS,FALLS & GOOD HOUSE
02/01/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
01/18/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
01/18/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
10/13/2010	RXABH	49 CFR 232.203 HANDS ON AIR BR
08/30/2010	BZ53	WORKING IN RED ZONE
08/30/2010	QS16	SL PS,TR PS,FALLS-WEATHER
08/30/2010	QS50	SHOVING CARS OR ENG NES
08/25/2010	DSU810	DENVER SERVICE UNIT AUGUST 201
07/28/2010	HZ89	HAZARDOUS MATERIALS EMERGENCY
07/23/2010	OPRZ	RED ZONE, RULE 81 5.4
05/30/2010	JSA12T	PROTECTING AND CONTROLLING SHO
05/07/2010	PXBD	HEAT STRESS
04/20/2010	RXABH	49 CFR 232.203 HANDS ON TESTIN
02/18/2010	RXABH	49 CFR 232.203 HANDS ON TESTIN
02/16/2010	JSA70	DEFENSIVE WALK NG
01/25/2010	JSA70B	CROSS NG TRACKS & DISMOUNT NG
11/27/2009	OPTBPA	TRACK BREACH PROTECTION PROCES
11/23/2009	BZ55	CRITICAL NC DENTS REVIEW
11/03/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
10/29/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
10/22/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
09/22/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
08/14/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
07/30/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
07/22/2009	HZ89	HAZARDOUS MATERIALS EMERGENCY
06/12/2009	JSA70B	CROSS NG TRACKS & DISMOUNT NG
03/26/2009	EV20	F RE EXT NGUISHER ANNUAL TRNG
03/26/2009	PXDN	HEARINGCONSERVATION
03/26/2009	Q217	THE SCIENCE OF FATIGUE AND ALE
03/26/2009	RXGFC	NEW FEDERAL REGULATION CFR 217

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03/26/2009	RXHM	HAZMAT REFRESHER
03/26/2009	RXTC	RULES NSTRUCTION FOR TE&Y
03/26/2009	V008	DIESEL EXHAUST RIGHT TO KNOW
03/26/2009	V ED	RAL L SECURITY AWARENESS AND FR
02/18/2009	BZ53	WORKING IN RED ZONE
02/18/2009	HZ93	EMERGENCY RESPONSE PLAN TRNG
02/18/2009	QS91	QSM - PREPARING FOR WIINTER ON
11/10/2008	OPSU	TOTAL SAFETY CULTURE
10/29/2008	HZ93	EMERGENCY RESPONSE PLAN TRNG
10/17/2008	RXGFC	SHOVE FOUL SWITCHES GFC
06/02/2008	SA22	RED ZONE CONTACT
11/30/2007	BCEU	BUS NESS CONDUCT EXPECTATIONS
11/30/2007	CS18	ERGONOMIC
11/30/2007	EV20	F RE EXT NGUISHER ANNUAL TRNG
11/30/2007	HM68	HM232 SECURITY AWARENESS TRNG
11/30/2007	PXDN	HEARINGCONSERVATION
11/30/2007	PXFA	FATIGUE MANAGEMENT - NONOPS
11/30/2007	Q217	THE SCIENCE OF FATIGUE AND ALE
11/30/2007	RXTC	RULES TEST NG AND COMPLIANCE
11/30/2007	SF102	BELLCAPP- TRANSPORTATION
11/30/2007	V008	DIESEL EXHAUST RIGHT TO KNOW
08/01/2006	QS36	SECUR NG CARS OR ENGINES
05/04/2006	EEOQ	EEO BASICS
05/04/2006	Q213	PROPER USE OF FIRE EXT NGUISHE
05/04/2006	Q222	ERGONOMICS AWARENESS
05/04/2006	Q237	OPERAT NG EMPLOYEES - HEAR NG
04/20/2006	Q221	DIESEL EXHAUST - FOR YOUR INFO
04/19/2006	Q212	RAL L SECURITY AWARENESS (MEETS
04/19/2006	QABTI	A R BRAKE TESTS AND NSPECTION
03/09/2006	HM68	HM232 SECURITY AWARENESS TRNG
02/25/2006	LC02	LEADERSHIP CONFERENCE INFO
11/17/2005	EO24	FRA EMERGENCY ORDER NO. 24
09/15/2005	QS51	SWITCH POSITIONS - NON SIGNAL
03/22/2005	LC02	LEADERSHIP CONFERENCE INFO
08/25/2004	QS29	PREVENT USE OF SWITCH DRLMNTS
01/28/2004	SB32	ONE-ON-ONE - 1ST
10/24/2003	MD42	ALERTNESS MGMT EDUCATION PACK
10/24/2003	SA22	RED ZONE CONTACT
10/12/2003	MD41	ALERTNESS MGMT EDU FOR MGRS
10/12/2003	SA22	RED ZONE CONTACT
10/12/2003	SB33	ONE-ON-ONE - 2ND
08/25/2003	QS36	SECUR NG CARS OR ENGINES
03/04/2003	SA22	RED ZONE CONTACT
12/09/2002	SA22	RED ZONE CONTACT
12/09/2002	SB33	ONE-ON-ONE - 2ND
08/16/2002	RX89	FUEL CONSERVATION TRAINING - 2
08/15/2002	RX89	FUEL CONSERVATION TRAINING - 2
02/06/2002	RXF3	SESSION B-OPERATING RULES
02/06/2002	SA22	RED ZONE CONTACT
02/06/2002	SB32	ONE-ON-ONE - 1ST
02/06/2002	VHZT	ZERO TOLERANCE
10/31/2001	SB33	ONE-ON-ONE - 2ND
10/30/2001	SA22	RED ZONE CONTACT
06/13/2001	SA22	RED ZONE CONTACT
06/13/2001	SB32	ONE-ON-ONE - 1ST
07/31/2000	SA22	RED ZONE CONTACT
07/31/2000	SB33	ONE-ON-ONE - 2ND
06/06/2000	SA22	RED ZONE CONTACT
06/06/2000	SB32	ONE-ON-ONE - 1ST
04/04/2000	SB32	ONE-ON-ONE - 1ST

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12/03/1999	SA22	RED ZONE CONTACT
12/02/1999	SA22	RED ZONE CONTACT
07/10/1999	SA22	RED ZONE CONTACT
05/07/1999	OC14	FRA TE&Y OJT EFFORT
03/03/1999	RXF3	SESSION B-OPERATING RULES
11/16/1998	BZ17	ACC DENT ALERT 98-15 (PWR SWTC
11/16/1998	BZ20	POWER SWITCH V DEO AND JOB AID
10/20/1998	EV20	F RE EXT NGUISHER ANNUAL TRNG
09/14/1998	BZ18	ACC DENT ALERT 98-16: REAR END
11/25/1995	EV20	F RE EXT NGUISHER ANNUAL TRNG
10/24/1992	RX89	FUEL CONSERVATION TRAINING - 2
10/23/1992	RXME	A R FLOW METHOD FOR ENG NEERS
06/06/1991	SF20	MENTALVACATIONSAFETYBLITZ
08/10/1988	PXF3	PROBACKFORWORKERSSESSION2
08/10/1988	PXF4	PRO-BACK I FOR WORKERS-SESSION
08/10/1988	PXF5	PRO-BACK I FOR WORKERS-SESSION
06/02/1988	PXF2	PRO-BACK I FOR WORKERS-SESSION
03/16/1987	PX97	PRO-BACK
04/18/1985	HZ	HAZ MATLS TRNG - VENDOR TAUGHT
10/29/1982	PX58	DRUGAWARENESS
09/23/1977	RXSE	STUDENT ENGINEER TRAIN NG

Examination Information - NOTHERN, D. W

Examination History On 07/16/2013 Record Count 55

Exam Date	Pass/Fail	Retest Flag	Percent Correct	Exam Code	Description	Location
07/01/2012	P		100	OPTER	TERRITORY EXAMS	
03/23/2011	P		100	OP220T	ELECTRONIC DEVICES ONLINE TRAI	
03/09/2011	P		90	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
03/09/2011	P		93	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
03/09/2011	P		90	RXABE	PROCTORED AIR BRAKE RULES TEST	
03/08/2011	P		0	OC10TT	FRA HOURS OF SERVICE - TEY TES	
03/08/2011	F		80	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
03/08/2011	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
02/10/2011	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	
02/10/2011	P		0	OP1T	HEP HEARING TEST	
01/18/2011	P		100	OP220T	ELECTRONIC DEVICES ONLINE TRAI	
03/26/2009	P		91	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
03/26/2009	P		100	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
03/26/2009	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
03/26/2009	P		100	RXABE	PROCTORED AIR BRAKE RULES TEST	
03/26/2009	P		100	RXTE	TERRITORIAL EXAM	
02/05/2008	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	
02/04/2008	P		0	OP1T	HEP HEARING TEST	
11/29/2007	P		89	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
11/29/2007	P		95	RXABE	PROCTORED AIR BRAKE RULES TEST	
11/29/2007	P		100	RXTE	TERRITORIAL EXAM	
05/04/2006	P		0	OPSAF		
04/19/2006	P		0	SECUR	Q212	
03/02/2005	P		100	RXTE	TERRITORIAL EXAM	BECKWITH
03/01/2005	P		92	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	BECKWITH
01/27/2005	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	
02/09/2004	P		94	RXAB	TRA N A R BRAKE TESTS AND INSP	
02/06/2002	P		90	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
12/03/2001	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	
07/31/2000	P		93	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
11/18/1998	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	UNCODED LO
10/14/1998	P		97	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
03/11/1996	P		90	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
12/13/1995	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	UNCODED LO

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02/10/1995	P		93	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
12/21/1994	P		0	OP1G	RETURN TO WORK PHYSICAL	UNCODED LO
10/20/1993	P		0	OP1T	HEP HEARING TEST	UNCODED LO
09/21/1992	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	UNCODED LO
07/29/1992	P		98	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
07/24/1992	P		0	OP1T	HEP HEARING TEST	UNCODED LO
07/31/1991	P		0	OP1T	HEP HEARING TEST	UNCODED LO
07/03/1991	P		93	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
08/30/1989	P		0	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
07/28/1989	P		0	OP1T	HEP HEARING TEST	UNCODED LO
08/01/1988	P		0	OP1T	HEP HEARING TEST	UNCODED LO
01/15/1988	P		0	OP1A	PHYSICAL EXAMINATION	UNCODED LO
07/04/1987	P		0	OP1T	HEP HEARING TEST	UNCODED LO
03/25/1987	P		0	OB1A	OPERAT NG RULES-AIR BRAKE	OAKLEY
03/16/1987	P		0	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	SALINA
04/18/1985	P		0	OB1A	OPERAT NG RULES-AIR BRAKE	SALINA
04/18/1985	P		0	OR1A	OPERATING RULES-VER A-CONDUCTO	SALINA
01/31/1985	P		0	OP1A	PHYSICAL EXAMINATION	UNCODED LO
05/03/1982	P		0	OR1A	OPERATING RULES-VER A-CONDUCTO	UNCODED LO
05/06/1981	P		0	OB1A	OPERAT NG RULES-AIR BRAKE	UNCODED LO
05/06/1981	P		0	OP1A	PHYSICAL EXAMINATION	UNCODED LO

Job History Information - NOTHERN, D. W

Job History On 07/16/2013 **Record Count 31**

Position Start Date	Title	Work Location
09/30/2012	THRU FRT ENGINEER	SALINA
09/24/2012	THRU FRT ENGINEER	SALINA
09/29/2010	THRU FRT ENGINEER	SALINA
09/30/2007	THRU FRT ENGINEER	SALINA
10/22/2006	THRU FRT ENGINEER	SALINA
04/07/2006	THRU FRT ENGINEER	SALINA
10/05/2005	THRU FRT ENGINEER	SALINA
07/28/2003	THRU FRT ENGINEER	SALINA
07/21/2003	THRU FRT ENGINEER	SALINA
09/30/2001	THRU FRT ENGINEER	SALINA
08/06/2001	THRU FRT ENGINEER	SALINA
02/24/2001	THRU FRT ENGINEER	SALINA
09/07/2000	THRU FRT ENGINEER	SALINA
02/23/1999	THRU FRT ENGINEER	SALINA
07/07/1998	THRU FRT ENGINEER	SALINA
12/12/1997	THRU FRT ENGINEER	SALINA
02/15/1995	THRU FRT ENGINEER	SALINA
02/10/1995	THRU FRT ENGINEER	SALINA
05/02/1994	THRU FRT ENGINEER	SALINA
02/11/1994	THRU FRT ENGINEER	SALINA
01/22/1991	THRU FRT ENGINEER	SALINA
08/24/1990	THRU FRT ENGINEER	SALINA
07/16/1990	THRU FRT ENGINEER	SALINA
07/13/1987	THRU FRT ENGINEER	SALINA
01/02/1985	YARD FIREMAN	SALINA
07/08/1980	THRU FRT ENGINEER	KANSAS CTY
04/22/1980	THRU FRT ENGINEER	SALINA
09/23/1977	THRU FRT ENGINEER	KANSAS CTY
08/31/1977	YARD FIREMAN	SALINA
05/09/1977	THRU FRT FIREMAN	KANSAS CTY
10/10/1974	B&B HLP, CARPENTER	VAR-SYSTEM

Misc Event Information - NOTHERN, D. W

Misc Event Information On 07/16/2013 **Record count 71**

Human Factors Detail Report on 07/16/2013 as of 07:45

Event Date	Event Code	Event Description
03/25/2013	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
09/06/2012	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
08/17/2012	HZ89	HAZMAT EMERGENCY AWARENESS
07/01/2012	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
07/01/2012	PD01	POLICY D RECTIVE-EEO
03/05/2012	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
09/07/2011	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
09/01/2011	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
09/01/2011	PD01	POLICY DIRECTIVE - EEO
07/25/2011	HZ89	HAZMAT EMERGENCY AWARENESS
02/27/2011	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
08/30/2010	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
06/23/2010	PD02	EEO POLICY DISTRIBUTION AND ACKNOWLEDGEMENT
01/25/2010	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
09/09/2009	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
07/01/2009	PD01	POLICY D RECTIVE-EEO
07/01/2009	WPVP	WORKPLACE VIOLENCE POLICY SENT
05/15/2009	FUEL	FUEL MASTER AWARD
02/19/2009	EDR1	EMPLOYEE DEVELOPMENT REV EW MGRID - [REDACTED] RAYMOND C WARNE JR
02/15/2009	FUEL	FUEL MASTER AWARD
10/16/2008	EDR1	EMPLOYEE DEVELOPMENT REV EW MGRID - [REDACTED] RAYMOND C WARNE JR
06/04/2008	PD01	POLICY D RECTIVE-EEO
02/07/2008	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGRID - [REDACTED] BRIAN E WEEKS
08/30/2007	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
07/19/2007	NE B	MISCELLANEOUS EVENT FOR NATIONAL BADGING
05/16/2007	PD01	POLICY D RECTIVE-EEO
03/06/2007	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
11/15/2006	FUEL	FUEL MASTER AWARD
10/17/2006	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
02/25/2006	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
11/14/2005	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
10/05/2005	SV30	30 YEAR SVC AWARD DISTRIBUTED TO EMPL BY SUPV
04/20/2005	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
09/20/2004	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] BRUCE A KRAISINGER
07/12/2004	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] BRUCE A KRAISINGER
09/19/2003	SA31	DENVER SVC UNIT-1ST QUARTER AWARD/CONTACT
03/17/2003	SA12	DENVER SVC UNIT-4TH QUARTER AWARD/CONTACT
02/04/2003	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
01/08/2003	SA11	DENVER SVC UNIT-3RD QUARTER AWARD/CONTACT
07/09/2002	SA31	DENVER SVC UNIT-1ST QUARTER AWARD/CONTACT
04/03/2002	SA30	DENVER SVC UNIT 2001 SAFETY AWARD
02/12/2002	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
10/31/2001	SB33	ONE ON ONE ANNUAL SAFETY CONTACT - 2ND
09/24/2001	GC01	MEDICALLY CLEARED TO RETURN TO WORK WITH NO RESTRICTIONS JOANN M. M
06/13/2001	SB32	ONE ON ONE
01/07/2001	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] WALTER G SMITH
07/31/2000	SB33	ONE ONE
06/06/2000	SB32	ONE ON ONE ANNUAL SAFETY CONTACT - 1ST
04/04/2000	SB32	ONE ON ONE
04/04/2000	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] WALTER G SMITH
08/18/1999	CS01	CLAIM SETTLEMENT FOR PI OF 11/26/97
07/02/1999	SC01	SAFETY CONFERENCE
03/03/1999	SC01	DISCUSSED SAFETY IN 0 TO 5 YR. AND 20 + YR. GROUPS.
10/01/1998	UPS1	UP SHARES UP SHARES PARTICIPANT - 1998
01/09/1998	PR01	COPY PERS FILE SENT GARY SCHNEIDER, KANSAS CITY, MO
11/26/1997	PI01	BACK STRAIN 1197WH009 206 RLT BUFFALO PA KS
10/29/1996	CS01	CLAIM SETTLEMENT FOR PI OF 1/15/94 AND 6/29/95
07/26/1996	GC01	COPY PERSONAL RECORD TO K.T. BORMAN - KC, MO

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07/01/1995	SV01	RECEIVED 20 YR SERVICE AWARD
06/30/1995	PI06	PROGRESSIVE INTERVENTION SAFETY PROGRAM
06/29/1995	PI01	NECK STRAIN 0695VB014 R SAL NA KS
11/28/1994	GC01	COPY OF PERSONAL FILE TO K RAY,CMR K.C MO.
11/28/1994	MD01	MEDICAL RECORDS SENT TO MEDICAL DIRECTORS OFFICE
01/17/1994	PI06	PROGRESSIVE INTERVENTION SAFETY PROGRAM
01/15/1994	PI01	SPINE STRAIN 0194VB008 285 RLT SALINA KS
12/31/1993	SAA	\$100 U.S. SAV NGS BOND-18 YRS NO PI [REDACTED]
12/21/1992	SAA	\$100 U.S. SAV NGS BOND-17 YEARS NO PI
11/03/1992	SB04	RESTRICTED SPEED - SALINA - DEBELLO
11/24/1991	SF01	ISSUE SAFETY SHOES
10/03/1991	SG01	ISSUE SAFETY GLASSES
05/09/1977	ES01	F REMAN

Ride History Details - NOTHERN, D. W

Ride History On 07/16/2013

TRAIN RIDE (ONE YEAR)

Employee Name/ID NOTHERN, D. W [REDACTED] Position 001 Student N
MGR Name BURNS, BENJAMIN R [REDACTED] Event Date 11/30/2012 12:40 PM
Circ7 Train LDG89F30 Service Type Local
Start Date 11/30/2012 12:40 PM Stop Date 11/30/2012 04:00 PM
Org Circ7 KP189 Dest Circ7 KP240
Overall Score 100.00 Evaluation Reason Performance
LDS 0 MTYS 15 TON 485
FT 894 Helper N DPU N
Simulator N Miles 51 Remote Control Flag N

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Bell	Pass	Books	Pass	Calling Signals	Pass
Controlling Slack	Pass	Departure/Insp/Test	Pass	Dynamic Brakes	Pass	EPA/EDBA	Pass
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Independent Brake	Pass
Knows Territory	Pass	Monitor Gauges	Pass	Radio	Pass	Report Defects	NA
Safety Rules	Pass	Securement	NA	Signal Indication	Pass	Speed	Pass
Throttle Modulation	Pass	Trk Warrant/BLN	Pass				

TRAIN RIDE (ONE YEAR)

Employee Name/ID NOTHERN, D. W [REDACTED] Position 001 Student N
MGR Name WEEKS, BRIAN E [REDACTED] Event Date 02/08/2013 12:30 PM
Circ7 KP187 Train LDG89F08 Service Type Local
Start Date 02/08/2013 12:30 PM Stop Date 02/08/2013 03:57 PM
Org Circ7 KP187 Dest Circ7 KP240
Overall Score 100.00 Evaluation Reason Performance
LDS 0 MTYS 12 TON 381
FT 695 Helper N DPU N
Simulator N Miles 53 Remote Control Flag N

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Bell	Pass	Books	Pass	Calling Signals	Pass
Controlling Slack	Pass	Departure/Insp/Test	Pass	Dynamic Brakes	Pass	EPA/EDBA	Pass
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Independent Brake	Pass
Knows Territory	Pass	Monitor Gauges	Pass	Radio	Pass	Report Defects	NA
Safety Rules	Pass	Securement	Pass	Signal Indication	Pass	Speed	Pass
Throttle Modulation	Pass	Trk Warrant/BLN	Pass				

TRAIN RIDE (ONE YEAR)

Employee Name/ID NOTHERN, D. W [REDACTED] Position 001 Student N
MGR Name BURNS, BENJAMIN R [REDACTED] Event Date 05/28/2013 01:50 PM
Circ7 KP187 Train LDG8828 Service Type Local
Start Date 05/28/2013 01:50 PM Stop Date 05/28/2013 06:00 PM
Org Circ7 KP187 Dest Circ7 KP249
Overall Score 100.00 Evaluation Reason Performance
LDS 0 MTYS 17 TON 1500
FT 1200 Helper N DPU N
Simulator N Miles 65 Remote Control Flag N

Manager Comments Engineer did a really good job working with new conductor to job, and communicating in the cab. Called all signals and was aware of all speed restrictions.

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
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Human Factors Detail Report on 07/16/2013 as of 07:45

Automatic Brake	Pass	Bell	Pass	Books	Pass	Calling Signals	Pass
Controlling Slack	Pass	Departure/Insp/Test	Pass	Dynamic Brakes	Pass	EPA/EDBA	Pass
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Independent Brake	Pass
Knows Territory	Pass	Monitor Gauges	Pass	Radio	Pass	Report Defects	NA
Safety Rules	Pass	Securement	NA	Signal Indication	Pass	Speed	Pass
Throttle Modulation	Pass	Trk Warrant/BLN	Pass				

Event Recorder History Details - NOTHERN, D. W

Event Recorder History On 07/16/2013

EVENT RECORDER (ONE YEAR)

Employee Name/ID	NOTHERN, D. W	Position	001	Student	N
MGR Name	WEEKS, BRIAN E	Event Date	09/10/2012 12:03 PM	Service Type	Local
Circ7	KP187	Train	LDG8910	Stop Date	09/10/2012 07:41 PM
Start Date	09/10/2012 12:03 PM	Dest Circ7	KP290	Evaluation Reason	Performance
Org Circ7	KP187	MTYS	12	TON	1781
Overall Score	82.00	Helper	N	DPU	N
LDS	10	Location	KP290	Loco	UP 2327
FT	1292	Miles	109	Remote Control Flag	N
<u>Tape Removed</u>					
Date and Time	09/11/2012 12:13				
Simulator	N				
Manager Comments	Plan ahead so that heavy reductions are not needed to control train speed in undulating territory with short trains.				

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Coach	Centering Reverser	Pass	Controlling Slack	Pass	Departure/Insp/Test	Pass
Dynamic Brakes	Pass	Fuel Conservation	Pass	Horn	Pass	Independent Brake	Pass
Signal Indication	NA	Speed	Rule	Throttle Modulation	Pass		

EVENT RECORDER (ONE YEAR)

Employee Name/ID	NOTHERN, D. W	Position	001	Student	N
MGR Name	WEEKS, BRIAN E	Event Date	02/06/2013 01:47 PM	Service Type	Local
Circ7	KP187	Train	LDG8906	Stop Date	02/06/2013 07:20 PM
Start Date	02/06/2013 01:47 PM	Dest Circ7	KP263	Evaluation Reason	Performance
Org Circ7	KP187	MTYS	52	TON	1930
Overall Score	97.00	Helper	N	DPU	N
LDS	2	Location	KP263	Loco	UP 2429
FT	3182	Miles	75	Remote Control Flag	N
<u>Tape Removed</u>					
Date and Time	02/06/2013 21:22				
Simulator	N				
Manager Comments	Do not use independant brake to control train speeds above 15mph				

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Centering Reverser	Pass	Controlling Slack	Pass	Departure/Insp/Test	Pass
Dynamic Brakes	Pass	Fuel Conservation	Pass	Horn	Pass	Independent Brake	Coach
Signal Indication	NA	Speed	Pass	Throttle Modulation	Pass		

EVENT RECORDER (ONE YEAR)

Employee Name/ID	NOTHERN, D. W	Position	001	Student	N
MGR Name	WEEKS, BRIAN E	Event Date	05/31/2013 03:42 PM	Service Type	Local
Circ7	KP187	Train	LDG89F31	Stop Date	05/31/2013 09:25 PM
Start Date	05/31/2013 03:42 PM	Dest Circ7	KP253	Evaluation Reason	Performance
Org Circ7	KP187	MTYS	5	TON	2202
Overall Score	94.00	Helper	N	DPU	N
LDS	16	Location	KP253	Loco	UP 2429
FT	1403	Miles	112	Remote Control Flag	N
<u>Tape Removed</u>					
Date and Time	06/01/2013 00:01				
Simulator	N				
Manager Comments	Exception for throttle modulation, throttle adjustments should be 1 notch at a time dynamic brake adjustments should be gradual. Rule 34.1				

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Centering Reverser	Pass	Controlling Slack	Pass	Departure/Insp/Test	Pass
Dynamic Brakes	Pass	Fuel Conservation	Pass	Horn	NA	Independent Brake	Pass
Signal Indication	NA	Speed	Pass	Throttle Modulation	Coach		

Employee Score Summary on 07/16/2013

EMPLOYEE SCORE SUMMARY					
Employee Information					
Employee Name	NOTHERN, D. W	Employee ID		Overall Score	847

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Category wise Score Details			
Train Handling CATEGORY SCORE			279.00
Event Date	Type of Point Adjustment	Points	Train Handling Score
09/10/2012	ENG Event Recorder	-18.00	282.00
02/06/2013	ENG Event Recorder	3.00	285.00
05/31/2013	ENG Event Recorder	-6.00	279.00
Rule Compliance CATEGORY SCORE			278.00
Event Date	Type of Point Adjustment	Points	Rule Compliance Score
09/10/2012	Derailment Cause Code:H209	-50.00	350.00
09/10/2012	ENG Event Recorder	-36.00	314.00
09/22/2012	Discipline Level: 3	-60.00	254.00
02/06/2013	ENG Event Recorder	12.00	266.00
05/31/2013	ENG Event Recorder	12.00	278.00
Fuel CATEGORY SCORE			100.00
Education/Involvement CATEGORY SCORE			90.00
Event Date	Type of Point Adjustment	Points	Education/Involvement Score
03/09/2011	Exam Code:OR4A Result:Pass Score 90.0	-10.00	90.00
Attendance CATEGORY SCORE			100.00

Search Criteria			
Employee Name/ID	IVERSON, JOSHUA R - [REDACTED]	Crew Position	Conductor

Human Factor Details - IVERSON, JOSHUA R

Employee Information			
Name	IVERSON, JOSHUA R - [REDACTED]	Assigned DSLE	BROWNING, BENJAMIN S
Engineer Cert	09/19/2008	Eng Cert Expir Date	04/26/2014
RCO Cert		RCO Cert Expir Date	
Conductor Cert	07/11/2012	Conductor Expir Date	04/26/2014
Original Hire Date	02/20/2007		
Last ENG Ride	03/06/2013 (132)	Eval MGR	BROWN NG, BENJAMIN S
Last CON Ride	12/16/2012 (212)	Eval MGR	BROWN NG, BENJAMIN S
Last RCO Ride	(0)	Eval MGR	
Last ENG ER	06/02/2013 (44)	Eval MGR	BROWN NG, BENJAMIN S
Last CON ER	07/16/2012 (365)	Eval MGR	WEEKS, BRIAN E
Last RCO ER	(0)	Eval MGR	
Last ENG STOP Test	06/05/2013 (41)	Eval MGR	BROWN NG, BENJAMIN S
Last CON STOP Test	07/03/2013 (13)	Eval MGR	WEEKS, BRIAN E
Last RCO STOP Test	(0)	Eval MGR	
Last 242 FTX Test	07/03/2013 (13)	Eval MGR	WEEKS, BRIAN E
Last Rules Exam	GCOR(12/13/2012 - OR4A - 88), Air Brake(12/13/2012 - RXABE - 95), Haz Mat(12/13/2012 - ORHM - 100)		
Session B Training(Y/N)	N		

Discipline History - IVERSON, JOSHUA R

Discipline History On 07/16/2013			
08/09/2008			
File	0805269	Current Upgrade Level	7
Current Position	T	Employee Name/ID	[REDACTED] IVERSON, JOSHUA R
Board	LT02	Circ7	KP187
Position Code	003	OFF	0305599
Effective Date	08/22/2008	Location	1060
Incident Date	08/09/2008	Issuing Officer	BROWN, T MOTHY A
Mile Post		Cert Revoke	N
Days Assigned		Days Deferred	
Rule Violations			0070100
Haz Mat Violations			
Comments	WHILE WORKING AS CONDUCTOR ON LMF43-08 AT HERINGTON, KANSAS, NEAR MILEPOST 171.3, TOPEKA SUBDIVISION, AT APPROXIMATELY 2:50 A.M., ON AUGUST 9, 2008, YOU FAILED TO SWITCH SAFELY AND EFFICIENTLY WHEN YOUR JOB ALLOWED A CUT OF LOADED CARS TO MAKE CONTACT WITH AN EMPTY CAR RESULTING IN DAMAGE TO EQUIPMENT.		
		Action	282
		Sub Division	
		Reason Chgd	
		Days Served	0
		Tim Tab Violations	

Certification History - IVERSON, JOSHUA R

Certification History On 07/16/2013						
Date Entered	Time	Reason	Class	Expiration Date	Service Unit	Entered By
09/19/2008	00:00	CERT	3	04/26/2011	DENVER	Hernandez, Rebecca A
03/27/2009	00:00	CERT	1	04/26/2011	DENVER	Hernandez, Rebecca A
04/26/2011	02:34	NOT CERT	1	04/26/2011	DENVER	

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07/02/2011	11:15	RECERT	1	04/26/2014	DENVER	Hernandez, Rebecca A
07/11/2012	09:11	CERT	8	04/26/2014	DENVER	EQMS, SYSTEM

FTX Summary - IVERSON, JOSHUA R

FTX Summary On 07/16/2013 Record Count 12

TYPE OF TEST	COUNT	PERCENT COUNT	PASS	COACH	HEAR
01 RED FLAG OR RED LIGHT	6	5.77	6	0	0
02 COMPLIANCE WITH RESTRICTED SPEED	5	4.81	5	0	0
03 BLOCK OR SIGNAL DISPLAY NG STOP	18	17.31	18	0	0
05 APPROACH SIGNAL	7	6.73	7	0	0
08 CHECK SPEED OF TRAINS	3	2.88	3	0	0
09 ROAD CROSS NG AT GRADE	20	19.23	20	0	0
10 SWITCHING	4	3.85	4	0	0
11 ON BOARD ASSESSMENT	22	21.15	22	0	0
12 EQUIPMENT UNATTENDED	2	1.92	2	0	0
14 A R BRAKE TESTS	1	0.96	1	0	0
15 OTHER EFFICIENCY TESTS	15	14.42	12	3	0
16 FUEL TESTS	1	0.96	1	0	0
TOTAL %ST	41.35	104	101	3	0

Training And Evaluation Information - IVERSON, JOSHUA R

Training And Evaluation On 07/16/2013 Record Count 130

Date	Course Code	Class Description
06/17/2013	RXABH	49 CFR 232.203 HANDS ON AIR B
03/24/2013	RXHF1	GCOR 6 5: SHO V NG MOVEMENTS
12/13/2012	DAPV	DRUG AND ALCOHOL POLICY VIGNE
12/13/2012	EEO11	EEO OVERV EW
12/13/2012	EV20	F RE EXT NGUISHER ANNUAL TRNG
12/13/2012	OC10TC	FRA HOURS OF DUTY TRAINING-TE
12/13/2012	OP220C	ELECTRONIC DEVICES ONLINE TRA
12/13/2012	OPRU1	ONE DAY RULES CLASS
12/13/2012	PXDN	HEARINGCONSERVATION
12/13/2012	Q217	THE SCIENCE OF FATIGUE AND AL
12/13/2012	Q222	ERGONOMICS AWARENESS
12/13/2012	RXGFC	FEDERAL REGULATION CFR 217 &
12/13/2012	RXHM	HAZMAT REFRESHER
12/13/2012	RXTC	RULES NSTRUCTION FOR TE&Y
12/13/2012	SF03	HAZCOMLINEEMPLOYEE TRAINING
12/13/2012	V008	DIESEL EXHAUST RIGHT TO KNOW
12/13/2012	V ED	RA L SECURITY AWARENESS AND F
09/05/2012	SF114	MASON CITY BR EF NG
08/21/2012	QS50	SHOVING CARS OR ENG NES
08/19/2012	PTCLDW	LEADER TRA N NG (SELF PACED)
07/25/2012	SF112	GOODWELL COLLISION
06/20/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
06/07/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
05/13/2012	PTCTOW	TR P OPT MIZER TRAIN NG (SELF
04/26/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
03/17/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
02/02/2012	RXABH	49 CFR 232.203 HANDS ON AIR BR
12/10/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
11/28/2011	SFW5	OPERATION RED BLOCK: THE INTRO
09/11/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
09/03/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
02/01/2011	DAPV	DRUG AND ALCOHOL POLICY VIGNET
02/01/2011	EV20	F RE EXT NGUISHER ANNUAL TRNG
02/01/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
02/01/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
02/01/2011	OPRU1	ONE DAY RULES CLASS
02/01/2011	PXDN	HEARINGCONSERVATION

Human Factors Detail Report on 07/16/2013 as of 07:45

02/01/2011	Q213	PROPER USE OF FIRE EXT NGUISHE
02/01/2011	Q217	THE SCIENCE OF FATIGUE AND ALE
02/01/2011	Q222	ERGONOMICS AWARENESS
02/01/2011	RXGFC	FEDERAL REGULATION CFR 217 & C
02/01/2011	RXHM	HAZMAT REFRESHER
02/01/2011	RXTC	RULES NSTRUCTION FOR TE&Y
02/01/2011	SF03	HAZCOMLINEEMPLOYEE TRAINING
02/01/2011	V008	DIESEL EXHAUST RIGHT TO KNOW
02/01/2011	V ED	RA L SECURITY AWARENESS AND FR
01/31/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
09/15/2010	JSA12T	PROTECTING AND CONTROLLING SHO
08/20/2010	OPRZ	RED ZONE, RULE 81 5.4
07/28/2010	HZ89	HAZARDOUS MATERIALS EMERGENCY
04/15/2010	JSA70	DEFENSIVE WALK NG
04/13/2010	JSA70	DEFENSIVE WALK NG
03/28/2010	DAPV	DRUG AND ALCOHOL POLICY VIGNET
03/28/2010	EV20	F RE EXT NGUISHER ANNUAL TRNG
03/28/2010	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
03/28/2010	OP220C	ELECTRONIC DEVICES ONLINE TRAI
03/28/2010	OPRU1	ONE DAY RULES CLASS
03/28/2010	PXDN	HEARING CONSERVATION
03/28/2010	Q217	THE SCIENCE OF FATIGUE AND ALE
03/28/2010	Q222	ERGONOMICS AWARENESS
03/28/2010	RXGFC	NEW FEDERAL REGULATION CFR 217
03/28/2010	RXHM	HAZMAT REFRESHER
03/28/2010	RXTC	RULES NSTRUCTION FOR TE&Y
03/28/2010	SF03	HAZCOMLINEEMPLOYEE TRAINING
03/28/2010	V008	DIESEL EXHAUST RIGHT TO KNOW
03/28/2010	V ED	RA L SECURITY AWARENESS AND FR
10/13/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
09/19/2009	JSA70B	CROSS NG TRACKS & DISMOUNT NG
09/19/2009	OPTBPA	TRACK BREACH PROTECTION PROCES
09/01/2009	JSA70B	CROSS NG TRACKS & DISMOUNT NG
08/23/2009	HZ89	HAZARDOUS MATERIALS EMERGENCY
08/20/2009	RXGFC	NEW FEDERAL REGULATION CFR 217
07/22/2009	HZ93	EMERGENCY RESPONSE PLAN TRNG
05/20/2009	RXER3	FAM LIARIZATION EXCESSIVE RESE
02/21/2009	BZ53	WORKING IN RED ZONE
01/23/2009	RXF8	FIT SIMULATOR TRA NING
01/22/2009	RXF8	FIT SIMULATOR TRA NING
01/21/2009	RXF8	FIT SIMULATOR TRA NING
01/20/2009	RXF8	FIT SIMULATOR TRA NING
01/19/2009	RXF8	FIT SIMULATOR TRA NING
01/16/2009	EEO11	EEO OVERV EW
01/16/2009	OPRU1	ONE DAY RULES CLASS
01/15/2009	HZ10	JOB BRIEFING - UP TIH/PIH JOB
01/14/2009	SFUM	UNSAFE MOTORIST REPORTING S-47
01/13/2009	EEOV3	EEO COMPLIANCE & BEYOND - SEGM
01/12/2009	RXSE4	STD ENGR PH 4 COMP F ELD
09/26/2008	RXSE2	STD ENGR PH 2 GCOR AIR BRAKES
09/25/2008	RXSE99	STUDENT ENGINEER TRAIN NG
09/23/2008	RX57	CONTROLLING LOCOS ON GRADE
09/22/2008	HZ10	JOB BRIEFING - UP TIH/PIH JOB
09/18/2008	OP11	TEY CAB COMMUNICATIONS
09/15/2008	EEOV1	EEO COMPLIANCE & BEYOND - SEGM
09/08/2008	EEOV1	EEO COMPLIANCE & BEYOND - SEGM
09/08/2008	EEOV2	EEO COMPLIANCE & BEYOND - SEGM
09/08/2008	RXSE1	STUDENT ENGINEER PH 1 MECH F E
06/26/2008	BCEU	BUS NESS CONDUCT EXPECTATIONS
06/26/2008	CS18	ERGONOMIC

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06/26/2008	EV20	F RE EXT NGUISHER ANNUAL TRNG
06/26/2008	HM68	HM232 SECURITY AWARENESS TRNG
06/26/2008	PXDN	HEARINGCONSERVATION
06/26/2008	PXFA	FATIGUE MANAGEMENT - NONOPS
06/26/2008	Q217	THE SCIENCE OF FATIGUE AND ALE
06/26/2008	RXTC	RULES TEST NG AND COMPLIANCE
06/26/2008	SF102	BELLCAPP- TRANSPORTATION
06/26/2008	V008	DIESEL EXHAUST RIGHT TO KNOW
11/06/2007	VH08	WEATHER WATCH S-297-93
09/14/2007	Q194	BASICS OF BUDGET NG
09/13/2007	EEOQ	EEO BASICS
09/13/2007	Q032	T ME MANAGEMENT
09/13/2007	Q052	BALANC NG WORK AND FAM LY
09/13/2007	Q220	DRUG AND ALCOHOL/OPERATION RED
09/13/2007	Q222	ERGONOMICS AWARENESS
09/13/2007	QABTI	A R BRAKE TESTS AND NSPECTION
09/11/2007	HM68	HM232 SECURITY AWARENESS TRNG
09/11/2007	Q026	ACHIEVING PERSONAL GOALS
09/11/2007	Q212	RA L SECURITY AWARENESS (MEETS
09/11/2007	Q213	PROPER USE OF FIRE EXT NGUISHE
09/11/2007	Q221	DIESEL EXHAUST - FOR YOUR INFO
09/11/2007	Q237	OPERAT NG EMPLOYEES - HEAR NG
05/11/2007	LC02	LEADERSHIP CONFERENCE INFO
04/30/2007	RXCT	NEW CONDUCTOR TRAINING
03/10/2007	BCEU	BUS NESS CONDUCT EXPECTATIONS
03/10/2007	EEOV	EEO: COMPLIANCE AND BEYOND (AL
03/10/2007	EO24	FRA EMERGENCY ORDER NO. 24
03/10/2007	HM68	HM232 SECURITY AWARENESS TRNG
03/10/2007	PXUP	WHATSUP
03/10/2007	RXABH	CFR49 232.203 HANDS ON TEST NG
03/10/2007	V003	SWITCH NG SFTY: THE 5 LIFESAVE
03/10/2007	VH41	SAFETYTHECHOICEISYOURS-TRANSP
02/20/2007	RXSB	SWITCHMAN/BRAKEMAN TRA N NG

Examination Information - IVERSON, JOSHUA R

Examination History On 07/16/2013 Record Count 53

Exam Date	Pass/Fail	Retest Flag	Percent Correct	Exam Code	Description	Location
12/13/2012	P		0	OC10TE	FRA HOURS OF SERVICE - TEY ELE	
12/13/2012	P		100	OPTER	TERRITORY EXAMS	
12/13/2012	P		90	OPTER	TERRITORY EXAMS	
12/13/2012	P		88	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
12/13/2012	P		100	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
12/13/2012	P		95	RXABE	PROCTORED AIR BRAKE RULES TEST	
12/13/2012	P		90	TER315	NORTHERN/KANSAS CITY: TOPEKA S	
12/13/2012	P		100	TER316	NORTHERN/KANSAS CITY: HER NGTO	
12/12/2012	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
07/01/2012	P		100	OPTER	TERRITORY EXAMS	
06/30/2011	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL	
06/30/2011	P		0	OP1T	HEP HEARING TEST	
02/01/2011	P		100	OP220T	ELECTRONIC DEVICES ONLINE TRAI	
02/01/2011	P		90	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
02/01/2011	P		100	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
02/01/2011	P		95	RXABE	PROCTORED AIR BRAKE RULES TEST	
02/01/2011	P		85	RXTE	TERRITORIAL EXAM	
01/31/2011	P		0	OC10TT	FRA HOURS OF SERVICE - TEY TES	
01/31/2011	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
12/30/2010	P		100	OP220T	ELECTRONIC DEVICES ONLINE TRAI	
03/28/2010	P		91	OR4A	OPERAT NG RULES LOCOMOTIVE ENG	
03/28/2010	P		95	ORHM	INSTRUCTIONS FOR HANDLING HAZA	

Human Factors Detail Report on 07/16/2013 as of 07:45

03/28/2010	P		100	ORRS	RA L SECURITY AWARENESS AND FR
03/28/2010	P		90	RXABE	PROCTORED AIR BRAKE RULES TEST
03/28/2010	P		95	RXTE	TERRITORIAL EXAM
01/16/2009	P		91	OB3J	PROMO TO ENGR (PHASE 4 F NAL)
09/26/2008	P		96	OB3F	PROMO TO ENGR (A R BRAKES)
09/22/2008	P		94	OB3B	PROMO TO ENGR(OPERATING RULES)
09/19/2008	P		95	OB3D	PROMO TO ENGR (SIGNALS)
09/17/2008	P		97	OB3C	PROMO TO ENGR (TRA WAR/BUL)
09/13/2008	P		96	OB3E	PROMO TO ENGR (PHASE 1)-MECH
09/09/2008	P		0	OP1D	LOCO ENGRS QUAL F PHYSICAL
09/09/2008	P		0	OP1T	HEP HEARING TEST
06/26/2008	P		86	OR1A	OPERATING RULES-VER A-CONDUCTO
06/25/2008	P		95	RXABC	A R BRAKE EXAM FOR CONDUCTORS
09/13/2007	P		0	OPSAF	
09/11/2007	P		0	SECUR	Q212
05/25/2007	P		100	OR6A	PROMO TO COND (COMPL ALL PARTS
05/12/2007	P		93	OR6T	CONDUCTOR TR P F NAL EXAM
05/08/2007	P		90	OR6E	PROMO TO COND (WORK ORD/FORMS)
04/30/2007	P		91	OR6R	CONDUCTOR ENTRANCE FINAL
03/10/2007	P		94	OR6S	SWTCHMN/BRKMN OPER RULES F NAL
03/07/2007	P		91	OR6D	PROMO TO COND (SIGNALS)
03/03/2007	P		91	OR6C	PROMO TO COND(TR WARR/TR BULT)
03/01/2007	P		96	OR6G	INITIAL EXAM - INSTRUCTIONS FO
03/01/2007	P		100	OR6K	SWITCH ALIGNMENT & MOVEMENT AS
02/28/2007	F		84	OR6G	INITIAL EXAM - INSTRUCTIONS FO
02/27/2007	P		94	OR6F	PROMO TO COND (AIR BRAKES)
02/22/2007	P		98	OR6H	PROMO TO COND (SAFETY)
01/22/2007	P		0	OP1J	SP ROMETRY TESTING
01/22/2007	P		0	OP1M	AGREEMENT PRE-EMPLOY PHYSICAL
01/22/2007	P		0	OP1Z	REMOTE CONTROL OPTR QUALIF PHY
01/16/2007	P		0	OP1T	HEP HEARING TEST

Job History Information - IVERSON, JOSHUA R

Job History On 07/16/2013 Record Count 89

Position Start Date	Title	Work Location
06/09/2013	THRU FRT CONDUCTOR	SALINA
06/07/2013	THRU FRT ENGINEER	HERINGTON
05/22/2013	THRU FRT ENGINEER	HERINGTON
03/29/2013	THRU FRT CONDUCTOR	HERINGTON
01/04/2013	THRU FRT BRAKEMAN	HERINGTON
12/13/2012	THRU FRT CONDUCTOR	HERINGTON
11/28/2012	THRU FRT CONDUCTOR	HERINGTON
11/26/2012	THRU FRT BRAKEMAN	HERINGTON
10/01/2012	THRU FRT BRAKEMAN	HERINGTON
09/27/2012	THRU FRT CONDUCTOR	HERINGTON
09/25/2012	THRU FRT BRAKEMAN	HERINGTON
09/24/2012	THRU FRT BRAKEMAN	HERINGTON
09/23/2012	THRU FRT BRAKEMAN	HERINGTON
09/22/2012	THRU FRT CONDUCTOR	HERINGTON
09/20/2012	THRU FRT CONDUCTOR	HERINGTON
09/13/2012	THRU FRT BRAKEMAN	HERINGTON
08/30/2012	THRU FRT BRAKEMAN	HERINGTON
08/29/2012	THRU FRT BRAKEMAN	SALINA
08/28/2012	THRU FRT ENGINEER	SALINA
08/26/2012	THRU FRT ENGINEER	SALINA
08/23/2012	THRU FRT ENGINEER	SALINA
08/17/2012	THRU FRT ENGINEER	SALINA
06/01/2012	THRU FRT CONDUCTOR	SALINA
05/11/2012	THRU FRT CONDUCTOR	HERINGTON

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04/01/2012	THRU FRT BRAKEMAN	HERINGTON
03/22/2012	THRU FRT CONDUCTOR	HERINGTON
03/20/2012	THRU FRT BRAKEMAN	HERINGTON
02/02/2012	THRU FRT BRAKEMAN	HERINGTON
01/26/2012	THRU FRT CONDUCTOR	HERINGTON
01/24/2012	THRU FRT BRAKEMAN	HERINGTON
01/23/2012	THRU FRT BRAKEMAN	HERINGTON
01/21/2012	THRU FRT BRAKEMAN	HERINGTON
01/10/2012	THRU FRT CONDUCTOR	HERINGTON
01/08/2012	THRU FRT BRAKEMAN	HERINGTON
12/16/2011	THRU FRT BRAKEMAN	HERINGTON
10/09/2011	THRU FRT BRAKEMAN	HERINGTON
10/09/2011	THRU FRT CONDUCTOR	HERINGTON
10/08/2011	THRU FRT CONDUCTOR	HERINGTON
05/03/2011	THRU FRT BRAKEMAN	HERINGTON
02/16/2011	THRU FRT BRAKEMAN	HERINGTON
02/16/2011	THRU FRT CONDUCTOR	HERINGTON
02/14/2011	THRU FRT CONDUCTOR	HERINGTON
01/31/2011	THRU FRT CONDUCTOR	HERINGTON
12/08/2010	THRU FRT BRAKEMAN	HERINGTON
12/02/2010	THRU FRT CONDUCTOR	HERINGTON
10/18/2010	THRU FRT BRAKEMAN	HERINGTON
09/29/2010	THRU FRT BRAKEMAN	HERINGTON
07/11/2010	THRU FRT BRAKEMAN	HERINGTON
07/01/2010	THRU FRT CONDUCTOR	HERINGTON
06/30/2010	THRU FRT BRAKEMAN	HERINGTON
05/24/2010	THRU FRT BRAKEMAN	HERINGTON
05/13/2010	THRU FRT BRAKEMAN	HERINGTON
03/25/2010	THRU FRT CONDUCTOR	HERINGTON
03/24/2010	THRU FRT BRAKEMAN	HERINGTON
03/21/2010	THRU FRT CONDUCTOR	HERINGTON
03/15/2010	THRU FRT CONDUCTOR	HERINGTON
03/08/2010	THRU FRT BRAKEMAN	HERINGTON
01/08/2010	THRU FRT BRAKEMAN	HERINGTON
12/14/2009	THRU FRT CONDUCTOR	HERINGTON
12/14/2009	THRU FRT BRAKEMAN	HERINGTON
12/11/2009	THRU FRT BRAKEMAN	HERINGTON
10/28/2009	THRU FRT BRAKEMAN	HERINGTON
10/23/2009	THRU FRT BRAKEMAN	HERINGTON
10/16/2009	THRU FRT CONDUCTOR	HERINGTON
10/05/2009	THRU FRT BRAKEMAN	HERINGTON
09/02/2009	THRU FRT BRAKEMAN	HERINGTON
08/31/2009	THRU FRT BRAKEMAN	HERINGTON
08/24/2009	THRU FRT BRAKEMAN	HERINGTON
07/20/2009	THRU FRT BRAKEMAN	HERINGTON
06/12/2009	THRU FRT BRAKEMAN	HERINGTON
04/03/2009	THRU FRT BRAKEMAN	HERINGTON
09/08/2008	THRU FRT FIREMAN	HERINGTON
08/12/2008	THRU FRT BRAKEMAN	HERINGTON
08/11/2008	THRU FRT CONDUCTOR	HERINGTON
07/19/2008	THRU FRT CONDUCTOR	HERINGTON
06/06/2008	THRU FRT CONDUCTOR	HERINGTON
06/06/2008	THRU FRT BRAKEMAN	HERINGTON
06/02/2008	THRU FRT CONDUCTOR	HERINGTON
05/17/2008	THRU FRT BRAKEMAN	HERINGTON
05/16/2008	THRU FRT CONDUCTOR	HERINGTON
03/19/2008	THRU FRT BRAKEMAN	HERINGTON
02/13/2008	THRU FRT CONDUCTOR	HERINGTON
09/10/2007	THRU FRT BRAKEMAN	HERINGTON
09/02/2007	THRU FRT BRAKEMAN	HERINGTON

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04/27/2007	THRU FRT BRAKEMAN	HERINGTON
04/16/2007	THRU FRT BRAKEMAN	HERINGTON
04/02/2007	THRU FRT BRAKEMAN	HERINGTON
03/19/2007	THRU FRT BRAKEMAN	HERINGTON
02/20/2007	THRU FRT BRAKEMAN	HERINGTON

Misc Event Information - IVERSON, JOSHUA R

Misc Event Information On 07/16/2013 Record count 26

Event Date	Event Code	Event Description
02/09/2013	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR ID = [REDACTED] SCHWENDEMAN DAN EL
08/21/2012	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] WEEKS, BRIAN E
08/17/2012	HZ89	HAZMAT EMERGENCY AWARENESS
07/01/2012	PD01	POLICY D RECTIVE-EEO
07/01/2012	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
01/01/2012	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] GIBSON, SCOTT A
09/23/2011	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR D = [REDACTED] GIBSON, SCOTT A
09/01/2011	PD01	POLICY DIRECTIVE - EEO
09/01/2011	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
07/25/2011	HZ89	HAZMAT EMERGENCY AWARENESS
04/03/2011	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR ID = [REDACTED] BROWN, THOMAS A
09/16/2010	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR ID = [REDACTED] BROWN, THOMAS A
06/23/2010	WPVP	WORKPLACE VIOLENCE POLICY SENT
06/23/2010	PD02	EEO POLICY DISTRIBUTION AND ACKNOWLEDGEMENT
04/15/2010	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR ID = [REDACTED] BROWN, THOMAS A
08/26/2009	NH99	I-9 REVERIFICATION
06/04/2008	PD01	POLICY D RECTIVE-EEO
03/03/2008	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] TERRY A HANKEN
10/17/2007	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] MATTHEW A KRAUSE
10/16/2007	CS01	618782 N CLAIM SETTLEMENT FOR INJURY DATED 09012007
10/15/2007	WPCI	WINTER PREPARATION-CLEATS ISSUED
09/01/2007	PI01	F NGERS RIGHT 4 FRACTURE 0907KC001 008 RLT HER NGTON KS
09/01/2007	PI16	PERSONAL NJURY CONFERENCE
08/22/2007	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGRID - [REDACTED] THOMAS A BROWN
05/16/2007	PD01	POLICY D RECTIVE-EEO
03/15/2007	NEB	MISCELLANEOUS EVENT FOR NATIONAL BADGING

Ride History Details - IVERSON, JOSHUA R

Ride History On 07/16/2013

TRAIN RIDE (ONE YEAR)

Employee Name/ID	IVERSON, JOSHUA R [REDACTED]	Position	001	Student	N
MGR Name	BROWNING, BENJAMIN S [REDACTED]	Event Date	09/25/2012 11:10 AM	Service Type	Passenger
Circ7	SW279	Train	SPTHN25	Stop Date	09/25/2012 12:00 PM
Start Date	09/25/2012 11:10 AM	Dest Circ7	SW231	Evaluation Reason	Performance
Org Circ7	SW279	MTYS	0	TON	219
Overall Score	100.00	Helper	N	DPU	Y
LDS	3	Miles	50	Remote Control Flag	N
FT	256				
Simulator	N				
Manager Comments	great job...				

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Bell	Pass	Books	Pass	Calling Signals	Pass
Controlling Slack	Pass	Departure/Insp/Test	Pass	Dynamic Brakes	Pass	EPA/EDBA	Pass
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Independent Brake	Pass
Knows Territory	Pass	Monitor Gauges	Pass	Radio	Pass	Report Defects	Pass
Safety Rules	Pass	Securement	NA	Signal Indication	Pass	Speed	Pass
Throttle Modulation	Pass	Trk Warrant/BLN	Pass				

TRAIN RIDE (ONE YEAR)

Employee Name/ID	IVERSON, JOSHUA R [REDACTED]	Position	003	Student	N
MGR Name	BROWNING, BENJAMIN S [REDACTED]	Event Date	12/16/2012 12:05 PM	Service Type	Through
Circ7	SW279	Train	KLBDU13	Stop Date	12/16/2012 02:50 PM
Start Date	12/16/2012 12:05 PM	Dest Circ7	SW148		
Org Circ7	SW205				

Human Factors Detail Report on 07/16/2013 as of 07:45

Overall Score 100.00

LDS 92

FT 6952

Simulator N

Manager Comments

Evaluation Reason Familiarization

MTYS 0

Helper N

Miles 57

TON 5553

DPU N

Remote Control Flag N

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Bell	Pass	Books	Pass	Cab Communication	Pass	Calling Signals	Pass
Conductor Log	Pass	Departure/Insp/Test	Pass	EPA/EDBA	Pass	Form B Track Bulletin	NA
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Housekeeping	Pass
Job Briefing	Pass	Radio	Pass	Report Defects	Pass	Safety Rules	Pass
Securement	NA	Signal Indication	Pass	Speed	Pass	Switches / Derails	NA
Train Inspection	Pass	Train Make Up	Pass	Trk Warrant/BLN	Pass		

TRAIN RIDE (ONE YEAR)

Employee Name/ID IVERSON, JOSHUA R [REDACTED] Position 001 Student N
MGR Name BROWNING, BENJAMIN S [REDACTED] Event Date 03/06/2013 02:56 PM
Circ7 SW279 Train ZLAAH04 Service Type Through
Start Date 03/06/2013 02:56 PM Stop Date 03/06/2013 03:43 PM
Org Circ7 SW279 Dest Circ7 SW248
Overall Score 100.00 Evaluation Reason Familiarization
LDS 76 MTYS 0 TON 4406
FT 5362 Helper N DPU Y
Simulator N Miles 31 Remote Control Flag N
Manager Comments GOOD FAM RIDE FROM MP298 TO MP267...

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Bell	Pass	Books	Pass	Calling Signals	Pass
Controlling Slack	Pass	Departure/Insp/Test	Pass	Dynamic Brakes	Pass	EPA/EDBA	Pass
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Independent Brake	Pass
Knows Territory	Pass	Monitor Gauges	Pass	Radio	Pass	Report Defects	Pass
Safety Rules	Pass	Securement	NA	Signal Indication	Pass	Speed	Pass
Throttle Modulation	Pass	Trk Warrant/BLN	Pass				

Event Recorder History Details - IVERSON, JOSHUA R

Event Recorder History On 07/16/2013

EVENT RECORDER (ONE YEAR)

Employee Name/ID IVERSON, JOSHUA R [REDACTED] Position 003 Student N
MGR Name WEEKS, BRIAN E [REDACTED] Event Date 07/16/2012 11:59 AM
Circ7 KP187 Train LDG8916 Service Type Local
Start Date 07/16/2012 11:59 AM Stop Date 07/16/2012 07:10 PM
Org Circ7 KP187 Dest Circ7 KP249
Overall Score 100.00 Evaluation Reason Performance
LDS 11 MTYS 46 TON 2963
FT 3400 Helper N DPU N
Tape Removed
Date and Time 07/16/2012 19:57 Location KP249 Loco UP 2499
Simulator N Miles 66 Remote Control Flag N
Manager Comments

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Departure/Insp/Test	Pass	Horn	Pass	Signal Indication	NA	Speed	Pass

EVENT RECORDER (ONE YEAR)

Employee Name/ID IVERSON, JOSHUA R [REDACTED] Position 001 Student N
MGR Name BROWNING, BENJAMIN S [REDACTED] Event Date 06/02/2013 11:00 PM
Circ7 SW148 Train MHNEP03 Service Type Through
Start Date 06/02/2013 11:00 PM Stop Date 06/03/2013 02:45 AM
Org Circ7 SW148 Dest Circ7 SW267
Overall Score 100.00 Evaluation Reason Random
LDS 42 MTYS 13 TON 5814
FT 3184 Helper N DPU N
Tape Removed
Date and Time 06/05/2013 11:00 Location SW148 Loco UP 4618
Simulator N Miles 114 Remote Control Flag N
Manager Comments GOOD TAPE

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Automatic Brake	Pass	Centering Reverser	Pass	Controlling Slack	Pass	Departure/Insp/Test	Pass
Dynamic Brakes	Pass	Fuel Conservation	Pass	Horn	NA	Independent Brake	Pass
Signal Indication	Pass	Speed	Pass	Throttle Modulation	Pass		

Human Factors Detail Report on 07/16/2013 as of 07:45

Employee Score Summary on 07/16/2013

EMPLOYEE SCORE SUMMARY				
Employee Information				
Employee Name	IVERSON, JOSHUA R	Employee ID	██████	Overall Score 988
Category wise Score Details				
Train Handling CATEGORY SCORE			300.00	
Rule Compliance CATEGORY SCORE			400.00	
Fuel CATEGORY SCORE			100.00	
Education/Involvement CATEGORY SCORE			88.00	
Event Date	Type of Point Adjustment	Points	Education/Involvement Score	
12/13/2012	Exam Code:OR4A Result:Pass Score 88.0	-12.00	88.00	
Attendance CATEGORY SCORE			100.00	

Search Criteria

Employee Name/ID HOYT, DALE A - ██████ Crew Position Conductor

Human Factor Details - HOYT, DALE A

Employee Information

Name	HOYT, DALE A - ██████	Assigned DSLE	
Engineer Cert		Eng Cert Expir Date	
RCO Cert		RCO Cert Expir Date	
Conductor Cert	07/11/2012	Conductor Expir Date	08/25/2015
Original Hire Date	02/07/2005		
Last ENG Ride	(0)	Eval MGR	Last 12 Month CNT 0
Last CON Ride	05/02/2013 (75)	Eval MGR WEEKS, BRIAN E	Last 12 Month CNT 1
Last RCO Ride	(0)	Eval MGR	Last 12 Month CNT 0
Last ENG ER	(0)	Eval MGR	Last 12 Month CNT 0
Last CON ER	05/23/2013 (54)	Eval MGR WEEKS, BRIAN E	Last 12 Month CNT 1
Last RCO ER	(0)	Eval MGR	Last 12 Month CNT 0
Last ENG STOP Test	(0)	Eval MGR	Last 12 Month CNT 0
Last CON STOP Test	05/15/2013 (62)	Eval MGR K LLIAN, JUST N L	Last 12 Month CNT 9
Last RCO STOP Test	(0)	Eval MGR	Last 12 Month CNT 0
Last 242 FTX Test	05/15/2013 (62)	Eval MGR K LLIAN, JUST N L	Last 12 Month CNT 9
Last Rules Exam	GCOR(02/08/2012 - OR1A - 95), Air Brake(02/08/2012 - RXABC - 95), Haz Mat(02/08/2012 - ORHM - 93)		
Session B Training(Y/N)	N		

Discipline History - HOYT, DALE A

Discipline History On 07/16/2013

09/07/2007

File	0907264	Current Upgrade Level	4C
Current Position	T	Employee Name/ID	██████/HOYT, DALE A
Board	XC01	Circ7	KP187
Position Code	004	OFF	0118962
Effective Date	09/25/2007	Location	0788
Incident Date	09/07/2007	Issuing Officer	MULLIN, KIM R
Mile Post		Cert Revoke	N
Days Assigned	120	Days Deferred	
Rule Violations	0014700 0060500 0070100 0700300		
Haz Mat Violations			Tim Tab Violations
Comments	?WHILE WORKING AS A CREWMEMBER ON THE YSN56-07 ON SEPTEMBER 7, 2007 AT APPROXIMATELY 1730 HOURS IN THE VICINITY OF MP 185.5 IN THE SALINA YARD IN SALINA, KANSAS, MR. HOYT FAILED TO PERFORM A PROPER JOB BR EF NG AND MADE AN UNPROTECTED SHO V NG MOVEMENT OF CARS RESULTING IN THE DERA LMENT OF TWO CARS UP 912688 AND QTTX 131391. SSI ITEM APPLIES		

04/22/2007

File	0407108	Current Upgrade Level	0
Current Position	T	Employee Name/ID	██████/HOYT, DALE A
Board	XC01	Circ7	KP187
Position Code	003	OFF	0246502
Effective Date	05/01/2007	Location	0788
Incident Date	04/22/2007	Issuing Officer	SMITH, DAVID M
Mile Post		Cert Revoke	N
Days Assigned		Days Deferred	
Rule Violations	0000000		
Haz Mat Violations			Tim Tab Violations
Comments	WH LE EMPLOYED AS CONDUCTOR, HEADQUARTERED IN SAL NA, KANSAS, MR. HOYT FAILED TO WORK FULL TIME AND PROTECT HIS EMPLOYMENT BY EXCESSIVELY ABSENTING H MSELF FROM SERVICE DUR NG THE PERIOD FROM FEBRUARY 2, 2007 THROUGH APRIL 22, 2007. THIS IS HIS FIRST OFFENSE UNDER THE ATTENDANCE POLICY.		

06/24/2006			
File 0606179	Current Upgrade Level E	Employee Name/ID [REDACTED]/HOYT, DALE A	
Current Position T	Board XC01	Circ7 KP187	
Position Code 003	Effective Date 07/05/2006	OFF 0246502	
Incident Date 06/24/2006	Mile Post	Location 0788	Action 250
Days Assigned	Issuing Officer SMITH, DAVID M	Cert Revoke N	Sub Division
Rule Violations	0070100 0080300 0081500	Days Deferred	Reason Chgd
Haz Mat Violations			Days Served 0
Comments WHILE WORKING AS A CREWMEMBER ON THE YSN56-24 ON JUNE 24, 2006 AT APPROX MATELY 1920 HOURS N THE VICINITY OF MP184 ON THE SAL NA SUBDIVISION, WHILE SETT NG OUT BAD ORDERS, MR. HOYTTHREW THE WRONG SWITCH FOR HIS NTENDED ROUTE RUNNING THROUGH WEST WYE SWITCH ON MA NL NE.			

08/12/2005			
File 0805229	Current Upgrade Level M	Employee Name/ID [REDACTED]/HOYT, DALE A	
Current Position T	Board XC01	Circ7 KP187	
Position Code 003	Effective Date 08/12/2005	OFF 0118962	
Incident Date 08/12/2005	Mile Post	Location 0788	Action 250
Days Assigned	Issuing Officer MULLIN, KIM R	Cert Revoke N	Sub Division
Rule Violations	0060500 0062800 0080200	Days Deferred	Reason Chgd
Haz Mat Violations			Days Served 0
Comments MUTUAL CONSENT FORMAL CONFERENCE HELD ON 8/12/05 - REVIEWED EVENTS LEADING UP TO AND INCLUDING SHOVING CARS THROUGH TRAILING POINT MOVE ON SWITCH IMPROPERLY LINED. AFTER CAR PASSED THROUGHFH IMPROPERLY LINED SWITCH BRAKEMAN MR. HOYT, DID STOP MOVEMENT AND PROTECTED SWITCH AND CONTACTED MRO MULLIN BEFORE ANYMORE DAMAGE WAS DONE. MUTUAL CONSENT APPROVED BY JOE WHALEN, GEN. SUPT.			

Certification History - HOYT, DALE A						
Certification History On 07/16/2013						Record Count 1
Date Entered	Time	Reason	Class	Expiration Date	Service Unit	Entered By
07/11/2012	09:34	CERT	8	08/25/2015	DENVER	EQMS, SYSTEM

FTX Summary - HOYT, DALE A						
FTX Summary On 07/16/2013						Record Count 11
TYPE OF TEST	COUNT	PERCENT	COUNT	PASS	COACH	HEAR
01 RED FLAG OR RED LIGHT	6	8.00	6	6	0	0
02 COMPLIANCE WITH RESTRICTED SPEED	6	8.00	6	6	0	0
03 BLOCK OR SIGNAL DISPLAY NG STOP	8	10.67	8	8	0	0
08 CHECK SPEED OF TRAINS	2	2.67	2	2	0	0
09 ROAD CROSS NG AT GRADE	12	16.00	12	12	0	0
10 SWITCHING	11	14.67	11	11	0	0
11 ON BOARD ASSESSMENT	2	2.67	2	2	0	0
12 EQUIPMENT UNATTENDED	2	2.67	2	2	0	0
13 RAIL ROAD RADIO RULES	3	4.00	3	3	0	0
14 A R BRAKE TESTS	1	1.33	1	1	0	0
15 OTHER EFFICIENCY TESTS	22	29.33	21	21	1	0
TOTAL %ST		50.67	75	74	1	0

Training And Evaluation Information - HOYT, DALE A		
Training And Evaluation On 07/16/2013		Record Count 124
Date	Course Code	Class Description
05/15/2013	RXABH	49 CFR 232.203 HANDS ON AIR B
03/23/2013	SFBSI	BRAKE STICK TRA NING
03/19/2013	RXHF1	GCOR 6 5: SHO V NG MOVEMENTS
03/17/2013	BZ53	WORKING IN RED ZONE
09/19/2012	QS50	SHOVING CARS OR ENG NES
08/31/2012	SF112	GOODWELL COLLISION
08/31/2012	SF114	MASON CITY BR EF NG
03/14/2012	QS50	SHOVING CARS OR ENG NES
02/08/2012	DAPV	DRUG AND ALCOHOL POLICY VIGNET
02/08/2012	EEO11	EEO OVERV EW
02/08/2012	EV20	F RE EXT NGUISHER ANNUAL TRNG
02/08/2012	HZ93	EMERGENCY RESPONSE PLAN TRNG

Human Factors Detail Report on 07/16/2013 as of 07:45

02/08/2012	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
02/08/2012	OP220C	ELECTRONIC DEVICES ONLINE TRAI
02/08/2012	OPRU1	ONE DAY RULES CLASS
02/08/2012	PXDN	HEARINGCONSERVATION
02/08/2012	Q213	PROPER USE OF FIRE EXT NGUISHE
02/08/2012	Q217	THE SCIENCE OF FATIGUE AND ALE
02/08/2012	Q222	ERGONOMICS AWARENESS
02/08/2012	RXGFC	FEDERAL REGULATION CFR 217 & C
02/08/2012	RXHM	HAZMAT REFRESHER
02/08/2012	RXRTW1	RETURN TO WORK - PART 1
02/08/2012	RXTC	RULES NSTRUCTION FOR TE&Y
02/08/2012	SF03	HAZCOMLINEEMPLOYEE TRAINING
02/08/2012	V008	DIESEL EXHAUST RIGHT TO KNOW
02/08/2012	V ED	RA L SECURITY AWARENESS AND FR
11/19/2011	RXABH	49 CFR 232.203 HANDS ON AIR BR
08/18/2011	BZ53	WORKING IN RED ZONE
08/18/2011	JSA70B	CROSS NG TRACKS & DISMOUNT NG
08/18/2011	QS02	QSM - WALKING ON OR NEAR TRACK
08/18/2011	QS16	SL PS,TR PS,FALLS-WEATHER
08/18/2011	QS50	SHOVING CARS OR ENG NES
08/18/2011	RXRTW1	RETURN TO WORK - PART 1
08/18/2011	VHST	SL PS,TRIPS,FALLS & GOOD HOUSE
07/19/2011	DAPV	DRUG AND ALCOHOL POLICY VIGNET
07/19/2011	EV20	F RE EXT NGUISHER ANNUAL TRNG
07/19/2011	HZ93	EMERGENCY RESPONSE PLAN TRNG
07/19/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
07/19/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
07/19/2011	OPRU1	ONE DAY RULES CLASS
07/19/2011	PXDN	HEARINGCONSERVATION
07/19/2011	Q213	PROPER USE OF FIRE EXT NGUISHE
07/19/2011	Q217	THE SCIENCE OF FATIGUE AND ALE
07/19/2011	Q222	ERGONOMICS AWARENESS
07/19/2011	RXGFC	FEDERAL REGULATION CFR 217 & C
07/19/2011	RXHM	HAZMAT REFRESHER
07/19/2011	RXRTW1	RETURN TO WORK - PART 1
07/19/2011	RXTC	RULES NSTRUCTION FOR TE&Y
07/19/2011	SF03	HAZCOMLINEEMPLOYEE TRAINING
07/19/2011	V008	DIESEL EXHAUST RIGHT TO KNOW
07/19/2011	V ED	RA L SECURITY AWARENESS AND FR
03/05/2011	OC10TC	FRA HOURS OF DUTY TRAINING-TE&
02/08/2011	VHST	SL PS,TRIPS,FALLS & GOOD HOUSE
01/12/2011	OP220C	ELECTRONIC DEVICES ONLINE TRAI
08/25/2010	DSU810	DENVER SERVICE UNIT AUGUST 201
08/11/2010	BZ53	WORKING IN RED ZONE
08/11/2010	QS16	SL PS,TR PS,FALLS-WEATHER
08/11/2010	QS50	SHOVING CARS OR ENG NES
08/07/2010	RXABH	49 CFR 232.203 HANDS ON AIR BR
07/28/2010	HZ89	HAZARDOUS MATERIALS EMERGENCY
05/30/2010	JSA12T	PROTECTING AND CONTROLLING SHO
05/11/2010	PXBD	HEAT STRESS
03/01/2010	SF55	SAFETY CERT FICATION
02/20/2010	JSA70B	CROSS NG TRACKS & DISMOUNT NG
02/20/2010	RXRTW1	RETURN TO WORK - PART 1
02/16/2010	JSA70	DEFENSIVE WALK NG
01/13/2010	JSA70B	CROSS NG TRACKS & DISMOUNT NG
01/13/2010	RXABH	49 CFR 232.203 HANDS ON TESTIN
01/02/2010	RXABH	49 CFR 232.203 HANDS ON TESTIN
12/09/2009	EV20	F RE EXT NGUISHER ANNUAL TRNG
12/09/2009	PXDN	HEARINGCONSERVATION
12/09/2009	Q217	THE SCIENCE OF FATIGUE AND ALE

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12/09/2009	RXGFC	NEW FEDERAL REGULATION CFR 217
12/09/2009	RXHM	HAZMAT REFRESHER
12/09/2009	RXTC	RULES NSTRUCTION FOR TE&Y
12/09/2009	V008	DIESEL EXHAUST RIGHT TO KNOW
12/09/2009	V ED	RAL SECURITY AWARENESS AND FR
11/27/2009	OPTBPA	TRACK BREACH PROTECTION PROCES
11/24/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
11/10/2009	BZ55	CRITICAL NC DENTS REVIEW
10/27/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
09/08/2009	QS02	QSM - WALKING ON OR NEAR TRACK
09/08/2009	RXGFC	NEW FEDERAL REGULATION CFR 217
07/22/2009	HZ89	HAZARDOUS MATERIALS EMERGENCY
07/06/2009	HZ93	EMERGENCY RESPONSE PLAN TRNG
06/06/2009	JSA70B	CROSS NG TRACKS & DISMOUNT NG
03/28/2009	RXABH	CFR49 232.203 HANDS ON TEST NG
02/27/2009	BZ53	WORKING IN RED ZONE
02/27/2009	HZ93	EMERGENCY RESPONSE PLAN TRNG
02/27/2009	QS91	QSM - PREPARING FOR WIINTER ON
11/13/2008	OPSU	TOTAL SAFETY CULTURE
10/29/2008	HZ93	EMERGENCY RESPONSE PLAN TRNG
10/29/2008	RXGFC	SHOVE FOUL SWITCHES GFC
07/24/2008	RXABH	CFR49 232.203 HANDS ON TEST NG
04/07/2008	BCEU	BUS NESS CONDUCT EXPECTATIONS
04/07/2008	CS18	ERGONOMIC
04/07/2008	EV20	F RE EXT NGUISHER ANNUAL TRNG
04/07/2008	HM68	HM232 SECURITY AWARENESS TRNG
04/07/2008	PXDN	HEARINGCONSERVATION
04/07/2008	PXFA	FATIGUE MANAGEMENT - NONOPS
04/07/2008	Q217	THE SCIENCE OF FATIGUE AND ALE
04/07/2008	RXTC	RULES TEST NG AND COMPLIANCE
04/07/2008	SF102	BELLCAPP- TRANSPORTATION
04/07/2008	V008	DIESEL EXHAUST RIGHT TO KNOW
07/22/2007	Q217	THE SCIENCE OF FATIGUE AND ALE
09/06/2006	QS36	SECUR NG CARS OR ENGINES
04/08/2006	Q213	PROPER USE OF FIRE EXT NGUISHE
04/08/2006	Q237	OPERAT NG EMPLOYEES - HEAR NG
04/07/2006	Q222	ERGONOMICS AWARENESS
04/06/2006	EEOQ	EEO BASICS
04/06/2006	Q221	DIESEL EXHAUST - FOR YOUR INFO
04/06/2006	QABTI	A R BRAKE TESTS AND NSPECTION
04/02/2006	Q212	RAL SECURITY AWARENESS (MEETS
03/09/2006	HM68	HM232 SECURITY AWARENESS TRNG
01/03/2006	EO24	FRA EMERGENCY ORDER NO. 24
09/16/2005	QS51	SWITCH POSITIONS - NON SIGNAL
05/17/2005	OC00E	ATCS GUI ONL NE TRAINING
04/22/2005	OC20	IT/OCS TE&Y COND PROMO TRNG
04/18/2005	RXCT	NEW CONDUCTOR TRAINING
02/17/2005	EEOV	EEO: COMPLIANCE AND BEYOND (AL
02/12/2005	V003	SWITCH NG SFTY: THE 5 LIFESAVE
02/07/2005	PXUP	WHATSUP
02/07/2005	VH41	SAFETYTHECHOICEISYOURS-TRANSP
02/05/2005	RXSB	SWITCHMAN/BRAKEMAN TRA N NG

Examination Information - HOYT, DALE A

Examination History On 07/16/2013 Record Count 39

Exam Date	Pass/Fail	Retest Flag	Percent Correct	Exam Code	Description	Location
07/01/2012	P		100	OPTER	TERRITORY EXAMS	
02/08/2012	P		95	OR1A	OPERATING RULES-VER A-CONDUCTO	
02/08/2012	P		93	ORHM	INSTRUCTIONS FOR HANDLING HAZA	

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02/08/2012	P		95	RXABC	A R BRAKE EXAM FOR CONDUCTORS	
02/08/2012	P		100	RXTE	TERRITORIAL EXAM	
02/07/2012	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
07/19/2011	P		100	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
07/19/2011	F		80	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
07/19/2011	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
07/19/2011	P		100	RXTE	TERRITORIAL EXAM	
07/18/2011	P		98	OR1A	OPERATING RULES-VER A-CONDUCTO	
07/18/2011	P		90	RXABC	A R BRAKE EXAM FOR CONDUCTORS	
03/15/2011	P		83	OP220T	ELECTRONIC DEVICES ONLINE TRAI	
03/05/2011	P		0	OC10TT	FRA HOURS OF SERVICE - TEY TES	
08/17/2010	P		0	OP1T	HEP HEARING TEST	
12/08/2009	P		89	OR1A	OPERATING RULES-VER A-CONDUCTO	
12/08/2009	P		100	ORHM	INSTRUCTIONS FOR HANDLING HAZA	
12/08/2009	P		100	ORRS	RA L SECURITY AWARENESS AND FR	
12/08/2009	P		100	RXABC	A R BRAKE EXAM FOR CONDUCTORS	
12/08/2009	P		100	RXTE	TERRITORIAL EXAM	
12/13/2007	P		89	OR1A	OPERATING RULES-VER A-CONDUCTO	
12/13/2007	P		0	RXABC	A R BRAKE EXAM FOR CONDUCTORS	
04/08/2006	P		0	OPSAF		
04/07/2006	P		0	OPSAF		
04/02/2006	P		0	SECUR	Q212	
05/17/2005	P		0	ATCS		
05/16/2005	P		0	OR6A	PROMO TO COND (COMPL ALL PARTS	HERINGTON
04/30/2005	P		96	OR6E	PROMO TO COND (WORK ORD/FORMS)	
04/28/2005	P		89	OR6T	CONDUCTOR TR P F NAL EXAM	
02/26/2005	P		96	OR6S	SWTCHMN/BRKMN OPER RULES F NAL	
02/23/2005	P		97	OR6D	PROMO TO COND (SIGNALS)	
02/21/2005	P		95	OR6C	PROMO TO COND(TR WARR/TR BULT)	
02/17/2005	P		88	OR6G	INITIAL EXAM - INSTRUCTIONS FO	
02/15/2005	P		94	OR6F	PROMO TO COND (AIR BRAKES)	
02/09/2005	P		94	OR6H	PROMO TO COND (SAFETY)	
01/25/2005	P		0	OP1J	SP ROMETRY TESTING	
01/25/2005	P		0	OP1M	AGREEMENT PRE-EMPLOY PHYSICAL	
01/25/2005	P		0	OP1Z	REMOTE CONTROL OPTR QUALIF PHY	
01/18/2005	P		0	OP1T	HEP HEARING TEST	

Job History Information - HOYT, DALE A

Job History On 07/16/2013 Record Count 104

Position Start Date	Title	Work Location
02/17/2013	THRU FRT CONDUCTOR	SALINA
12/29/2012	THRU FRT CONDUCTOR	SALINA
10/13/2012	THRU FRT CONDUCTOR	SALINA
10/11/2012	THRU FRT BRAKEMAN	SALINA
09/20/2012	THRU FRT BRAKEMAN	SALINA
08/22/2012	THRU FRT CONDUCTOR	SALINA
08/21/2012	THRU FRT BRAKEMAN	SALINA
07/31/2012	THRU FRT BRAKEMAN	SALINA
07/19/2012	THRU FRT CONDUCTOR	SALINA
07/06/2012	THRU FRT BRAKEMAN	OAKLEY
06/19/2012	THRU FRT CONDUCTOR	SALINA
06/19/2012	THRU FRT BRAKEMAN	SALINA
06/18/2012	THRU FRT BRAKEMAN	OAKLEY
05/14/2012	THRU FRT BRAKEMAN	OAKLEY
05/03/2012	THRU FRT CONDUCTOR	SALINA
05/01/2012	THRU FRT BRAKEMAN	OAKLEY
04/10/2012	THRU FRT BRAKEMAN	OAKLEY
04/09/2012	THRU FRT CONDUCTOR	SALINA
03/20/2012	THRU FRT BRAKEMAN	OAKLEY

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03/20/2012	THRU FRT CONDUCTOR	SALINA
03/19/2012	THRU FRT BRAKEMAN	OAKLEY
03/19/2012	THRU FRT BRAKEMAN	SALINA
02/24/2012	THRU FRT BRAKEMAN	SALINA
02/23/2012	THRU FRT BRAKEMAN	OAKLEY
02/08/2012	THRU FRT BRAKEMAN	OAKLEY
01/25/2012	THRU FRT CONDUCTOR	SALINA
01/23/2012	THRU FRT CONDUCTOR	SALINA
01/15/2012	THRU FRT BRAKEMAN	SALINA
01/10/2012	THRU FRT CONDUCTOR	SALINA
01/09/2012	THRU FRT BRAKEMAN	OAKLEY
12/15/2011	THRU FRT BRAKEMAN	OAKLEY
12/15/2011	THRU FRT CONDUCTOR	SALINA
11/14/2011	THRU FRT BRAKEMAN	OAKLEY
07/19/2011	THRU FRT BRAKEMAN	SALINA
07/13/2011	THRU FRT BRAKEMAN	SALINA
01/08/2011	THRU FRT CONDUCTOR	SALINA
10/19/2010	THRU FRT CONDUCTOR	SALINA
09/29/2010	THRU FRT BRAKEMAN	SALINA
08/30/2010	THRU FRT BRAKEMAN	SALINA
07/23/2010	THRU FRT BRAKEMAN	SALINA
07/05/2010	THRU FRT BRAKEMAN	SALINA
05/27/2010	THRU FRT CONDUCTOR	SALINA
05/21/2010	SWITCHMAN BRAKEMAN	SALINA
05/19/2010	THRU FRT BRAKEMAN	SALINA
05/18/2010	THRU FRT CONDUCTOR	SALINA
05/17/2010	THRU FRT BRAKEMAN	SALINA
05/05/2010	THRU FRT CONDUCTOR	SALINA
04/27/2010	THRU FRT BRAKEMAN	SALINA
04/19/2010	THRU FRT CONDUCTOR	SALINA
03/17/2010	THRU FRT BRAKEMAN	SALINA
02/17/2010	THRU FRT CONDUCTOR	SALINA
02/04/2010	THRU FRT BRAKEMAN	OAKLEY
02/04/2010	SWITCHMAN BRAKEMAN	SALINA
02/03/2010	THRU FRT CONDUCTOR	SALINA
02/03/2010	THRU FRT BRAKEMAN	SALINA
02/02/2010	SWITCHMAN BRAKEMAN	SALINA
01/31/2010	THRU FRT BRAKEMAN	OAKLEY
01/11/2010	THRU FRT BRAKEMAN	OAKLEY
01/09/2010	THRU FRT CONDUCTOR	SALINA
12/08/2009	THRU FRT BRAKEMAN	OAKLEY
11/17/2009	THRU FRT BRAKEMAN	OAKLEY
11/14/2009	THRU FRT CONDUCTOR	SALINA
11/09/2009	THRU FRT BRAKEMAN	SALINA
10/06/2009	THRU FRT BRAKEMAN	OAKLEY
09/14/2009	THRU FRT BRAKEMAN	OAKLEY
07/17/2009	THRU FRT BRAKEMAN	OAKLEY
06/29/2009	THRU FRT CONDUCTOR	SALINA
06/20/2009	SWITCHMAN BRAKEMAN	SALINA
06/17/2009	THRU FRT BRAKEMAN	SALINA
06/15/2009	SWITCHMAN BRAKEMAN	SALINA
06/14/2009	SWITCHMAN BRAKEMAN	SALINA
06/09/2009	SWITCHMAN BRAKEMAN	SALINA
06/08/2009	SWITCHMAN BRAKEMAN	SALINA
06/02/2009	SWITCHMAN BRAKEMAN	SALINA
05/26/2009	THRU FRT CONDUCTOR	SALINA
05/07/2009	THRU FRT CONDUCTOR	SALINA
05/07/2009	SWITCHMAN BRAKEMAN	SALINA
05/01/2009	THRU FRT CONDUCTOR	SALINA
04/16/2009	THRU FRT CONDUCTOR	SALINA

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04/14/2009	THRU FRT CONDUCTOR	SALINA
03/12/2009	THRU FRT CONDUCTOR	SALINA
03/10/2009	THRU FRT BRAKEMAN	OAKLEY
02/28/2009	THRU FRT CONDUCTOR	HERINGTON
02/26/2009	THRU FRT CONDUCTOR	SALINA
02/19/2009	THRU FRT BRAKEMAN	HERINGTON
12/12/2008	THRU FRT BRAKEMAN	OAKLEY
12/07/2008	THRU FRT CONDUCTOR	SALINA
04/05/2008	THRU FRT CONDUCTOR	SALINA
10/03/2007	SWITCHMAN BRAKEMAN	SALINA
05/06/2007	SWITCHMAN BRAKEMAN	SALINA
12/14/2006	THRU FRT BRAKEMAN	SALINA
12/09/2006	THRU FRT CONDUCTOR	SALINA
12/08/2006	THRU FRT CONDUCTOR	SALINA
11/08/2006	THRU FRT CONDUCTOR	SALINA
08/05/2006	THRU FRT CONDUCTOR	SALINA
08/02/2006	THRU FRT CONDUCTOR	SALINA
04/07/2006	THRU FRT CONDUCTOR	SALINA
01/01/2006	THRU FRT CONDUCTOR	SALINA
11/03/2005	THRU FRT CONDUCTOR	SALINA
08/21/2005	THRU FRT CONDUCTOR	SALINA
08/07/2005	THRU FRT BRAKEMAN	SALINA
05/23/2005	THRU FRT BRAKEMAN	HERINGTON
05/17/2005	THRU FRT CONDUCTOR	HERINGTON
02/07/2005	THRU FRT BRAKEMAN	HERINGTON

Misc Event Information - HOYT, DALE A

Misc Event Information On 07/16/2013 Record count 28

Event Date	Event Code	Event Description
03/17/2013	EDR1	EMPLOYEE DEVELOPMENT REVIEW BY MGR ID = [REDACTED] KILLIAN, JUST N L
09/19/2012	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] BURNS, BENJAM N R
08/17/2012	HZ89	HAZMAT EMERGENCY AWARENESS
07/01/2012	PD01	POLICY D RECTIVE-EEO
07/01/2012	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
03/14/2012	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] BURNS, BENJAM N R
09/01/2011	PD01	POLICY DIRECTIVE - EEO
09/01/2011	RAPD	REASONABLE ACCOMMODATION POLICY DISTRIBUTION
08/18/2011	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
07/25/2011	HZ89	HAZMAT EMERGENCY AWARENESS
02/08/2011	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
08/11/2010	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
06/23/2010	PD02	EEO POLICY DISTRIBUTION AND ACKNOWLEDGEMENT
02/20/2010	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
01/13/2010	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
09/08/2009	EDR1	EMPLOYEE DEVELOPMENT REV EW BY MGR D = [REDACTED] MULL N, K M R
07/01/2009	PD01	POLICY D RECTIVE-EEO
07/01/2009	WPVP	WORKPLACE VIOLENCE POLICY SENT
03/15/2009	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
10/13/2008	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
06/04/2008	PD01	POLICY D RECTIVE-EEO
01/16/2008	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGR D - [REDACTED] KIM R MULLIN
07/19/2007	NE B	MISCELLANEOUS EVENT FOR NATIONAL BADGING
05/16/2007	PD01	POLICY D RECTIVE-EEO
03/18/2007	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGRID - [REDACTED] DAVID M SMITH
05/21/2006	EDR1	EMPLOYEE DEVELOPMENT REVIEW MGRID - [REDACTED] DAVID M SMITH
05/13/2005	EDR1	EMPLOYEE DEVELOPMENT REV EW MGRID - [REDACTED] LAWRENCE B JONES
04/11/2005	SHFN	SYSTEM HEALTH FACILITY NO RESTRICTIONS

Ride History Details - HOYT, DALE A

Ride History On 07/16/2013

TRAIN RIDE (ONE YEAR)							
Employee Name/ID	HOYT, DALE A	Position	003	Student	N	Event Date	05/02/2013 07:00 AM
MGR Name	WEEKS, BRIAN E	Train	LDG8602	Service Type	Local	Stop Date	05/02/2013 11:00 AM
Circ7	KP187	Dest Circ7	KP187	Evaluation Reason	Performance	MTYS	1
Start Date	05/02/2013 07:00 AM	Overall Score	100.00	Helper	N	TON	427
Org Circ7	KP187	LDS	3	Miles	52	DPU	N
Overall Score	100.00	FT	366	Remote Control Flag	N		
Simulator	N	Manager Comments					

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Bell	Pass	Books	Pass	Cab Communication	Pass	Calling Signals	Pass
Conductor Log	Pass	Departure/Insp/Test	Pass	EPA/EDBA	Pass	Form B Track Bulletin	NA
Fuel Conservation	Pass	Headlight	Pass	Horn	Pass	Housekeeping	Pass
Job Briefing	Pass	Radio	Pass	Report Defects	NA	Safety Rules	Pass
Securement	Pass	Signal Indication	Pass	Speed	Pass	Switches / Derails	Pass
Train Inspection	Pass	Train Make Up	Pass	Trk Warrant/BLN	Pass		

Event Recorder History Details - HOYT, DALE A								
Event Recorder History On 07/16/2013								
EVENT RECORDER (ONE YEAR)								
Employee Name/ID	HOYT, DALE A	Position	003	Student	N	Event Date	05/23/2013 12:23 PM	
MGR Name	WEEKS, BRIAN E	Train	CWEPA21	Service Type	Through	Stop Date	05/23/2013 07:44 PM	
Circ7	KP430	Dest Circ7	KP263	Evaluation Reason	Break In Two	MTYS	0	
Start Date	05/23/2013 12:23 PM	Overall Score	95.00	Helper	N	TON	14872	
Org Circ7	KP430	LDS	104	Miles	167	DPU	Y	
Overall Score	95.00	FT	5616	Remote Control Flag	N			
Simulator	N	Manager Comments	Proper speed must be maintained and not exceeded when on descending grades.					

Skill Details	Result	Skill Details	Result	Skill Details	Result	Skill Details	Result
Departure/Insp/Test	Pass	Horn	Pass	Signal Indication	NA	Speed	Coach

Employee Score Summary on 07/16/2013

EMPLOYEE SCORE SUMMARY					
Employee Information					
Employee Name	HOYT, DALE A	Employee ID		Overall Score	965

Category wise Score Details			
Train Handling CATEGORY SCORE			300.00
Rule Compliance CATEGORY SCORE			385.00
Event Date	Type of Point Adjustment	Points	Rule Compliance Score
05/23/2013	TRN Event Recorder	-15.00	385.00
Fuel CATEGORY SCORE			100.00
Education/Involvement CATEGORY SCORE			80.00
Event Date	Type of Point Adjustment	Points	Education/Involvement Score
07/19/2011	Exam Code:ORHM Result:Fail Score:80.0	-15.00	85.00
02/08/2012	Exam Code:OR1A Result:Pass Score 95.0	-5.00	80.00
Attendance CATEGORY SCORE			100.00