UNITED STATES OF AMERICA

NATIONAL TRANSPORTATION SAFETY BOARD

Investigation of:

*

KRISTIN ALEXIS/BARGE MR. ERVIN

ALLISION WITH THE SUNSHINE BRIDGE * Accident No.: DCA19FM003

DONALDSONVILLE, LOUISIANA OCTOBER 12, 2018

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Interview of: MARK CRUTCHER

Director of Compliance

Marquette Transportation Gulf-Inland

Lamar Dixon Expo Center Gonzales, Louisiana

Saturday, May 11, 2019

APPEARANCES:

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CWO Investigating Officer United States Coast Guard

LT Hearing Recorder United States coast Guard

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1	<u>PROCEEDINGS</u>				
2	(11:03 a.m.)				
3	CDR MESKUN: The time is 11:03. We're back on the record.				
4	We will hear now hear testimony from our next witness,				
5	Mr. Crutcher.				
6	Please stand at the witness table, and LT will				
7	administer your oath and ask you some preliminary questions.				
8	(Whereupon,				
9	MARK CRUTCHER				
10	was called as a witness and, after being first duly sworn, was				
11	examined and testified as follows:)				
12	LT Please be seated. Please state your full name				
13	and spell your last into the microphone.				
14	THE WITNESS: I'm Mark Crutcher, C-r-u-t-c-h-e-r.				
15	LT Counsel, please identify.				
16	MR. REISMAN: David Reisman on behalf of Marquette				
17	Transportation Company Gulf-Inland.				
18	CDR MESKUN: Morning, Mr. Crutcher. Thank you for being here				
19	today.				
20	THE WITNESS: Good morning.				
21	CDR MESKUN: We're going to ask a series of questions of you.				
22	If you don't understand any of the questions that we ask, please				
23	just ask us to rephrase them and we will. Or if you don't know,				
24	just tell us you don't know.				
25	EXAMINATION				

BY CDR MESKUN:

- 2 Q. Please describe for us where you work, what your job title
- 3 is, what the job responsibilities are.
- 4 A. I'm currently employed by Marquette Transportation, Gulf-
- 5 Inland Division. I'm the director of compliance. My job would be
- 6 the maintenance of the safety management system, checking for
- 7 | compliance of safety management systems and making sure that we
- 8 | are in compliance with any regulatory and/or contractual
- 9 requirements that we have.
- 10 Q. Perfect, thank you. And can you please describe your
- 11 | background or your experience, time on the river, licensing,
- 12 whatnot?
- 13 A. Yeah, I've been a licensed mariner for about 33 years.
- 14 Currently maintain a 1600-ton master of oceans for steam, motor,
- 15 | auxiliary sail. I have a master of towing, oceans -- master of
- 16 towing, Western Rivers. I have a third mate unlimited license for
- 17 steam, motor, sail and auxiliary sail. I've spent about 20 years
- 18 as a captain. Worked offshore industry, worked offshore towing
- 19 industry, worked high-speed passenger ferries. I worked in the
- 20 dredging. I worked internationally in Venezuela, Nigeria, Mexico.
- 21 The last 13 years, I've been in operational management and
- 22 safety management, positions of operations manager for an 18-
- 23 vessel fleet, working internationally and domestically, offshore
- 24 support vessels and a small number of five or six push vessels.
- 25 And then I worked for publicly traded company as a director of

- 1 QHSE. We maintain DOCs in three countries in three different
- 2 languages.
- 3 CDR MESKUN: Thank you for that.
- 4 Mr. Kucharski?
- 5 MR. KUCHARSKI: Thank you, Commander.
- 6 BY MR. KUCHARSKI:
- 7 Q. Good morning, Mr. Crutcher.
- 8 A. Good morning.
- 9 Q. You said you had 30 years -- 33 years' experience of master
- 10 towing?
- 11 A. I've been licensed for 33 years. Probably about -- I'm
- 12 estimating probably 8 years of that in towing.
- 13 Q. Did you have any schooling related to the maritime industry?
- 14 A. I've been to schools related to my licensing. So I have
- 15 attended ARPA schools, bridge resource management schools, STCW
- 16 schools, ECTA schools. So kind of the normal schools that
- 17 somebody with unlimited license would go to.
- 18 Q. Okay. And did you have any prior service time or -- in the
- 19 Navy or Coast Guard or anything like that?
- 20 A. No.
- 21 Q. None. Okay. And you mentioned training. Did you have any
- 22 training in safety management system?
- 23 A. I've been trained as a lead auditor with ABS. So I'm an ISM
- 24 | lead auditor with ABS. And then a lot of self-study.
- 25 Q. Any ISO training?

- 1 A. No ISO training, no.
- 2 Q. And could you remind me how long have you been in this
- 3 position as director of compliance?
- 4 A. Yeah, I was transferred from the Rivers Division December of
- 5 | this year. So I've been here about 5 months.
- 6 Q. I'm sorry, from which division?
- 7 A. From the River Division.
- 8 Q. River. And were a port captain before that?
- 9 A. Yeah, I was a port captain in the River Division.
- 10 Q. And how long did you serve as port captain?
- 11 A. Just over 1 year.
- 12 Q. And before that, were you also with Marquette?
- 13 A. No. Before that, I was with a publicly traded company here
- 14 in Louisiana. I was there for 6 years as director of QHSE.
- 15 Q. You said you had experience in Venezuela. What was that?
- 16 A. I worked with anchor handling supply boats in Lake Maracaibo.
- 17 | So I used to do rig moves and anchor handling in Lake Maracaibo.
- 18 It was about a 4,000-horsepower anchor handling supply vessel.
- 19 Q. And who was your predecessor at the position of director of
- 20 compliance?
- 21 A. It was Tessa -- and I'm drawing a blank on her last name,
- 22 | but --
- 23 Q. Seitzinger?
- 24 A. Yes, that's correct.
- 25 Q. Okay. And I think you explained that compliance entails with

- 1 | the safety management system and any other regulations, rules that
- 2 | are out there?
- 3 A. Correct.
- 4 Q. Okay. So can you tell us what you do on a day-to-day basis?
- 5 A. On a day-to-day basis, I have about -- I have five direct
- 6 | reports that work in the field, the QHSE managers. So there's
- 7 kind of a -- they directly report to me for their activities
- 8 regarding implementation and oversight of the safety management
- 9 system. And then I have probably four office-based direct reports
- 10 that take care of the administrative functions that we have to do
- 11 to maintain the safety management system.
- 12 Q. Okay, but what do you actually, then, do during the day? You
- 13 know, I mean, you have direct reports; is that all you do, is take
- 14 their direct reports or --
- 15 A. Right. I have a series of meetings on different frequencies,
- 16 different periodicities. I have some every 2 weeks, some weekly,
- 17 some daily. So I attend meetings. I check with my VSQEs at least
- 18 every other day. So those are the gentlemen who are in the field
- 19 actually attending the boats and taking care of the day-to-day
- 20 business of keeping the boats operating under an SMS.
- 21 Q. So the items that are not on a day-to-day basis, quarterly
- 22 meetings or things of that nature, do you attend different forums,
- 23 you know, and --
- 24 A. Yeah.
- 25 Q. Could you tell us about those?

- 1 A. Yeah, I would attend different forums. It could be industry
- 2 | forums, such as AWO conferences or et cetera. And I would also
- 3 attend any industry forums by our TPO, for example. I'm probably
- 4 the first line of liaison between our TPO and the River Division.
- 5 Q. And do you -- you said you attend AWA meetings; is that --
- 6 AWO meetings; is that correct?
- 7 A. I have, yes.
- 8 Q. Okay. And who do you report to?
- 9 A. I report directly to the senior vice president of operations.
- 10 | That's Marcel Garsaud.
- 11 Q. Does Marquette have a shoreside plan or document which
- 12 dictates the functions and responsibilities for each of the
- 13 | shoreside employees?
- 14 A. It has job descriptions for each shoreside employee, so yes.
- 15 \mathbb{Q} . And is that part of the safety management system or does that
- 16 | sit outside of the safety management system?
- 17 A. Some of the job descriptions are within the safety management
- 18 system, some aren't. Depends on whether or not they have safety
- 19 management system responsibilities.
- 20 Q. Okay. And I suppose we could get those job descriptions if
- 21 we ask for them?
- 22 A. Yes, sir.
- 23 Q. I don't know whether I should save this question for later.
- 24 We want to go over what has changed since the accident. I believe
- 25 | it's quite a bit.

1 MR. KUCHARSKI: Do we want to discuss those now or --(Indiscernible off mic remark.) I think he's 2 MR. REISMAN: 3 probably the best. 4 MR. KUCHARSKI: Okay, great. 5 BY MR. KUCHARSKI: 6 So would you, would you go over with us what has changed 7 since the accident? If you want to use any of the exhibits --8 MR. REISMAN: You talking specifically in terms of policies 9 or just procedures, or any changes of any kind? 10 MR. KUCHARSKI: Well, whatever he's comfortable with. 11 just wants to answer -- if he can answer on everything, then yeah, 12 that'd be great. 13 In response to the Sunshine Bridge incident? MR. REISMAN: 14 Yes. Yes, please. Yes. Thank you. MR. KUCHARSKI: 15 THE WITNESS: Yeah, the changes have kind of been twofold. 16 So I'd like to, I quess, respond in kind of two different 17 segments. One is improvements to the safety management system, 18 and the other is improvements in the behavior management of people 19 that are implementing safety management systems. 2.0 can we pull up Exhibit MR. REISMAN: Excuse me. LT 21 82 while he's talking? 22 THE WITNESS: Just, initially, we created a requirement for 23 masters to send in a full review of bridge clearances to make sure 24 the bridge clearances are being calculated. That's sent in to 25 port captains interviewed by the senior vice president of

operations. We changed the policy to require the long voyage form to be used for all crane barge transits. Port captains provide specific checklists for review (indiscernible) each transit, just to provide consistency in evaluating those transits.

2.0

We incorporated practices as formal policies in the SMS. We launched a "heels on steel blitz." That's a name that we used internally to talk about an increased focus of having people actually attend each boat and make sure that we emphasize the proper use of voyage planning, proper use of stop work authority, and proper use of cargo dimensions, you know, types of barges that we take.

We issued a safety alert on October 24th emphasizing stop work authority, because we feel that was the one policy that, certainly it if had been fully implemented, could have stopped this accident. We redistributed navigation policies and procedures, and had an increased emphasis on acknowledgement and review of the policies and procedures for safe navigation.

The CEO sent a letter to all employees emphasizing the need for focus on safe execution of the business, making sure that everybody, every watch, every day is really focused on using stop work authority, planning safe evolutions, making sure that we fully implement the safety management system.

We worked on changing contract standards for those -- what we call MSAs, master service agreements. Worked on changing and reevaluating those to make sure that the scopes of works are well

defined for those boats that are on charter with third parties.

2.0

And we changed the process for onboarding new wheelmen, just to make sure we have a more detailed navigational assessment at the time of hire to improve that process and make sure we get fully qualified people in the wheelhouse.

We developed and implemented a wheelhouse policy exam to test competency of the SMS. That's part of our online e-learning system that's been rolled out quite recently.

And we have a -- and we're currently working on a complete revision of the safety management system. One of the observations that we had even prior to the incident was that, like a lot of safety management systems, it had grown and grown and grown and been added to and added to and added to, and it had gotten quite large in volume. So we took -- undertook the process of revising and integrating the system, trying to make it a more manageable size for the individuals. It's currently somewhere less than 900 pages, if you look at all the documents and all the books. That's an awful lot of, that's an awful lot of pages for people to keep track of. We think we can get it down to under 300 pages. We've successfully done that up in the River Division, so that's what our current goal is.

And we've restructured the vessel audit process. We've kind of borrowed from the Coast Guard's best practices. And actually, we're starting to risk rank our vessels for auditing purposes. So we have a matrix that we're working on to try to come up with a

- 1 | risk evaluation of each individual vessel based on their
- 2 | operational experience, based on their incident reporting, based
- 3 on their proactive reporting of near misses, et cetera. From
- 4 that, we come up with a risk ranking and we can better focus our
- 5 resources for auditing on those vessels that score the worst.
- 6 MR. REISMAN: Could I just -- I think, I think he's covering
- 7 the highlights, but obviously Exhibit 82 provides a more complete
- 8 listing, along with the testimony we received from Captain Mabile
- 9 earlier today.
- 10 CDR MESKUN: If I could just jump in real quick. That
- 11 document in front of you that you were reading from, is that the
- 12 same as --
- 13 THE WITNESS: It's the same document, yes.
- 14 CDR MESKUN: -- Exhibit 82?
- 15 THE WITNESS: Yes, it's the same document.
- 16 CDR MESKUN: Okay. Thank you for that.
- 17 BY MR. KUCHARSKI:
- 18 \mathbb{Q} . I have a question about -- on page 3, the last bullet which
- 19 says, "change the process for onboarding new wheelmen to require
- 20 more detailed navigational assessment." Would you look at that
- 21 and elaborate a little bit on that, please?
- 22 A. Yeah, we took a look at the navigational assessment model
- 23 that we were currently using, and we revised the form that is used
- 24 and revised the process to include some additional items.
- 25 Q. So let me ask you. I believe I've asked this question of

- 1 Captain Mabile, and he wasn't sure. The navigational assessment,
- 2 besides the form being in the -- in your VOP -- or actually, it's
- 3 | in a separate -- it's not in the VOP. Is there somewhere in the
- 4 safety management system where it talks about that process, the
- 5 frequency and -- could you tell us what -- where that section is?
- 6 A. It's a standalone form. We use it as a tool to evaluate, you
- 7 know, wheelmen.
- 8 Q. Okay. And the same thing with the -- the check rides are
- 9 still being used?
- 10 A. Yes. And once again, it's a standalone process.
- 11 Q. Yeah, is -- that's a standalone also. There's nothing in the
- 12 safety management system which says these are the check rides,
- 13 this is when they'll be done, or anything -- you know, if they
- 14 shift fleets or anything like that or, you know, any more
- 15 | information? Is there any information in safety management
- 16 system?
- 17 A. I'm not aware of anything else, no.
- 18 Q. Okay. Okay, thanks. I just -- that's what I was asking this
- 19 morning, if it was, you know, in writing somewhere besides just
- 20 the form. So overall, the safety management system, would you say
- 21 | that the International Safety Management Code is used for quidance
- 22 on what to include in the safety management system?
- 23 A. Yes. Since we chose the more rigorous route within
- 24 | Subchapter M, becoming ISM compliant with our safety management
- 25 system, yes, we would have to use the safety -- the ISM Code. And

- 1 | we certainly had a third party that we've chosen, ABS, to verify
- 2 that for us.
- 3 Q. Are near misses incident/accidents, the recordation of them,
- 4 | corrective action part of the safety management system or are they
- 5 part of the safety management code?
- 6 A. There certainly is a requirement to be able to report
- 7 | hazardous conditions under the ISM Code, so yes.
- 8 Q. And does Marquette have a way to capture those in its safety
- 9 management system?
- 10 A. Yes, we have incident reports and we have near miss reports.
- 11 Q. And is that system, where these -- the incidents and
- 12 accidents or near misses, are they available for the fleet to look
- 13 at them?
- 14 A. We provide summaries of them to the fleet. We don't provide
- 15 | every one. The volume would be too big to get any reasonable gain
- 16 from providing the fleet with every single one of them.
- 17 Q. Okay, so it's not all of them. Is that the answer? They
- 18 can't see all of the near misses that are recorded on the system
- 19 there?
- 20 A. No, we provide a summary of the most important ones.
- 21 Q. Okay. And you're familiar with the Taylor Marie incident?
- 22 A. I've read the 2692.
- 23 Q. Okay. Do you have any idea if that was captured in the
- 24 system, either as a near miss or -- subsequent to the initial
- 25 2692? We had the captain say this morning that, you know, it did

- 1 occur, okay. So was that captured anywhere as an accident or a
- 2 | near miss in the system?
- 3 A. I don't know.
- 4 MR. KUCHARSKI: I'm going to shift out of lessons learned,
- 5 okay? Do you have any questions to ask about lessons learned?
- 6 CDR MESKUN: Sorry, no. I do have some questions from the
- 7 | initial part of the conversation there, though.
- 8 BY CDR MESKUN:
- 9 Q. I believe you indicated that you do attend an occasional AWO
- 10 meeting?
- 11 A. Yes.
- 12 Q. Is that -- does that mean that -- is Marquette a member of
- 13 AWO?
- 14 A. Yes. We're a member of the AWO.
- 15 Q. Okay. Thank you. And then Mike previously -- Mr. Kucharski
- 16 previously asked you if you had had any ISO training or whatnot.
- 17 A similar type of question: is Marquette ISO certified as a
- 18 company?
- 19 A. No, we're not ISO certified. We do incorporate aspects of
- 20 ISO 9000 and 14000 into our system, but we're not certified within
- 21 that.
- 22 Q. Okay, thank you for that. And can you just briefly describe
- 23 what sort of working relationship you, from your position, have
- 24 | with port captains?
- 25 A. Yeah, port captains -- I work with them on almost a daily

- 1 basis. Anytime they need guidance on interpretation of the SMS,
- 2 | enforcement of SMS, if they're preparing for a SIRE inspection,
- 3 some kind of third-party inspection, they would contact me. So I
- 4 have daily communications with the port captains. And I try to
- 5 attend as many of the vessel management team meetings as I can.
- 6 can't say that I meet -- I attend 100% of them, but I attend
- 7 probably 20 or 30%.
- 8 Q. Can you just describe who comprises those meetings? Like,
- 9 those --
- 10 A. Sure. The company is organized into vessel management teams.
- 11 | So there's approximately five managers into each team. The port
- 12 captain is at the head of that particular management team, and
- 13 they're assigned up to 10 to 12 vessels to manage. So each one of
- 14 | those vessel management teams has a weekly meeting just to kind of
- 15 go over incidences, near misses, budgets, kind of a complete array
- of operational, you know, topics. And I try to attend as many of
- 17 | those as I can.
- 18 Q. And do those meetings include, like, captains from the boats
- 19 or other crew members?
- 20 A. No, that's just the shore-based management teams. We do have
- 21 a navigation committee where we include six captains that work
- 22 actively on the vessel. I kind of lead up that committee, and we
- 23 meet once every 2 weeks. They call in by conference call. And
- 24 we'll get, you know, feedback and input from operational captains
- 25 into our day-to-day operations in the office.

- 1 CDR MESKUN: Thank you. No more questions.
- 2 Mike?
- 3 BY MR. KUCHARSKI:
- 4 Q. So, Mr. Crutcher, the safety management system, does
- 5 | compliance or the mission statement of the system, of Marquette's
- 6 system, does it include ensuring that shoreside -- or do shoreside
- 7 personnel ensure that your vessel masters and that those on
- 8 board the vessel comply with the safety management system?
- 9 A. Yes, sir. It's a requirement of Subchapter M and also of our
- 10 safety management system.
- 11 Q. Now how do you ensure compliance?
- 12 A. The way compliance is ensured is we have an auditing program.
- 13 We do internal audits. So as part of the ISM, you know, auditing
- 14 process, each vessel gets an internal audit at least one time per
- 15 | year. Additionally, we voluntarily agree to third-party auditing
- 16 through the oil companies and national marine forums' SIRE
- 17 | inspection process. So we have SIREs done on a good percentage of
- 18 the fleet, you know, on a voluntary basis. We take those
- 19 | inspections, those audits, and we turn observations into
- 20 corrective actions. And then corrective actions are in turn, you
- 21 know, given to various departments to fulfill.
- 22 Q. So the audit process, okay, is that just of -- I'm just
- 23 talking about the safety management system, okay? Is that just a
- 24 | shoreside process? Do you have a document of compliance or no?
- 25 A. Yeah, we maintain a document of compliance. And as we get

- 1 our vessels certificate of inspections, they're issued SMCs. It's
- 2 | voluntary, not mandatory, but it's voluntary compliance.
- 3 Q. Okay, and the SMC is what?
- 4 A. The safety management certificate.
- 5 Q. So that's voluntary. Does an external auditor go on board to
- 6 audit the vessels themselves?
- 7 A. Correct. External auditors come on board to audit the
- 8 vessels. We selected as our TPO under the Subchapter M guidance
- 9 the American Bureau of Shipping. So that's the third party that
- 10 attends our vessels.
- 11 Q. So the third party, is that ABS that goes on board the
- 12 vessels?
- 13 A. Yes. Yes, sir.
- 14 Q. Are you aware of any ABS audit of the Kristin Alexis?
- 15 A. I'm not aware of an audit of the Kristin Alexis by ABS, no.
- 16 Q. And is it -- I think under the safety management code they
- 17 | call it sampling, correct?
- 18 A. Yeah.
- 19 Q. In that sampling process, is it every vessel they will check,
- 20 say, ABS, you know, to go aboard and see if there is compliance or
- 21 do they sample? Do they take a few vessels?
- 22 A. Well, for the purpose of the SMC, it's every single vessel.
- 23 So for a document of compliance, when they come to do the office
- 24 audit, then they'll do sampling.
- 25 Q. So when did that process actually start? I guess what I'm --

- 1 I'm not trying to hide the ball here. What I'm trying to
- 2 | understand is, was the Kristin Alexis part of that process prior
- 3 to the accident, where it should have been audited and had a
- 4 | safety management certificate?
- 5 A. The Kristin Alexis wasn't in the gueue to get a certificate
- 6 of inspection from the Coast Guard in the first year. So she was
- 7 | not inspected by the American Bureau of Shipping. She did receive
- 8 an internal audit by us.
- 9 Q. Okay, and I'm -- the safety management certificate is from
- 10 the Coast Guard and doesn't come from ABS?
- 11 A. No, it comes from ABS, but we have to have a safety
- 12 management certificate and a Subchapter M survey from the ABS to
- 13 submit to the Coast Guard to receive our COI. It's
- 14 (indiscernible) --
- 15 Q. Okay. So they do it as a third party on behalf of the Coast
- 16 Guard, essentially?
- 17 A. Yes, sir.
- 18 Q. Okay. Okay. And the -- so I understand the Kristin Alexis
- 19 was not, prior to the accident, was not up -- did not have that
- 20 certificate yet so they had not had the audit?
- 21 A. The requirement to begin to attain COIs started 3 months
- 22 | before the incident. And there's a 4-year -- a phase-in period,
- 23 and you're required to get 25% of your fleet over the 4 years.
- 24 25% each year over the 4 years.
- 25 Q. Got it. So it was in the queue somewhere in that 4 years but

- 1 | it just hadn't --
- 2 A. Yes, sir. That's correct.
- 3 Q. Okay, okay. Sounds reasonable. Okay. Can you tell us what
- 4 your input is for corrective action? So, you know, if an incident
- 5 | happens, do you get together as a committee then and you suggest
- 6 or -- how does that process work?
- 7 A. It somewhat depends on the source of the corrective action
- 8 request. So it starts as a corrective action request, and that
- 9 could be brought up as part of an investigation, could be brought
- 10 up as part of an audit; it could be brought up as part of a vessel
- 11 visit. So a corrective action request could spawn from different
- 12 areas. Kind of depending on what the nature of the corrective
- 13 action is would depend on who is going to look at it to determine
- 14 the corrective action. So if it looked like it was a process
- 15 problem within the safety management system, I would probably be
- 16 | -- I would be heavily involved in that. If it was a material
- 17 defect, a material fix, it would probably go to the engineering
- 18 department.
- 19 Q. But ultimately, then, all the corrective action requests
- 20 | would come through you or --
- 21 A. Yeah. Yeah, correct. All the corrective actions would be
- 22 monitored and processed through my department.
- 23 Q. Can you propose a corrective action without it coming from --
- 24 let's say that one of the boats you saw, you saw a report of a
- 25 near miss. Can you request a corrective action for that without

- 1 having to see it come from the port captain of the boat?
- 2 A. Yes.
- 3 Q. Can you confirm to us when Mr. Picquet came back to work for
- 4 Marquette in 2018? Can you tell us when that date happened?
- 5 A. I have seen it. I don't remember it. It was in September of
- 6 2018. I don't know the exact date.
- 7 MR. KUCHARSKI: And Counselor, correct me if I'm wrong. It
- 8 was about 3 weeks before the incident. Can we --
- 9 MR. REISMAN: Give or take.
- 10 MR. KUCHARSKI: Give or take about 3 weeks before. Okay.
- 11 BY MR. KUCHARSKI:
- 12 Q. Please look at Exhibit 101. And when you're ready to go, you
- 13 know, after you've looked it over, tell me when you're ready.
- 14 A. I'm ready.
- 15 Q. So when is this -- when does an employee like -- well, let's
- 16 look at this form here. Do you have any idea -- all these
- 17 | references here, policy numbers, do you know how many pages? You
- 18 know, you talked about how big your safety management system -- do
- 19 you have any idea how many pages that is, all those policies on
- 20 | two whole pages here?
- 21 A. I don't have an exact number, no.
- 22 Q. It's quite a few, yes?
- 23 A. Yes.
- 24 Q. Okay. Okay. And tell us -- the date on this is 9/7/18.
- 25 That's about a month before the accident or so. Okay. So tell us

about the process. When this person reviews all these, do they come into the office for a certain amount of days? Are they given this before and they go through all this before? Mr. Picquet had to stand a 12-hour watch. How does that process work? Do they come into the office, do they get these beforehand so they can review them and ask questions, or they -- how does that work?

A. Right. He would come into the office prior to being assigned to a vessel and he would be made aware of the access to the safety management system. And then he's provided this form, you know, to look at. That emphasizes the particular policies and procedures that we think are the most important for the safety management of the navigation of the vessel.

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large. It's got quite a few what I would call nontraditional procedures within it. That's one of the reasons we're currently, you know, starting to focus it down a little bit. Originally it wasn't written to be purely compliant with ISM Code and Subchapter M. It was a management system for pretty much the whole business. And it's evolved over 10, 12 years. So now we're trying to focus it more on the compliance with Subchapter M, with the ISM Code and with a system that can be better used by vessel, you know, crew for the safe navigation of the vessel.

Like we said, the safety management system has gotten quite

Q. Okay. So he comes into the office. He's made aware of these. How long is that office visit for? Is it a day, 10 days? How long is it?

- 1 A. It varies. It's not 10 days. It's usually 1 day.
- Q. One day?
- 3 A. Yes, sir.
- 4 Q. Okay. And you say he's made aware of these policies. Does
- 5 he actually sit there and read through every one of these?
- 6 A. Not to my knowledge. I don't use this form with them when
- 7 they come in. So they're provided an abstract of the policies to
- 8 look at to make them aware of their existence prior to them going
- 9 out to the vessels.
- 10 MR. REISMAN: I think you might be able to get more
- 11 information from one of the later witnesses on this issue.
- 12 MR. KUCHARSKI: Okay.
- MR. REISMAN: I don't think Mr. Crutcher is involved in that
- 14 (indiscernible).
- MR. KUCHARSKI: Okay. I want to be clear why I'm asking
- 16 this, okay? It seems like a lot of pages. I don't know if this
- 17 form -- we don't have to go down exculpatory clauses or whatever.
- 18 You know, you know what I'm looking at, looking at a lot of pages.
- 19 Is this -- where in this process -- I need to understand where he
- 20 signs off on, you know -- we've had testimony. Did you sign this
- 21 | form? And I need to know -- and maybe when we take break you can
- 22 | tell me exactly -- tell us exactly when he reported to work for
- 23 Marquette on this time back. And then he's signing this form. So
- 24 then, you know, I want to find out, okay, in this 12-hour process
- 25 on the boat -- he's on 12 and 12, does he read this in his spare

- 1 | time? How does this all -- this work? That's what I want to
- 2 understand, the pieces of this puzzle.
- 3 BY MR. KUCHARSKI:
- 4 Q. The Exhibit 28, please. And these are just some general
- 5 | questions because I think Captain Mabile did a great job this
- 6 morning at explaining more about this. But again, is -- I just
- 7 | want to be clear. Is this -- sorry. Have you seen this form?
- 8 A. I've seen it before, yes.
- 9 Q. Okay. Do you know if that process is anywhere -- and I
- 10 apologize if I've asked this. But is that whole process -- you
- 11 know, how to do this, how to complete these, is there any process
- 12 | that's kept in the safety management system besides just where
- 13 this form is located?
- 14 A. Yeah, it's a standalone form.
- 15 Q. I'm sorry?
- 16 A. It's a standalone form.
- 17 Q. It's a standalone form. Okay. And okay, the frequency, I
- 18 | believe -- is that a year? Do you know what the frequency is?
- 19 A. It can be -- it pretty much is 1 year. It can be used more
- 20 often than that as a corrective action if necessary. So
- 21 oftentimes if we identify an individual who we have some concerns
- 22 about, we could use that as a corrective action. So it's one more
- 23 tool in the toolbox of a port captain.
- 24 Q. And is -- are you familiar with train the trainer in -- yeah,
- 25 in safety management -- STCW? Okay. So the person that does the

- 1 assessment, okay, is it always someone with commensurate
- 2 experience or training, that has had training, to be able to
- 3 assess them?
- 4 A. Yes. We only use captains to do the assessments.
- 5 Q. And there are many, many items on this form. I just want to
- 6 be clear that they have performed all those actions that are
- 7 required for them to evaluate on that form?
- 8 A. To the best of my knowledge, a licensed crews captain, yes,
- 9 would have done all those things. Yes.
- 10 Q. And I believe you said that the check ride process is still
- 11 | in place?
- 12 A. We still check ride, yes.
- 13 Q. And I believe you said that that's -- is that a standalone --
- 14 sorry.
- 15 MR. REISMAN: I think you might want to clarify the question
- 16 about the check ride.
- 17 THE WITNESS: Yeah, if I could clarify. The check ride
- 18 process is still in place, meaning that we do a check ride with
- 19 new hires. But we use a skills assessment form. We use a
- 20 different form now. So it's not the old check ride form. So we
- 21 still have the same process in place. We use a different
- 22 evaluation method.
- 23 BY MR. KUCHARSKI:
- 24 Q. So the evaluation method is this form here?
- 25 A. Yes, sir.

- 1 Q. Okay. So that check ride that all --
- 2 A. Yes.
- 3 Q. Do we need to pull that form up? Do you know what I'm
- 4 | talking about?
- 5 A. I know what you're talking about.
- 6 Q. Okay. So that particular form is no longer being used.
- 7 A. Yes, sir.
- 8 Q. Okay. And so is that check ride process -- if a person
- 9 changes their area of operation that was on the other form -- you
- 10 know, they change areas of operation. Suppose they go from the
- 11 river or line haul or line or intracoastal or fleeting work. Does
- 12 that assessment then carry over? Do they undergo another
- 13 assessment?
- 14 A. It would depend on the individual's work history and
- 15 experience. If we could, you know, ascertain that the person had
- 16 significant experience in that particular area, they probably
- 17 | would not receive another check ride.
- 18 Q. I won't pull up the exhibits unless we would like to look at
- 19 them. But I can ask, for the *Taylor Marie* allision, you were port
- 20 captain at --
- 21 A. I was at the River Division at that time up in --
- 22 Q. I'm sorry?
- 23 A. I was at the River Division in Paducah, Kentucky at that
- 24 time.
- 25 Q. Oh, so that's not -- that's a different division?

- 1 MR. REISMAN: He was not employed by Marquette Transportation
- 2 | Company Gulf-Inland at that time. It was with another related
- 3 entity.
- 4 BY MR. KUCHARSKI:
- 5 Q. Okay, so you have no -- you didn't -- don't have any direct
- 6 knowledge of that accident?
- 7 A. No, sir.
- 8 Q. After the accident, are you aware of any prophylaxia for --
- 9 related to that allision?
- 10 A. For the Taylor Marie allision?
- 11 Q. I'm sorry. Yeah. Yeah. You are?
- 12 A. Like I said, I'm not aware of -- I was not employed at that
- 13 time at this division, so --
- 14 Q. But in your role as compliance, have you seen anything -- did
- 15 you see anything change as a result of that accident?
- 16 A. Of that accident alone? I know that there's been -- you
- 17 know, Harvey worked very hard to reach out to the individuals that
- 18 work in that fleet to make sure they were aware of that particular
- 19 hazard. And also he's had safety meetings and brought up that
- 20 | with safety meetings.
- 21 Q. Do you know right now, if I were to ask to look at your near
- 22 misses or your accidents, are either the Taylor Marie or the Mr.
- 23 Ervin, are they listed as near misses or accidents, in this case
- 24 | -- they're accidents, I would suppose. Are they listed in your
- 25 safety management system where vessel personnel can look at that

- 1 at all?
- 2 A. I can speak to one of the two. I can speak to the Kristin
- 3 | Alexis, and yes, there is a -- you know, there was an incident
- 4 report generated for that incident. Once again, we don't make the
- 5 incident reports available to the whole fleet just because of the
- 6 volume of the incident reports. Now we do write lessons learned,
- 7 and one of the corrective actions from the investigation of that
- 8 incident would certainly be making the fleet, you know, familiar
- 9 with the specifics of the incident.
- 10 Q. Okay. So I guess I'm not quite clear on maybe -- my
- 11 attention was taken away. So the Taylor Marie and the Kristin
- 12 Alexis incidents, they are or they are not in the system?
- 13 A. The accidents are in the system. It's not available in a
- 14 report form to every ship employee.
- 15 Q. So the near misses and the accidents, is that more -- the
- 16 system, is that more for internal, for shore side use than it is
- 17 | for the fleet to look at?
- 18 A. We use them for the beginning of investigations. Part of the
- 19 investigation process would be developing corrective actions. And
- 20 one of the corrective actions would be certainly promulgating
- 21 lessons learned and specifics of those incidences out to the
- 22 | fleet. They wouldn't have access to the actual incident reports
- 23 because there's information on there that we just couldn't share
- 24 with everybody.
- 25 Q. But I quess the -- so let's look at other accidents that are

- 1 | listed on your safety management system, the incidents or near
- 2 misses. I mean, is there a spot where a crew member, somebody can
- 3 look at that and say, here was the corrective action, so they can
- 4 see what the corrective action was for a particular incident? So
- 5 | for them to look at that and learn from it? Is there a -- hate to
- 6 say "learn from it," but can they look at that?
- 7 A. We don't share the completed incident forms necessarily with
- 8 the crew, like I said, because there is information on there that
- 9 would be sensitive. We do provide summaries of the incidences in
- 10 fleet notices, and that would include the corrective actions that
- 11 were taken, so --
- MR. KUCHARSKI: Would we -- could we pull up Exhibit 100.
- This is just broad blanket question. It's VOP 5.1. Can we
- 14 obtain a -- you don't even have to look. Can we obtain a pre-
- 15 accident copy of that document?
- 16 MR. REISMAN: Yes.
- 17 MR. KUCHARSKI: Thank you.
- 18 BY MR. KUCHARSKI:
- 19 Q. And would you please look at Exhibit 107. And this is like a
- 20 list the document has, the changes -- yeah. All the changes that
- 21 I can see. Section 2.18, operations and safety meeting. Is this
- 22 | internal? It's on page 1.
- 23 A. 2.18 would be the shore operations procedures. So that would
- 24 be procedures --
- 25 Q. 2.18. Operations and safety meeting, my copy says.

- 1 A. Yeah, the --
- 2 Q. I guess what I'm asking is -- it says Table of Contents, and
- 3 it was last revised November 2, 2018. How would I know the
- 4 specific revisions looking at this?
- 5 A. The revisions are located on each individual policy.
- 6 Q. I'm sorry?
- 7 A. The revision's located on each individual policy.
- 8 Q. So I would have to go to each individual page for that?
- 9 A. Yes, sir.
- 10 Q. Okay. So SOP. What does that stand for?
- 11 A. Shore operations procedures.
- MR. KUCHARSKI: Could we see specific copies of those to see
- 13 what it actually says?
- MR. REISMAN: We don't have that available.
- 15 MR. KUCHARSKI: No, I -- understood. But section --
- 16 MR. REISMAN: They have not been requested to date, to my
- 17 knowledge.
- 18 MR. KUCHARSKI: Sure. Sure. But as we've seen the vessel
- 19 operating, but maybe it'd be helpful to see how shore side
- 20 operates to see -- you'll agree that the whole safety management
- 21 system includes both shore and vessel.
- MR. REISMAN: Um-hum.
- MR. KUCHARSKI: Yeah, so -- okay. I don't have any further
- 24 questions at the moment, Commander.
- 25 CDR MESKUN: Thank you. I appreciate that. I do have a

- 1 couple of questions.
- 2 BY CDR MESKUN:
- 3 Q. Few minutes ago, we were just talking about lessons learned
- 4 or near misses, and you sent out summaries to the fleet, right, to
- 5 your boats? Is that where it goes?
- 6 A. Yes, that's correct.
- 7 Q. Okay. I guess, what shape or form do those summaries come
- 8 in, and how frequently do they go out to the fleet? Is it just,
- 9 like, a paragraph in the middle of --
- 10 A. It's a weekly summary that goes out. It's sent out by email.
- And then the vessels are required to, you know, review it and then
- 12 | acknowledge that they have reviewed it.
- 13 Q. Does that ever include things like a list of, like, the top
- 14 | 10 incidents or the top 10 deficiencies or the top 10 whatever the
- 15 situation is, to draw their attention to where they might want to,
- 16 like, focus their attention most?
- 17 A. Yeah, whenever we're looking through the various near misses,
- 18 we try to establish trending and try to point out that trending to
- 19 them. So yes.
- 20 Q. Are you at all familiar with Marquette's contractual
- 21 agreements with Cooper Consolidated?
- 22 A. No, I'm not.
- 23 Q. Okay. Thank you. Understanding that you're not aware of the
- 24 details of that contract -- because it's, in my opinion, related
- 25 to the safety management system, I'll just ask. And if you don't

- 1 know the answer, that's fine. Do you know if Cooper's rules and
- 2 | regulations were implemented on Marquette towing vessels?
- 3 A. It's some policy procedures in terms of some fleeting
- 4 operations that are used on Marquette vessels. So there are some
- 5 procedures that are implemented.
- 6 Q. Are you familiar with what TSAC is, the Towing Safety
- 7 Advisory Committee?
- 8 A. Yes, I am.
- 9 Q. Had you ever seen or heard of a report that they did
- 10 providing recommendations back in 2013 about overhead strikes?
- 11 A. I'm familiar with it, yes.
- 12 Q. Do you know -- and this is a broad question. I don't know if
- 13 you might be able to answer it at all. But do you know if those
- 14 recommendations that were created somehow worked their way into
- 15 the safety management system for Marquette?
- 16 A. That'd be well before my tenure, so I really couldn't speak
- 17 to that.
- 18 Q. Okay. And how about -- are you familiar with a 2014 safety
- 19 | alert that the Coast Guard released regarding overhead clearances?
- 20 A. I'm familiar with it, yes.
- 21 Q. Okay. And again, vague or broad question, but do you know if
- 22 any of that somehow worked its way into the safety management
- 23 system?
- 24 A. Once again, this is well before my tenure, so I don't --
- 25 Q. Okay. No, thank you. I appreciate that.

- 1 CDR MESKUN: Mr. any questions?
- 2 MR. I do have one.
- 3 BY MR.
- 4 Q. Regarding Coast Guard safety alerts and MSIBs, Marine Safety
- 5 Information Bulletins, would you be the one at the company to get
- 6 those as the compliance person? Who gets them at Marquette?
- 7 A. Yeah, myself and also the director of safety. So there's two
- 8 of us, and we would both get those alerts. And the majority of
- 9 | the port captains also get the alerts. They're on the, you know,
- 10 | they're on the emails.
- 11 Q. Okay. Is it in anybody's responsibilities and duties to --
- 12 or does anybody have that designated as their responsibility and
- 13 duty to disseminate that down all the way to the boats?
- 14 A. Yeah, that would be my duty, and also the director of
- 15 | safety's duty. It's in our job descriptions. Our job is to take
- 16 a look at them, see which ones are applicable to our vessels and
- 17 | our scope of operations. You know, there's an awful lot of alerts
- 18 that go out, and we have to make sure that we get the right ones
- 19 to the right people.
- 20 Q. Okay. Could you describe how, say, if it would go from you
- 21 to the Kristin Alexis?
- 22 A. It would go from me to Joan, who is our document controller.
- 23 And she would send out an email to all the vessels with an
- 24 acknowledgement request. By email.
- 25 Q. Is there any instructions on board the vessels for what they

- 1 have to do with them?
- 2 A. Yeah. Part of that email -- it's attached to the email, and
- 3 within the body of the email it instructs them to review it with
- 4 | their crew and acknowledge they've done so and send it back to us.
- 5 Send the acknowledgement back to us.
- 6 MR. Okay. That's what I was looking for. Thank
- 7 you.
- 8 CDR MESKUN: Mr. Kucharski?
- 9 MR. KUCHARSKI: Just a couple short ones.
- 10 BY MR. KUCHARSKI:
- 11 Q. So the NVICs -- your department, okay, will look at the NVICs
- 12 or check all the new NVICs? That's your responsibility?
- 13 A. Yes, sir.
- 14 Q. Okay, great. And you said you're familiar with the TSAC -- I
- 15 think it's Exhibit 68, the recommendations. Is Marquette a member
- 16 of TSAC?
- 17 A. Yes, we are. We have a member that contributes to TSAC.
- 18 Q. But that's a current member. Were they a member of TSAC back
- 19 when this report came out; do you know?
- 20 A. I don't know.
- MR. KUCHARSKI: I think we'll ask somebody else if -- we'll
- 22 ask. Yeah. Okay. I see the head shaking, but I just, you know,
- 23 need to understand that. Okay. Thank you.
- 24 CDR MESKUN: Mr. Jenkins?
- 25 MR. JENKINS: I'm good.

- 1 CDR MESKUN: Thank you. Mr. Reisman?
- 2 BY MR. REISMAN:
- 3 Q. Mr. Crutcher, there were some questions -- excuse me. There
- 4 were some questions earlier regarding certificates of inspection
- 5 and the safety management certificates.
- 6 A. Yes, sir.
- 7 Q. Have any of Marquette's fleet boats received certificates of
- 8 inspection?
- 9 A. Yeah, we have three vessels currently working in the fleet
- 10 | that have received COIs.
- 11 Q. Okay. And are any of those vessels within Captain Harvey
- 12 Mabile's vessel management team?
- 13 A. Yes, two of those vessels are.
- 14 Q. And so they have received a certificate of inspection?
- 15 A. Yes, they have.
- 16 Q. Did they also receive a safety management certificate from
- 17 | the ABS?
- 18 A. Right. As part of receiving the certificate of inspection
- 19 from the Coast Guard, they would have had to receive a safety
- 20 management certificate from the ABS. So yes.
- 21 Q. Have any of Marquette's boats, to your knowledge, been
- 22 | submitted for certificates of inspection and been rejected?
- 23 A. No vessels have been rejected. No.
- 24 Q. Are you familiar with work in the Intracoastal Waterway
- 25 involving pushing of six-packs?

- 1 A. Yes, I am.
- 2 Q. What does that involve?
- 3 A. It involves pushing six barges in relatively narrow
- 4 | waterways. They do bridge transits. They do inlet transits.
- 5 They do in and out of various harbors.
- 6 Q. Is that work generally considered more difficult than fleet
- 7 | work?
- 8 A. Yes, it is.
- 9 Q. Can you tell us in general about the safety meeting
- 10 requirements at Marquette for -- particularly for boats in Harvey
- 11 Mabile's vessel management team?
- 12 A. Sure. Safety meeting requirements, we have a calendar that
- 13 prescribes safety meetings every Sunday. There's a rotational
- 14 basis of them reviewing what we consider to be the most important
- 15 | safety and, you know, safety management policies and procedures.
- 16 They also have a drill element that goes with that.
- 17 Q. Do you know whether stop work responsibility is discussed in
- 18 the course of any of Marquette's safety meetings?
- 19 A. Stop work responsibility is supposed to be discussed at every
- 20 stop -- at every safety meeting.
- 21 Q. Is stop work responsibility also supposed to be discussed at
- 22 every watch change?
- 23 A. Yes. That's part of the watch change turnover, is that
- 24 | there's a discussion of the stop work responsibilities.
- 25 Q. There's been some discussion throughout the hearing this week

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- 1 | about a laminated stop work responsibility card. Are you familiar
- 2 | with that?
- 3 A. Yes, I am. Every employee gets a stop work responsibility
- 4 card when they're hired.
- 5 Q. Okay, and what does the card say?
- 6 A. The card says --
- 7 Q. In general.
- 8 A. The card says it's the responsibility of each individual to
- 9 exercise stop work if they see an unsafe condition.
- 10 Q. Did Marquette have policies in place on October 11th and
- 11 | 12th, 2018 that were intended to prevent an accident like the
- 12 | Sunshine Bridge allision?
- 13 A. Yeah, absolutely. There were several policies and procedures
- 14 in place, and if they'd been fully implemented, probably would
- 15 | have averted the allision. Stop work is probably the most notable
- 16 one, but voyage planning and bridge transit.
- 17 Q. You used the word "implemented" earlier, and then you just
- 18 used it again. I just want to make sure I'm clear. The policy
- 19 itself was fully in force and in existence, correct?
- 20 A. Right. I should say implemented by the officers in charge of
- 21 that vessel.
- 22 Q. And so that leads, actually, to my next question. Did
- 23 | Captain Smith violate the policies that Marquette had in place
- 24 | that were designed to prevent the Sunshine Bridge allision?
- 25 A. Yeah. By not using them, yes, he violated them.

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- 1 Q. What about Captain Picquet?
- 2 A. Picquet did as well. Captain Picquet.
- 3 Q. Is there anybody within the company whose primary
- 4 responsibility it is to ensure that Marquette's vessels are
- 5 | complying with the safety management system?
- 6 A. I mean, ultimately, that would be my responsibility as
- 7 director of compliance. On a vessel-to-vessel and vessel
- 8 management team level, we have the VSQEs. And written within
- 9 their job description is ensuring that their vessels are in
- 10 compliance and maintain the use of the safety management systems.
- 11 Q. So they're the frontline individuals who are intended to go
- 12 | out and actually ensure compliance?
- 13 A. That's correct. They're the interface between, you know, the
- 14 vessels and the safety management system, if you want to think of
- 15 it that way.
- 16 Q. In addition to your own internal VQSE managers, are there any
- 17 | third-party auditors who come on board your vessels in an effort
- 18 to determine whether your vessels are in compliance?
- 19 A. Yeah, we have third-party auditors. Both the American Bureau
- 20 of Shipping, when we do SMC audits or COI inspections on an annual
- 21 basis, and then we also have third-party auditors that come
- 22 | through the SIRE system, which is the -- all the oil companies and
- 23 national marine forum system for auditing vessels.
- 24 Q. Do you know whether the Kristin Alexis had a SIRE audit
- 25 before the October 12, 2018 allision?

- 1 A. Yes, the Kristin Alexis had had a SIRE.
- 2 MR. REISMAN: Excuse me. LT if you could pull up
- 3 Exhibit 84 for us, please?
- 4 BY MR. REISMAN:
- 5 Q. If you can just glance at that, is that the SIRE audit that
- 6 was performed on the Kristin Alexis?
- 7 A. Yes, that would be the SIRE audit.
- 8 Q. What was the date of that audit?
- 9 A. 31 January 2018.
- 10 Q. Thank you. If you could just tell us in general terms what a
- 11 SIRE audit consists of?
- 12 A. SIRE audit consists of a series of inspections and
- 13 observations, and working with the vessel crew to make sure that
- 14 they meet the minimum requirements of the COI or of the flag state
- 15 | requirements, but also some of the best practices in industry.
- 16 Q. And you may have mentioned earlier, but I just want to make
- 17 | sure that I got it. How many of VQSE managers, full-time VQSE
- 18 managers, does Marquette employ?
- 19 A. One for each VMT. So five right now.
- 20 Q. Earlier, one of the exhibits you were shown was Exhibit 101,
- 21 | which was Captain Picquet's policy acknowledgement form.
- MR. REISMAN: Can we pull that up, Lieutenant? Exhibit 101?
- BY MR. REISMAN:
- Q. Can you tell us what the date on that was? That it was
- 25 signed?

- 1 A. Looks like September 7, 2018.
- 2 Q. And was it your understanding that Captain Picquet had come
- 3 out to work for the first time as a pilot on a Marquette vessel in
- 4 late September, around September 26, 2018?
- 5 A. It was my understanding he was a rehire. He had gone through
- 6 | our pilot training steersman program, so he was, you know,
- 7 familiar with Marquette and Marquette vessels. This was the first
- 8 time employed as a pilot.
- 9 Q. Okay. And his check ride, which -- if we could take a look
- 10 at that exhibit, 38? What's the date of the check ride?
- 11 A. Looks like the 13th of September 2018.
- 12 Q. So he had roughly a week from the time he signed the policy
- 13 acknowledgement form before he actually was on board a vessel.
- 14 A. Yes, sir.
- 15 Q. And during the check ride, he's not on his own running the
- 16 | vessel; he's running it under the supervision of another Marquette
- 17 | captain?
- 18 A. Yes, that's correct.
- 19 Q. You were shown a few moments ago a safety alert that was sent
- 20 out by the Coast Guard regarding air draft. LT Coppola's been
- 21 kind enough to put that on the board. You said you're generally
- 22 | familiar with this?
- 23 A. Yes, I am.
- 24 Q. Do you know whether the -- many of the recommendations that
- 25 appear within that alert were actually implemented into the

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- 1 Marquette safety management system?
- 2 A. Like I said, it was before my tenure. I don't have direct
- 3 knowledge of it being gapped and put into our system. I think
- 4 | intuitively we do, you know, several of the things. We do --
- 5 Q. Okay. Maybe it would have been a better way to ask it. So
- 6 Marquette's policies specifically include -- and when I say
- 7 policies, its safety management system specifically includes
- 8 policies that are designed to ensure knowledge and verification of
- 9 | air draft and vertical clearance of bridges, correct?
- 10 A. Yes, that's correct.
- 11 Q. So the information that the Coast Guard deemed to be
- 12 | critical, Marquette actually requires that in its policies?
- 13 A. Yes, that's correct.
- 14 Q. You were shown a 2692 earlier, which is a Coast Guard form
- 15 report of an incident, correct?
- 16 A. Yes.
- 17 Q. Marquette submits those and keeps those in their system,
- 18 | correct?
- 19 A. Yes, that's correct.
- 20 Q. So if somebody were to want to see that, a report on an
- 21 incident like the Gramercy incident, that's something that could
- 22 be made available to anybody who requested it?
- 23 A. Yes, it could be.
- Q. Within the company. Do you know whether any disciplinary
- 25 action was taken as a result of the Gramercy Bridge incident?

- 1 A. Yes. My understanding that the captain was -- employment was
- 2 | terminated because of the non-reporting of the incident initially.
- 3 Q. We saw a few moments ago Exhibit 84, which was the SIRE audit
- 4 that had been performed on the Kristin Alexis. In your
- 5 experience, are fleet boats typically subjected to SIRE audits?
- 6 A. Somewhat unusual. It's not done a great deal. So it is
- 7 somewhat unusual to see a SIRE on a fleet vessel.
- 8 Q. And you told us earlier that Marquette's safety management
- 9 system is based on the ISM Code, correct?
- 10 A. That's correct.
- 11 Q. And you made a reference -- I know there was some testimony
- 12 earlier in this hearing from another witness, but I'd like to just
- 13 | get your thoughts on it. You made a reference to that ISM Code
- 14 form of safety management system as being rigorous. You recall
- 15 | that?
- 16 A. Yes. Yes.
- 17 Q. Are there other options for -- excuse me -- for Subchapter M
- 18 | compliance other than an ISM Code-based safety management system?
- 19 A. Yes. Subchapter M provides different avenues for compliance
- 20 | with managing its safety systems. You can use a Coast Guard
- 21 option, where the Coast Guard basically goes to each individual
- 22 vessel and takes a look at the policy and procedures they have in
- 23 place to meet Subchapter M. You can do a non-ISM TSMS, which
- 24 would be a towing safety management system, and that would be a
- 25 safety management system that is audited for compliance with

- 1 | Subchapter M by a third party; American Bureau of Shipping, for
- 2 example. And then you can also have the ISM Code option, which is
- 3 the International Safety Management of Ships Code, which is an
- 4 | international code well-recognized around the world for ship
- 5 management. And we opted for the -- you know, for the highest
- 6 option.
- 7 Q. As a director of compliance, would your job be easier if you
- 8 had opted for one of the lesser forms of compliance?
- 9 A. Yes. The auditing frequency alone is, you know, quite a bit
- 10 more rigorous. So that in and of itself would be a lot easier if
- 11 we had picked a different route.
- MR. REISMAN: Thank you very much, Mr. Crutcher. That's all
- 13 I have for you.
- MR. KUCHARSKI: I have some follow-on questions. I love it
- 15 when you ask questions, because then it makes me think of more
- 16 questions to ask. But thank you.
- 17 BY MR. KUCHARSKI:
- 18 Q. So the SIRE inspections that we were talking about, have you
- 19 | ever done a SIRE inspection?
- 20 A. I'm not a SIRE inspector, so no, I have not.
- 21 Q. Have you ever been aboard a vessel for a SIRE inspection?
- 22 A. Hundreds. Yes.
- 23 Q. Are you aware if it's an underway inspection or if they're
- 24 looking at the vessel as it sits there at the dock? Do you know?
- 25 A. Yeah, the audit guidance has changed over the years, but it's

- 1 supposed to be a non-underway assessment for the tugs. When they
- 2 | assess barges, it has to be either loading or unloading. So it
- 3 depends on --
- 4 Q. Okay. So it's non-underway, you said; is that correct?
- 5 A. For the tugs. Yes, sir.
- 6 Q. So the 2692, this form that Mr. Reisman brings up, okay? And
- 7 | this process of near misses and incidents, so I'm seeing this
- 8 | clearly, okay? If not everything, all the incidents and near
- 9 misses, are on that system for an -- you say anybody can ask,
- 10 request to see this particular one, this 2692? Yeah. Okay. But
- 11 | I guess, if I'm on the boat and I'm not seeing the incident on
- 12 there, not everything's on there, how would I know to request
- 13 | something that's not on there, I guess?
- MR. REISMAN: Just for the record, I'd like to -- I think you
- 15 asked him that question four times earlier. He told you they
- 16 provide a weekly summary of the incidents that reports the
- 17 | information, describes the incidents, gives lessons learned,
- 18 corrective actions. He's testified at length about how that
- 19 information is made available.
- 20 MR. KUCHARSKI: Okay. So they get a list of all the
- 21 incidents; is that -- that's correct?
- MR. REISMAN: He said they provide a summary.
- MR. KUCHARSKI: A summary. Of all -- of everything?
- 24 MR. REISMAN: I think he described earlier that not every
- 25 | incident is relevant. They decide which ones they think are

- 1 | relevant to the crews and they provide that information.
- 2 MR. KUCHARSKI: Okay. Okay.
- 3 BY MR. KUCHARSKI:
- 4 Q. And the -- do you actually know -- there's been --
- 5 Mr. Reisman brings up these dates: 9/7/18 and the other dates on
- 6 particular forms and assessments. Do you actually know the date,
- 7 or can we get that, that Mr. Picquet physically went on board to
- 8 start his contract, start his work on board the Kristin Alexis?
- 9 Do we know that date?
- 10 A. I'm sure we could check the vessel's logs and come up with
- 11 | that date.
- 12 Q. Great. Great. Thank you. Oh no -- sorry, one more. The
- 13 safety meeting, you said there's something about posting every
- 14 | Sunday, the safety meeting schedule?
- 15 A. We have a safety meeting schedule and they do the safety
- 16 meetings every Sunday. Yes, sir.
- 17 Q. Okay, that schedule, you say, goes up every Sunday? Yes?
- 18 A. It's posted for a full year.
- 19 Q. And the safety meetings -- so I'm clear, do you have a weekly
- 20 | safety meeting that you're part of with a whole group of people?
- 21 | And who attends those safety meetings?
- 22 A. Are you talking about me personally or --
- 23 Q. Yes.
- 24 A. Okay. We have a daily operations meeting at 10 o'clock every
- 25 morning. And part of that operations meeting is certainly

- 1 discussions of safety issues and safety topics. So it's done
- 2 daily within the office. And that includes report-outs from each
- 3 one of the port captains as the head of the vessel management
- 4 teams.
- 5 Q. And then other -- is there another safety meeting you
- 6 personally attend with other higher-level?
- 7 A. We have a monthly safety review, yes. So that's done on a
- 8 monthly basis. And we have minutes from those, and we send out
- 9 summaries of those minutes to the fleet.
- 10 Q. And who attends those monthly meetings?
- 11 A. All the vessel management teams, all the vessel managers, all
- 12 | the -- and all the department heads.
- MR. KUCHARSKI: Okay. Thank you.
- 14 CDR MESKUN: Mr.



- 15 MR. No.
- 16 BY CDR MESKUN:
- 17 Q. Just have two questions, or two topics anyway. So on a boat,
- 18 life happens, right? Sometimes maybe there's a family emergency
- 19 and a pilot or a captain have to go home early before their normal
- 20 | hitch is complete, right? What is the process on how you would
- 21 backfill that vacancy?
- 22 A. You mean how we would get a relief for them if that were to
- 23 occur?
- 24 Q. Yes, exactly.
- 25 A. Yeah. You know, the port captains really, you know, do that,

- 1 so I'm not familiar with the process. It would have to be
- 2 somebody who was already employed with the company and qualified
- 3 for that vessel, but how the actual selection takes place would be
- 4 a question for port captains.
- 5 Q. Okay. Thank you. I appreciate that. And just as a point of
- 6 clarification from previous testimony, talking about certificates
- 7 of inspections for vessels, how many vessels have received their
- 8 COI already?
- 9 A. For our division, we currently have nine COIs in hand and we
- 10 have about eight more in process.
- 11 CDR MESKUN: Okay. Thank you for that. I appreciate it. I
- 12 have no further -- oh, Mr. Kucharski.
- 13 BY MR. KUCHARSKI:
- 14 Q. Yeah, this list of incidents or accidents that goes out to
- 15 | the fleet, the relevant ones that you feel are relevant, how often
- 16 does that go out?
- 17 A. Goes out on a weekly basis.
- 18 MR. KUCHARSKI: Could we see a copy of the weekly ones for
- 19 after the Taylor Marie and then the -- also after the Marie --
- 20 | sorry -- the Mr. Ervin? Could we see what that looks like? Okay,
- 21 thank you.
- 22 CDR MESKUN: Thank you for your testimony. You are now
- 23 released as a witness from this formal marine casualty
- 24 investigation. If I later determine that this joint investigation
- 25 | team needs additional information from you, I will contact you

i	
1	through your counsel. If you have any questions about this
2	investigation, you can contact the reporter, LT
3	The time is now 12:10. We will take a 1 hour and 5 minute
4	lunch recess. We'll return at, I guess, 1:15. We are now off the
5	record.
6	(Whereupon, at 12:10 p.m., the testimony was concluded.)
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CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: KRISTIN ALEXIS/BARGE MR. ERVIN

ALLISION WITH THE SUNSHINE BRIDGE

DONALDSONVILLE, LOUISIANA

OCTOBER 12, 2018

Interview of Mark Crutcher

ACCIDENT NO.: DCA19FM003

PLACE: Gonzales, Louisiana

DATE: May 11, 2019

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

Eileen Gonzalez Transcriber