

Aeronautical

Information

Manual Official Guide to
Basic Flight Information and ATC Procedures

Federal Aviation Administration (FAA)

The Federal Aviation Administration is responsible for insuring the safe, efficient, and secure use of the Nation's airspace, by military as well as civil aviation, for promoting safety in air commerce, for encouraging and developing civil aeronautics, including new aviation technology, and for supporting the requirements of national defense.

The activities required to carry out these responsibilities include: safety regulations; airspace management

and the establishment, operation, and maintenance of a civil-military common system of air traffic control (ATC) and navigation facilities; research and development in support of the fostering of a national system of airports, promulgation of standards and specifications for civil airports, and administration of Federal grants-in-aid for developing public airports; various joint and cooperative activities with the Department of Defense; and technical assistance (under State Department auspices) to other countries.

Aeronautical Information Manual (AIM) Basic Flight Information and ATC Procedures

This manual is designed to provide the aviation community with basic flight information and ATC procedures for use in the National Airspace System (NAS) of the United States. An international version called the Aeronautical Information Publication contains parallel information, as well as specific information on the international airports for use by the international community.

This manual contains the fundamentals required in order to fly in the United States NAS. It also contains items of interest to pilots concerning health and medical facts, factors affecting flight safety, a pilot/controller glossary of terms used in the ATC System, and information on safety, accident, and hazard reporting.

This manual is complemented by other operational publications which are available via separate subscriptions. These publications are:

Notices to Airmen publication - A publication containing current Notices to Airmen (NOTAMs) which are considered essential to the safety of flight

as well as supplemental data affecting the other operational publications listed here. It also includes current Flight Data Center NOTAMs, which are regulatory in nature, issued to establish restrictions to flight or to amend charts or published Instrument Approach Procedures. This publication is issued every four weeks and is available through subscription from the Superintendent of Documents.

The Airport/Facility Directory, the Alaska Supplement, and the Pacific Chart Supplement - These publications contain information on airports, communications, navigation aids, instrument landing systems, VOR receiver check points, preferred routes, Flight Service Station/Weather Service telephone numbers, Air Route Traffic Control Center (ARTCC) frequencies, part-time surface areas, and various other pertinent special notices essential to air navigation. These publications are available upon subscription from the National Aeronautical Charting Office (NACO) Distribution Division, Federal Aviation Administration, Riverdale, Maryland 20737.

Publication Schedule		
Basic or Change	Cutoff Date for Submission	Effective Date of Publication
Basic Manual	8/30/07	2/14/08
Change 1	2/14/08	7/31/08
Change 2	7/31/08	3/12/09
Change 3	3/12/09	8/27/09
Basic Manual	8/27/09	2/11/10

4-1-15. Safety Alert

AIM

A safety alert will be issued to pilots of aircraft being controlled by ATC if the controller is aware the aircraft is at an altitude which, in the controller's judgment, places the aircraft in unsafe proximity to terrain, obstructions or other aircraft. The provision of this service is contingent upon the capability of the controller to have an awareness of a situation involving unsafe proximity to terrain, obstructions and uncontrolled aircraft. The issuance of a safety alert cannot be mandated, but it can be expected on a reasonable, though intermittent basis. Once the alert is issued, it is solely the pilot's prerogative to determine what course of action, if any, to take. This procedure is intended for use in time critical situations where aircraft safety is in question. Noncritical situations should be handled via the normal traffic alert procedures.

a. Terrain or Obstruction Alert

1. Controllers will immediately issue an alert to the pilot of an aircraft under their control when they recognize that the aircraft is at an altitude which, in their judgment, may be in an unsafe proximity to terrain/obstructions. The primary method of detecting unsafe proximity is through Mode C automatic altitude reports.

EXAMPLE-

Low altitude alert, check your altitude immediately. The, as appropriate, MEA/MVA/MOCA in your area is (altitude) or, if past the final approach fix (nonprecision approach) or the outer marker or fix used in lieu of the outer marker (precision approach), the, as appropriate, MDA/DH (if known) is (altitude).

2. Terminal Automated Radar Terminal System (ARTS) IIIA, Common ARTS (to include ARTS IIIE and ARTS IIE) (CARTS), Micro En Route Automated Radar Tracking System (MEARTS), and Standard Terminal Automation Replacement System (STARS) facilities have an automated function which, if operating, alerts controllers when a tracked Mode C equipped aircraft under their control is below or is predicted to be below a predetermined minimum

safe altitude. This function, called Minimum Safe Altitude Warning (MSAW), is designed solely as a controller aid in detecting potentially unsafe aircraft proximity to terrain/obstructions. The ARTS IIIA, CARTS, MEARTS, and STARS facility will, when MSAW is operating, provide MSAW monitoring for all aircraft with an operating Mode C altitude encoding transponder that are tracked by the system and are:

- (a) Operating on an IFR flight plan; or
- **(b)** Operating VFR and have requested MSAW monitoring.
- 3. Terminal AN/TPX-42A (number beacon decoder system) facilities have an automated function called Low Altitude Alert System (LAAS). Although not as sophisticated as MSAW, LAAS alerts the controller when a Mode C transponder equipped aircraft operating on an IFR flight plan is below a predetermined minimum safe altitude.

NOTE-

Pilots operating VFR may request MSAW or LAAS monitoring if their aircraft are equipped with Mode C transponders.

EXAMPLE-

Apache Three Three Papa request MSAW/LAAS.

b. Aircraft Conflict Alert.

1. Controllers will immediately issue an alert to the pilot of an aircraft under their control if they are aware of another aircraft which is not under their control, at an altitude which, in the controller's judgment, places both aircraft in unsafe proximity to each other. With the alert, when feasible, the controller will offer the pilot the position of the traffic if time permits and an alternate course(s) of action. Any alternate course(s) of action the controller may recommend to the pilot will be predicated only on other traffic being worked by the controller.

EXAMPLE-

American Three, traffic alert, (position of traffic, if time permits), advise you turn right/left heading (degrees) and/or climb/descend to (altitude) immediately.

- (c) Instructed to do so by ATC.
- 2. Advises ATC that a missed approach will be made. Include the reason for the missed approach unless the missed approach is initiated by ATC.
- 3. Complies with the missed approach instructions for the IAP being executed from the MAP, unless other missed approach instructions are specified by ATC.
- 4. If executing a missed approach prior to reaching the MAP, fly the lateral navigation path of the instrument procedure to the MAP. Climb to the altitude specified in the missed approach procedure, except when a maximum altitude is specified between the final approach fix (FAF) and the MAP. In that case, comply with the maximum altitude restriction. Note, this may require a continued descent on the final approach.
- 5. Following a missed approach, requests clearance for specific action; i.e., another approach, hold for improved conditions, proceed to an alternate airport, etc.

b. Controller.

- 1. Issues an approved alternate missed approach procedure if it is desired that the pilot execute a procedure other than as depicted on the instrument approach chart.
- 2. May vector a radar identified aircraft executing a missed approach when operationally advantageous to the pilot or the controller.
- **3.** In response to the pilot's stated intentions, issues a clearance to an alternate airport, to a holding fix, or for reentry into the approach sequence, as traffic conditions permit.

5-5-6. Radar Vectors

a. Pilot.

- 1. Promptly complies with headings and altitudes assigned to you by the controller.
- **2.** Questions any assigned heading or altitude believed to be incorrect.
- **3.** If operating VFR and compliance with any radar vector or altitude would cause a violation of any CFR, advises ATC and obtains a revised clearance or instructions.

b. Controller.

- 1. Vectors aircraft in Class A, Class B, Class C, Class D, and Class E airspace:
 - (a) For separation.
 - (b) For noise abatement.
- (c) To obtain an operational advantage for the pilot or controller.
- 2. Vectors aircraft in Class A, Class B, Class C, Class D, Class E, and Class G airspace when requested by the pilot.
- **3.** Vectors IFR aircraft at or above minimum vectoring altitudes.
- 4. May vector VFR aircraft, not at an ATC assigned altitude, at any altitude. In these cases, terrain separation is the pilot's responsibility.

5-5-7. Safety Alert

a. Pilot.

- 1. Initiates appropriate action if a safety alert is received from ATC.
- **2.** Be aware that this service is not always available and that many factors affect the ability of the controller to be aware of a situation in which unsafe proximity to terrain, obstructions, or another aircraft may be developing.

b. Controller.

- 1. Issues a safety alert if aware an aircraft under their control is at an altitude which, in the controller's judgment, places the aircraft in unsafe proximity to terrain, obstructions or another aircraft. Types of safety alerts are:
- (a) Terrain or Obstruction Alert. Immediately issued to an aircraft under their control if aware the aircraft is at an altitude believed to place the aircraft in unsafe proximity to terrain or obstructions.
- (b) Aircraft Conflict Alert. Immediately issued to an aircraft under their control if aware of an aircraft not under their control at an altitude believed to place the aircraft in unsafe proximity to each other. With the alert, they offer the pilot an alternative, if feasible.
- **2.** Discontinue further alerts if informed by the pilot action is being taken to correct the situation or that the other aircraft is in sight.