# Skills Performance Evaluations

	Pate:10/11/	2011				Report No.:			1
Engi	neer / Trainee ID No.			Name	Mark D James				
J	ob Assignment Name/Number:	t36401			Subdivision:	cn&l-c7			
Y	ard/Station Origination:	From: c 56		To: <u>c</u> 1		To:			
ocom	otive(s): csxt 869	csxt 774	csxt 786						
	& No.	CSAL 774	CSAL 700					_	
innuai					I				
Clas	s of Service: COL - Coal		Job	Title: EN	IGINEER	Evaluation Type:	Annual Ob	servation	-
	56 Miles 4 Hou	rs 20 Minutes	110	Loads	0 Empties			15500 Toi	ns
N	I/O = Not Observed	Observed	Unsatisfactory				Observe	ed Unsatis	facto
. A	ir Brake Tests					ement/ Procedures			
a b	0	N/O		<mark># a.</mark> b.	Diesel Engine S Locomotive Wo		N/O X		
c D		N/O		D. C.		nspection/Report	N/O		
d		N/0		d.	Defect/Problem		X		
е		N/O		e.		ce/ Fault Message	N/O		
f.		N/O		f.	Alarm Light/Ala		X		
g h		X N/O		g. h.	Wheelslip/Whe Dynamic Brake		N/O N/O		
	ocomotive Operation			# i.		itoring/Reporting	Х		
a	. Coupling Speed	N/O		# j.	Cab Cleanlines	is	Х		
b	0 0	N/O		<u>k.</u>	Coupling/Uncou		N/O		
T a	hrottle Handling Starting Movement			l. # m.	Preparation for L Sanding	eaving Unattended	X		
b	<u> </u>	X		# 111. # n.	Short Time Rat	inas	N/O		
С		X			ain Handling			<b></b>	
d		X		a.	Familiarity with		N/O		
e	ě	X		b.	Movement Prep		X		
f.	Power Reduction	N/O		с. d.	Judgement relati	ive to Train Location	X		_
a		X		e.	Slack Control	Jopping	X		
b		X		f.	Speed Control		Х		
С		X		g.	Helper/DP Ope	eration	X		
d	. Train Brake Conditioning	X		h. 13. <b>R</b> u	Pickup/Setoff		N/O		
a		ity X			her applicable Al	BTH Rules	X		Т
b		X			erating Rules				
С		Х		1)	Knowledge of F		Х		
d		city X		* 2)	Drug & Alcohol Bell	Observation	X X		
e f.	0 0 1			3)	Horn		X		
	ynamic and Air Brake Opera			5)	Headlight		X		
а	· · · · · · · · · · · ·	Х		# 6)	Signal Commu	nication	Х		
b		X		7)	Speed Rules		X		
C		X		# 8) # 0)	Switching Rules Operating Swite		N/O N/O		
a	ndependent Brake Application	X		# 9) # 10			X		
b		X X		* 11	/			12/31/2010	
C				# 12	/	e Operating Rules	Х		
	Ionitors				gnal Rules				_
a		X		1)	Signal Complia		X	TOP	
b c		X X		* 2) D. <b>E</b> o	uipment Handlin	n Clear - Rule No. g	SB1292 S	NUP	_
d	0	X		1)	Train Documen		X		T
Т	elemetry Equipment			2)	Flat Spots		N/O		
a		N/O		3)	Visual Inspection		X		
b c	0 0	N/O		4)	Locomotive Spe Train Speed Re		X		+
	uel Conservation			6)	Other applicabl		X		
a	. Auxiliary Power Unit Utilization				fety Rules				
b		X N/O		# 1)	Job Briefing		X		
c d		tion N/O X		# 2) # 3)		ctive Equipment & Riding Equipment	X		+
e		X		# 3) # 4)	Safety Stop				+
f.		N/O		5)	Applying / Rele	asing Hand brake	N/O		
				# 6)	Other applicabl	e Safety Rules	X		
					zardous Material		N/O		
	* identifies an item requiring a					do not count toward	s score		
	ctive action(s)/comments/edu					•			
otal	Points = 100 minus	total unsastifact	ory items (unsa	atisfacto	ry marks X4 po	ints) = Fina	Score	100	
		ID No.:		Name	: David G				

## Comments, corrective action(s), and educational subject matter discussed: UNANNOUNCED TEST DETAILS>Date:10/11/2011 Location:c 30.0 Time:18:18

CSX TRANSPORTATIO	-	IOTIVE EN	GINEER EVALUATIO	N REPORT Report No.:	Form	- EER 10/
			Name	. toport ton		
ingineer / Trainee ID No.			Name Mark D James			
Job Assignment Name/Number:	Q69831		Subdivision:	spartanburg		
Yard/Station Origination:	From: ak 593		To: sg397	To:		
comotive(s): csxt 7620 c	sxt 771					
nitial & No.						
			<u> </u>			
Class of Service: MAN - Manifest		Job T	itle: ENGINEER	Evaluation Type:	Annual Observat	ion
56 Miles 3 Hours	30 Minutes	35	Loads 31 Empties			668 Tons
N/O = Not Observed	Observed L	Insatisfactory				Unsatisfact
Air Brake Tests	Observed	JIISalisiacioiy	11. Locomotive Manag	ement/ Procedures	Observed	Unsalisiaci
a. Standing locomotive test	X		# a. Diesel Engine		X	
b. Locomotive Consist	N/O		b. Locomotive Wo		X X	
c. Running Locomotive d. Class I	N/O		c. Calendar Day I d. Defect/Problem	nspection/Report	N/0	
e. Class I A	N/O		e. Protective Devi	ice/ Fault Message	N/O	
f. Class II q. Class II	N/O X		f. Alarm Light/Ala	<u> </u>	X N/O	
g. Class III h. Transfer/Yard Train	N/O		g. Wheelslip/Whe h. Dynamic Brake		N/O	
Locomotive Operation			# i. Fuel Level Mor	hitoring/Reporting	X	
a. Coupling Speed	N/O		# j. Cab Cleanlines		X	
b. Changing Ends Throttle Handling	N/O		k. Coupling/Uncou I. Preparation for L	upling/MUing _eaving Unattended	N/O N/O	
a. Starting Movement	X		# m. Sanding		N/O	
b. Acceleration	Х		# n. Short Time Rat	tings	N/O	
c. Deceleration d. Cresting Grade	X X		12. Train Handling a. Familiarity with	Torrain	X	
e. Power Braking			a. Familiarity with b. Movement Pre		X	
f. Power Reduction	N/O			ive to Train Location	X	
Automatic Brake Operation			d. Judgement in S	Stopping	X	
a. Minimum Reduction b. Total Reduction			e. Slack Control f. Speed Control		X X	X
c. Release Procedure	X		g. Helper/DP Ope	eration	N/O	
d. Train Brake Conditioning	Х		h. Pickup/Setoff		N/O	
a. Dynamic Brake Operation	/ X	_	13. Rules Compliance A. Other applicable Al	PTH Bulas	X	
<ul> <li>a. Dynamic Brake Functionality</li> <li>b. Time Delay</li> </ul>			B. Operating Rules	bin Rules		
c. Application Rate	X		1) Knowledge of F	Rules-TTSI	X	
d. Release Rate	X	X	* 2) Drug & Alcohol	Observation	<u> </u>	
e. Extended Range/High Capacit f. Dynamic Brake Restrictions	y N/O N/O		3) Bell 4) Horn		X X	
Dynamic and Air Brake Operation			5) Headlight		X	
a. Application Procedure	X		# 6) Signal Commu	nication	X	
b. Modulation c. Release Procedure			7) Speed Rules # 8) Switching Rule	s	X	
Independent Brake			# 9) Operating Swite		X	
a. Application	X		# 10) Radio Rules/Pr		X	
b. Release	X		* 11) FRA Card State		12/31/2	2010
c. Actuating/Controlling Pressure Monitors	X		# 12) Other applicabl C. Signal Rules	le Operating Rules	X	
a. Train Documents	X		1) Signal Complia		X	
b. Loadmeter	X				SB1292 STOP	
c. Air Gauges d. Telemetry Equipment			D. Equipment Handlin 1) Train Documen		V	
Telemetry Equipment			1) Train Documer 2) Flat Spots	nation	X N/O	
a. Qualification	X		<ol> <li>Visual Inspection</li> </ol>		X	
b. Arming/Disarming	N/O		4) Locomotive Sp		N/O	
c. Restrictions	N/O		5) Train Speed Re 6) Other applicabl		N/O N/O	
a. Auxiliary Power Unit Utilization			E. Safety Rules			
b. Reverse Lever /Low Idle	X		# 1) Job Briefing	ative Fig. 1	X	
c. Main Reservoir Air Production	on N/O			ctive Equipment & Riding Equipment	X X	
e. Dynamic Brake Utilization	X		# 3) Getting On, On, # 4) Safety Stop		N/O	
f. Diesel Engine Shutdown	X		5) Applying / Rele	asing Hand brake	X	
			# 6) Other applicabl		X	
			F.# Hazardous Materia		N/O	
OTE: * identifies an item requiring a n orrective action(s)/comments/educ	,		# - identifies a items which		SCORE	
( )	-		tisfactory marks X4 po		Score	92
		iterna (unae		Filial	00010	36
SLE / Road Foreman of Engines II			Name: David G			

### Comments, corrective action(s), and educational subject matter discussed:

ABTH Rule 5500 &5550- engineer came out of dynamic brake to quick and allowed mild run out of train ## ABTH Section 4- engineer had mild slack run in and out over the trip ## UNANNOUNCED TEST DETAILS>Date:11/1/2012 Location:c 65.0 Time:20:55

CSX TRANSPORTATION Date: 11/6/2013	1	IOTIVE EN	GINEER EVALUATIO	Report No.:	Form	n - EER 10/ 1
ngineer / Trainee ID No			Name Mark D James			
Job Assignment Name/Number:	f78406		Subdivision:	belton		
Yard/Station Origination: Fro	om: akl 56		To: akl 50	To: akl 56		_
comotive(s): csxt 530 csxt	5216					
nitial & No.						
	•					
Class of Service: LCL - Local		Job T	itle: ENGINEER	Evaluation Type: F	Recertification	
15 Miles 2 Hours	30 Minutes	14	Loads <u>9</u> Emptie	s <u>23</u> Total C	ars	1542 Tons
N/O = Not Observed	Observed l	Jnsatisfactory			Observed	Unsatisfact
Air Brake Tests	· · ·			gement/ Procedures		
a. Standing locomotive test b. Locomotive Consist	X		# a. Diesel Engine		X	
c. Running Locomotive	X		b. Locomotive W c. Calendar Day	Inspection/Report	X X	
d. Class I	N/O		d. Defect/Probler		N/O	
e. Class I A	N/O			/ice/ Fault Message	N/O	
f. Class II g. Class III	N/O X		f. Alarm Light/Al g. Wheelslip/Whe		X N/O	
h. Transfer/Yard Train	N/O		h. Dynamic Brak		N/O	
Locomotive Operation				nitoring/Reporting	X	
a. Coupling Speed b. Changing Ends	X		# j. Cab Cleanline k. Coupling/Unco		X N/O	
Throttle Handling				Leaving Unattended	N/0	
a. Starting Movement	X		# m. Sanding		N/O	
b. Acceleration	X		# n. Short Time Ra	atings	N/O	
c. Deceleration d. Cresting Grade	X X		12. Train Handling a. Familiarity with	Terrain	X	
e. Power Braking	N/O		b. Movement Pre		X	
f. Power Reduction	N/O		- ·	tive to Train Location	Х	
Automatic Brake Operation a. Minimum Reduction			d. Judgement in e. Slack Control	Stopping	X X	
a. Minimum Reduction b. Total Reduction	X		e. Slack Control f. Speed Control			
c. Release Procedure	X		g. Helper/DP Op		N/O	
d. Train Brake Conditioning	X		h. Pickup/Setoff		Х	
a. Dynamic Brake Operation	X		13. Rules Compliance A. Other applicable A		X	
b. Time Delay	X		B. Operating Rules			
c. Application Rate	X		1) Knowledge of		X	
d. Release Rate e. Extended Range/High Capacity	X N/O		* 2) Drug & Alcoho 3) Bell	ol Observation	X X	
f. Dynamic Brake Restrictions	N/O		4) Horn		X	
Dynamic and Air Brake Operation			5) Headlight		Х	
a. Application Procedure	X		# 6) Signal Commu	unication	X	
b. Modulation c. Release Procedure	X		<ol> <li>7) Speed Rules</li> <li># 8) Switching Rule</li> </ol>	es	X	
Independent Brake			# 9) Operating Swi	tches	Х	
a. Application	X		· · · · · ·	Professionalism	Х	
b. Release	X		* 11) FRA Card Sta			▰┦┤
c. Actuating/Controlling Pressure Monitors	X		# 12) Other applicat C. Signal Rules	De Operating Rules	X	
a. Train Documents	Х		1) Signal Compli		X	
b. Loadmeter	X			an Clear - Rule No.		
c. Air Gauges d. Telemetry Equipment	X N/O		D. Equipment Handlin 1) Train Docume		X	
Telemetry Equipment			2) Flat Spots		N/O	
a. Qualification	N/O		<ol> <li>Visual Inspect</li> </ol>		Х	
b. Arming/Disarming c. Restrictions	N/O N/O			beed Restriction	N/O X	
c. Restrictions Fuel Conservation			5) Train Speed R 6) Other applicat		X	
a. Auxiliary Power Unit Utilization	X		E. Safety Rules			
b. Reverse Lever /Low Idle	X		# 1) Job Briefing # 2) Personal Prote	octivo Equipment	X	
c. Main Reservoir Air Production d. Throttle Modulation	X X			ective Equipment , & Riding Equipment	X X	
e. Dynamic Brake Utilization	X		# 4) Safety Stop		Х	
f. Diesel Engine Shutdown	X			easing Hand brake	X	
			# 6) Other applicat F.# Hazardous Materia	ble Safety Rules	X N/O	
rrective action(s)/comments/educati	onal subject matte	ar discussed i	nust be noted on back o	f this report		
rrective action(s)/comments/educati tal Points = 100 minus tota	onal subject matte al unsastifactory		nust be noted on back o	f this report. Final	Score	

Comments, corrective action(s), and educational subject matter discussed: UNANNOUNCED TEST DETAILS>Date:11/6/2013 Location:akl 56.0 Time:10:00 Comments:engineer complied with OR46 speeds

Date:	6/9/2015				~ ~		Report No.:			
	rainee ID No.			inai	ne	Mark D James				4
Job Assigni	ment Name/Number:	Y10209				Subdivision:	Columbia			
Yard/Station	n Origination: Fro	m: <u>S 362</u>		To:	S 36	62	То:			
comotive(s):	CSXT 5344 CSX	T 5482								
nitial & No.										
-	· · ·									
Class of Servio	e: OTH - Other/Yard		Job	Title:	ENG	SINEER	Evaluation Type:	Annual Ob	servation	
2	Miles 2 Hours	30 Minutes	30	Loads		10 Empties	40 Total 0	Cars	0	Tons
N/O = Not O	Dbserved	Observed	Unsatisfactory	1				Observe	ed Unsa	atisfac
Air Brake 1				11.			ment/ Procedures			
	ing locomotive test notive Consist	N/O N/O		#	a. b.	Diesel Engine St Locomotive Wor		N/O N/O		
	ng Locomotive	N/O			С.	Calendar Day In		X		
d. Class	Ĭ	N/O			d.	Defect/Problem	Reporting	N/O		
e. Class		N/O			e.		e/ Fault Message	N/0		
f. Class g. Class		N/O N/O		∥—	f. g.	Alarm Light/Alar Wheelslip/Whee	0	N/O N/O		
<u> </u>	fer/Yard Train	N/O		11	y. h.	Dynamic Brake		N/O		
Locomotiv	e Operation			#	i.	Fuel Level Monit	toring/Reporting	N/O		
	ing Speed	X		#	j.	Cab Cleanliness		X		
b. Chang Throttle Ha	ging Ends	N/O		∥—	k. I.	Coupling/Uncou Preparation for Le		N/O N/O		
	ng Movement	X		#	n.	Sanding	aving Unattended	N/0		
	eration	X		#	n.	Short Time Ratir	ngs	N/O		
	eration	X		12.		n Handling				
	ng Grade	N/O X		⊪—	a. b.	Familiarity with 1 Movement Prepl		X		Х
	<sup>-</sup> Braking <sup>-</sup> Reduction	N/O		⊪—	D. C.		e to Train Location	X		_
	Brake Operation	1.00		11-	d.	Judgement in St		X		
	um Reduction	Х			e.	Slack Control		Х		
	Reduction	X		∥—	f.	Speed Control	- 4'	X		Х
	se Procedure Brake Conditioning	X N/O		⊪—	g. h.	Helper/DP Opera Pickup/Setoff	ation	N/O N/O		
	rake Operation	11/0	Į	13.		es Compliance		11/0		
	nic Brake Functionality	N/O		А.		er applicable AB	TH Rules	Х		
b. Time	Delay ation Rate	N/O N/O		В.	<b>Ope</b> 1)	rating Rules Knowledge of Ru		X		
	se Rate	N/O		*	2)	Drug & Alcohol (		X		
	led Range/High Capacity	N/O			3)	Bell		X		
	nic Brake Restrictions	N/O			4)	Horn		X		
	nd Air Brake Operation ation Procedure	N/O		#	5) 6)	Headlight Signal Communi	ication	X N/O		
b. Modul		N/O		T	7)	Speed Rules		X		
c. Relea	se Procedure	N/O		#	8)	Switching Rules		Х		
Independe				#	9)	Operating Switch		X		
a. Applic b. Relea		X		# *	10) 11)	Radio Rules/Pro		X	12/31/2013	
	se ing/Controlling Pressure			#	12)	-	Operating Rules	X	12131/2013	
Monitors				<del>и</del> С.		al Rules	· · ·			
	Documents	X			1)	Signal Complian		N/O		
b. Loadn		X X		* D.	2)	Signal less than ipment Handling		SBYard		_
c. Air Ga d. Telem	iuges ietry Equipment	N/O		<u>.</u>	<b>Equ</b> 1)	Train Document		X		
Telemetry	Equipment				2)	Flat Spots		N/O		
	ication	N/O			3)	Visual Inspection		N/O		
b. Armin c. Restri	g/Disarming	N/O N/O		∥—	4) 5)	Locomotive Speed Train Speed Res		X N/O		
Fuel Conse				11-	6)	Other applicable		N/O		
a. Auxilia	ry Power Unit Utilization	N/O		E.	Safe	ty Rules				
	se Lever /Low Idle	X		#	1)	Job Briefing	tivo Equipment	X		
	Reservoir Air Production le Modulation	X X		# #	2)	Personal Protect	Riding Equipment	X		
	nic Brake Utilization	N/O		#	4)	Safety Stop		X		
	Engine Shutdown	N/O			5)	Applying / Relea	sing Hand brake	Х		
				#	6)	Other applicable		X		
				F.#		ardous Material I		N/O		
TL. * identifie	s an item requiring a man	datory observatio	on.	# - ic	lentifi	es a items which	do not count toward	s score		
	n(s)/comments/educatio	mal autor of the			he	والأرد المحاجب المعاد	hia yan+			

### Comments, corrective action(s), and educational subject matter discussed:

Familiarity With Terrain- Emp needs to extra caution when making movements with tonnage..... ## Speed Control- Emp needs to slow speeds to take safe course..... ## UNANNOUNCED TEST DETAILS>Date:6/9/2015 Location:S 362.0 Time:10:00