

# Skills Performance Evaluations

Date: 10/11/2011

Report No.: 1

Engineer / Trainee ID No. [Redacted]

Name: Mark D James

Job Assignment Name/Number: t36401

Subdivision: cn&l-c7

Yard/Station Origination: From: c 56 To: c 1 To:

Locomotive(s):	csxt 869	csxt 774	csxt 786		
Initial & No.					

Class of Service: COL - Coal Job Title: ENGINEER Evaluation Type: Annual Observation

56 Miles 4 Hours 20 Minutes 110 Loads 0 Empties 110 Total Cars 15500 Tons

	N/O = Not Observed	Observed	Unsatisfactory
<b>1. Air Brake Tests</b>			
a. Standing locomotive test	N/O		
b. Locomotive Consist	N/O		
c. Running Locomotive	N/O		
d. Class I	N/O		
e. Class I A	N/O		
f. Class II	N/O		
g. Class III	X		
h. Transfer/Yard Train	N/O		
<b>2. Locomotive Operation</b>			
a. Coupling Speed	N/O		
b. Changing Ends	N/O		
<b>3. Throttle Handling</b>			
a. Starting Movement	X		
b. Acceleration	X		
c. Deceleration	X		
d. Cresting Grade	X		
e. Power Braking	X		
f. Power Reduction	N/O		
<b>4. Automatic Brake Operation</b>			
a. Minimum Reduction	X		
b. Total Reduction	X		
c. Release Procedure	X		
d. Train Brake Conditioning	X		
<b>5. Dynamic Brake Operation</b>			
a. Dynamic Brake Functionality	X		
b. Time Delay	X		
c. Application Rate	X		
d. Release Rate	X		
e. Extended Range/High Capacity	X		
f. Dynamic Brake Restrictions	X		
<b>6. Dynamic and Air Brake Operation</b>			
a. Application Procedure	X		
b. Modulation	X		
c. Release Procedure	X		
<b>7. Independent Brake</b>			
a. Application	X		
b. Release	X		
c. Actuating/Controlling Pressure	X		
<b>8. Monitors</b>			
a. Train Documents	X		
b. Loadmeter	X		
c. Air Gauges	X		
d. Telemetry Equipment	X		
<b>9. Telemetry Equipment</b>			
a. Qualification	N/O		
b. Arming/Disarming	N/O		
c. Restrictions	N/O		
<b>10. Fuel Conservation</b>			
# a. Auxiliary Power Unit Utilization	N/O		
# b. Reverse Lever /Low Idle	X		
# c. Main Reservoir Air Production	N/O		
# d. Throttle Modulation	X		
# e. Dynamic Brake Utilization	X		
# f. Diesel Engine Shutdown	N/O		

	Observed	Unsatisfactory
<b>11. Locomotive Management/ Procedures</b>		
# a. Diesel Engine Startup	N/O	
b. Locomotive Work Report	X	
c. Calendar Day Inspection/Report	N/O	
d. Defect/Problem Reporting	X	
e. Protective Device/ Fault Message	N/O	
f. Alarm Light/Alarm Message	X	
g. Wheelslip/Wheel Slide	N/O	
h. Dynamic Brake Overload	N/O	
# i. Fuel Level Monitoring/Reporting	X	
# j. Cab Cleanliness	X	
k. Coupling/Uncoupling/MUing	N/O	
l. Preparation for Leaving Unattended	X	
# m. Sanding	X	
# n. Short Time Ratings	N/O	
<b>12. Train Handling</b>		
a. Familiarity with Terrain	N/O	
b. Movement Preplanning	X	
c. Judgement relative to Train Location	X	
d. Judgement in Stopping	X	
e. Slack Control	X	
f. Speed Control	X	
g. Helper/DP Operation	X	
h. Pickup/Setoff	N/O	
<b>13. Rules Compliance</b>		
<b>A. Other applicable ABTH Rules</b>		
<b>B. Operating Rules</b>		
1) Knowledge of Rules-TTSI	X	
* 2) Drug & Alcohol Observation	X	
3) Bell	X	
4) Horn	X	
5) Headlight	X	
# 6) Signal Communication	X	
7) Speed Rules	X	
# 8) Switching Rules	N/O	
# 9) Operating Switches	N/O	
# 10) Radio Rules/Professionalism	X	
* 11) FRA Card Status - Date		12/31/2010
# 12) Other applicable Operating Rules	X	
<b>C. Signal Rules</b>		
1) Signal Compliance	X	
* 2) Signal less than Clear - Rule No. SB1292 STOP		
<b>D. Equipment Handling</b>		
1) Train Documentation	X	
2) Flat Spots	N/O	
3) Visual Inspection	X	
4) Locomotive Speed Restriction	X	
5) Train Speed Restrictions	X	
6) Other applicable E. H. Rules	X	
<b>E. Safety Rules</b>		
# 1) Job Briefing	X	
# 2) Personal Protective Equipment	X	
# 3) Getting On, Off, & Riding Equipment	X	
# 4) Safety Stop	N/O	
5) Applying / Releasing Hand brake	N/O	
# 6) Other applicable Safety Rules	X	
<b>F.# Hazardous Material Rules</b>	N/O	

NOTE: \* identifies an item requiring a mandatory observation.

# - identifies a items which do not count towards score

Corrective action(s)/comments/educational subject matter discussed must be noted on back of this report.

Total Points = 100 minus total unsatisfactory items (unsatisfactory marks X4 points) = **Final Score** 100

DSLE / Road Foreman of Engines ID No.: [Redacted]

Name: David G Fry

**Comments, corrective action(s), and educational subject matter discussed:**

UNANNOUNCED TEST DETAILS>Date:10/11/2011 Location:c 30.0 Time:18:18

Date: 11/1/2012

Report No.: 1

Engineer / Trainee ID No. [Redacted]

Name: Mark D James

Job Assignment Name/Number: Q69831

Subdivision: spartanburg

Yard/Station Origination: From: ak 593 To: sg397 To:

Locomotive(s):	csxt 7620	csxt 771			
Initial & No.					

Class of Service: MAN - Manifest Job Title: ENGINEER Evaluation Type: Annual Observation

56 Miles 3 Hours 30 Minutes 35 Loads 31 Empties 66 Total Cars 5668 Tons

	N/O = Not Observed	Observed	Unsatisfactory
<b>1. Air Brake Tests</b>			
a. Standing locomotive test		X	
b. Locomotive Consist		N/O	
c. Running Locomotive		X	
d. Class I		N/O	
e. Class I A		N/O	
f. Class II		N/O	
g. Class III		X	
h. Transfer/Yard Train		N/O	
<b>2. Locomotive Operation</b>			
a. Coupling Speed		N/O	
b. Changing Ends		N/O	
<b>3. Throttle Handling</b>			
a. Starting Movement		X	
b. Acceleration		X	
c. Deceleration		X	
d. Cresting Grade		X	
e. Power Braking		X	
f. Power Reduction		N/O	
<b>4. Automatic Brake Operation</b>			
a. Minimum Reduction		X	
b. Total Reduction		X	
c. Release Procedure		X	
d. Train Brake Conditioning		X	
<b>5. Dynamic Brake Operation</b>			
a. Dynamic Brake Functionality		X	
b. Time Delay		X	
c. Application Rate		X	
d. Release Rate		X	X
e. Extended Range/High Capacity		N/O	
f. Dynamic Brake Restrictions		N/O	
<b>6. Dynamic and Air Brake Operation</b>			
a. Application Procedure		X	
b. Modulation		X	
c. Release Procedure		X	
<b>7. Independent Brake</b>			
a. Application		X	
b. Release		X	
c. Actuating/Controlling Pressure		X	
<b>8. Monitors</b>			
a. Train Documents		X	
b. Loadmeter		X	
c. Air Gauges		X	
d. Telemetry Equipment		X	
<b>9. Telemetry Equipment</b>			
a. Qualification		X	
b. Arming/Disarming		N/O	
c. Restrictions		N/O	
<b>10. Fuel Conservation</b>			
# a. Auxiliary Power Unit Utilization		X	
# b. Reverse Lever /Low Idle		X	
# c. Main Reservoir Air Production		N/O	
# d. Throttle Modulation		X	
# e. Dynamic Brake Utilization		X	
# f. Diesel Engine Shutdown		X	

	Observed	Unsatisfactory
<b>11. Locomotive Management/ Procedures</b>		
# a. Diesel Engine Startup	X	
b. Locomotive Work Report	X	
c. Calendar Day Inspection/Report	X	
d. Defect/Problem Reporting	N/O	
e. Protective Device/ Fault Message	N/O	
f. Alarm Light/Alarm Message	X	
g. Wheelslip/Wheel Slide	N/O	
h. Dynamic Brake Overload	N/O	
# i. Fuel Level Monitoring/Reporting	X	
# j. Cab Cleanliness	X	
k. Coupling/Uncoupling/MUing	N/O	
l. Preparation for Leaving Unattended	N/O	
# m. Sanding	N/O	
# n. Short Time Ratings	N/O	
<b>12. Train Handling</b>		
a. Familiarity with Terrain	X	
b. Movement Preplanning	X	
c. Judgement relative to Train Location	X	
d. Judgement in Stopping	X	
e. Slack Control	X	X
f. Speed Control	X	
g. Helper/DP Operation	N/O	
h. Pickup/Setoff	N/O	
<b>13. Rules Compliance</b>		
<b>A. Other applicable ABTH Rules</b>		
<b>B. Operating Rules</b>		
1) Knowledge of Rules-TTSI	X	
* 2) Drug & Alcohol Observation	X	
3) Bell	X	
4) Horn	X	
5) Headlight	X	
# 6) Signal Communication	X	
7) Speed Rules	X	
# 8) Switching Rules	X	
# 9) Operating Switches	X	
# 10) Radio Rules/Professionalism	X	
* 11) FRA Card Status - Date		12/31/2010
# 12) Other applicable Operating Rules	X	
<b>C. Signal Rules</b>		
1) Signal Compliance	X	
* 2) Signal less than Clear - Rule No. SB1292 STOP		
<b>D. Equipment Handling</b>		
1) Train Documentation	X	
2) Flat Spots	N/O	
3) Visual Inspection	X	
4) Locomotive Speed Restriction	N/O	
5) Train Speed Restrictions	N/O	
6) Other applicable E. H. Rules	N/O	
<b>E. Safety Rules</b>		
# 1) Job Briefing	X	
# 2) Personal Protective Equipment	X	
# 3) Getting On, Off, & Riding Equipment	X	
# 4) Safety Stop	N/O	
5) Applying / Releasing Hand brake	X	
# 6) Other applicable Safety Rules	X	
<b>F.# Hazardous Material Rules</b>	N/O	

NOTE: \* identifies an item requiring a mandatory observation.

# - identifies a items which do not count towards score

Corrective action(s)/comments/educational subject matter discussed must be noted on back of this report.

Total Points = 100 minus total unsatisfactory items (unsatisfactory marks X4 points) = **Final Score 92**

DSLE / Road Foreman of Engines ID No.: [Redacted]

Name: David G Fry

**Comments, corrective action(s), and educational subject matter discussed:**

ABTH Rule 5500 & 5550- engineer came out of dynamic brake to quick and allowed mild run out of train ##  
ABTH Section 4- engineer had mild slack run in and out over the trip ## UNANNOUNCED TEST  
DETAILS>Date:11/1/2012 Location:c 65.0 Time:20:55

Date: 11/6/2013

Report No.: 1

Engineer / Trainee ID No. [Redacted]

Name Mark D James

Job Assignment Name/Number: f78406

Subdivision: belton

Yard/Station Origination: From: akl 56 To: akl 50 To: akl 56

Locomotive(s):	csxt 530	csxt 5216			
Initial & No.					

Class of Service: LCL - Local Job Title: ENGINEER Evaluation Type: Recertification

15 Miles 2 Hours 30 Minutes 14 Loads 9 Empties 23 Total Cars 1542 Tons

	N/O = Not Observed	Observed	Unsatisfactory
<b>1. Air Brake Tests</b>			
a. Standing locomotive test		X	
b. Locomotive Consist		X	
c. Running Locomotive		X	
d. Class I		N/O	
e. Class I A		N/O	
f. Class II		N/O	
g. Class III		X	
h. Transfer/Yard Train		N/O	
<b>2. Locomotive Operation</b>			
a. Coupling Speed		X	
b. Changing Ends		X	
<b>3. Throttle Handling</b>			
a. Starting Movement		X	
b. Acceleration		X	
c. Deceleration		X	
d. Cresting Grade		X	
e. Power Braking		N/O	
f. Power Reduction		N/O	
<b>4. Automatic Brake Operation</b>			
a. Minimum Reduction		X	
b. Total Reduction		X	
c. Release Procedure		X	
d. Train Brake Conditioning		X	
<b>5. Dynamic Brake Operation</b>			
a. Dynamic Brake Functionality		X	
b. Time Delay		X	
c. Application Rate		X	
d. Release Rate		X	
e. Extended Range/High Capacity		N/O	
f. Dynamic Brake Restrictions		N/O	
<b>6. Dynamic and Air Brake Operation</b>			
a. Application Procedure		X	
b. Modulation		X	
c. Release Procedure		X	
<b>7. Independent Brake</b>			
a. Application		X	
b. Release		X	
c. Actuating/Controlling Pressure		X	
<b>8. Monitors</b>			
a. Train Documents		X	
b. Loadmeter		X	
c. Air Gauges		X	
d. Telemetry Equipment		N/O	
<b>9. Telemetry Equipment</b>			
a. Qualification		N/O	
b. Arming/Disarming		N/O	
c. Restrictions		N/O	
<b>10. Fuel Conservation</b>			
# a. Auxiliary Power Unit Utilization		X	
# b. Reverse Lever /Low Idle		X	
# c. Main Reservoir Air Production		X	
# d. Throttle Modulation		X	
# e. Dynamic Brake Utilization		X	
# f. Diesel Engine Shutdown		X	

	Observed	Unsatisfactory
<b>11. Locomotive Management/ Procedures</b>		
# a. Diesel Engine Startup	X	
b. Locomotive Work Report	X	
c. Calendar Day Inspection/Report	X	
d. Defect/Problem Reporting	N/O	
e. Protective Device/ Fault Message	N/O	
f. Alarm Light/Alarm Message	X	
g. Wheelslip/Wheel Slide	N/O	
h. Dynamic Brake Overload	N/O	
# i. Fuel Level Monitoring/Reporting	X	
# j. Cab Cleanliness	X	
k. Coupling/Uncoupling/MUing	N/O	
l. Preparation for Leaving Unattended	N/O	
# m. Sanding	N/O	
# n. Short Time Ratings	N/O	
<b>12. Train Handling</b>		
a. Familiarity with Terrain	X	
b. Movement Preplanning	X	
c. Judgement relative to Train Location	X	
d. Judgement in Stopping	X	
e. Slack Control	X	
f. Speed Control	X	
g. Helper/DP Operation	N/O	
h. Pickup/Setoff	X	
<b>13. Rules Compliance</b>		
<b>A. Other applicable ABTH Rules</b>		
<b>B. Operating Rules</b>		
1) Knowledge of Rules-TTSI	X	
* 2) Drug & Alcohol Observation	X	
3) Bell	X	
4) Horn	X	
5) Headlight	X	
# 6) Signal Communication	X	
7) Speed Rules	X	
# 8) Switching Rules	X	
# 9) Operating Switches	X	
# 10) Radio Rules/Professionalism	X	
* 11) FRA Card Status - Date		[Redacted]
# 12) Other applicable Operating Rules	X	
<b>C. Signal Rules</b>		
1) Signal Compliance	X	
* 2) Signal less than Clear - Rule No.		[Redacted]
<b>D. Equipment Handling</b>		
1) Train Documentation	X	
2) Flat Spots	N/O	
3) Visual Inspection	X	
4) Locomotive Speed Restriction	N/O	
5) Train Speed Restrictions	X	
6) Other applicable E. H. Rules	X	
<b>E. Safety Rules</b>		
# 1) Job Briefing	X	
# 2) Personal Protective Equipment	X	
# 3) Getting On, Off, & Riding Equipment	X	
# 4) Safety Stop	X	
5) Applying / Releasing Hand brake	X	
# 6) Other applicable Safety Rules	X	
F.# Hazardous Material Rules	N/O	

Corrective action(s)/comments/educational subject matter discussed must be noted on back of this report.

Total Points = 100 minus total unsatisfactory items Final Score

DSLE / Road Foreman of Engines ID No.: [Redacted] Name: [Redacted]

**Comments, corrective action(s), and educational subject matter discussed:**

UNANNOUNCED TEST DETAILS>Date:11/6/2013 Location:akl 56.0 Time:10:00 Comments:engineer complied with OR46 speeds

Date: 6/9/2015

Report No.: 44

Engineer / Trainee ID No. [Redacted]

Name: Mark D James

Job Assignment Name/Number: Y10209

Subdivision: Columbia

Yard/Station Origination: From: S 362 To: S 362 To:

Locomotive(s):	CSXT 5344	CSXT 5482			
Initial & No.					

Class of Service: OTH - Other/Yard Job Title: ENGINEER Evaluation Type: Annual Observation

2 Miles 2 Hours 30 Minutes 30 Loads 10 Empties 40 Total Cars 0 Tons

	N/O = Not Observed	Observed	Unsatisfactory
<b>1. Air Brake Tests</b>			
a. Standing locomotive test	N/O		
b. Locomotive Consist	N/O		
c. Running Locomotive	N/O		
d. Class I	N/O		
e. Class I A	N/O		
f. Class II	N/O		
g. Class III	N/O		
h. Transfer/Yard Train	N/O		
<b>2. Locomotive Operation</b>			
a. Coupling Speed	X		
b. Changing Ends	N/O		
<b>3. Throttle Handling</b>			
a. Starting Movement	X		
b. Acceleration	X		
c. Deceleration	X		
d. Cresting Grade	N/O		
e. Power Braking	X		
f. Power Reduction	N/O		
<b>4. Automatic Brake Operation</b>			
a. Minimum Reduction	X		
b. Total Reduction	X		
c. Release Procedure	X		
d. Train Brake Conditioning	N/O		
<b>5. Dynamic Brake Operation</b>			
a. Dynamic Brake Functionality	N/O		
b. Time Delay	N/O		
c. Application Rate	N/O		
d. Release Rate	N/O		
e. Extended Range/High Capacity	N/O		
f. Dynamic Brake Restrictions	N/O		
<b>6. Dynamic and Air Brake Operation</b>			
a. Application Procedure	N/O		
b. Modulation	N/O		
c. Release Procedure	N/O		
<b>7. Independent Brake</b>			
a. Application	X		
b. Release	X		
c. Actuating/Controlling Pressure	X		
<b>8. Monitors</b>			
a. Train Documents	X		
b. Loadmeter	X		
c. Air Gauges	X		
d. Telemetry Equipment	N/O		
<b>9. Telemetry Equipment</b>			
a. Qualification	N/O		
b. Arming/Disarming	N/O		
c. Restrictions	N/O		
<b>10. Fuel Conservation</b>			
# a. Auxiliary Power Unit Utilization	N/O		
# b. Reverse Lever /Low Idle	X		
# c. Main Reservoir Air Production	X		
# d. Throttle Modulation	X		
# e. Dynamic Brake Utilization	N/O		
# f. Diesel Engine Shutdown	N/O		

	Observed	Unsatisfactory
<b>11. Locomotive Management/ Procedures</b>		
# a. Diesel Engine Startup	N/O	
b. Locomotive Work Report	N/O	
c. Calendar Day Inspection/Report	X	
d. Defect/Problem Reporting	N/O	
e. Protective Device/ Fault Message	N/O	
f. Alarm Light/Alarm Message	N/O	
g. Wheelslip/Wheel Slide	N/O	
h. Dynamic Brake Overload	N/O	
# i. Fuel Level Monitoring/Reporting	N/O	
# j. Cab Cleanliness	X	
k. Coupling/Uncoupling/MUing	N/O	
l. Preparation for Leaving Unattended	N/O	
# m. Sanding	N/O	
# n. Short Time Ratings	N/O	
<b>12. Train Handling</b>		
a. Familiarity with Terrain	X	X
b. Movement Preplanning	X	
c. Judgement relative to Train Location	X	
d. Judgement in Stopping	X	
e. Slack Control	X	
f. Speed Control	X	X
g. Helper/DP Operation	N/O	
h. Pickup/Setoff	N/O	
<b>13. Rules Compliance</b>		
<b>A. Other applicable ABTH Rules</b>		
<b>B. Operating Rules</b>		
1) Knowledge of Rules-TTSI	X	
* 2) Drug & Alcohol Observation	X	
3) Bell	X	
4) Horn	X	
5) Headlight	X	
# 6) Signal Communication	N/O	
7) Speed Rules	X	
# 8) Switching Rules	X	
# 9) Operating Switches	X	
# 10) Radio Rules/Professionalism	X	
* 11) FRA Card Status - Date		12/31/2013
# 12) Other applicable Operating Rules	X	
<b>C. Signal Rules</b>		
1) Signal Compliance	N/O	
* 2) Signal less than Clear - Rule No.	SBYard	
<b>D. Equipment Handling</b>		
1) Train Documentation	X	
2) Flat Spots	N/O	
3) Visual Inspection	N/O	
4) Locomotive Speed Restriction	X	
5) Train Speed Restrictions	N/O	
6) Other applicable E. H. Rules	N/O	
<b>E. Safety Rules</b>		
# 1) Job Briefing	X	
# 2) Personal Protective Equipment	X	
# 3) Getting On, Off, & Riding Equipment	X	
# 4) Safety Stop	X	
5) Applying / Releasing Hand brake	X	
# 6) Other applicable Safety Rules	X	
<b>F.# Hazardous Material Rules</b>	N/O	

NOTE: \* identifies an item requiring a mandatory observation.

# - identifies a items which do not count towards score

Corrective action(s)/comments/educational subject matter discussed must be noted on back of this report.

Total Points = 100 minus total [Redacted] Final Score [Redacted]

DSLE / Road Foreman of Engines ID No.: [Redacted]

Name: Brian L Clark



**Comments, corrective action(s), and educational subject matter discussed:**

Familiarity With Terrain- Emp needs to extra caution when making movements with tonnage..... ## Speed  
Control- Emp needs to slow speeds to take safe course..... ## UNANNOUNCED TEST  
DETAILS>Date:6/9/2015 Location:S 362.0 Time:10:00