NATIONAL TRANSPORTATION SAFETY BOARD

IN RE:

THE ACCIDENT THAT OCCURRED : NTSB Accident No. ON LA JUNTA SUBDIVISION AT : DCA16MR004

MILEPOST 373 ON MARCH 13,

2016

Tuesday, March 15, 2016

Cimarron, Kansas

Interview of:

Nickolas Stovall

BEFORE:

TOMAS TORRES, NTSB ARNOLDO GONZALEZ, FRA CHARLES WHALEN, FRA EUGENE SEE, FRA JOHN O'BRIEN, SMART TRANSPORTATION DIVISION RONALD SPRAGUE, BLET DANNY O'CONNELL, AMTRAK FRED RUTT, AMTRAK RICH WESSLER, BNSF FORT WORTH

This transcript was produced from audio provided by the National Transportation Safety Board.

P-R-O-C-E-E-D-I-N-G-S

MR. TORRES: This is a NTSB informal
interview. My name is Tomas Torres, T-O-M-A-S, T-O-R-
R-E-S. Today is March 15, 2016 and we are at Cimarron,
Kansas interviewing an assistant conductor in
connection with an Amtrak accident that occurred at La
Junta Subdivision, mile post 373 on March 13th at
roughly estimated time 12:10 a.m. The NTSB accident
number is DCA16MR004. The purpose of the investigation
is to increase safety, not to assign fault, blame or
liability. NTSB cannot offer any guarantee of
confidentiality or immunity from legal or certificate
actions. A transcript or summary of the interview will
go in the public docket. The interviewee can have one
representative of the interviewee's choice. Do you
have anybody you would like to be present?
MR. STOVALL: Union.
MR. TORRES: Okay, he cannot answer for you.
MR. STOVALL: Right.
MR. TORRES: He can be present and we will
address it some other way. Do you understand this
interview is being recorded?
MR. STOVALL: Yes, sir.
MR. TORRES: Please state your name and
spell it?

1	MR. STOVALL: My name is Nickolas Stovall,
2	N-I-C-K-O-L-A-S last name S-T-O-V-A-L-L.
3	MR. RUTT: Fred Rutt, F-R-E-D R-U-T-T.
4	MR. TORRES: And who are you with?
5	MR. RUTT: Amtrak.
6	MR. GONZALEZ: Arnoldo Gonzalez, A-R-N-O-L-
7	D-O G-O-N-Z-A-L-E-Z, FRA.
8	MR. WHALEN: Charles Whalen, W-H-A-L-E-N,
9	Passenger Rails Safety Specialist FRA Headquarters.
10	Mr. Wessler: Rich, R-I-C-H, Wessler, W-E-S-
11	S-L-E-R, I'm the Director of Passenger Train
12	Operations, BNSF Forth Worth.
13	MR. SEE: Eugene, E-U-G-E-N-E, See, S-E-E,
14	Operator out of Wichita, FRA.
15	MR. SPRAGUE: Ronald Sprague, R-O-N-A-L-D S-
16	P-R-A-G-U-E, I'm BLET Safety Task Force.
17	MR. O'BRIEN: John O'Brien, J-O-H-N O-B-R-I-
18	E-N, Smart Transport Division Accident Investigator.
19	MR. O'CONNELL: Danny, D-A-N-N-Y, O'Connell,
20	O- apostrophe, capital C-O-N-N-E-L-L, Assistant
21	Superintendent Road Operations for Amtrak Los Angeles.
22	MR. TORRES: Tomas Torres, NTSB. Can you
23	please Conductor, Mr. Stovall, can you explain to us
24	what happened that day, from the time you went on duty
25	and you know what happened at the office, throughout
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the trip?

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MR. STOVALL: Well, we sign up at 7:11. I usually show up about an hour before so I can make sure I have all the pertinent O issues and GTBs and all our paperwork is in order. Make copies; get the general safety rule of the day, G-Core, EMT-5, the customer service tip and the crime prevention tip. It is my job during for the job briefing because I'm the lowest guy on the totem pole. We do all that, we conducted our job briefing, talked about normal stuff for what we were pertaining to the trip, as far as if we had sleeper work and where our stops would be and everything.

We went through and did our normal stop in Lamar and I couldn't even tell you if it was one on and zero off exactly and then we went to Garden City. We had eight off and I think it was or eight on and two off. We got everybody on and off. We had an unexpected passenger by the name of Jeffrey Smith. He's a regular, shows up every couple of weeks, \$26.00 has his reservation number. I sent him to the dining car and I scanned everybody's tickets and stuff once we got them on and walked through, I talked to him for a minute and told him I had to go back up front and grab my ticket book out of my grip.

Went and got that, cut him the ticket, talked to the Amtrak upgrade desk, I think is what it's called on our phone, in our EMD. Cut him the ticket and he went back to the coaches and I went forward to put the ticket in the envelope. 60 seconds, probably, that it took to walk through the train and get up front. the ticket in the envelope and then Will was there and we started to say something to each other and there was just really bad shaking side to side, loud noise, rattling and clacking and I knew it was out of the ordinary but I didn't think anything was wrong until Will jumped up and told me to hang on. We came to a stop and he looked out the engineer's side and I went out the fireman's side to see kind of what was going on, and he had called Jen up front, the engineer, and asked her you know if we had hit something and she said that there was bent rail and we said okay we're going to get on the ground and check the train. Let's see, after that you couldn't see anything.

MR. TORRES: Take a moment.

MR. STOVALL: There was a lot of dirt flying in the air. You couldn't see very far. We walked to the back of the train and we could see that the dining car was off and I think it was the end of one of the sleeper cars was off on the ground, and as we were

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going further back the -- the flashlights couldn't even cut through the dust and stuff that was flying in the air.

And we were walking back and he's on one side and we were kind of keeping pace with each other going through and we were talking, out loud, and I heard, about the time we made it to the café car that was leaning really bad, and he yelled at me to get out of the way at which I had already climbed up the bank so that if it continued to fall over you know I wouldn't be in the way. And then I heard the emergency, emergency, emergency on the radio. They toned up the dispatcher and they weren't getting any response from that and Will said I'm going to call 911, we have coaches on the ground, and called it back to Jen on the radio.

People were starting to kind of emerge, I guess, and my main concern at first was the people that were trying to crawl out of the 11 car and the way that the dining car or the café car was leaning, I didn't know if it was going to continue to come over and I was mainly worried about their safety. We didn't want them to get further injured or anything.

I remember pushing the diaphragm out of the way, so that we could get the few people that were in

the doorway coming out, out of the way and I told everybody else to stay inside the car and there were people coming from the top of the car into the dining car or into the café car and then they started to come out the side that was leaning.

I helped pull them up off the bank and instructed other passengers and George, I can't even think of his last name, Jorge, the OBS crew, to direct them to go out the other side. I knew it was higher up off the ground and everything, but just for everybody's safety, I wanted them to go on the other side that if it fell over nobody would get hurt.

I climbed in to the coaches in the 11 car that was on its side. Will had called on the radio -- and or to Jorge or somebody. I remember hearing it that hey, we need to bring some ladders from the coaches or the sleepers up to the coaches and then I didn't hear anything from Will for a while. He was going his way, I was going mine and I went inside. It was strangely quiet. You would think something like that would be a lot more chaotic, screaming or you know people calling out and stuff.

It was really eerily quiet and I started doing loud verbal commands. Is everybody okay? Does anybody need help? There were younger people that

were trying to help and pull windows out and climb up and help people get up. People were worried about their baggage, and I instructed them just to leave it on the train, we got to get off the train, that's our main concern right now is to get everybody to safety. We'll worry about the other stuff later.

To push the doors up, we were using luggage, windows and stuff because the way the train was on its side the doors were stuck shut and we had to override them. I used my key and pushed up the door and somebody would help me put the window in the way to keep the door up and I was trying to get everybody to go to the 14 car so that we could get out the back. It seemed probably safer than people trying to climb up and then go the other way.

We got through, as I was going through the train there was a guy that had COPD that was complaining of his ribs being busted up and he was having a hard time breathing. There was a lady not too far from him that had a dislocated shoulder, you could see the bone in her jacket, it wasn't like coming out of the skin or anything but you could see the deformity and she was you know holding her arm.

We could hear, about that time, sirens and the flashing lights and people trying to help. There

were other elderly people that were less mobility able that I was helping trying to get to a good place where they could get off the train.

About that time I had made it to the 13 car and was trying to get to the door to go to the 14 car and there was a fireman on the other side and I could only see out of the window, the little bitty hole and told him you know can we open the door so that we can get these people out? And he said no, that the diaphragm had mashed around the door, we wouldn't be able to go out that way and I said okay the only other way we can get out is to go up. The other way is not safe, we shouldn't go that way.

So, I know there were people climbing out. You know some of them were doing it on their own. I went back. He asked if there was injured people on the train. I said if we went another car forward there was a guy with COPD and explained the situation with the lady with the shoulder, and he said okay, we're going to put a ladder down and help everybody out of this car and then we'll go back to the 12 car and start getting them out.

There was young people, I have to say this, that they really pitched in and helped their fellow man. It was kind of -- it was a good deal. I know we

could have had probably a worse batch of passengers but you know these people helped each other out and you know just jumped right in. OBS, Jorge was in there with me. You know we were trying to get people up and out and he was going one way and I was trying to get to the end and back.

Once we got everybody off the train and we helped the guy with the ribs, we stood him up and got him out. We helped the lady with the shoulder up and out. There were firemen in the train about that time helping get people going. I know once we got everybody out I went through with Will, we met up then to double check and go through the train to make sure we had got everybody off the coaches.

Then when we were good with that, he sent me up front to get everybody off the sleepers and he said I'll stay back here, and I think there was one person that they were working on with Will trying to get them out and he sent me up there to get the people off the sleepers and I could hear the passengers saying, as I was going out, that they were cold.

So I saw Cynthia and Frita and Judy and said towels and blankets whatever we can find, just get it off the train and hand it out to the people so that they can be warm and I said take the glow sticks and

give them, put them around their necks so they don't wander, we can see where they're at and kind of identify them just from looking out, it was dark, to better be able to identify them. And after we did all of that and we got everybody off the coaches or the sleepers there was law enforcement there helping verify that we had everybody off the train.

I briefly bumped into Jen in passing, that's the engineer, and we talked for just a little bit and asked her you know what happened and she said that you know the rail was bent and it wasn't just a little bit, it was bad. And Will and her and I were standing there talking doing kind of a job briefing; what do we do now?

And he said okay, I'm going to stay with the train. I want you to go to the community center with the OBS, get a head count and offer whatever bit of assistance that I could to the passengers. Give them updates of information or anything. We got in. I know we had a total of 130 passengers that were supposed to be on the train according to the EMD.

We did a head count. The best that we could come up with, not knowing exactly how many people went to the hospital and everything, we got to 129, and that is the best that we could come up with number-wise that

was accounted for. After that the firemen were kind of standing around and asking, what can we do? What do we need to do? I said well if it's not too much trouble if they could go back to the train and take the baggage off for the passengers, because there were quite a few concerns about people with medications and they had a special needs gentleman that needed his seizure medication within the next two or three hours. So I figured it would be best if they could go on the train and you know with their protective gear and everything that they have to get the baggage and bring it back.

I called Will and let him know that they were coming, that they were kind of looking for something to do and that we needed the medication and stuff for the few people to go back to the crash site and talk to him and start from the baggage car and take all the check baggage off and then go through the sleepers and then so on, to get back to the coaches and remove whatever was handy for personal effects for the passengers.

They brought it in here at the center, backed the trailer up and was unloaded surprisingly fast for a big old trailer full of luggage. It was empty in like two minutes flat. So the passengers were able to get their baggage. There was a few minor things in the

sleepers. You know people left stuff in the closet or my cell phone charger was plugged in at my seat and stuff like that.

At that point I had really appreciated what the fire department had done for us and I didn't really want to send them on a wild goose chase for little bitty bits of personal effects for the passengers and I made an announcement to everybody and let them know that the site was off limits for everybody, and until it was daylight and we had a much safer place to go through the train and get things off, these guys here did an excellent job getting what they could off the train and all the passengers stood up and applauded the firemen.

And the Red Cross guys after that were worried, you know, how long are you going to be here? We need to start working on getting the logistics figured out of what we're going to do for breakfast, if these people are going to be here. I called my supervisor, Carl Picanto, he's the train master for La Junta, and he told me that they were going to be there for a while, that the buses weren't going to be there until after eight o'clock.

They were looking at a time frame of serving breakfast between seven and seven-thirty so we got

started with that and they were making their phone calls. About that point people started coming in from the hospital that had went in an ambulance or anything and we were getting their names as they were coming in and information so that we could have a more accurate -- of who we had here and all their information and then the Kansas City crew showed up shortly after that and so did Carl and then they relieved us and took us to the hotel. Okay, at this moment I don't MR. TORRES: have any questions but I'll pass it on to the group. I don't have anything, sir. MR. WESSLER: MR. TORRES: All right. MR. WHALEN: Charles Whalen, W-H-A-L-E-N, Passenger Rail Safety Specialist FRA Headquarters. After the derailment, did the lights go out in the car and the emergency lights come on?

MR. STOVALL: All the lights were still on

for a short, a brief time. Will, the conductor, told them to drop ETP once we saw what was going on in the back. I want to say no on the emergency lighting in the coaches. The lights you could see were still on from the café car forward, but when the coaches were on their side, there was no light. We had flashlights, I grabbed my lantern out of my bag before I stepped off

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the train and I had given that to Jorge when we were inside the train. The only real lighting inside the train I think in the coaches was people's cell phones, and some people had flashlights or laptops. That was kind of the only lighting it seemed like to me inside the coaches. What about, for a better term, MR. WHALEN: the LLEPM, but I'll just say the glow in the dark stuff that's supposed to be on the floor, did that ---MR. STOVALL: It was working. Some of it was broken and you could see it. I remember that laying on the side, some of it you could see it on the walls, well it was actually the floor, but the way it was. MR. WHALEN: Okay so that worked? MR. STOVALL: Right and the glow sticks, that was really good to have handy. We were passing those out and it was a good tool. Did the responders take any MR. WHALEN: windows out from the outside of the cars or was everything pulled from the inside? MR. STOVALL: I'm pretty sure from what all I observed that most of the windows had been removed from passengers and Jorge inside the car. I think we

did move and take one window out with the firemen there

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so that they could drop the ladder in close to the two people that were injured in the 12 car.

MR. WHALEN: PA announcements, nobody made any but --

MR. STOVALL: Right.

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MR. WHALEN: Do you know if in the sleeping cars forward, the ones that stayed onboard, did they make announcements?

MR. STOVALL: When we were -- when I was at the café car for the first time, and Jorge was trying to get people out of the car and off the roof, I remember as the people were coming out the side where it was leaning and pulling them up the bank I said, "Jorge, call on the PA in the front to get people to come up here so that we can, that we're going to need The people in the front had no idea what assistance". had happened yet in the back and he and I talked about it later at the hotel, that he remembers me telling him that but he said he was so disoriented that he looked around and he knew the equipment, but at that time, because he was in the coaches when they went over and he was so disoriented, he couldn't remember where the PA was and he said he didn't make the announcement.

MR. WHALEN: Did you hear any announcements at all, I know you were outside but when you got back

inside did you hear anybody make an announcement? 1 2 MR. STOVALL: No, at that point it was all just loud, we need everybody to step off the train, 3 4 leave your baggage on the train. You know exit going out the right hand side of the train so that they 5 weren't closer to the highway, they were further away. 6 7 It was all done by loud verbal communication. Not only from myself but from the OBS staff that were on the 8 train. 9 When the train went on the 10 MR. WHALEN: 11 ground, you were up towards the head end, in the cars that didn't derail? 12 Right, I had just cut a ticket MR. STOVALL: 13 in the dining car, and had walked up front to put the 14 15 ticket in our pouch for accountability and I had just got up there, put the ticket in the bag and sat down 16 and looked at Will, and then the train started shaking 17 really bad and the loud clacking and rumbling and he 18 said hang on. 19 20 MR. WHALEN: But that car you were on made it through? 21 22 MR. STOVALL: Yes. I guess all that rumbling and MR. WHALEN: 23 stuff was from the stuff that you were pulling? 24 25 MR. STOVALL: Um, hum.

1	MR. WHALEN: Okay.
2	MR. SPRAGUE: Ron Sprague, S-P-R-A-G-U-E,
3	BLET Safety Task Force. Mr. Stovall, I didn't get your
4	job title?
5	MR. STOVALL: Assistant conductor.
6	MR. SPRAGUE: Assistant conductor?
7	MR. STOVALL: Yes, sir.
8	MR. SPRAGUE: As the assistant conductor,
9	normally what car do you ride on during your trip?
10	MR. STOVALL: At the point that the OBS
11	staff are done in the diner and at that point up till
12	we get off in Dodge where you walk the coaches and stay
13	in the dining car. And the conductor usually stays up
14	front or comes up in the dining car also, but because I
15	cut the ticket, that's why I went forward so that I
16	could put it up for accountability reasons and I don't
17	know.
18	MR. SPRAGUE: Okay. Is the dining car the
19	car that you and the conductor normally ride in?
20	MR. STOVALL: Yes, sir. After the staff
21	have gone down and closed the diner and it's usually
22	the one attendant or no attendant, it's just depending
23	on the season where we're at that we stay upstairs in
24	the dining car and if there is no attendant then I'm
25	usually back in the coaches walking the coaches fairly

regularly.

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MR. SPRAGUE: I don't have any further questions, thank you.

PARTICIPANT: I have no questions at this time either.

MR. O'CONNELL: I have a couple of questions. Oh, I'm sorry Danny O'Connell, O-C-O-N-N-E-L-L, Amtrak Assistant Superintendent. I have a couple of questions that you could maybe help out, as it goes. What's an EMD?

It's our -- it's our Amtrak MR. STOVALL: phone and I can't even tell you the acronym, electronic monitoring device or what it stands for, but it helps us have accountability of everybody on the train as far as name and where they're going, where they got on at and if their ticket's been lifted. It has a scanner on it so we can scan their tickets. We can manually put it in as -- if on the account of I cut the guy a ticket from Garden City, I had to put him in as honored, not It also has a few other features, the phone it has a light on it, it can tell us who's onboard for staff as far as OBS, the conductors, who's going to be taking over when we get to where we're going and the engineers. It's kind of like our, our bible for the train.

1	MR. O'CONNELL: Okay so it's not a it's
2	not a walkie talkie?
3	MR. STOVALL: No
4	MR. O'CONNELL: But it's much more of
5	you can communicate to Oakland to the operations as
6	a telephone?
7	MR. STOVALL: Um-hmm.
8	MR. O'CONNELL: You use it to scan tickets.
9	MR. STOVALL: Yes, sir.
LO	MR. O'CONNELL: So it's basically the
L1	informational highway for you?
L2	MR. STOVALL: Yes, it's everything. It
L3	replaces paperwork. It's a whole lot more efficient.
L4	MR. O'CONNELL: Okay. My next question was
L5	that you talked about the doors, you had to prop the
L6	doors open. Would you maybe call them like a pocket
L7	door, is that how you maybe refer to them?
L8	MR. STOVALL: The in doors?
L9	MR. O'CONNELL: The in doors, is that what
20	you were referring to that you had to block open?
21	MR. STOVALL: Yes. Right, the in doors
22	because we were laying on the fireman side the doors
23	were closed and we had to manually override with the
24	key to be able to physically push the door up and then
25	we took a window and propped it inside the doorjamb to
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maintain the door in the upward position so people 1 2 could crawl through the cars. MR. O'CONNELL: Okay and that's because of 3 4 maybe a loss of air, I believe they're pneumatic in a sense, they're electric and pneumatic. 5 electric so that they operate automatically --6 7 MR. STOVALL: Right. MR. O'CONNELL: -- When you open them but 8 through air, right? 9 MR. STOVALL: Right and well gravity was 10 11 against us. MR. O'CONNELL: Once you released them with 12 the key you could open them but then you had to prop 13 them open. 14 15 MR. STOVALL: Yes, right, so that people you know could climb through and if we didn't it would just 16 slam right back down. 17 MR. O'CONNELL: Okay and then you referred, 18 one other reference was a diaphragm, what's a 19 diaphraqm? 20 MR. STOVALL: The diaphragm is the bushing 21 22 that goes between the two cars that allows people to travel through the train while it's moving and it's the 23 big rubber bushing and metal flanges that freely, and 24 25 take up the shock as the cars you know come together or

1	come apart.
2	MR. O'CONNELL: Okay. And that was out of
3	whack so you couldn't have access through it because of
4	that.
5	MR. STOVALL: Yes, right.
6	MR. O'CONNELL: Okay, when was the last time
7	you went to block training?
8	MR. STOVALL: I'm supposed to go next week.
9	MR. O'CONNELL: Okay, so you haven't gone to
10	2016?
11	MR. STOVALL: No, I just started with Amtrak
12	in June.
13	MR. O'CONNELL: Okay, that's what I was
14	going to ask you.
15	MR. STOVALL: This is my first year.
16	MR. O'CONNELL: So you just hired out in
17	June?
18	MR. STOVALL: Yes, sir.
19	MR. O'CONNELL: June of 2015?
20	MR. STOVALL: Yes, sir.
21	MR. O'CONNELL: And your initial training,
22	you did training in Wilmington?
23	MR. STOVALL: Yes, sir.
24	MR. O'CONNELL: Did you have some evacuation
25	training?
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MR. SIOVALL: Yes, we have evacuation
training and protocols that is covered in our Service
Standards Manual on what we need to do, but it can't
even prepare you for the real world experience and I
don't mean that in a bad way, but I'm a volunteer
firefighter where I live in Ordway, Colorado. I did 16
years of corrections before, that so the emergency side
of it I relied a lot on my previous experience. What
we learned with Amtrak came in handy so we knew where
all the emergency equipment was, what we had at our
disposal. I remember at one point grabbing a first aid
kit and carrying it through the train until there was -
- I realized that there was nobody that was really
going to benefit from it so I you know immediately just
tossed that. The emergency training so I have other
stuff from not only Amtrak but I have other experiences
that I could bring into play. Kind of the window thing
with the doors, you know you have to be resourceful
with what you got because we didn't know how long I
know we're in Cimarron, Kansas and it's a really small
town and I have to commend the firefighters because it
was a really quick response for such a small town and
we just did the best we could with what we had.
MR. O'CONNELL: Well, I think as a group
we'll say you guys did a great job.

1	MR. WESSLER: Fantastic.
2	MR. O'CONNELL: So, thank you.
3	MR. TORRES: Any follow up questions from
4	anybody?
5	MR. WESSLER: I don't have a follow up
6	question. Rich Wessler, W-E-S-S-L-E-R, BNSF Railway.
7	I would just like to make a statement that for a
8	relatively new employee, you gave an absolute excellent
9	description of the events, and one that was much better
10	than some seasoned veterans that I've sat through so,
11	thank you very much.
12	MR. STOVALL: Yes, sir.
13	MR. WESSLER: Very nicely done.
14	MR. O'CONNELL: Danny O'Connell. One more
15	follow up question. On all the cars behind the seats
16	there were the emergency evacuation brochures?
17	MR. STOVALL: Yes, sir.
18	MR. O'CONNELL: We had spoken earlier about
19	between 10:00 p.m. and 6:00 a.m. we don't make
20	announcements?
21	MR. STOVALL: At 9:30 when we do the quiet
22	time announcement
23	MR. O'CONNELL: Right.
24	MR. STOVALL: we make the announcement
25	and the time zone change that there won't be any more
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station stop announcements throughout the night, and that they need to stay under their seat check so we can help them de-train at their stops throughout the night. I made that announcement at 9:30 in La Junta. depart I always make the -- after I check the marker lights and backup hose, how many cars we got, I always make the service announcement as we're departing La Junta, welcome to train number four for those of you that joined us in La Junta and there is the part about the safety cards, the emergency safety card. I can't even think of what it's called right now. emergency cards are located in the seat backs in front of you and the sleeping car accommodations. It's part of my, every time. MR. O'CONNELL: Okay, the question is between after you make that announcement and all the intermediate stops that you do in the middle of the night where you board passengers. MR. STOVALL: Yes, sir. MR. O'CONNELL: Do you convey any information about evacuation to the passengers that get on in the middle of the night, do you refer to the

brochure or anything like that or did you that night?

departure of the terminal, the crew change point.

MR. STOVALL: No, I make it at the initial

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MR. O'CONNELL: Right. 1 MR. STOVALL: But as we get to Lamar and 2 3 then between Lamar and Garden City, we make that quiet 4 time announcement and it's usually about 45 minutes 5 from Garden City so I don't make it at the Lamar one, 6 no. 7 MR. O'CONNELL: Okay. MR. STOVALL: Maybe I need to start doing 8 that. 9 MR. O'CONNELL: Well, I think we'll -- we 10 11 may come up with some policy and procedure in that everybody knows what to do whatever time they get on 12 the train. 13 MR. STOVALL: Right. 14 15 MR. O'CONNELL: So, this is learning, this is what we're doing. This is to make it better next time. 16 MR. STOVALL: Right and it's a working 17 18 practice you know that we in La Junta, because we go from La Junta to Lamar, takes 55 minutes, and I can say 19 20 for myself that I don't make that announcement after 2.1 Lamar. But I do make that we departed Lamar, Garden 22 City is coming up next, in 90 minutes we will be going through a time zone change, I'll make an announcement 23

at 9:30 and then it might be a good idea to dent it

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out.

1	MR. O'CONNELL: Then on the reverse trip in
2	the morning you come back when you make your first
3	announcement after quiet time then do you do a safety
4	announcement at that point?
5	MR. STOVALL: On the return trip?
6	MR. O'CONNELL: Right.
7	MR. STOVALL: At 7:30 we
8	MR. O'CONNELL: You're almost coming into La
9	Junta then right?
10	MR. STOVALL: right, but it's right about
11	Lamar, just after Lamar usually and we get into La
12	Junta between 8:15 and 8:30, we make that announcement
13	the one time, but not
14	MR. O'CONNELL: But not at the previous stop?
15	MR. STOVALL: and the quiet time stuff.
16	MR. O'CONNELL: Okay.
17	MR. STOVALL: Because it's quiet time until
18	7:30 in the morning.
19	MR. O'CONNELL: Okay, that sounds good.
20	That's all I have thank you.
21	MR. WHALEN: I have follow up questions.
22	MR. STOVALL: Yes, sir.
23	MR. WHALEN: Charles Whalen, W-H-A-L-E-N,
24	Passenger Rail Safety Specialist, FRA Headquarters. If
25	you have passengers getting on at the stop before where
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this happened, and for whatever reason you didn't explain that to them, that's something that Amtrak has to look into.

MR. STOVALL: Uh-hmm.

MR. WHALEN: And I'm not faulting you at all because I believe it's part of the training process that isn't conveyed because on the 188 accident, in Philadelphia, the exact same thing happened.

MR. STOVALL: Right.

MR. WHALEN: So it could be part of Amtrak's training or it might not be. I also have talked to a few people at Amtrak about PA announcements and Amtrak's big thing that tells everybody what they're supposed to do -- the onboard attendants are supposed to shut their PAs off at a certain time so that when you make those quiet time announcements at whatever time, 9:30, people are already asleep in the sleeping cars so that's off and they never hear that and it's not supposed to be turned on again until a certain hour.

MR. STOVALL: 7:30.

MR. WHALEN: 7:30 but they don't do it until nine o'clock. Now stuff happens between 7:30 and nine o'clock and it affects the train, those people never know about it.

1	MR. STOVALL: Right.
2	MR. WHALEN: And it's something I brought up
3	to Amtrak numerous times and supposedly they're trying
4	to correct that and there is the perfect example of
5	what you just brought up there. But I'm not faulting
6	you at all.
7	MR. STOVALL: Yes.
8	MR. WHALEN: I truly believe it's a training
9	thing.
10	MR. TORRES: Okay, there's no more follow up
11	questions. Tomas Torres with NTSB, I'd like to ask you
12	a few questions here.
13	MR. STOVALL: Yes, sir.
14	MR. TORRES: What's the train ID, train
15	symbol that you were on that day?
16	MR. STOVALL: The train that we were running
17	was 153.
18	MR. TORRES: Is there like an Amtrak
19	MR. STOVALL: Amtrak?
20	MR. TORRES: Four, five?
21	MR. STOVALL: Train number four but on our
22	GTBs it was 153, was the engine number, the lead engine
23	is what carries our identification for radio traffic or
24	anything.
25	MR. TORRES: Okay, on the three previous

1	days before this when you went on duty this day, March
2	was it 14th?
3	MR. STOVALL: Okay.
4	MR. TORRES: What was your work cycle like?
5	MR. STOVALL: Three days before?
6	MR. TORRES: Yes, whatever you can recall.
7	MR. STOVALL: I have a book and I just keep
8	track of the days that I work and I believe I worked
9	Wednesday with Will Benoit and Marilyn Lucero was the
10	engineer, so wait the accident happened on, so and I
11	was off for three days. I worked the Wednesday, I was
12	off, I stayed marked up on my relief day and then I was
13	off Thursday, Friday, Saturday and came to work Sunday
14	and I worked on the Wednesday before.
15	MR. TORRES: Are you regular on this job?
16	MR. STOVALL: I'm an extra board. I don't
16 17	MR. STOVALL: I'm an extra board. I don't have a regular spot yet. I started in June and I don't
17	have a regular spot yet. I started in June and I don't
17 18	have a regular spot yet. I started in June and I don't have enough seniority.
17 18 19	have a regular spot yet. I started in June and I don't have enough seniority. MR. TORRES: Do you take any prescription or
17 18 19 20	have a regular spot yet. I started in June and I don't have enough seniority. MR. TORRES: Do you take any prescription or non-prescription drugs?
17 18 19 20 21	have a regular spot yet. I started in June and I don't have enough seniority. MR. TORRES: Do you take any prescription or non-prescription drugs? MR. STOVALL: I take prescription
17 18 19 20 21 22	have a regular spot yet. I started in June and I don't have enough seniority. MR. TORRES: Do you take any prescription or non-prescription drugs? MR. STOVALL: I take prescription medication.
17 18 19 20 21 22 23	have a regular spot yet. I started in June and I don't have enough seniority. MR. TORRES: Do you take any prescription or non-prescription drugs? MR. STOVALL: I take prescription medication. MR. TORRES: Okay, what's your hire date and

1	2015. I couldn't tell you if it's the ninth or the
2	eighth, the exact day that I had my physical. My work
3	history, let's see. I graduated high school in 98 and
4	went to work for the Navy for ten and a half months. I
5	had a medical condition that I went in with.
6	MR. TORRES: I mean the Amtrak employee
7	history.
8	MR. STOVALL: Oh, okay. I just started in
9	June so it's only, you know, less than a year working
10	in La Junta.
11	MR. TORRES: So, when were you certified as a
12	conductor?
13	MR. STOVALL: I'm not.
14	MR. TORRES: Oh you're not, you're an
15	assistant conductor.
16	MR. STOVALL: I am an assistant conductor.
17	I have to go to California, I think it's around in
18	September to take my conductor certification final.
19	MR. TORRES: Do you know when was the last
20	time you had a check ride, train ride with a manager or
21	efficiency test of any type?
22	MR. STOVALL: Carl reviewed me the other day
23	for a class II, you know he has to keep running tabs on
24	everybody that works at the station and show that they
25	can efficiently do a class II and other various tasks
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that we do, and I just had that the other day and Jim
Bullerwell (phonetic) has rode on the head end when I
worked not too long ago and Carl rode in the body of
the train several times with me to make sure you know
that I was good at what I do. He was with us when we
had the car fire in Lamar.
MR. TORRES: What's a class II?
MR. STOVALL: A class II break test, to
check the continuity of the air throughout the consist
of the train.
MR. TORRES: Okay, did your training prepare
you for the requirements of your job?
MR. STOVALL: Yes, it gave me all the
knowledge and everything that I needed to prepare me
for when I got to La Junta. A lot of it was on the job
training. They give you a good idea of what you're
going to be doing but you never really know until you
get there and actually have to do it and just learn by
doing it.
MR. TORRES: Did you use your cell phone
while on duty?
MR. STOVALL: No. My cell phone stayed off
and in my grip until I left here from the center and
went to the hotel.

 $\ensuremath{\mathsf{MR}}\xspace.$ TORRES: Is this a safe place to work

for? 1 MR. STOVALL: It's a lot better than what I 2 It just -- the toys are bigger so I mean, 3 used to do. 4 just have to be careful and watch out, pay attention. Is there anything else you 5 MR. TORRES: would like to add, any comments? 6 7 MR. STOVALL: No, there's going to be follow-up with this to let us know the outcome and 8 everything? 9 MR. TORRES: We will let you know if we have 10 11 any additional questions for you or anything like that. We'll give you a call, well set it up in advance. 12 MR. STOVALL: Okay, 13 MR. TORRES: But this is the initial 14 interview, we're just gathering information. 15 MR. STOVALL: Right. 16 MR. TORRES: I don't have anything else, do 17 you guys have anything? Thank you for giving us your 18 time for the interview. You were very -- had a lot of 19 information and I thank you a lot. 20 MR. STOVALL: No problem. 2.1 MR. TORRES: This will conclude the 22 interview. 23 (Whereupon, the above-entitled matter went 24

off the record.)

CERTIFICATE

MATTER: Accident That Occurred on

La Junta Subdivision March 13, 2016

Accident No. DCA16MR004

Interview of Nickolas Stovall

DATE: 03-15-16

I hereby certify that the attached transcription of page 1 to 34 inclusive are to the best of my professional ability a true, accurate, and complete record of the above referenced proceedings as contained on the provided audio recording; further that I am neither counsel for, nor related to, nor employed by any of the parties to this action in which this proceeding has taken place; and further that I am not financially nor otherwise interested in the outcome of the action.

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