

10**Cardinal Rules**

The following actions constitute serious violations of Amtrak's Operating Rules and Standards of Practices that put life and limb at risk. Violations of these rules will be handled with zero tolerance. Discipline for any such violations will likely lead to immediate dismissal.

1. Any unauthorized tampering with or disabling of an approved safety device.
2. Any serious failure to comply with applicable Lock Out/Tag Out procedures and AMT-2 Electrical Operation System procedures.
3. Any serious failure to comply with confined space entry requirements for all permit required confined spaces.
4. Any unauthorized use of an electronic device when operating or riding moving equipment; directing equipment movement; assisting in preparing equipment for movement; and performing service on the ground, in yards, or on main track.
5. Falsification of inspection or maintenance documents.
6. Cheating on required exams.
7. Working on, under, between rolling equipment without proper Blue Signal Protection procedures.
8. Failure to comply with Shoving and Backing Movements procedures.
9. Failure to comply with approved Fall Protection Equipment procedures where required.
10. Failure to comply with applicable Roadway Worker Protection (RWP) Procedures.

All alleged violations shall be immediately and thoroughly investigated. Findings demonstrating violations of these rules shall warrant severe discipline, likely dismissal. Should extenuating circumstances arise that warrant variation in discipline, they will be reviewed, and discipline shall be approved by the Cardinal Rules Review Board consisting of our Chief Transportation Officer, equivalent officers as necessary, and Labor Relations as necessary.