

NATIONAL TRANSPORTATION SAFETY BOARD

Office of Aviation Safety

Washington, DC 20594

DCA01MA034

Air Traffic Group Factual Report of Investigation

Attachment 2 - Air Traffic Accident Package Items, 30 pages

<u>ACTION:</u> Complete in accordance with FAA Order 8020.11A Paragraph 73, Personnel Statements. <u>BACKGROUND:</u> Much of the information concerning the circumstances surrounding this accident/incident can be retrieved via some type of recorded data source. However, some of the facts concerning what you saw and what you did may not have been completely captured. The purpose of this statement is to provide any facts within your personal knowledge that you believe will provide a more complete understanding of the circumstances surrounding this accident/incident. Therefore, speculations, hearsay, opinions, conclusions, and/or other extraneous data are not to be included in this statement. Additionally, this statement may be released to the public through FOIA or litigation activities including pretrial discovery, depositions, and actual court testimony.

This statement concerns the accident/incident involving (A/C ID) N383GA

Aspen
at (date/time) 3/29/01 0201 UTC. My name is F11c T011 (initials) EC. 1 am
employed as an (title) ATCS by the FAA at the Aspen Air Traffic Control Tower Aspen Colorado.

I was working the TC position from 0116 (UTC) to 0300(UTC).

Text of statement: I was moderately bosy on TC. I was concentration
on vectoring aircraft on the approach and handling diverts/missed,
approachs. I heard LC say what is this Gulfstream doing?

I looked back at my scope and saw that 3GA's target was at
I looked back at my scope and saw that 3GA's target was at
the Gulfstream at an unusually steep angle trying to make
the Gulfstream at an unusually steep angle trying to make
land on the Iunway. Then I saw the Gulfstream explode
land on the Iunway. Then I saw the Gulfstream explode
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I certify, to the best of my knowledge and recollection, the above statement is correct

3/29/0/ Signature and Date

ACTION: Complete in accordance with FAA Order 8020.11A Paragraph 73, Personnel Statements. BACKGROUND: Much of the information concerning the circumstances surrounding this accident/incident can be retrieved via some type of recorded data source. However, some of the facts concerning what you saw and what you did may not have been completely captured. The purpose of this statement is to provide any facts within your personal knowledge that you believe will provide a more complete understanding of the circumstances surrounding this accident/incident. Therefore, speculations, hearsay, opinions, conclusions, and/or other extraneous data are not to be included in this statement. Additionally, this statement may be released to the public through FOIA or litigation activities including pretrial discovery, depositions, and actual court testimony.

<u>INSTRUCTIONS</u>: This statement is to be printed and signed by you, and you signature below certifies the accuracy of this statement. It will neither be edited nor typed and, once signed, will constitute your original statement.

| This statement concerns the accept (date/time) 03/30/01 employed as an (title) A+CS | cident/incident involving (A/C ID) \(\frac{\cappa_2}{\cappa_2} \) UTC. My name is \(\frac{\cappa_2}{\cappa_2} \) by the FAA at the Aspen Air | at (Location) At (Location) The Location of |
|---|--|---|
| I was working the CTC | position from 0154 | _(UTC) to 0310 (UTC). |

Text of statement: I was Stand-alone CIC at the time. The ux was low. The previous aegin had executed the missed appreir. I terried to tell the TC. I then noticed N3\$3GA, the next inbound acft. on the vor DMEC appreh. I heard the LC say "What is this Gulf stream doing?" The LC then said "Oh my God, he's going to crash." The G3 was then 1.12 mike final the G3 then made a sharp bank back towards the airport. We immediately called an alert 3, then the G3 hit the side of the mouritain in the Shale Bluffs area, and there was a huge fire ball texplosion. I Called mere help up to the Dower cab, and proceeded to help the TC with the acft. in our aspec. I then made the required calls fuent through the Checklist. The LC then told me that NOGA did refert the airport in sight, but that she did not that NOGA for the Visual appreach.

I certify, to the best of my knowledge and recollection, the above statement Is correct

Mar 1111

Signature and Date

ACTION: Complete in accordance with FAA Order 8020.11A Paragraph 73, Personnel Statements. BACKGROUND: Much of the information concerning the circumstances surrounding this accident/incident can be retrieved via some type of recorded data source. However, some of the facts concerning what you saw and what you did may not have been completely captured. The purpose of this statement is to provide any facts within your personal knowledge that you believe will provide a more complete understanding of the circumstances surrounding this accident/incident. Therefore, speculations, hearsay, opinions, conclusions, and/or other extraneous data are not to be included in this statement. Additionally, this statement may be released to the public through FOIA or litigation activities including pretrial discovery, depositions, and actual court testimony.

INSTRUCTIONS: This statement is to be printed and signed by you, and you signature below certifies the accuracy of this statement. It will neither be edited nor typed and, once signed, will constitute your original statement.

This statement concerns the accident/incident involving (A/C ID) N3036A at (Location)—at (date/time) 03/30/01 0301 UTC. My name is Among Season (initials) by the FAA at the Aspen Air Traffic Control Tower Aspen Colorado. employed as an (title) ATCS

_____ position from 60 20 ____(UTC) to 0 206 (UTC). I was working the____

N3036A Checked INON THE VOR APPROACH. I ASKED N 5275A IF HE WAS THE AIRPORT INSIGHT BECAUSE I OBSERVEDHIM GOING BELOW THE MIA I HEARD A BLANKET TRANSMISSIAN OF AH NEGATIVERYOR APPROACH.

I THEN OBSERVED NEXT SAAT THE CORRECT ALTITUDE FORTHE SEGMENT OF 115 Approach SO I THEN WENT TO N303GA AND TOLD HIM HE WAS NUMBER.

2 POLLOWING A CHALLENGER SHOET FINAL WIND DYBOS RY ISCLEARED TO LAND. I AGAM OBSERVED N 5273A DESCENDING BLOW THE MIA AND ASKED IF IE HAD THE RY IN SIGHT - HE PEPILED NEGATIVE WERE GIOING AROUND. I INSTRUCT FIM TO QUIMB to 140 AND EXECUTE THE MISSED APPROACH and to CONTACT DEPART E ALKNOWLEDGED, A BIANKET TRANSMISSION ASKLDIF THE RYLIGHTS WERE AIL. HEWAY UP! I SAID AFFIRMATIVE THEY ARE ON HIGH. I OBSERVED N 3036 A DESCENDING BELOWTHE MIA I ASKED HIM IF he had the RWY IN SIGHT. HIS DESCENDING DECONING THANK RUNWAY. I STARTED TO CLEAR HIM FOR THE VISUAL BUT STOPPED MIDWAY AND JUST HSAID ROGER WHEN I DISSERVE HIM COMMING OUT OF THE WEATHER HEWAS heading toward SHALL BLUFF AND HEATHANDVER TO TO LINE UP WITH THE RUNWAY THEN HE MADE EXTREME LEST BANK AND I IMMEDIATELY GIABBED THE CRASH PRONE BUT GIOWN. DKIT FROM ME SO SOUNDED THE Gren. WHEN I OB LOOKED UP I SAW AN EXPLOS I certify, to the best of my knowledge and recollection, the above statement is correct North of SHALE ISIU FF.

I RESERVE THE RIGHT TO CHANGE OF AMEND MY STATMEN

<u>ACTION:</u> Complete in accordance with FAA Order 8020.11A Paragraph 73, Personnel Statements.

<u>BACKGROUND:</u> Much of the information concerning the circumstances surrounding this accident/incident can be retrieved via some type of recorded data source. However, some of the facts concerning what you saw and what you did may not have been completely captured. The purpose of this statement is to provide any facts within your personal knowledge that you believe will provide a more complete understanding of the circumstances surrounding this accident/incident. Therefore, speculations, hearsay, opinions, conclusions, and/or other extraneous data are not to be included in this statement. Additionally, this statement may be released to the public through FOIA or litigation activities including pretrial discovery, depositions, and actual court testimony.

<u>INSTRUCTIONS</u>: This statement is to be printed and signed by you, and you signature below certifies the accuracy of this statement. It will neither be edited nor typed and, once signed, will constitute your original statement.

| This statement concerns the accident/incident involving (A/C ID) N30367 at (Location) ASE at (date/time) 05 29 01 0 00 0 0 00 00 00 00 00 00 00 00 00 |
|--|
| I was working the $\frac{GC}{GC}$ position from $\frac{O1/8}{S}$ (UTC) to $\frac{O3/5}{S}$ (UTC). |
| Text of statement: |
| IWAS Working The Ground Control position when I Hard The Local controller say, "What is This Guifstream doing?" As I turned to look at final approach, The local controller Soid, "Oh my God, Ite's gonna crash." I saw The Guifstream Hit Behind Shale Bluffs and a fiery orange explosion appeared. I immediately rang out The crash phone. I reserve The right to change my statement at a later certify, to the best of my knowledge and recollection, the above statement is correct date when more facts are known. |
| Signature and Date 03/30/01 |
| |

Aircraft Ident FACILITY ACCIDENT/INCIDENT NOTIFICATION RECORD The order and number of calls will be determined by the situation involved. Aspen ATCT Initials Phone No. Time Caller Recipient Airport Emergency Equipment 0201 Red Phone 911 or Red phone Additional Emergency Equipment ZDV Area Sup ICSS Search & Rescue Deplove 0202 Regional Communications Center (RCC) 425-227-1999 800-551-3638 DARO Denver MCC Pager 970-947-6593 Air Traffic Manager Home 970-704-1232 0204 Cell Phone 970-618-6565 970-265-9828. NATCA facility Rep. Home 303-204-8992 0325 CH CH 0250 303-342-1580 DEN Hub Watch desk I **FSDO** Via RCC Via Dispatch State Police

ICSS FSS LINE

970-256-9463

800-851-3051

303-799-7016

303-790-0403 admin

303-790-2884 ops

M312

0225

0315

CH

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Accidents requiring telephone notification to Washington shall be made immediately following notification for emergency equipment and/or search and rescue.

Washington Headquarters notification shall be made through the Regional Communications Center whenever possible

FAX

FAX

* Notify only one

Kendra

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Den AFSS for any NOTAMS

Aircraft Operator

NATIONAL WEATHER SERVICE

Military Operator Langly AFB, VA

DEN AFSS, 8020-9 preliminary notice

FACILITY ACCIDENT/INCIDENT NOTIFICATION RECORD

Date Tolon La

Aircraft Ident

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| The order and number of calls will be de | termined by the si | tuation involved. | Aspen ATC | 7 | |
|--|-----------------------------|--|-----------|--------|-----------|
| | | | | lr Ir | nitials |
| | | Phone No. | Time | Caller | Recipient |
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| Additional Emergency Equipment | | 911 or Red phone | | | |
| Search & Rescue | <u>.</u> | ZDV Area Sup ICSS 22 | | | |
| Regional Communications Center | (RCC) | 425-227-1999 | 0202 | CH - | Debbre |
| Denver MCC | · | 800-551-3638 | 0280 | CH | GM |
| Air Traffic Manager | Pager Home Cell Phone | 970-947-6593 970-704-1232 970-618-6565 | 0204 | CH | BJ |
| NATCA facility Rep. | Home | 970-285-9828 303-204-8992 | 0345- | TY - | JA. |
| DEN Hub Watch desk I | _ | 303-342-1580 | 0250 | CH | Don Kirby |
| FSDO | | Via RCC | | | Ŭ |
| State Police | | Via Dispatch | | | |
| Den AFSS for any NOTAMS | | ICSS FSS LINE | -5-1 | · | - |
| NATIONAL WEATHER SERVICE | | 970-256-9463 | 0312 | LU | C2 |
| Aircraft Operator | | AVJET | 0225 | CH | Kendra |
| Military Operator Langly AFB, VA | | 800-851-3051 | | | |
| DEN AFSS, 8020-9 preliminary notice | FAX FAX | 303-799-7016 303-790-0403 admin 303-790-2884 ops | 0315 | LU | 76 |
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Accidents requiring telephone notification to Washington shall be made immediately following notification for emergency equipment and/or search and rescue.

Washington Headquarters notification shall be made through the Regional Communications Center whenever possible

Notify only one

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F1=GO TO LCE F2=HELP F3=LOG MENU F4=MMS MENU F5=ALARM F6=LOGOFF F7=RETRIEVE
F8=ADD SF1=PREV PAGE SF2=NEXT PAGE SF11=FIRST PAGE SF12=LAST PAGE

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ENTRY/CLOSE

MM DD YY HH MM SS USERID ACTION PASSWORD

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COMMENTS:

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BLOCK

U. S. Department of Transportation

Federal Aviation Administration

MEMORANDUM

Aviation Medical, ZDV-300 2211-17th Avenue Longmont, Colorado 80501 (303) 651-4227

Subject: INFORMATION: Air Traffic Control

Specialist (ATCS) Medical Status

Date: October 13, 1999

From: Regional Flight Surgeon, ZDV-300

Reply to: (303) 651-4227

To: ATCS Eric C. Toll

THRU: Manager, Aspen ATCT

In accordance with DOT-FAA Order 3930.3A, it is the responsibility of the individual Air Traffic Control Specialist (ATCS) to maintain a current medically cleared status. Your recent physical examination and/or medical information provided has been reviewed, and your current ATCS medical status is indicated below:

You meet the medical standards of DOT-FAA Order 3930.3A, Appendix 1, and are medically cleared for ATCS duties from 9/30/99 to 9/30/01, with the following limitations:

LIMITATIONS:

() No visual restrictions.

(XX) Must wear corrective lenses for distant vision while performing air traffic control duties.

 Must have in his/her immediate possession glasses for near vision while performing air traffic control duties

() Other

During the year, refer to National Facility and Operation Order 7210.3P, Chapter 2, Section 6, paragraphs 2-6-5 and 2-6-6, with reference to what types of restricted drugs you cannot take while performing ATCS duties. Report the use of any drugs to your supervisor, facility manager, the medical officer or his/her representative. During the interval of the annual ATCS medical examination, you shall adhere to Paragraphs 9d(2) and (3) on page 6 of the ATCS Health Program Order 3930.3A, and report any health problems or the use of any drugs to the FAA Flight Surgeon or his/her representative.

David Lynch, BSN, RN

U. S. Department of Transportation

Federal Aviation Administration

MEMORANDUM

Aviation Medical, ZDV-300 2211-17th Avenue Longmont, Colorado 80501 (303) 651-4227

Subject: INFORMATION: Air Traffic Control

Specialist (ATCS) Medical Status

Date: December 13, 1999

From: Regional Flight Surgeon, ZDV-300

Reply to: (303) 651-4227

HEND RICKS

To: ATCS Clarissa H. McClellan THRU: Manager, Aspen ATCT

In accordance with DOT-FAA Order 3930.3A, it is the responsibility of the individual Air Traffic Control Specialist (ATCS) to maintain a current medically cleared status. Your recent physical examination and/or medical information provided has been reviewed, and your current ATCS medical status is indicated below:

You meet the medical standards of DOT-FAA Order 3930.3A, Appendix 1, and are medically cleared for ATCS duties from 10/31/99 to 10/31/01, with the following limitations:

LIMITATIONS:

(XX) No visual restrictions.

() Must wear corrective lenses for distant vision while performing air traffic control duties.

() Must have in his/her immediate possession glasses for near vision while performing air traffic control duties

() Other

During the year, refer to National Facility and Operation Order 7210.3P, Chapter 2, Section 6, paragraphs 2-6-5 and 2-6-6, with reference to what types of restricted drugs you cannot take while performing ATCS duties. Report the use of any drugs to your supervisor, facility manager, the medical officer or his/her representative. During the interval of the annual ATCS medical examination, you shall adhere to Paragraphs 9d(2) and (3) on page 6 of the ATCS Health Program Order 3930.3A, and report any health problems or the use of any drugs to the FAA Flight Surgeon or his/her representative.

David Lynch, BSN, RN

U. S. Department of Transportation

Federal Aviation Administration

MEMORANDUM

Aviation Medical, ZDV-300 2211-17th Avenue Longmont, Colorado 80501 (303) 651-4227

Subject: INFORMATION: Air Traffic Control

Specialist (ATCS) Medical Status

Date: May 19, 2000

From: Regional Flight Surgeon, ZDV-300

Reply to: (303) 651-4227

To: ATCS Tammy J. Ford's

THRU: Manager, Aspen ATCT

In accordance with DOT-FAA Order 3930.3A, it is the responsibility of the individual Air Traffic Control Specialist (ATCS) to maintain a current medically cleared status. Your recent physical examination and/or medical information provided has been reviewed, and your current ATCS medical status is indicated below:

You meet the medical standards of DOT-FAA Order 3930.3A, Appendix 1, and are medically cleared for ATCS duties from 4/30/00 to 4/30/02, with the following limitations:

LIMITATIONS:

(XX) No visual restrictions.

() Must wear corrective lenses for distant vision while performing air traffic control duties.

 Must have in his/her immediate possession glasses for near vision while performing air traffic control duties

(XX) Other - EKG at next physical exam in 2002.

During the year, refer to National Facility and Operation Order 7210.3R, Chapter 2, Section 7, paragraphs 2-7-5 and 2-7-6, with reference to what types of restricted drugs you cannot take while performing ATCS duties. Report the use of any drugs to your supervisor, facility manager, the medical officer or his/her representative. During the interval of the annual ATCS medical examination, you shall adhere to Paragraphs 9d(2) and (3) on page 6 of the ATCS Health Program Order 3930.3A, and report any health problems or the use of any drugs to the FAA Flight Surgeon or his/her representative.

David Lynch, BSN, RN

U. S. Department of Transportation

Federal Aviation Administration

MEMORANDUM

Aviation Medical, ZDV-300 2211-17th Avenue Longmont, Colorado 80501 (303) 651-4227

Subject: INFORMATION: Air Traffic Control

Specialist (ATCS) Medical Status

Date: May 10, 2000

From: Regional Flight Surgeon, ZDV-300 Reply to: (303) 651-4227

To: ATCS Gentri L. Engelke THRU: Manager, Aspen ATCT

> In accordance with DOT-FAA Order 3930.3A, it is the responsibility of the individual Air Traffic Control Specialist (ATCS) to maintain a current medically cleared status. Your recent physical examination and/or medical information provided has been reviewed, and your current ATCS medical status is indicated below:

You meet the medical standards of DOT-FAA Order 3930.3A, Appendix 1, and are medically cleared for ATCS duties from 12/31/99 to 1/31/02, with the following limitations:

LIMITATIONS:

- () No visual restrictions.
- (XX) Must wear corrective lenses for distant vision while performing air traffic control duties.
- (XX) Must have in his/her immediate possession glasses for near vision while performing air traffic control duties
- (XX) Other EKG due at the time of next periodic exam in 2002.

During the year, refer to National Facility and Operation Order 7210.3R, Chapter 2, Section 7, paragraphs 2-7-5 and 2-7-6, with reference to what types of restricted drugs you cannot take while performing ATCS duties. Report the use of any drugs to your supervisor, facility manager, the medical officer or his/her representative. During the interval of the annual ATCS medical examination, you shall adhere to Paragraphs 9d(2) and (3) on page 6 of the ATCS Health Program Order 3930.3A, and report any health problems or the use of any drugs to the FAA Flight Surgeon or his/her representative.

David Lynch, BSN, RN

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A Form 7230-10 (6-94)

CODE:

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S - Supervisor/Staff Spec.

T - Trainee/Developmental

AA Form 7230-10 (6-94)

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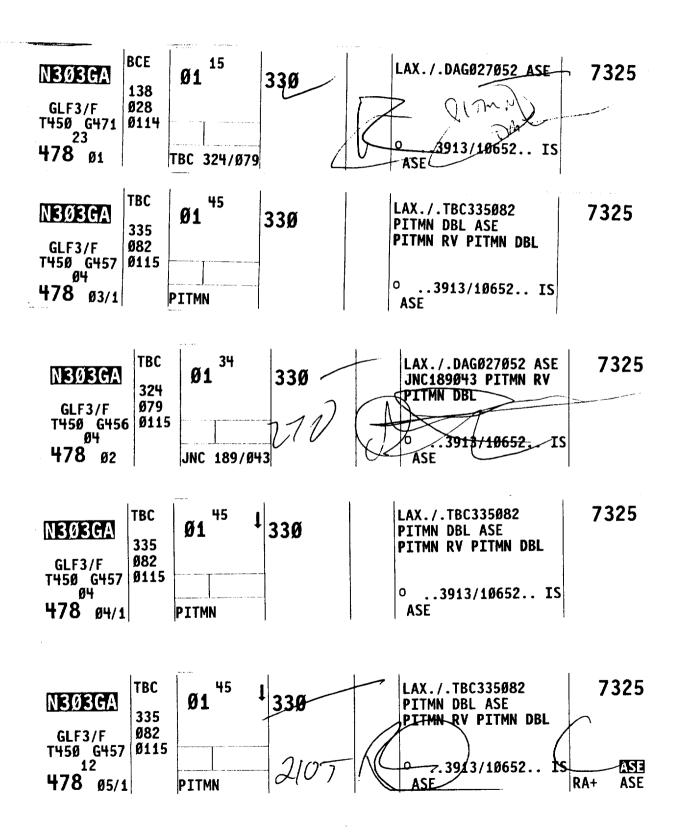
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+-----* DATE: 03/30/01 MAINTENANCE MANAGEMENT SYSTEM TIME: 09:42:56 *
COMMENTS RELATED LOG ID: ZDV 01 42186 1 LOG ID NUMBER: ZDV 01 42186 (2) ENTRY TYPE: LCE STATUS: X CODE INT REP OPEN/START
CAT SC COND MAC COD MM DD YY HH MM SS FAC/ LOC SHORT EQUIP SERV IDENT NAME IDENT VOR DBL SYS X 03 30 01 06 29 00 51 4 COMMENTS: DG1 330 VOR CERTIFIED VOR certified at 0540 by Don Gilbert, ATSS assigned to GJT SSC and observer Joe DeHerrera, GJT SSC Coordinator. Reference master LAD # COMMENT MM DD YY HH MM SS USERID NM1E DG1 ACTION PASSWORD F1=G0 TO LST F2=HELP F3=LOG MENU F4=MMS MENU F5=ALARM F6=LOGOFF F7=RETRIEVE F8=ADD SF1=PREV PAGE SF2=NEXT PAGE SF11=FIRST PAGE SF12=LAST PAGE RETRIEVE SUCCESSFUL -- WARNING! FACILITY/SERVICE MAINTAINED BY SECTOR NMIE

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