# UNITED STATES OF AMERICA

# NATIONAL TRANSPORTATION SAFETY BOARD

Investigation of:

CAPSIZE AND SINKING OF STRETCH DUCK 7 \*

ON TABLE ROCK LAKE, BRANSON, MISSOURI, \* Accident No.: DCA18MM028 JULY 19, 2018 \*

Interview of: CWO

Via Telephone

Tuesday, July 24, 2018

#### APPEARANCES:

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CWO U.S. Coast Guard

LCDR National Technical Assistant Investigation National Center of Expertise U.S. Coast Guard

MISSOURI State Highway Patrol (MSHP)

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# 1 INTERVIEW 2 (11:08 a.m.)MR. MUISE: This is Marcel Muise with the National 3 4 Transportation Safety Board. It's 11:08, we're in Branson, Missouri, on, I'm sorry, it's July 24th, investigating the loss of 5 6 the Stretch Duck 7. And with us on the phone is Sector Upper 7 Mississippi River Inspections, Mr. Can you tell us your name, rank, position there, and spell your name for us? 8 9 CWO Yes, my name is 10 I'm a chief warrant officer with the United States 11 Coast Guard, and a marine inspector assigned to Sector Upper 12 Mississippi River. 13 MR. MUISE: Commander, just for the transcriptionist, can you 14 identify your name and your voice? 15 LCDR Good morning. My name is Lieutenant 16 I am with the Investigations National Commander 17 Center of Expertise in New Orleans, Louisiana. 18 MR. MUISE: Trooper 19 with Missouri TROOPER And Trooper State Highway Patrol Marine Division. 2.0 21 INTERVIEW OF CWO 22 BY MR. MUISE: 23 just, let's start with some housekeeping items. Q. Mr. 24 How long have you been in the, with the U.S. Coast Guard? 25 Twenty-seven years and about, let's see, going on seven

- 1 months, six months, six months.
- 2 Q. What is your prior enlisted rating?
- 3 A. I was a marine science technician.
- 4 Q. And how long have you been an inspector?
- 5 A. At Sector Upper or total?
- 6 Q. Total.
- 7 A. I've been an inspector since 2008.
- 8 Q. And how long at Sector Upper?
- 9 A. I've been in Sector Upper since 2013. I was in the
- 10 investigations shop when I first reported here though, so I spent
- 11 the first two years over the investigations, and I've been in the
- 12 inspection shop since March of 2015. So, a little bit over three
- 13 at inspections.
- 14 Q. Do you have tours at other offices as an inspector?
- 15 A. Yes. Oh, as a matter of fact, I told you I was an inspector
- 16 | since 2008, I need to correct that. I started inspections in
- 17 | 2006. I was in Sector New York as an inspector up there, then I
- 18 | transferred to Marine Safety Detachment Nashville, Tennessee where
- 19 I was an inspector and an investigator because we're a small
- 20 office so we do dual roles. I did that for six years there. And
- 21 | then I came to Sector Upper Mississippi River where, like I said,
- 22 I was assigned to the investigations department from July of 2013
- 23 until March of 2015, and had been in the inspection shop since
- 24 March of 2015 to present.
- 25 Q. In your prior tours, have you inspected or investigated Duck

- 1 boats?
- 2 A. When I was in MSD Nashville, we had Ducks in Chattanooga, The
- 3 | Chattanooga Ducks. Investigations were primarily just minor
- 4 marine casualties. We never had any serious marine casualties or
- 5 | major marine casualties with Ducks while I was in Nashville when I
- 6 inspected on the six years that I was there.
- 7 Q. Okay. Specifically about this year, did you participate in
- 8 annuals, or COIs, or hull exams, or the drills at Ripley's this
- 9 year?
- 10 A. The only think I've participated in this year was drills.
- 11 Q. Okay. Can you touch on that real briefly? How did it go
- 12 this year compared to others?
- 13 A. I take that back, I'm sorry. I thought we were talking about
- 14 Stretch Duck 7 too. I've participated in a couple, and I don't
- 15 know how many activities I've done this year, but I, we go down to
- 16 Branson every month. From the beginning of the year, we're down
- 17 | there pretty much every month from January through May or June.
- 18 I'd have to go back and look at my records to see which trips I
- 19 made it on. So I have inspected Ducks this year, and then, like I
- 20 | said, I also did Duck trainings. Sorry about that.
- 21 Q. Okay. Regarding Duck training, is there something specific,
- 22 a common problem that you run into there or something you have to
- 23 | continuously reinforce with the operator?
- 24 A. I'd say common problems. We do do Duck training. We go down
- 25 there typically in April or May, this year I believe it was May.

- 1 And there's very low issues, there was a couple of new people that
- 2 | were, either had their captain's license or were working towards
- 3 | it, so there were some new people that had done some training with
- 4 Ride the Ducks and had attended our training too. And like I
- 5 said, I'm not sure, some of them had their captain's license, some
- 6 of them were working towards it. Nothing stands out as problems.
- 7 For the most part, the training has gone pretty well.
- 8 Q. On the hull or COI side of the inspection, is there common
- 9 deficiencies that you look for with them?
- 10 A. Say again? The hull and --
- 11 Q. And the COI or the annual, is there a common --
- 12 A. -- COI?
- 13 Q. -- thing that you have to continuously reinforce?
- 14 A. Nothing really stands out. We go, like I said, we go through
- 15 | a, an array of things with the checklist. I'm trying to think of
- 16 anything that specifically stands out that we need to reinforce.
- 17 | I don't know if this specifically stands out, I mean every once in
- 18 | a while we run into the normal with most of our operators, where
- 19 | we would go through their first aid kit, and there's an expiration
- 20 date for several different items in there, and like any other T-
- 21 | boat operator, you know, the operant, those expiration dates vary,
- 22 | so you could have Aspirin, and burn gel, and something else inside
- 23 there and they all have different expiration dates.
- So that's one thing, you know, we tend to, you know, a lot of
- 25 Subchapter T-boat operators, it's just, you know, they haven't

paid attention to Aspirin or something along those lines being out of, out of expiration. So that's, I would say that's probably a common one amongst the fleet. And I'm not talking about like, you know three to four years, I'm talking like, they went out of

So most of our T-boat operators in Sector Upper are seasonal ones, and we tend to get onboard them outside their normal operating seasons, because we usually do them, like I said, during the late fall and spring. That's one of the things that we, you know, they really hadn't gone through it and checked their stuff. That would actually be the most common one, and it also applies to

Ducks as any other of our vessels. Other than that, like I said,

it's just, it's varying things that you'll find.

expiration in the past couple months or so.

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Q. On the hull side, is there a specific spot that you'll, that you look at that you, that you're concerned with? Either a weld or corrosion?

A. Just that we, just any part that comes into contact with the water or is just above the water line. Especially the ones that are just above the water line. We're talking about that area where it's maybe not always in contact with the water, but it's in contact with water and then out. We're looking at that, but pretty much when we're doing hull exams, we go down before it goes into the water, we are, you know, doing an entire walk of the vessel. We're not crawling under it, but we're looking like in the wheel wells, typically some spots we, that we have seen once

we're in the water is the steering box. Where the steering box is, we've had issues where we've had like, water trickle in, and that's maybe something going back to your previous question that we've maybe seen. I wouldn't say it's become an issue, but where we've seen it a couple of times when we go inside the water.

We'll see a little trickle in that steering box area, and they usually have it fixed before we ever depart, because we're usually there for several days. But, we're paying attention to pretty much all of the areas.

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We do a waterborne test when we first get down there. So like I said, we first walk around the boat, look at all of the, just, where I'm paying attention is to, is the areas where they, where they have their external ribs, once again just because there's areas where that, since that rib kind of hangs over, you look up inside there to see if you see any corrosion behind those ribs. Don't see it as much here because it's freshwater, but we have had Ducks back here that have worked in saltwater environments and you see more big issues in those areas.

But we check those areas, check, like I said, check in the wheel wells, I'm looking back in there where the prop tunnel's at and the rudder, specifically looking at the welds, looking at the condition of the metal, and then we do a waterborne test. And that's where these Ducks are always prepared to where we go onboard them, all the seats and floorboards are removed, and where they're doing their annual inspections. So, you know, the

waterborne test, and once again, checking for any areas where we may have water leaking in from the outside in.

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And then when we go back to the shop to do the remainder of the inspection, once again, we're inside of it. Then we're mostly checking equipment stuff, but then at the very, the last thing we do, we're done with all of that, is they'll jack it up and we'll actually go on crawlers.

And I typically start from the bow and I will use the crawler and work my whole entire way back, and I'm looking at, you know, underneath hull, I'm looking at the drive tubes, I'm looking at, looking at the spot where they have their drain plugs, I'm looking at any spot where, you know, I could possibly see corrosion, wastage.

If I'm back out and, maybe now the rudder, it's been out of the water for a while, if I suddenly, since we've been out of the water for a while, I shouldn't really expect to see too much water dripping from there. If I notice a spot where there's water dripping, maybe I'll look a little bit closer at that because maybe the water has got trapped up in either the metal or maybe some of their coatings has kind of peeled away in a certain section, and then I'll check that area to see if it's just water coming out from behind the coating, or if I do actually have, you know, another issue there. So --

Q. You mentioned saltwater. Does the Ride the Ducks rotate their boats around the country? Have you seen a boat come from

saltwater back here?

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A. Not that they rotate them around as much. Since Ride the Ducks Branson, this was, you know, prior to Ripley's owning it now, but Ride the Ducks Branson in the past, when they basically had agreements with some of their franchises in Seattle, and San Francisco, and Boston, and Philadelphia, I think, I don't remember seeing a Boston one, because I think Boston handles all their stuff, but I know sometimes Ride the Ducks would get vessels back from like, some of their other franchises, and they'd send them back there sometimes for repairs.

And then, just recently since the Philadelphia branch shut down, a lot of the Philadelphia Ducks have been brought back, so those are one that primarily operated in saltwater. And so some of those are out of service, some Ripley's and Ride the Ducks Branson has chosen to bring into service in their fleet and deactivate some of their old vessels.

So we do look at them, but it's not typically like they're rotating, like sending them here, and then sending the freshwater ones out there. It's normally when they've been shutting the ones down, at least, at least in my time that I've been in inspections for them here, is normally when they close a branch down like in San Francisco or Philadelphia and the fleet gets sent back here.

23 So --

Q. And the requirements for hull exams is different from saltwater to freshwater, is that correct?

- 1 A. That's correct.
- 2 Q. And that would be noted on the vessel's file somewhere?
- 3 A. That'd be, it's notated in the vessel's actually, their
- 4 | previous COIs that it's came from Philadelphia and operated in a
- 5 saltwater environment, it would, it would be documented on their
- 6 COI.
- 7 Q. Okay. The boats in Nashville, are they a franchise of Ride
- 8 | the Ducks?
- 9 A. No. The ones in Chattanooga are actually independently owned
- 10 by, I think it's still called Chattanooga Ducks I believe.
- 11 Q. So, your inspection rhythm, is, was it the same in
- 12 Chattanooga as it here? I mean, you go down there, you do the,
- 13 what you can out of the water with the maintenance people, and
- 14 then come back and do drills with the crew, is, was it a similar
- 15 | system in Nashville?
- 16 A. It was a little different in Nashville because we typically
- 17 only had, at any given time, I think when I first got there they
- 18 may have had four, and then there was some, and they were
- 19 basically, they were on lease from a guy who owned them. He did,
- 20 | the guy who actually owned them didn't operate them, so he leased
- 21 them to Ride the Ducks, and during my time there, he decided he
- 22 | didn't want to lease them anymore and he took them all back. And
- 23 then Ride the Ducks, or not Ride the Ducks, Chattanooga Ducks had
- 24 some of their own, and purchased some from other places. I think
- 25 they actually purchased an old one from Boston when Boston started

replacing their Truck Ducks. But they only had, like I said, new normally three or four so it was a little different.

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We did all of this, where Ride the Ducks we'll go down there starting in, like I said, September, October, and inspect Ducks all the way through April or May. Down there it was pretty much, we'd go down there in the spring, because they pretty much shut down all operations in Chattanooga. We'd go down there and inspect, you know, either the whole fleet at once, or at least two. And things were a little different because in Chattanooga, they didn't, they didn't remove all of the floorboards, and all of the seating, and of the, all of the stuff that Ride the Ducks does for us.

They, if we were typically going out there to an annual, the annual, all that stuff was left in, and we were basically removing floorboard and using a flashlight to look under the floorboards, and under the seats, and under everything else, where Ride the Ducks, every year we go down there, they basically rip everything out and let us see the entire inside of the Duck.

So I was kind of -- when I came back to St. Louis, the first time I went down and inspected out there, I was kind of surprised by that, because it wasn't an ISC or a hull exam we were doing, so I asked why everything was out. And the inspectors here informed me, well that's the way they're always done. And I was like, wow, in Chattanooga, they only took them out at the five year, five year interval for dry dock and ISC, so I thought that was quite

nice, because I didn't have to worry about crawling in and around
seats and under floorboards and stuff.

But everything else, as far as everything else goes, the inspection, we would do a water, we would typically, we're here, we do the waterborne test, and then come back and do the annual or COI. In Chattanooga, we actually did the COI or annual on dry land and then we went and actually did the waterborne test at the end. Once again, since Chattanooga only had a handful of employees, and we would only do their inspections in the spring right as the season was getting ready to start, we would actually get underway with the actual captains that were there, because they only had like two or three I think. And one was the actual owner/operator, and we would actually do the underway portion with the captain that day.

So, here since we have such a big fleet and we do them all throughout the year, that's why we typically do the captain's training in April or May when the captains are all coming back.

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- Q. Have you ever come across doubles, or epoxy, or resin being used to make repairs to a hull on Ducks?
- 21 A. I, myself, no I have not.
- 22 Q. Okay. Are you familiar with the Miss Majestic incident?
- 23 A. Yes.
- Q. Have you read both the Coast Guard report and the NTSB reports?

- 1 A. I read them. It was a while ago, but yes I have read them.
- 2 Actually, when I first started inspecting Ducks in Chattanooga,
- 3 since it was the first time I was exposed to this type of vessel,
- 4 I'm, I read through them.
- 5 Q. Do you know if the crews at Ripley's are familiar with those,
- 6 | that case?
- 7 A. I'm not sure exactly. I know, like I said, sometimes we'll
- 8 go down to do the captains training. When we're going through
- 9 stuff we may, we may mention something in that, but I, like I
- 10 | said, I really can't direct recall.
- 11  $\mathbb{Q}$ . How about other cases from around the, around the world? The
- one in Seattle, or the ones in London, or Liverpool?
- 13 A. Not sure. I do know when I would go down and do captains
- 14 training, I would a lot of times use the Philadelphia one as an
- 15 example because even though a lot of stuff in that specific case
- 16 was pointed to the barge operator, the towboat operator that was
- 17 | pushing the barge, and his lack of eyesight, I use it as a case
- 18 example like you can't always expect that you are going to be seen
- 19 by another vessel, so in that case they went dead in the water.
- 20 So I'll say, hey, if you're dead in the water, and we don't
- 21 require our Ducks to carry flares because of where they operate, I
- 22 | tell them, you don't have flares so you need to make sure you make
- 23 yourself visible and sound (indiscernible), so, you know, lay on
- 24 your horn, try to call on the radio. Now, we don't have as big
- 25 commercial traffic there, but I mean, that also applies to

recreational traffic, but that's probably the one activity that I use the most because, you know, I've read that activity and I

3 actually really have it read it thoroughly. I've heard about,

like I said, I know a lot of stuff was placed upon the captain in

the towboat in the barge, but I think that there can be lessons

6 learned from, on the Duck side too, so I usually use that as a, as

7 a nice learning tool. So --

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8 Q. And that practice is just good inspector practice on your

9 part? Or is there a formal way for, to get information from those

10 incidents to inspectors and the crews?

11 A. Just I guess probably just good inspector practice on my, I

mean, it's just something that I'm, like I said, I've just kind of

13 used immediately when the Philadelphia one happened, I was down in

14 Chattanooga when that one happened, and the very next time I went

out and inspected, I just shared that information with the captain

16 like, hey, you know, you guys, once again, you operate in an area,

17 and in Chattanooga, they were in a river system where there was

some other passenger vessels, and an occasional barge and tow went

19 through there.

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20 And so I just kind of used it as a, you know, hey, and I

21 think -- I don't think our Ducks in Chattanooga were carrying

22 flares at the time, and I think they may have put them on after I,

or I think I at least made the recommendation that, you know,

24 maybe they do that even though they weren't required to carry it.

25 That way, you know, because I said, if you're getting ready to be

- 1 | run over by a tow boat, maybe you could, you know, fire a flare
- 2 off and it'll land, or do whatever you can to make yourself
- 3 visible. So, it's in no formal thing, just something that I like
- 4 to share with lessons learned when I redo some of these things.
- 5 So --
- 6 Q. Have you, do you have access to the War Department Technical
- 7 Manual in your office?
- 8 A. I've, if we do, I haven't, I haven't looked at it.
- 9 Q. Is there any OCMI or district policy in addition to the NVIC
- 10 101 available for Ducks?
- 11 A. We just have the Duck, the Duck (indiscernible) 101 is what
- 12 | we use, what we have here.
- 13 Q. How about in Nashville? Was there OCMI specific manual for
- 14 Ducks?
- 15 A. No, we didn't have any in Nashville other than the Duck NVIC.
- 16 Q. The --
- 17 A. I mean, no written policy.
- 18 Q. The drills, is there, do you have a way of capturing who was
- 19 there when you did drills and training? Like which captains,
- 20 which deckhands?
- 21 A. No. I mean, we, I've never written them down.
- 22 Q. And one final question. Are you familiar with the
- 23 | requirements to get, or how to get a Duck endorsement on your
- 24 merchant mariner credential for the captains to get their license?
- 25 A. No.

1 MR. MUISE: That's all I have actually. Commander, do you 2 have any?

3 BY LCDR

- 4 Q. Good morning, Mr.
- 5 A. Yes.
- Q. Lieutenant Commander just a couple of follow up questions for you. Do, I understand that there was a checklist used at Sector Upper Mississippi River with regards to the Ducks.
- 9 Is that a requirement for all inspectors who go out on Duck
- 10 inspections?

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11 We don't require it, it's just something that since I've been 12 here we all use when we make up the folder to go down there. 13 usually, you know, we schedule an inspection, we'll print out that 14 inspection, that then becomes our coversheet, and the person 15 that's printing it out may, you know, write little notes down on 16 there or whatever, I, at least I do. And then what's kept inside there is if it's a COI, there's a COI application, we'll put that 17 18 inside there. If there's no COI application, then we'll just put 19 a copy of the Duck inspection checklist in there.

And usually we bring a copy of the previous issued COI just so that we have it for reference so we're not, you know, fiddling around with it once we're out there, because they keep the originals at the office, so we just happen to have that.

But to my knowledge since I've been here, most of us, the inspectors just use it. And nobody's ever told me it's a

- 1 | requirement to use it, it's just a good handy reference to have
- 2 | when you're going out there --
- 3 Q. Understood.
- 4 A. -- so they are a little different than our normal Subchapter
- 5 T-vessel.
- 6 Q. All right. And a little bit of a housekeeping, has that
- 7 | checklist been sent to the Sector email address set up with regard
- 8 to this response?
- 9 A. The MMC one, yes it has.
- 10 Q. Thank you very much. I appreciate that.
- 11 A. You're welcome. You're welcome.
- 12 Q. How receptive is Ride the Ducks Branson to discussions of
- deficiencies prior to issuance, after issuance in getting them
- 14 resolved? Are they receptive to those things in your experience?
- 15 A. Yes. I would say in most, my experience with most of this
- 16 stuff, like I said, a lot of times when we're down there, we're
- 17 doing several other inspections, and typically they're, maybe one
- 18 of the first day or two that we do, so typically a lot of the
- 19 stuff they can get rectified before we even depart on, and that's
- 20 why we always kind of leave an extra day at the end, because it is
- 21 a long drive. We usually leave whatever our last day is, that
- 22 morning, to go by to any of our vessels, but since Ride the Ducks
- 23 has a lot of vessels, we will typically leave that open to go
- 24 | follow up if anybody has a deficiency that they can clear prior to
- 25 us leaving.

And normally, in my experience, a lot of those are usually cleared either the day, the day we do the inspection, or definitely by our last day there. Some of the lingering ones, and, you know, and it also depends upon the timeframe. Some of the lingering ones, they're usually pretty good about getting back to us on them. The only one that we had a little issue with this year was when they had the Ducks that they were bringing from Philadelphia and bringing them back online, they have to, we want to know all the plans, and especially if it's coming from Philadelphia, we went over, we want to know all the plans and reviews, review letters and stuff that applied to that one, and we also require them to do a weigh ticket to make sure that the weight doesn't change drastically, and they have a spreadsheet for that to determine that they're still within their limits.

And this year took a little longer. Now, they weren't using those Ducks, and then when push came to shove, it was getting ready to be Memorial Day weekend, they asked if they could use them, and the captain of the port said, no. You know, these requirements have been out there, so you need to have that done before you can, before you can use them. And they got them, within 48 hours they got it to us.

And so typically the, yeah, most of the stuff is followed up in a timely manner. I would say probably, once again, comparing them to some of the other T-boat operators, probably better than most because some of our T-boat operators, we're calling them as

- 1 | we're getting close to, like say we gave them 14 days or 30 days,
- 2 | we're calling near the deadline to remind them. The Ducks, we
- 3 | typically don't have to. I'm not saying we never have to, but we
- 4 typically don't. Usually they're pretty good about getting on it
- 5 and getting it back to us. So --
- 6 Q. Thank you. The concept, or I'm sorry. One follow up to
- 7 | that. I understand you were present on the last inspection
- 8 regardless of annual or COI on Stretch Duck 07, is that correct?
- 9 A. Yes. I did the annual back in November.
- 10 Q. And there was no difference in company receptivity on any
- 11 issued deficiencies or discussion of anything that sounded like a
- 12 deficiency?
- 13 A. No. In the Stretch Duck 7, you know, looking back through
- 14 | the narrative, there actually was no deficiencies --
- 15 Q. Okay.
- 16 A. -- on Stretch Duck 7 when I did the annual back in November.
- 17 Q. Okay. And you also mentioned recent requirement to weigh
- 18 Ducks coming into operation. What is the reason for that with
- 19 regards to ranges that you, that you mentioned? Can you, can you
- 20 elaborate?
- 21 A. All of Ducks here except for Stretch Duck, I believe it's 4,
- 22 | but don't quote me on that, have incline stability. So
- 23 calculations were used, not simplified, Stretch Duck 4 was still a
- 24 simplified, and I believe that's no longer in service.
- But anyway, so because of them having the stability based

upon calculations, they had weighed the Duck, and we usually make them weigh it every COI to ensure that it's still, it remains within the two percent of the weight so that no re-stability has to be done. So that's a requirement that apparently has been placed on them before I ever got here, and so it's just at every COI we make them do a weight ticket.

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Now, if they were going to do drastic changes that were going to, you know, that we knew just from the actual work that they were going to do was going to increase the weight significantly, we'd have it done right away. But we're talking about, you know, maybe switching from one bilge pump to another bilge pump, or I'm trying to think. They added, they took off a seat and added narrator seat, a jump seat for the narrator, there used to be a two-person seat and they added a seat.

So any kind of, you know, just changes like that are, that are, you know, where they give us, they give us the amounts, the weights, and if it's, if it's not, if we know it's not going to, you know, tip the scales, then at the COI, like I said, they'll have it, they'll have them go run it across a certified scale and then provide us a weight ticket. And then that's compared to their spreadsheet on what the parent weight for the, for the incline that was done on that particular Duck or that parent Duck, and it's compared to that. And with, if it's within two percent, then there's no need to do another incline. So --

Q. Then, that requirement to do the weight at the COI, is that

- 1 | common for all Ducks nationwide? Is that a Coast Guard wide
- 2 requirement?
- 3 A. I don't know. I can only tell you what we do here. I know
- 4 | in Nashville, it wasn't. All their Ducks were based on a
- 5 | simplified stability. So I just know here because, like I said,
- 6 | all of ours except for the one were based on incline. That's what
- 7 we did here. So that's what we do here.
- 8 Q. Is it common for other vessels to weigh them at the COI Coast
- 9 Guard wide or requires --
- 10 A. No.
- 11 Q. -- some kind of --
- 12 A. Whatever, just because other --
- 13 Q. -- equipment?
- 14 A. Because other vessels aren't Ducks, so they can't drive onto
- 15 a certified scale.
- 16 Q. Right.
- 17 A. So what happens with other Ducks is we keep a log of weights
- 18 that come off and weight that's gone on, and it's like our big
- 19 Duck, like the, or not big Duck, our big vessels like the Showboat
- 20 Branson Belle for instance, they keep records of that and then we
- 21 basically when it, when it looks like into a threshold, we
- 22 | basically tell them, you know, listen, you need to basically prove
- 23 to us through having another naval architect or engineer come out
- 24 and do the calculations to prove that you guys are still within.
- 25 Because we're talking on there, they're adding, once again, a lot

- 1 of weight when you're talking about the Showboat Branson Belle,
- 2 | but when they're adding like big commercial size dishwashers and
- 3 maybe taking off that generator and, you know, replacing a
- 4 generator, or anything they're doing on site there, that's stuff
- 5 that's kept in a log over there, and it's not really the COI, it's
- 6 when the OCMI and the inspector determines that it's time for a
- 7 | new one. So --
- 8 Q. I see.
- 9 A. -- but since the Ducks can be driven on a scale every, you
- 10 know, any day, but definitely every five years, it's just easier
- 11 to do them by weight where, like I said, the other vessels you can
- 12 | get, you can't pull them out and put them on a scale.
- 13 Q. So weighing, sir, if I understand correctly, you're weighing
- 14 the Ducks on a scale at a COI only, is it only because essentially
- 15 they can, they can be weighed and so you have the ticket? Or is
- 16 there a specific reason why they're being weighed at the COI?
- 17 A. Two reasons. One because they can, so it's like I said, it
- 18 just makes it easier than having them go out and do another
- 19 deadweight survey or an incline or whatever on it, so that's the
- 20 option is they can go on and actually have their actual weight
- 21 | taken. And two, like I said, it's just, once again, it's just
- 22 | checks and balances to make sure that we're not out of, out of
- 23 whack from where their original weight was. So --
- Q. And the law that you mentioned on the Branson Belle vessel,
- 25 that has no connection to Duck boats, but --

- 1 A. Yeah.
- 2 Q. -- do you require that of other vessels in the, in other
- 3 inspected vessels that are not Duck boats, a log to basically
- 4 track potential weight change over time?
- 5 A. Yes.
- 6 Q. Understood.
- 7 A. Like any vessels, that's going to be based upon, like a said,
- 8 like a deadweight survey or a, or a, or an incline or something
- 9 where it's specific calculations related to weight. And then
- 10 vessels can have simplified stability tests, we can at any time
- 11 make them redo a simplified stability test. So --
- 12 Q. Understood. The, I'm going shift gears on you and just go to
- 13 drills.
- 14 A. Okay.
- 15 Q. What I understand is that the drills are done for the
- 16 captains. There's a waterside inspection of the vessels where a
- 17 | drill is conducted on an annual and a COI, but then in addition,
- 18 there is a collective drill event for the captains. Is that
- 19 | correct?
- 20 A. No.
- 21 O. No?
- 22 A. No it's not correct. When we go down and do their COIs or
- 23 annuals, we are with a mechanic from Ride the Ducks back --
- 24 O. I see.
- 25 A. -- at the mechanic shop. They're the ones that are getting

- underway with us, because at that point, like I said, the Duck's 1 2 basically gutted. So there's no -- it's not outfitted the way 3 it's going to be when a captain's onboard. So we're on there 4 basically just doing the waterborne test for basically hull integrity and to make sure, you know, all the bells, and whistles, 5 and gauges are reading properly and stuff like that.
- 7 specifically inspecting the vessel when we do an annual or COI as

far as with the mechanics. 8

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- And then what we offer to Ride the Ducks, because like I said, their captains aren't around year round, what we do for them is when their, when their captains are hired back, usually like I said, March, April, May, we will offer a day to come out there and do whatever captain's training, or do captain's training for whatever captains they send out to us. So --
- So, two points to follow on that, and I will be able to pass it on. But the, so captains are not necessarily present or see the Coast Guard outlining any issues or deficiencies with regards to the hull or mechanical operation of the vessels because they're not present for those inspections, is that correct?
- That's correct. I, there's been, every once in a blue moon I've had a captain like show up so he can see what we do, but for the most part, no, the captains are not present when we're doing any actual COI or annual inspection of the vessel, nor when we read the deficiencies, that's correct.
- And is possible for a captain within the full complement of

- 1 | captains within Ride the Ducks Branson to not have a Coast Guard
- 2 member present while, like not have anyone listen to his
- 3 | announcements, is, they can basically not show up for the, what,
- 4 I'm sorry, for the lack of a, what do you call the drill day for
- 5 | the captains? The water, is it called the waterside inspection?
- 6 A. We do the captain drills training.
- 7 Q. Captain drills training.
- 8 A. Yeah.
- 9 Q. Is that a requirement?
- 10 A. Is it a requirement? No. It's something that, like I said,
- 11 | we've, since we don't, since our typical other examinations for
- 12 other inspected T-vessels and K-vessels we're out there doing an
- 13 annual inspection, and whatever captain shows up to do that
- 14 inspection that day, I'm not talking about Ducks, I'm talking
- 15 about the other boats, whatever captain shows up to do that
- 16 inspection for the day, that's the captain that we'll run through
- 17 | the drill, and that would give us a safety orientation, and
- 18 everything that that captain has to do.
- 19 So since Ride the Ducks, we don't have, we don't do that
- 20 | because we're not, they're not attending the annual ones, we offer
- 21 that, like I said, for them. And then Ride the Ducks basically
- 22 puts it out there and has the captain show up. So, yes, there, in
- 23 any given, and I, and I don't know how many captains they employ,
- 24 | but typically I, based upon the numbers that are showing up, we're
- 25 | not doing the entire full complement of, you know 20-something

- 1 | captains if that's what they have. We're doing, you know, maybe
- 2 | half of that that show up on the day that we're out there to do
- 3 the training. So --
- 4 Q. So it is possible that the --
- 5 A. So --
- 6  $\mathbb{Q}$ . -- to have a conflict every time that there's on a Coast
- 7 | Guard waterside captain's drill, and as a captain, and not be
- 8 present for it, and not see any kind of, I guess I should say
- 9 reason why that would be seen as a fault or a concern by the
- 10 company's side or by the Coast Guard's side. It's not a
- 11 requirement for a captain who works for Ride the Ducks Branson to
- 12 do a Coast Guard observed drill waterside?
- 13 A. No. And all, and all the, and the regulations say is that,
- 14 you know, we're required to basically, you know, we're not
- 15 required to. The regulations don't say we're required to. When
- 16 we go out there, typically we go out, do our inspections as the
- 17 | regulations say we're required to, but we do witness drills. And
- 18 then, and of course that's when we're out there that one time
- 19 during that whole operating season. And then, you know, then
- 20 | they're required in the regulations is that the captains and
- 21 operators are doing their own training for firefighting, life, man
- 22 | overboard, abandon ship, they're supposed to be doing their own
- 23 drills.
- 24 So, like I said, typically even with our other T-boat
- 25 inspections, if they have three or four captains employed, and the

- 1 day we're out there doing the inspection, one, only one's
- 2 | available, that's the only one that we're going to actually
- 3 | witness that year doing the whole thing. There's no requirement,
- 4 | like I said, that we witness all four captains do it if that's
- 5 | what they have employed for any particular company.
- 6 Q. Understood.
- 7 A. At least in the regulations, so --
- 8 Q. And there's no requirement for captains to be aware of active
- 9 835s that are on the vessels that they're driving? Say that, say
- 10 | they've got like a repair within 15 days on the Duck that they're
- 11 taking out that day, they might not even know that they have an
- 12 835 for, or associated with the Duck that they're driving, is that
- 13 possible?
- 14 A. That's possible. Not unless, not unless the company were to
- 15 notify them.
- 16 LCDR Understood. Thank you.
- 17 CWO No problem.
- 18 LCDR I'm going to pass you on.
- 19 MR. MUISE: Trooper
- 20 BY TROOPER
- 21 Q. Yeah, with the Missouri State Highway Patrol.
- 22 And just to clarify, so you were on the annual inspection for the
- 23 SD7 this last time, is that correct?
- 24 A. That's correct.
- Q. And what was the date of that again? Month and year is fine.

- 1 A. It was in November.
- 2 O. Of '17?
- 3 A. November 2017, correct.
- 4 Q. Okay. And as part of that annual inspection, do you guys
- 5 | also check as part of the, I don't know, my terminology is
- 6 probably a little off, but the, is it a safety part of that
- 7 | inspection to check the side curtains to make sure that they
- 8 raise, lower, and deploy as needed?
- 9 A. Yes.
- 10 Q. Okay.
- 11 A. Yes. When we get back to the shop on every single annual and
- 12 COI, when we get back to the shop, they actually have these arms
- 13 that they'll outfit the sides of the vessels, and, that way they
- don't drop to the floor of the shop or hit anybody in the head,
- 15 that, these arms, we have them lower it down to its position, and
- 16 then yes, we have them show that they can be pushed out.
- 17 Q. Very good. Thank you.
- 18 A. Yes.
- 19 TROOPER No further.
- 20 MR. MUISE: Hey, Mr. this is Marcel Muise with the
- 21 NTSB again. I do have a couple of follow-up, or a few follow-up
- 22 questions.
- 23 CWO Okay.
- BY MR. MUISE:
- 25 Q. Are you familiar with the difference between a Truck Duck, a

- 1 Fleet Duck, and a Stretch Duck?
- 2 A. I believe that there's differences, yes.
- 3 Q. Do you have any of, other than the Stretch Ducks, are there
- 4 any other of those types in your AOR?
- 5 A. We have Truck Ducks and Stretch Ducks here.
- 6 Q. How about in Chattanooga?
- 7 A. The ones in --
- 8 Q. Go ahead.
- 9 A. Chattanooga were Fleet Ducks. They were the original World
- 10 War II Fleet Ducks
- 11 Q. Okay. On PFDs, what is your understanding of Missouri State
- 12 law regarding children?
- 13 A. I know on recreational vessels, they're required to have them
- on, I can't quote the exact age. I want to say ten and under
- 15 | maybe. And on commercial vessels, and once again, I think it's
- 16 based upon size, they're not required to have them on, they're
- 17 | required to have them available. Everybody's required to, for our
- 18 purposes, for, inspected vessels are required to have a PFD
- 19 onboard for everybody onboard.
- 20 Q. Is there any discussion about the State Law when you inspect
- 21 a Duck given what happened on the Majestic?
- 22 A. No.
- 23 Q. Okay. The, Ripley's has an operations manual. Are you
- 24 familiar with that?
- 25 A. I heard they have one, and I know they have one, but I

- 1 haven't seen it.
- 2 Q. Okay. The approved drawings, are they available in your
- 3 shop?
- 4 A. I, they're available in the, I've got them electronically,
- 5 yes.
- 6 Q. Yeah, are they CAD files or are they PDF files?
- 7 A. Most of them are all PDF files. Electronically we do have,
- 8 | we have hard copies of them back in our system too.
- 9 Q. Okay. How about the incline experiment? I believe it was on
- 10 Stretch Duck 1, which is a sister, is that available there?
- 11 A. The incline experiment? No. That would be maintained by
- 12 Marine Safety Center.
- 13 Q. And the weights that we were talking about earlier, are those
- 14 tracked in MSIS, or I'm sorry, MISLE, or in the vessel's paper
- 15 | file there?
- 16 A. They're tracked in the vessel's paper file.
- 17 Q. They're, so they're not in your activity summary if I were to
- 18 qo to MISLE?
- 19 A. It depends on what the individual inspector did on the day of
- 20 the, for that particular inspection. Some inspectors may scan it
- 21 in in the documents. There's no requirement that scan 835s, or
- 22 | tickets, or stuff like that, and it really depends on what they
- 23 put into the actual file. We do keep electronic files, but what
- 24 they scan into MISLE is dependent upon probably the inspector.
- 25 Q. Okay. And one final question. Is there any change in the

- 1 | way you did business with Ride the Ducks after Ripley took over?
- 2 A. No.
- 3 Q. And same with their, how they dealt with deficiencies? There
- 4 was no difference in --
- 5 A. No, because we were still basically dealing with the same
- 6 people we always deal, dealt with on our level. I had no
- 7 interaction with anybody from Ripley's.
- 8 MR. MUISE: Commander, any follow-ups?
- 9 CWO Pardon?
- 10 BY LCDR
- 11 Q. Sorry, just one follow-up. Are you familiar with how Ride
- 12 | the Ducks Branson decides operations occurring on Lake Taneycomo
- 13 as opposed to Table Rock Lake? Do certain Ducks in the fleets
- 14 ride Taneycomo and other Ducks ride Table Rock Lake? Is it based
- on number? Is it based on the day and what's not in maintenance?
- 16 A. No, I'm not sure. All, for the most part, all the Ducks, I
- 17 | can't think of any Duck that's not COIed for both, so I don't, as
- 18 long as it's COIed for both, they can used it on whichever, Lake
- 19 Taneycomo or Table Rock Lake they want to.
- 20 And as far as I'm aware, all the ones that I've seen are for
- 21 both, so I don't, I don't know how they divvy out who goes where.
- 22 | I do know up until, when I go down there (indiscernible) whatever
- 23 and ask them about it, they never operated on Taneycomo for I
- 24 don't know how many years. I think maybe they just recently
- 25 started, but I don't know when they started up again.

- 1 Q. Okay. And if they were to be operating on Lake Taneycomo and
- 2 Table Rock Lake, is it possible that they would be doing both at
- 3 the same time?
- 4 A. I, it could be possible, sure. Because they got a whole
- 5 | fleet of Ducks, so they could have some, you know, some Ducks on
- 6 one, some Ducks on the other. I don't' know if they're operations
- 7 | manual prohibits doing them both at the same time. I don't know
- 8 about that.
- 9 Q. And any knowledge whatsoever if the company, let's say has
- 10 maybe a second dispatcher working at the time to assist, any
- 11 discussion along those lines or anything?
- 12 I understand that this is getting outside of your, what
- 13 you're aware of. But if you're aware of the separate, the company
- 14 operation, do they have a, like a dispatch position that was
- 15 | support for maybe multiple boats, or if there were more than five
- 16 | in operation, did, was someone supporting the individual who is
- 17 | the point of contact back in the office?
- 18 A. I don't know, I don't know whether they have a dispatcher or
- 19 not.
- 20 LCDR Thank you. No further questions.
- 21 MR. MUISE: Trooper Nothing?
- TROOPER No further.
- MR. MUISE: Mr. I want to thank you for your time
- 24 this morning. Do you have anything else you'd like to share with
- 25 us?

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1
         CWO
                       Not that I can think of, no.
         MR. MUISE: Okay. Again, thank you for your time. And with
 2
 3
    that, we'll end our interview. It's 11:53.
          (Whereupon, at 11:53 a.m., the interview was concluded.)
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### CERTIFICATE

This is to certify that the attached proceeding before the

NATIONAL TRANSPORTATION SAFETY BOARD

IN THE MATTER OF: CAPSIZE AND SINKING OF STRETCH DUCK 7

ON TABLE ROCK LAKE, BRANSON, MISSOURI,

JULY 19, 2018

Interview of CWO

ACCIDENT NO.: DCA18MM028

PLACE: Via telephone

DATE: July 24, 2018

was held according to the record, and that this is the original, complete, true and accurate transcript which has been transcribed to the best of my skill and ability.

Christy Wilson Transcriber