|   | ******************************* |                  |   |                                |                            |                                     |                     |        |   |  |              |                                       |  |
|---|---------------------------------|------------------|---|--------------------------------|----------------------------|-------------------------------------|---------------------|--------|---|--|--------------|---------------------------------------|--|
|   |                                 |                  |   |                                |                            |                                     |                     |        |   | OMB No. 1625-0001                                |              |                                       |  |
|   | U.S. Coast Guard                |                  |   |                                |                            |                                     | Expires: 01/31/2016 |        |   |  |              |                                       |  |
| REPORT OF MARINE CASUALTY               |                                 |                  |   |                                |                            |                                     |                     |        |   |  |              |                                       |  |
| SECTION I. GENERAL INFORMATION          |                                 |                  |   |                                |                            |                                     |                     |        |   |  |              |                                       |  |
| 1. Name of Vessel or Fac                | allity                          |                  |   | 2. Official No.                |                            | 3. Natio                            | onality             |        | 4. Call S   | Sign   | 5. USO       | CG Certificate of<br>ction issued at: |  |
| EL FARO                                 |                                 |                  | !   | 561732                         |                            | USA                                 |                     |        |   |  |              | N JUAN, PR                            |  |
| 6. Type (Towing, Freight                | t, Fish, Drill, etc.)           | 7.               | Length                                    | 8. Gross Tons                  |                            | 9. Year Built                       |                     |        | 10. Propulsion (Steam, dies                                       |  | Steam, diese | l, gas, turbine)                      |  |
| FREIGHT                                 |                                 | 7                | 790 FT                                    | 31,515 1975                    |                            |                                     |                     | SI     | EAM   |  |              |                                       |  |
| 11. Hull Material (Steel,               | Wood) 12.                       | Draft (Ft in.)   | .)  | 13. If Vessel Classed          | I. By Whom                 | 1: (AB)                             | S. LLOYDS           | S      | 14. Date  | (of occur  | rrence)      | 15. TIME (Local)                      |  |
|   | 1                               |                  | AFT.                                      | DNV, BV, etc.)                 | ,-,                        |                                     | w,                  | ,      |   | •  |              |                                       |  |
| STEEL                                   | 2                               | 28 FT 3          | 32 FT                                     | ABS                            |                            |                                     |                     |        | 01  | OCT  | 2015         | Unknown                               |  |
| 16. Location (See Instruc               |                                 |                  |   |                                |                            |                                     |                     |        | 17. Estimated Loss of Damage TO:                                  |  |              |                                       |  |
| •                                       | •                               | na - 071         | 6) LAT                                    | 23-25.22N, LONG 073-52.68W     |                            |                                     |                     | TAT    | 17. Edithated 2000 of Bullings 70.                                |  |              |                                       |  |
| 18. Name, Address & Tele                |                                 |                  | 0/ 2222                                   | 23 23.22.1                     | 23-25.22N, LONG 0/3-52.68W |                                     |                     |        |   |  |              |                                       |  |
|   |                                 | rating Co.       |   |                                |                            |                                     |                     |        | VESSEL TOTAL L  |  |              |                                       |  |
| TOTE SERVIC                             |                                 | ם גיינת          |   | 3.2                            |                            |                                     |                     |        | CARGO TOTAL LOSS  |  |              | LOSS                                  |  |
| Jacksonvill                             |                                 |                  |   | 12<br>1-248-4700)              |                            |                                     |                     |        | OTHER TOTAL LOSS  |  |              | LOSS                                  |  |
|   | -                               | ,50 (1.          |   |                                |                            |                                     |                     |        |   |  |              |                                       |  |
| 19. Name of Master or Pe                | erson in Charge                 |                  | USCG L                                    | icense                         | 20. Nam                    | ne of Pi                            | ilot                |        |   | USCGI  | icense       | State License                         |  |
| Michael C.                              | Davidson                        |                  |   |                                | , , ,                      | / <del>-</del>                      |                     |        |   |  | YES          | YES                                   |  |
|   |                                 |                  | X YES                                     | s $\Pi_{NO}$                   | N/                         | A                                   |                     |        |   | ΙĦ   | NO.          |                                       |  |
| 19a. Home or Work Stree                 | et Address (City, S             | State, Zip Code) |   | or Work Telephone No.          | 20a. Hom                   | 20a. Home or Work Street Address (C |                     |        |   |  |              | or Work Telephone No.                 |  |
|   | •                               |                  |   | ,                              |                            |                                     |                     |        |   | _, ,   |              | •                                     |  |
| 21. Casualty Elements (                 | Check as many as                | e needed and e   | volain in Bloc                            | ck dd l                        | 1                          |                                     |                     |        |   |  |              |                                       |  |
|   |                                 |                  | Im  |                                |                            |                                     |                     | Im     |   |  |              | TO COMPANY                            |  |
|   | NS ON BOARD                     |                  | L F                                       | FLOODING; SWAMPIN              | 4G WITHOU                  | UT SIN                              | KING                |        |   |  |              | ENCY EQUIPMENT                        |  |
| ☑ DEATH - HOW M                         | IANY?                           | 33               |   | CAPSIZING (with or w           | ithout sinkii              | ng)                                 |                     |        | FAILED OR INADEQUATE (Describe in Block 44.)                      |  |              |                                       |  |
| MISSING - HOW                           | MANY?                           |                  | X F                                       | OUNDERING OR SIN               | KING                       |                                     |                     |        | LIFESAVING EQUIPMENT FAILED OR INADEQUATE (Describe in Block 44.) |  |              |                                       |  |
| INADE                                   |                                 |                  |   |                                |                            |                                     |                     | INADE  | INAUEQUATE (Describe in Block 44.)                                |  |              |                                       |  |
| X HAZARDOUS MA                          | ATERIAL RELEAS                  | SED OR INVOL     | LVED F                                    | FIRE                           |                            |                                     |                     |        | BLOW  | OUT (Per   | troleum expo | oration/production)                   |  |
|   |                                 |                  |   | EXPLOSION                      |                            |                                     |                     |        | AT COH  | O 1815/OI  | · /CAACAIT   |                                       |  |
| (Identity Substance                     | ce and amount in B              | 10CK 44.)        |   | COMMERCIAL DIVING              | CASUALT                    | ΓΥ                                  |                     |        |   | OL INVOL<br>be in Block                          |              |                                       |  |
| OU SPUL EST                             | MATE AMOUNT:                    |                  |   | CE DAMAGE                      | In .                       |                                     |                     |        |   |  | •            | scribe in Block 44.)                  |  |
| LJ UILOFILL-LUII                        | WATE ANICOTE.                   |                  | l i i                                     |                                |                            |                                     |                     |        | ,,  |  |              |                                       |  |
| ₩ =:=================================== |                                 |                  | 17  | AMAGE TO AIDS TO NAVIGATION    |                            |                                     |                     |        | OTHER (Specify)   |  |              |                                       |  |
|   | INER LOST/DAMA                  | AGED             | lm  | TEERING FAILURE                |                            |                                     |                     |        | 011121  | (Openi)  | 7            |                                       |  |
| COLLISION (Identify other yes           | ssel or object in Blo           | ock AA )         | I   | MACHINERY OR EQUIPMENT FAILURE |                            |                                     |                     |        |   |  |              |                                       |  |
| (Identity other 100                     | Sei ui uujeet ii Lie            | JUN 77./         | LL E                                      | LECTRICAL FAILURE              |                            |                                     |                     |        |   |  |              |                                       |  |
| GROUNDING                               | WAKE                            | DAMAGE           | <u>                                  </u> | STRUCTURAL FAILUR              | <u>'E</u>                  |                                     |                     |        |   |  |              |                                       |  |
| 22. Conditions                          |                                 |                  |   |                                |                            |                                     |                     |        |   |  | <b>,</b>     |                                       |  |
|   | B. WEAT                         | HER              | C. TI                                     | IME                            | D. VISIB                   | BILITY                              |                     |        | TANCE<br>ibility)   | (miles _   | Unknow       | <u>n</u>                              |  |
| A. Sea or River Cond                    | difions CLE                     | EAR              | X   | DAYLIGHT                       | G(                         | OOD                                 |                     |        |   |  |              |                                       |  |
| (wave height, river st                  | tage, RAI                       | JN               |   | TWILIGHT                       | ☐ FÆ                       | AIR                                 |                     | F. AIR | IR TEMPERATURE <u>Unknown</u>                                     |  |              |                                       |  |
| etc.)                                   | t                               | IOW              | ĒΠ  | NIGHT POOR (F)                 |                            |                                     |                     |        | )   |  |              |                                       |  |
| Per Capt.12                             | <u> </u>                        |                  | لسا                                       |                                | -                          |                                     |                     |        | D SPEE  | D &  | Unknow       | n                                     |  |
| rer cape.re                             |                                 | HER (Specify     | И   |                                |                            |                                     |                     | UIK    | ECTION  | -  |              |                                       |  |
|   |                                 | oaquin           | ,   |                                |                            |                                     |                     | H. ÇU  | RRENT S   | PEED   | Unknow       | n                                     |  |
| 23, Navigation Information              |                                 |                  |   |                                | T                          | 24. Las                             | et                  |        | IRECTIC   |  |              | 24a. Time and                         |  |
|   |                                 |                  |   | PEED Unknow                    | n i                        | Por                                 | n Jack              | sonv   | ille,   | FL   |              | Date of Departure                     |  |
| MOORED, DOCK                            |                                 |                  |   | ND OURSE                       |                            |                                     | nere                |        |   |  |              | 9/29/15                               |  |
|   | UNDERWAY OR                     | DRIFTING         |   |                                |                            | Bou                                 |                     |        | 004 (0  | \  | Di1- (4)     | 2148                                  |  |
| 25. 2                                   | 25a.                            |                  | . !                                       | 25b. 2                         | 25c.                       |                                     | 1                   |        | 250. (L   | escribe in                                       | Block 44.)   |                                       |  |
|   | NUMBER E                        | mpty Loaded      | d Total                                   | TOTAL                          | MAXIMU                     | M.                                  | Length              | Width  | Pl  | JSHING A   | HEAD         |                                       |  |
| FOR                                     | OF                              |                  |   | H.P. OF                        | SIZE OF TO                 | ow                                  |                     |        | Пто   | DWING AS   | STERN        |                                       |  |
| TOWNG                                   | VESSELS                         |                  | '   | TOWING                         | WITH TO                    | w- l                                |                     |        | Пт  | OWNG AL  | ONGSIDE      |                                       |  |
| ONLY                                    | TOWED                           |                  |   | UNITS                          | BOAT(S                     | 1                                   |                     |        | Пм  | ORE THA  | N ONE TOV    | V-BOAT ON TOW                         |  |
|   |                                 | SEC              | TION II. B                                | BARGE INFORMAT                 |                            | <u></u>                             |                     |        | <del></del>   | <del>, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del> |              | CG Certificate of                     |  |
| 26, Name                                | <u></u>                         |                  | Official Numb                             |                                | 26b. Type                  | $\neg \neg$                         | 26c. Leng           | th     | 26d. Gro  | oss Tons   |              | on Issued at:                         |  |
|   |                                 |                  |   |                                | • • •                      |                                     |                     |        |   |  |              |                                       |  |
| 26f. Year Built                         | 26g. SINGLE                     | = 01/11 26h. D   | Traft                                     |                                | 26i. Operatir              | na Corr                             | nany                |        |   |  |              |                                       |  |
| zor. rear built                         | - SINGLE                        | E SKIN FW        |   | I AFT                          | .or. Operau                | ng com                              | ιραιιγ              |        |   |  |              |                                       |  |
|   | L DOUBL                         | E                |   | L COL Daniel Danie             | t- D                       |                                     |                     |        |   |  |              | ·                                     |  |
| 26j. Damage Amount                      |                                 |                  | !   | 26k. Describe Damag            | ge to Barge                | ı                                   |                     |        |   |  |              |                                       |  |
| BARGE                                   |                                 |                  | 1   |                                |                            |                                     |                     |        |   |  |              |                                       |  |
| CARGO                                   |                                 |                  | ,   | 1                              |                            |                                     |                     |        |   |  |              |                                       |  |
| OTHER                                   |                                 |                  | ,   | 1                              |                            |                                     |                     |        |   |  |              |                                       |  |

| SECTION III. PERSONNEL ACCIDENT INFORMATION  |   |                       |   |                                    |                                    |  |  |  |
|--|---|-----------------------|---|------------------------------------|------------------------------------|--|--|--|
| 27. Person Involved  | 27a. Name (Last, First, Middle Nar              |                       |   |                                    | 27c. Status                        |  |  |  |
| ☐ MALE ☐ OR FEMAL  |   |                       |   |                                    |                                    |  |  |  |
| ☑ DEAD ☐ INJURED   |   |                       |   |                                    |                                    |  |  |  |
| ☐ MISSING  |   | ☐ Passenger  ☑ Other  |   |                                    |                                    |  |  |  |
| <del></del>  | Telephone No.                                   | 30. Job Position      | <u> </u>                                |                                    | 31. (Check here if off duty)       |  |  |  |
|  |   |                       |   |                                    |                                    |  |  |  |
| 32. Employer - (if different from B  | lock 18., fill in Name, Address, Telephone      | • No.)                |   |                                    |                                    |  |  |  |
| 33. Person's Time  |   |                       |   | 34. Industry of Employe            | r (Towing, Fishing, Shipping,      |  |  |  |
| A IN THE MENETON   |   | YEAR(S)               | MONTH(S)                                | tc.)                               |                                    |  |  |  |
| A. IN THIS INDUSTRY  | -   |                       | ************************                |                                    |                                    |  |  |  |
| B. WITH THIS COMPA   | .NY -   |                       |   | 35. Was the Injured Pers<br>More?  | d Person Incapacitated 72 Hours or |  |  |  |
| C. IN PRESENT JOB C  | OR POSITION -                                   |                       |   | Wore                               |                                    |  |  |  |
| D. ON PRESENT VESS   | SEL/FACILITY -                                  |                       | ****************                        | 36. Date of Death                  |                                    |  |  |  |
| E. HOURS ON DUTY V   | WHEN ACCIDENT OCCURRED -                        |                       |   | 10/1/15                            |                                    |  |  |  |
| 37. Activity of Person at Time of Ad   |   |                       | *************************************** |                                    |                                    |  |  |  |
|  |   |                       |   |                                    |                                    |  |  |  |
| 38. Specific Location of Accident of   | n Vessel/Facility                               |                       |   |                                    |                                    |  |  |  |
| 39. Type of Accident (Fall, Caugh  | t between, etc.)                                |                       | 40. Resulting Injury (                  | Cut, Bruise, Fracture, Burn, etc., | )                                  |  |  |  |
|  |   |                       |   |                                    |                                    |  |  |  |
| 41. Part of Body Injured   |   |                       | 42. Equipment Involve                   | d in Accident                      |                                    |  |  |  |
| 43. Specific Object, Part of the Equ   | uipment in block 42., or Substance (Chem        | nical, Solvent, etc.) | that directly produced th               | ne Injury.                         |                                    |  |  |  |
|  | SECTION   | IV. DESCRIPT          | ON OF CASUALTY                          |                                    |                                    |  |  |  |
| 44. Describe how accident occured  | d, damage, information on alcohol/drug inv      | olvement and reco     | mmendations for correct                 | ive safety measures. (See ins      | structions and attach additional   |  |  |  |
| sheets if necessary).  | -   |                       |   |                                    |                                    |  |  |  |
| On September 29, 2015, the EL FARO commenced a voyage from Jacksonville, Florida to San Juan, Puerto Rico. Before departure and during the voyage, the Master monitored a tropical storm, which later developed into Hurricane Joaquin. On September 30, 2015, the Master emailed Tote Services that he had altered the vessel's course to account for the hurricane's expected track. On October 1, 2015, at approximately 0700, the Master called the mobile phone of the Designated Person Ashore, Capt. John Lawrence, and left a voice message that a scuttle had popped open allowing water into hold No. 3 and that the vessel was experiencing a list. On October 1, 2015, at approximately 0706, Capt. Lawrence and the Master spoke by phone and the Master further reported the ship had lost propulsion and that he would activate the vessel's emergency alarms. After receiving a text alert that the EL FARO's Ship Security Alert System had been activated, at approximately 0724 Captain Lawrence contacted the U.S. Coast Guard Rescue Coordination Center. No further contact was made with the vessel. A massive search and rescue effort was conducted; that effort was suspended on October 7, 2015. The vessel and her crew are presumed lost. (ID of HAZMAT cargo aboard available upon request). |   |                       |   |                                    |                                    |  |  |  |
| 45. Witness to Casualty (Name, Address, Telephone No.)   |   |                       |   |                                    |                                    |  |  |  |
| None   |   |                       |   |                                    |                                    |  |  |  |
| 46. Witness to Casualty (Name, Ad  | ddress, Telephone No.)                          |                       |   |                                    |                                    |  |  |  |
| None   |   |                       |   |                                    |                                    |  |  |  |
| 47 Nome (DENET) // / Process   | SECTION V. PERSON MAKING THIS REPORT 47c. Title |                       |   |                                    |                                    |  |  |  |
| 47. Name (PRINT) (Last, First, Middle)  47b. Address (City, State, Zip Code)  Dir. Marine Service  |   |                       |   |                                    |                                    |  |  |  |
| Peterson, Kennet   | in Lee 105                                      | 550 Deerwo            | od Park Blvd                            | .,Ste 602 47d. Telep               | none No.                           |  |  |  |
| 47a. Si  | me  |                       | , FL 32256                              |                                    | 70/06/55                           |  |  |  |
|  |   |                       |   | 47e. Date                          | 10/26/15                           |  |  |  |
| FOR COAST GUARD USE ONLY REPORTING OFFICE:   |   |                       |   |                                    |                                    |  |  |  |
| MISLE Incident Investigation Activity Data Entry:  MISLE Incident Investigation Activity Number (if applicable)  |   |                       |   |                                    |                                    |  |  |  |
| NONE PRELIMINA   | RY DATA COLLECTION                              | □INFO                 | RMAL DFOI                               | RMAL                               |                                    |  |  |  |
| Serious Marine Incident Yes Major Marine Casualty Yes  |   |                       | DATE                                    | APPROVED BY (Name)                 | DATE                               |  |  |  |

CG-2692 (03/13) Page 2 of 5