

Young Brian

From: Jim Fisker-Andersen <[REDACTED]>
Sent: Monday, April 25, 2016 10:25 AM
To: Young Brian
Cc: Young Brian; Roth-Roffy Thomas; Lee Peterson; Tim Neeson; Jim Fisker-Andersen
Subject: RE: El Faro investigation - revised information request list

Brian,

I'm sorry for the delays in compiling more data. The noon reports from the request list were quite tedious to gather. No CE noon reports were received. Responses below in [blue](#).

Engineering:

- (18) Turnover notes from C/E, 1A/E since layup in Baltimore. To date, we have only received one set of turnover notes. [We have found another 8-26-14 CE handover. Downloaded to Accellion. Nothing else located.](#)
- (69) Engine Dept. Standard Operating Procedures for plant operations. [Nothing on file in office for El Faro.](#)
- (80) Boiler water chemistry test results (daily test results that were apparently recorded in Drew logbook) [Daily test numbers are recorded on the main engineering log pages. Reports from two Drew service visits downloaded to Accellion.](#)
- (85) E/R Automation Test Procedures with results for El Faro using Nortech system, (not TMS form El Yunque) [Nothing on file in office for El Faro.](#)
- (154) JMR report from economizer tube repair. [Done 8/31/15. No Service Report was done.](#)
- (156) Familiarization booklet for newly signed on engineers. [HSES Rules for Contractors downloaded to Accellion. Nothing engineering specific available.](#)
- (201) Heavy weather guidance to engine dept (if available) [No heavy weather guidance provided specifically to Engineers in the OMV.](#)

- New items:
- (320) Most recent internal boiler exam results. [ABS Boiler Survey Report from 2014 downloaded to Accellion..](#)
- ~~(321) Last drydock shipyard specs and reports (after layup) Received -uploaded to Accellion 3/28~~

Kind Regards,

Jim Fisker-Andersen | Director of Ship Management | TOTE Services
10550 Deerwood Park Blvd, Suite 602; Jacksonville, FL 32256
Cellular | [REDACTED]



Please note my email address has changed: [REDACTED]
For invoices, please continue to send to: Invoices@TOTEServices.com

From: Lee Peterson
Sent: Wednesday, April 13, 2016 4:32 PM

To: Roth-Roffy Thomas
Cc: Young Brian; Jim Fisker-Andersen
Subject: RE: El Faro investigation - revised information request list

Tom,

I didn't receive the below. I've talked to Jim about getting this information to Brian.

Regards, Lee

K. L. Peterson | Director, Fleet Safety | TOTE Services
[REDACTED] Office (904) 248-4704

From: Roth-Roffy Thomas [REDACTED]
Sent: Wednesday, April 13, 2016 9:22 AM
To: Lee Peterson
Cc: Young Brian
Subject: FW: El Faro investigation - revised information request list

Lee:

We had problems with our email system last Monday when I sent the below email – did you ever receive it?

Also, I neglected to add Brian Young's engineering priority items. Below are the engineering priority items from an older email:

Engineering:

- (18) Turnover notes from C/E, 1A/E since layup in Baltimore. To date, we have only received one set of turnover notes.
- (69) Engine Dept. Standard Operating Procedures for plant operations
- (80) Boiler water chemistry test results (daily test results that were apparently recorded in Drew logbook)
- (85) E/R Automation Test Procedures with results for El Faro using Nortech system, (not TMS form El Yunque)
- (154) JMR report from economizer tube repair
- (156) Familiarization booklet for newly signed on engineers
- (201) Heavy weather guidance to engine dept (if available)

- New items:
- (320) Most recent internal boiler exam results
- ~~(321) Last drydock shipyard specs and reports (after layup)~~ Received -uploaded to Accellion 3/28

From: Roth-Roffy Thomas
Sent: Monday, April 11, 2016 8:58 AM
To: lodonnell [REDACTED] Neubauer, Jason CAPT [REDACTED] Peterson
Lee - Tote Services (lpeterson [REDACTED] <lpeterson [REDACTED]> Spencer A. Schilling
(sschilling [REDACTED] < [REDACTED]>

Cc: Bell Caroline <[REDACTED]>; Charlotte Cox <[REDACTED]>; Chisom Katherine <[REDACTED]>; Crider Dennis <[REDACTED]>; Furukawa Robert <[REDACTED]>; Kucharski Michael <[REDACTED]>; Mansell Douglas <[REDACTED]>; Matonek Stephanie <[REDACTED]>; Mitchell Monica <[REDACTED]>; Payne Sean <[REDACTED]>; Richards Michael <[REDACTED]>; Roth-Roffy Thomas <[REDACTED]>; Stolzenberg Eric <[REDACTED]>; Young Brian <[REDACTED]>

Subject: El Faro investigation - revised information request list

Sirs:

Attached is a revised information request list for the investigation. We still are waiting for the remaining items to be provided so we can finish writing our factual reports. Please review the list to identify information that you have not yet provide and please try to deliver the information to the accellion workspace as soon as possible.

Below is information that has been highlighted by several group chairman as particularly important – please provide a response to these items as soon as possible:

Deck Operations:

- (312) Roloc box drawing. Sun dwg 674-331-b is required by the cargo securing manual.
Your previous response: **We have not located**
Our response: we will keep open until you say you will not be able to provide.
- (183) TOTE maritime Puerto Rico Cargo Securing Manual. Ron Rodriguez brought to interview. It could be the same item as 171 above
Your previous response: **NAU_v1CCI_Tote Lashing Manual (51,52,53)**
Our response: Agree we have the TOTE Lashing Manual. Pending clarification from Rodriguez/Matthews that this was the reference/manual used for lashings on all TOTE vessels, including EL FARO.
- (063) *El Faro* Bridge Equipment List. *El Yunque* list was provided, require *El Faro* list or statement from TOTE that *El Yunque* list is applicable to *El Faro*.
Your previous response: **NAU_v1_Bridge Equip List - EL FARO_10-5-02a (63)**
Our response: Disagree. What was sent to given to us on February 2, 2016, is the ABS Record of Approved Safety Equipment. What we would like is a copy of the bridge equipment list like the one you gave us for EL FARO (attached.)

Human Factors:

- (309) Performance evaluations for all captains working on El Faro and El Yunque in the past four years.
- (241) Historical data of Jacksonville, FL to San Juan, PR route

Thank you,

Tom

T. K. Roth-Roffy, P.E.

Marine Investigator
NTSB Office of Marine Safety
Washington, DC 20594

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