

New York City Department of Transportation - Ferry Division Safety Management System Implementation Timeline

Blue = Audit events

February 2004

- ♦ GMATS report released.

May 2004

- ♦ Captain James DeSimone is appointed the NYCDOT Ferry Division Chief Operations Officer.
- ♦ Margaret Gordon is appointed the NYCDOT Ferry Division Executive Director of Safety & Security.
- ♦ Additional Senior Management positions are identified and hiring process is initiated. This includes Director of Ferry Operations, Senior Port Captain, Senior Port Engineer, Director of Ferry Engineering, Director of Terminal Operations, Director of Administration and Safety Manager/Designated Person.

July 2004

- ♦ Safety Management Systems, LLC (SMS LLC) is contracted by New York City Department of Transportation (NYCDOT) to perform a gap analysis for Staten Island Ferry to include a International Safety Management (ISM) Code Needs Analysis and Project Plan.

September 2004

- ♦ SMS, LLC Gap Analysis provided to NYC DOT. Gap analysis includes corrective action recommendations that are taken for action and implemented by NYCDOT.
- ♦ Initial SMS training conducted by SMS, LLC for all NYCDOT Ferry employees to include familiarization to ISM Code and implementation for NYCDOT Ferry Operations.
- ♦ Project Management Team identified and assigned duties by NYCDOT Chief Operations Officer.
- ♦ Development and review of numerous processes and policies in support of ferry operations is initiated and continues throughout the calendar year.

November 2004

- ♦ Brian Walsh is appointed the NYCDOT Ferry Division Safety Manager / Designated Person.

January 2005

- ♦ Policies are approved and implemented for pilot house operations for all Staten Island Ferry vessels.
- ♦ Development and review of additional procedures and processes continues.
- ♦ Event Tracking System is implemented to track employee reports of accidents, incidents, nonconformities and opportunities for improvement.

March 2005

- ♦ NTSB Report is released and includes recommendations for NYCDOT to adhere to the October 2005 target for implementation of a comprehensive safety management system.

June 2005

- ♦ Final drafts of SMS documentation are reviewed and approved for implementation and distribution to controlled locations.

July 2005

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- SMS Documents are distributed to controlled document locations.
- SMS training conducted by SMS, LLC for all NYCDOT Ferry employees to include familiarization of newly implemented procedures.

August 2005

- MAXIMO is selected as the preventive maintenance software. George Sharp commences Phase 1 work for Molinari class items.

September 2005

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

October 2005

- Auditors from the American Bureau of Shipping (ABS) conduct an audit of NYCDOT Ferry Operations for ISM Code Document of Compliance. NYCDOT is awarded the ISM Code Document of Compliance.
- ABS Auditors conduct an audit for ISM Code Safety Management Certificates on the following vessels:
 - F/B Samuel I. Newhouse
 - F/B Guy V. Molinari
 - F/B Sen. John J. Marchi
 - F/B Andrew J. Barberi
 - F/B John A. Noble
 - FYI – F/B Alice Austen, F/B John F. Kennedy and F/B Spirit of America not audited due to being out of service (shipyard, just delivered, etc).

February 2006

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

May 2006

- ABS Auditors conduct an initial audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B Alice Austen

July 2006

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.
- ABS Auditors conduct an initial audit for ISM Code Safety Management Certificates on the following vessels:
 - F/B Spirit of America
- Maximo Phase 1 completed – Molinari class no longer in Paradox MRS.

September 2006

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- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.
- MAXIMO system went live with inventory module. Ceased use of Paradox MRS Inventory module.

October 2006

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.
- ABS Auditors conduct an initial audit for ISM Code Safety Management Certificate on the following vessel:
 - F/B John F. Kennedy

June 2007

- MAXIMO Phase 2A commenced (AJB, JFK, AA class vessels).

July 2007

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.
- Maximo Phase 2 completed – AJB, JFK, AA class no longer in Paradox MRS.

October 2007

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.

December 2007

- MAXIMO Phase 2B commenced (barges, F/B Cosgrove, M/V American Legion & cranes).

February 2008

- MAXIMO Phase 4 commenced (terminals and shoreside facilities).
- MAXIMO Phase 2B completed.

March 2008

- ABS Auditors conduct an intermediate verification audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B Samuel I. Newhouse

April 2008

- ABS Auditors conduct an intermediate verification audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B Guy V. Molinari
 - F/B Sen. John J. Marchi
 - F/B Andrew J. Barberi
 - F/B John A. Noble
- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.
- MAXIMO Phase 4 completed

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- MAXIMO Phase 3 commenced (purchasing and inventory modules).

October 2008

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.

November 2008

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

December 2008

- ABS Auditors conduct an intermediate verification audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B Alice Austen

February 2009

- ABS Auditors conduct an intermediate verification audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B Spirit of America

April 2009

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

May 2009

- ABS Auditors conduct an intermediate verification audit for ISM Code Safety Management Certificates on the following vessel:
 - F/B John F. Kennedy

October 2009

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

December 2009

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.

June 2010

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

September 2010

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.
- ABS Auditors conduct a renewal verification audit for ISM Code Safety Management Certificates on the following vessels:
 - Sen. John J. Marchi
 - Guy V. Molinari
 - Samuel I. Newhouse

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October 2010

- Auditors from the American Bureau of Shipping (ABS) conduct an annual verification audit of NYCDOT Ferry Operations for ISM Code Document of Compliance.
- ABS Auditors conduct a renewal verification audit for ISM Code Safety Management Certificates on the following vessels:
 - John A. Noble
 - Andrew J. Barberi

January 2011

- NYCDOT Ferry Division conducts a major overhaul of the document that make up their Safety Management System. These improvements include a consolidation of a number of manuals as well as streamlining SOPs to make them more user-friendly. Training is coordinated by the Safety Manager for all Ferry Division staff.

April 2011

- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Alice Austen.

May 2011

- ABS Auditors conduct a renewal verification audit for ISM Code Safety Management Certificates on the following vessel:
 - Alice Austen

June 2011

- ABS Auditors conduct a renewal verification audit for ISM Code Safety Management Certificates on the following vessel:
 - Spirit of America
- Auditors from SMS, LLC and NYCDOT Ferry Division conduct an Internal Audit of the Staten Island Ferry Safety Management System.

